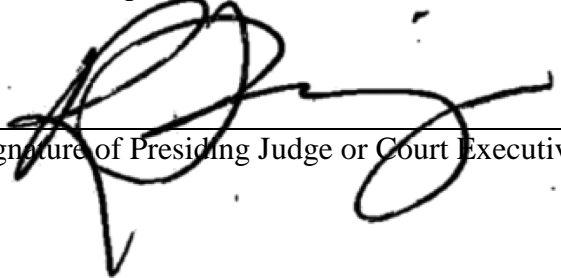


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

Date

Court

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
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6	
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9	
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12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended December									
Fiscal Year 2021/22									2020/21
	Governmental Funds							Total Funds <small>(Info. Purposes Only)</small>	Total Funds <small>(Info. Purposes Only)</small>
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (7,195,736)	\$ 8,522,278	\$ (861,965)	\$ 172			\$ 239,075	\$ 703,825	\$ (206,543)
Payroll	\$ (5,944)							\$ (5,944)	\$ (4,954)
Jury									
Revolving	\$ 25,000							\$ 25,000	\$ 10,000
Other									
Distribution							\$ 195,672	\$ 195,672	\$ (122,313)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (193,316)	\$ (193,316)	\$ (67,468)
Credit Card									
Cash on Hand	\$ 14,865	\$ 150					\$ 0	\$ 15,015	\$ 15,015
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 26,762,281						\$ 35,678,982	\$ 62,441,263	\$ 45,506,193
Total Cash and Cash Equivalents	\$ 19,600,465	\$ 8,522,428	\$ (861,965)	\$ 172			\$ 35,920,414	\$ 63,181,515	\$ 45,129,930
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Receivable - General	\$ 6,864		\$ 0					\$ 6,864	\$ 2,783
Dishonored Checks									
Due From Employee	\$ 16,231							\$ 16,231	\$ 41,501
Civil Jury Fees									
Trust							\$ 0	\$ 0	\$ 9,492
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 26,537	\$ 0	\$ 97,666					\$ 124,202	\$ 148,303
Due From Other Courts									
Due From State	\$ 20,270	\$ 0	\$ 597,809				\$ 0	\$ 618,079	\$ 130,133
Trust Due To/From							\$ 315,519	\$ 315,519	\$ 140,415
Distribution Due To/From							\$ 31,414	\$ 31,414	\$ 18,695
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 0
Total Receivables	\$ 69,902	\$ 0	\$ 695,474				\$ 346,933	\$ 1,112,309	\$ 491,322
Prepaid Expenses - General	\$ 19,976							\$ 19,976	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 19,976							\$ 19,976	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 19,690,343	\$ 8,522,428	\$ (166,491)	\$ 172			\$ 36,267,347	\$ 64,313,800	\$ 45,621,252
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 840,181	\$ 0	\$ 0	\$ 0			\$ 0	\$ 840,181	\$ 804,225
Due to Other Funds	\$ 10	\$ 0	\$ 0				\$ 346,923	\$ 346,933	\$ 159,110
Due to Other Courts	\$ 0	\$ 0						\$ 0	\$ 0
Due to State	\$ 586,682	\$ 0		\$ 0			\$ 0	\$ 586,682	\$ 188,640
TC145 Liability							\$ 1,532,258	\$ 1,532,258	\$ 1,114,882
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 1,998,411	\$ 1,998,411	\$ 1,437,881
Due to Other Public Agencies									
Sales and Use Tax	\$ 9		\$ 84					\$ 93	\$ 120
Interest							\$ 151	\$ 151	\$ 705
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 1,426,882	\$ 0	\$ 84	\$ 0			\$ 3,877,743	\$ 5,304,709	\$ 3,705,562
Civil							\$ 24,052,038	\$ 24,052,038	\$ 22,676,083
