

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

2/24/15  
Date

ALAMEDA

\_\_\_\_\_  
Court

FY14-15 Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

QUALIFICATION:

The signature and certification on the previous page represents a qualification of the underlying financial statements due to the AOC's methodology in which revenue and expense accruals are reflected and contained herein. It is our understanding that AOC authorities are aware and acknowledge the shortcoming in their accrual methodology.

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

ALAMEDA  
 Court

FY14-15 Q1  
 Fiscal Year and Ending Quarter

|                                    | Total Authorized<br>Court Positions<br>(FTEs) <sup>1</sup><br>(OPTIONAL) | Positions (FTEs) Filled |                |                |                |
|------------------------------------|--|-------------------------|----------------|----------------|----------------|
|                                    |  | 1st<br>Quarter          | 2nd<br>Quarter | 3rd<br>Quarter | 4th<br>Quarter |
| Court Employee Positions<br>(FTEs) | 703.10   | 666.13                  |                |                |                |

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

# QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY14-15 Q1

Fiscal Year and Ending Quarter

## FOOTNOTES

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Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

| For the month ended September               |                    |              |              |                 |              |                   |                                      |                                      |                 |
|---|--------------------|--------------|--------------|-----------------|--------------|-------------------|--------------------------------------|--------------------------------------|-----------------|
| Fiscal Year 2014/15                         |                    |              |              |                 |              |                   |                                      |                                      | 2013/14         |
|   | Governmental Funds |              |              |                 |              |                   | Total Funds<br>(Info. Purposes Only) | Total Funds<br>(Info. Purposes Only) |                 |
|   | Special Revenue    |              |              | Capital Project | Debt Service | Proprietary Funds |                                      |                                      | Fiduciary Funds |
|   | General            | Non-Grant    | Grant        |                 |              |                   |                                      |                                      |                 |
| <b>ASSETS</b>                               |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Operations                                  | \$ (1,088,859)     | \$ 1,213,750 | \$ (648,026) | \$ 128,957      |              |                   | \$ 3,325,960                         | \$ 2,931,803                         | \$ 1,992,705    |
| Payroll                                     | \$ (35,739)        | \$ 0         |              |                 |              |                   |                                      | \$ (35,739)                          | \$ (8,247)      |
| Jury  |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Revolving                                   | \$ 50,000          |              |              |                 |              |                   |                                      | \$ 50,000                            | \$ 50,000       |
| Other                                       | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Distribution                                |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Civil Filing Fees                           |                    |              |              |                 |              |                   | \$ 0                                 | \$ 0                                 | \$ 0            |
| Trust                                       |                    |              |              |                 |              |                   | \$ (20,009)                          | \$ (20,009)                          | \$ 0            |
| Credit Card                                 |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Cash on Hand                                | \$ 22,340          |              |              |                 |              |                   |                                      | \$ 22,340                            | \$ 22,340       |
| Cash with County                            | \$ 98,423          |              |              |                 |              |                   |                                      | \$ 98,423                            | \$ 39,452       |
| Cash Outside of the AOC                     |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Cash                                  | \$ (953,835)       | \$ 1,213,750 | \$ (648,026) | \$ 128,957      |              |                   | \$ 14,309,132                        | \$ 14,309,132                        | \$ 39,472,248   |
| Short Term Investment                       | \$ 34,519,227      |              |              |                 |              |                   | \$ 17,615,103                        | \$ 36,203,314                        | \$ 41,568,498   |
| Investment in Financial Institution         |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Investments                           | \$ 34,519,227      |              |              |                 |              |                   | \$ 1,684,087                         | \$ 36,203,314                        | \$ 30,542,599   |
| Accrued Revenue                             | \$ 0               | \$ 0         |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Accounts Receivable - General               | \$ 370,872         | \$ 267,576   | \$ 342,889   |                 |              |                   |                                      | \$ 981,337                           | \$ 1,432,898    |
| Dishonored Checks                           |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Due From Employee                           |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Civil Jury Fees                             |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Trust                                       |                    |              |              |                 |              |                   | \$ 51,937                            | \$ 51,937                            | \$ 51,937       |
| Due From Other Funds                        | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Due From Other Governments                  | \$ 0               | \$ 0         | \$ 0         |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Due From Other Courts                       |                    |              |              |                 |              |                   | \$ 0                                 | \$ 0                                 | \$ 0            |
| Due From State                              | \$ 0               | \$ 0         | \$ 0         |                 |              |                   |                                      | \$ 0                                 | \$ 543          |
| Trust Due To/From                           |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Distribution Due To/From                    |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Civil Filing Fee Due To/From                |                    |              |              |                 |              |                   |                                      |                                      |                 |
| General Due To/From                         | \$ 614             |              |              |                 |              |                   |                                      | \$ 614                               | \$ 339          |
| Total Receivables                           | \$ 371,486         | \$ 267,576   | \$ 342,889   |                 |              |                   | \$ 51,937                            | \$ 1,033,888                         | \$ 1,485,717    |