Criminal							\$ 7,519,154	\$ 7,519,154	\$ 4,928,103
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 969,333	\$ 969,333	\$ 974,829
Miscellaneous Trust									
Total Trust Deposits							\$ 32,540,525	\$ 32,540,525	\$ 28,579,015
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 1,311,708							\$ 1,311,708	\$ 1,414,925
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 127							\$ 127	\$ 0
Payroll Clearing	\$ (64)	\$ 0	\$ 0					\$ (64)	\$ 0
Total Payroll Liabilities	\$ 1,311,771	\$ 0	\$ 0					\$ 1,311,771	\$ 1,414,925
Revenue Collected in Advance	\$ 126,591		\$ 268,189					\$ 394,780	\$ 188,433
Liabilities For Deposits	\$ 112,459	\$ 5,127	\$ 0				\$ 50,170	\$ 167,755	\$ 179,939
Jury Fees - Non-Interest							\$ 5,597	\$ 5,597	\$ 0
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities							\$ (206,687)	\$ (206,687)	\$ (219,861)
Total Other Liabilities	\$ 239,050	\$ 5,127	\$ 268,189				\$ (150,921)	\$ 361,445	\$ 148,510
Total Liabilities	\$ 2,977,703	\$ 5,127	\$ 268,273	\$ 0			\$ 36,267,347	\$ 39,518,449	\$ 33,848,011
Total Fund Balance	\$ 16,712,641	\$ 8,517,302	\$ (434,764)	\$ 172				\$ 24,795,351	\$ 11,773,240
Total Liabilities and Fund Balance	\$ 19,690,343	\$ 8,522,428	\$ (166,491)	\$ 172			\$ 36,267,347	\$ 64,313,800	\$ 45,621,252

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 52,926,446	\$ 208,489					\$ 53,134,935	\$ 84,223,169	\$ 52,371,359	\$ 76,015,483	
Improvement and Modernization Fund	\$ 0						\$ 0	\$ 312,185		\$ 316,924	
Judges' Compensation (0150019)	\$ 365,748						\$ 365,748	\$ 715,000	\$ 365,748	\$ 715,000	
Court Interpreter (0150037)	\$ 3,439,677						\$ 3,439,677	\$ 5,997,690	\$ 2,697,576	\$ 5,997,690	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 808,512						\$ 808,512	\$ 1,616,369	\$ 521,515	\$ 1,565,691	
Other Miscellaneous	\$ 2,309,466	\$ 8,038,042					\$ 10,347,508	\$ 2,309,466	\$ 2,309,466	\$ 2,309,466	
	\$ 59,849,848	\$ 8,246,531					\$ 68,096,379	\$ 95,173,879	\$ 58,265,663	\$ 86,920,254	
Grants											
AB 1058 Commissioner/Facilitator			\$ 473,538				\$ 473,538	\$ 2,857,435	\$ 0	\$ 2,249,839	
Other Judicial Council Grants			\$ 92,371				\$ 92,371	\$ 945,859	\$ 42,415	\$ 869,803	
Non-Judicial Council Grants			\$ 222,142				\$ 222,142	\$ 1,498,510	\$ 392,343	\$ 1,648,211	
			\$ 788,051				\$ 788,051	\$ 5,301,804	\$ 434,757	\$ 4,767,853	
Other Financing Sources											
Interest Income	\$ 7,377	\$ 244		\$ 172			\$ 7,793	\$ 70,000	\$ 22,831	\$ 425,000	
Investment Income											
Donations		\$ 3,500					\$ 3,500	\$ 7,854	\$ 3,004	\$ 3,000	
Local Fees	\$ 71,724	\$ 112,689					\$ 184,412	\$ 496,925	\$ 163,159	\$ 809,425	
Non-Fee Revenues											
Enhanced Collections		\$ 586,463					\$ 586,463	\$ 1,500,000	\$ 962,683	\$ 2,000,000	
Escheatment								\$ 25,000		\$ 25,000	
Prior Year Revenue	\$ (586,682)						\$ (586,682)		\$ 1,744		
County Program - Restricted		\$ 21,486					\$ 21,486	\$ 108,253	\$ 27,034	\$ 126,983	
Reimbursement Other	\$ 480,458	\$ 757,565					\$ 1,238,023	\$ 2,893,980	\$ 2,384,131	\$ 5,373,954	
Sale of Fixed Assets											
Other Miscellaneous	\$ 4,529						\$ 4,529	\$ 7,146	\$ 26,265	\$ 11,460	
	\$ (22,595)	\$ 1,481,947		\$ 172			\$ 1,459,524	\$ 5,109,158	\$ 3,590,851	\$ 8,774,822	
Total Revenues	\$ 59,827,253	\$ 9,728,477	\$ 788,051	\$ 172			\$ 70,343,954	\$ 105,584,841	\$ 62,291,271	\$ 100,462,929	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 22,318,338	\$ 294,835	\$ 428,939				\$ 23,042,111	\$ 49,098,917	\$ 26,188,170	\$ 44,827,858	
Temp Help	\$ 54,378						\$ 54,378	\$ 234,863	\$ 49,918	\$ 236,360	
Overtime	\$ 119,294						\$ 119,294	\$ 300,000	\$ 73,024	\$ 100,000	
Staff Benefits	\$ 15,810,841	\$ 906,045	\$ 265,608				\$ 16,982,493	\$ 33,869,395	\$ 17,431,854	\$ 32,792,993	
	\$ 38,302,851	\$ 1,200,879	\$ 694,546				\$ 40,198,276	\$ 83,503,175	\$ 43,742,967	\$ 77,957,211	
Operating Expenses and Equipment											
General Expense	\$ 612,603	\$ 1,238	\$ 25,931				\$ 639,772	\$ 1,485,780	\$ 613,684	\$ 1,581,534	
Printing	\$ 25,359						\$ 25,359	\$ 75,000	\$ 35,945	\$ 75,000	
Telecommunications	\$ 285,289		\$ 0				\$ 285,289	\$ 1,616,746	\$ 373,306	\$ 1,110,005	
Postage	\$ 110,893						\$ 110,893	\$ 288,700	\$ 178,502	\$ 288,700	
Insurance	\$ 56,813						\$ 56,813	\$ 50,000	\$ 51,151	\$ 42,000	
In-State Travel	\$ 19,228						\$ 19,228	\$ 48,863	\$ 6,879	\$ 62,501	
Out-of-State Travel			\$ 1,573				\$ 1,573	\$ 13,638	\$ (2,107)	\$ 8,000	
Training	\$ 16,448		\$ 180				\$ 16,628	\$ 57,000	\$ 7,470	\$ 5,000	
Security Services	\$ 67,307		\$ 121,637				\$ 188,944	\$ 634,000	\$ 52,094	\$ 504,000	
Facility Operations	\$ 1,006,459						\$ 1,006,459	\$ 1,965,450	\$ 1,157,002	\$ 2,900,300	
Utilities	\$ 95,462						\$ 95,462	\$ 189,000	\$ 88,630	\$ 189,000	
Contracted Services	\$ 1,947,805	\$ 333,068	\$ 238,487				\$ 2,519,360	\$ 8,569,033	\$ 2,733,994	\$ 8,925,134	
Consulting and Professional Services	\$ 22,516						\$ 22,516	\$ 62,200	\$ 16,380	\$ 57,200	
Information Technology	\$ 506,048		\$ 45,643				\$ 551,691	\$ 2,551,230	\$ 335,931	\$ 3,518,745	
Major Equipment	\$ 115,417		\$ 0				\$ 115,417	\$ 162,416	\$ 14,915	\$ 5,500	
Other Items of Expense	\$ 10,511						\$ 10,511	\$ 16,958	\$ 20,297	\$ 60,000	
	\$ 4,898,158	\$ 334,306	\$ 433,451				\$ 5,665,915	\$ 17,786,014	\$ 5,684,073	\$ 19,332,619	
Special Items of Expense											
Grand Jury		\$ 100					\$ 100	\$ 3,412		\$ 3,412	
Jury Costs	\$ 212,199	\$ 32,819					\$ 245,018	\$ 261,640	\$ 108,375	\$ 421,640	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs				\$ 0			\$ 0	\$ 4,031,000	\$ 1,515,500	\$ 3,031,000	
Internal Cost Recovery	\$ (152,943)	\$ 58,125	\$ 94,818				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 565		
	\$ 59,257	\$ 91,044	\$ 94,818	\$ 0			\$ 245,118	\$ 4,296,052	\$ 1,624,440	\$ 3,456,052	
Total Expenditures	\$ 43,260,266	\$ 1,626,229	\$ 1,222,814	\$ 0			\$ 46,109,309	\$ 105,585,241	\$ 51,051,480	\$ 100,745,882	
Excess (Deficit) of Revenues Over Expenditures	\$ 16,566,987	\$ 8,102,248	\$ (434,764)	\$ 172			\$ 24,234,644	\$ (400)	\$ 11,239,792	\$ (282,953)	