| Prepaid Expenses - General                  | \$ 0               |              | \$ 3,668     | \$ 0            |              |                   |                                      | \$ 3,668                             | \$ 0            |
| Salary and Travel Advances                  |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Counties                                    | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Total Prepaid Expenses                      | \$ 0               |              | \$ 3,668     | \$ 0            |              |                   |                                      | \$ 3,668                             | \$ 0            |
| Other Assets                                |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Other Assets                          |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Assets                                | \$ 33,936,879      | \$ 1,481,326 | \$ (301,469) | \$ 128,957      |              |                   | \$ 19,351,127                        | \$ 54,596,820                        | \$ 73,596,814   |
| <b>LIABILITIES AND FUND BALANCES</b>        |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Accrued Liabilities                         | \$ 0               | \$ 0         | \$ 0         |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Accounts Payable - General                  | \$ 521,385         | \$ 0         | \$ 2,650     |                 |              |                   | \$ 0                                 | \$ 524,035                           | \$ 91,690       |
| Due to Other Funds                          | \$ 0               | \$ 0         | \$ 0         |                 |              |                   | \$ 614                               | \$ 614                               | \$ 339          |
| Due to Other Courts                         |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Due to State                                | \$ 0               |              | \$ 0         |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| TC145 Liability                             |                    |              |              |                 |              |                   | \$ 1,955,974                         | \$ 1,955,974                         | \$ 2,187,560    |
| Due to Other Governments                    | \$ 3,114,716       |              | \$ 0         |                 |              |                   | \$ 8,617,696                         | \$ 11,732,412                        | \$ 14,910,008   |
| AB145 Due to Other Government Agency        |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Due to Other Public Agencies                |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Sales and Use Tax                           | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Interest                                    |                    |              |              |                 |              |                   | \$ 295                               | \$ 295                               | \$ 243          |
| Miscellaneous Accts. Pay. and Accrued Liab. | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Total Accounts Payable and Accrued Liab.    | \$ 3,636,101       | \$ 0         | \$ 2,650     |                 |              |                   | \$ 10,574,580                        | \$ 14,213,332                        | \$ 17,189,840   |
| Civil                                       |                    |              |              |                 |              |                   | \$ 3,020,751                         | \$ 3,020,751                         | \$ 1,918,363    |
| Criminal                                    |                    |              |              |                 |              |                   | \$ 0                                 | \$ 0                                 | \$ 0            |
| Unreconciled - Civil and Criminal           |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Trust Held Outside of the AOC               |                    |              |              |                 |              |                   | \$ 5,743,372                         | \$ 5,743,372                         | \$ 9,215,242    |
| Trust Interest Payable                      |                    |              |              |                 |              |                   | \$ 3,733                             | \$ 3,733                             | \$ 882          |
| Miscellaneous Trust                         |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Trust Deposits                        |                    |              |              |                 |              |                   | \$ 8,767,856                         | \$ 8,767,856                         | \$ 11,134,487   |
| Accrued Payroll                             | \$ 3,093,096       | \$ 43,057    |              |                 |              |                   |                                      | \$ 3,136,153                         | \$ 2,907,796    |
| Benefits Payable                            | \$ (21,485)        |              |              |                 |              |                   |                                      | \$ (21,485)                          | \$ (65,781)     |
| Deferred Compensation Payable               | \$ 0               |              |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Deductions Payable                          | \$ 141,370         |              |              |                 |              |                   |                                      | \$ 141,370                           | \$ 36,571       |
| Payroll Clearing                            | \$ 0               | \$ 0         |              |                 |              |                   |                                      | \$ 0                                 | \$ 0            |
| Total Payroll Liabilities                   | \$ 3,212,982       | \$ 43,057    |              |                 |              |                   |                                      | \$ 3,256,039                         | \$ 2,878,586    |
| Revenue Collected in Advance                | \$ 0               |              | \$ 53,480    |                 |              |                   |                                      | \$ 53,480                            | \$ 51,763       |
| Liabilities For Deposits                    | \$ 129,432         |              |              |                 |              |                   | \$ 8,691                             | \$ 138,123                           | \$ 128,745      |
| Jury Fees - Non-Interest                    |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Fees - Partial Payment & Overpayment        |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Uncleared Collections                       |                    |              |              |                 |              |                   |                                      |                                      | \$ 0            |
| Other Miscellaneous Liabilities             |                    |              |              |                 |              |                   |                                      |                                      |                 |
| Total Other Liabilities                     | \$ 129,432         |              | \$ 53,480    |                 |              |                   | \$ 8,691                             | \$ 191,603                           | \$ 180,508      |
| Total Liabilities                           | \$ 6,978,515       | \$ 43,057    | \$ 56,130    |                 |              |                   | \$ 19,351,127                        | \$ 26,428,829                        | \$ 31,383,421   |
| Total Fund Balance                          | \$ 26,958,363      | \$ 1,438,269 | \$ (357,598) | \$ 128,957      |              |                   |                                      | \$ 28,167,991                        | \$ 42,213,393   |
| Total Liabilities and Fund Balance          | \$ 33,936,879      | \$ 1,481,326 | \$ (301,469) | \$ 128,957      |              |                   | \$ 19,351,127                        | \$ 54,596,820                        | \$ 73,596,814   |