Operating Transfers In (Out)	\$ (39,307)	\$ 39,307					\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 184,960	\$ 375,747	\$ 0	\$ 0			\$ 560,707	\$ 560,707	\$ 533,449	\$ 533,449	
Ending Balance (Deficit)	\$ 16,712,641	\$ 8,517,302	\$ (434,764)	\$ 172			\$ 24,795,351	\$ 560,307	\$ 11,773,240	\$ 250,496	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended December										
Fiscal Year 2021/22									2020/21	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,641,854	\$ 422,304			\$ (22,947)		\$ 11,041,211	\$ 23,083,921	\$ 12,307,763	\$ 24,464,391
Traffic & Other Infractions	\$ 1,356,062	\$ 6,229					\$ 1,362,291	\$ 2,474,220	\$ 860,653	\$ 1,420,474
Other Criminal Cases	\$ 5,636,800	\$ 278,920			\$ 17,256		\$ 5,932,976	\$ 12,556,829	\$ 8,334,559	\$ 13,645,296
Civil	\$ 3,529,061	\$ 28,422			\$ (8,023)		\$ 3,549,460	\$ 7,000,936	\$ 3,588,207	\$ 6,994,198
Family & Children Services	\$ 5,030,189	\$ 497,844			\$ 37,254		\$ 5,565,287	\$ 12,216,929	\$ 5,157,022	\$ 12,034,264
Probate, Guardianship & Mental Health Services	\$ 1,428,178	\$ 2,301					\$ 1,430,478	\$ 2,912,869	\$ 1,382,372	\$ 2,619,994
Juvenile Dependency Services	\$ 88,845	\$ 161,539			\$ 850		\$ 251,234	\$ 608,960	\$ 424,856	\$ 787,314
Juvenile Delinquency Services	\$ 457,401	\$ 26,944					\$ 484,344	\$ 1,109,474	\$ 536,843	\$ 988,827
Other Court Operations	\$ 174,613	\$ 1,626					\$ 176,239	\$ 408,856	\$ 191,405	\$ 346,376
Court Interpreters	\$ 1,645,536	\$ 900,697					\$ 2,546,232	\$ 7,031,417	\$ 2,554,197	\$ 6,297,040
Jury Services	\$ 379,609	\$ 6,923	\$ 212,199				\$ 598,732	\$ 1,093,904	\$ 486,260	\$ 1,099,480
Security		\$ 103,199					\$ 103,199	\$ 643,425	\$ 77,399	\$ 204,775
Trial Court Operations Program	\$ 30,368,149	\$ 2,436,946	\$ 212,199		\$ 24,388		\$ 33,041,682	\$ 71,141,740	\$ 35,901,536	\$ 70,902,429
Enhanced Collections	\$ 391,864	\$ 239,015			\$ 0		\$ 630,879	\$ 1,428,267	\$ 941,995	\$ 2,825,508
Other Non-Court Operations	\$ 858,259	\$ 3,967	\$ 32,919				\$ 895,145	\$ 2,072,668	\$ 1,065,416	\$ 252,054
Non-Court Operations Program	\$ 1,250,122	\$ 242,983	\$ 32,919		\$ 0		\$ 1,526,024	\$ 3,500,935	\$ 2,007,410	\$ 3,077,562
Executive Office	\$ 1,834,640	\$ 348,424			\$ (24,388)		\$ 2,158,675	\$ 4,360,001	\$ 2,052,301	\$ 3,890,851
Fiscal Services	\$ 1,236,326	\$ 219,709					\$ 1,456,035	\$ 3,301,210	\$ 1,713,234	\$ 3,459,876
Human Resources	\$ 2,694,980	\$ 26,004					\$ 2,720,984	\$ 5,600,639	\$ 2,552,905	\$ 1,289,622
Business & Facilities Services	\$ 1,191,545	\$ 1,187,804		\$ 0			\$ 2,379,349	\$ 8,492,805	\$ 3,894,482	\$ 8,454,865
Information Technology	\$ 1,622,514	\$ 1,204,046					\$ 2,826,560	\$ 9,187,911	\$ 2,929,613	\$ 9,670,677
Court Administration Program	\$ 8,580,005	\$ 2,985,986		\$ 0	\$ (24,388)		\$ 11,541,603	\$ 30,942,566	\$ 13,142,534	\$ 26,765,891
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 40,198,276	\$ 5,665,915	\$ 245,118	\$ 0	\$ 0		\$ 46,109,309	\$ 105,585,241	\$ 51,051,480	\$ 100,745,882