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

| For the month ended September                  |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
|--|----------------------|-------------------|-------------------|----------------------|--------------|-------------------|--------------------------------------|----------------------------|--------------------------------------|--------------------------|-----------------------|
| Fiscal Year 2014/15                            |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| 2013/14  |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
|  | Governmental Funds   |                   |                   |                      |              |                   | Total Funds<br>(Info. Purposes Only) | Current Budget<br>(Annual) | Total Funds<br>(Info. Purposes Only) | Final Budget<br>(Annual) |                       |
|  | General              | Special Revenue   |                   | Capital Projects     | Debt Service | Proprietary Funds |                                      |                            |                                      |                          | Fiduciary Funds       |
|  |                      | Non-Grant         | Grant             |                      |              |                   |                                      |                            |                                      |                          |                       |
| <b>REVENUES</b>                                |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| State Financing Sources                        |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Trial Court Trust Fund                         | \$ 37,973,982        | \$ 85,228         |                   |                      |              |                   |                                      | \$ 38,059,210              | \$ 78,099,925                        | \$ 31,614,673            | \$ 77,342,712         |
| Improvement and Modernization Fund             | \$ 9,385             |                   |                   |                      |              |                   |                                      | \$ 9,385                   | \$ 885,601                           | \$ 101,133               | \$ 942,233            |
| Judges' Compensation (45.25)                   | \$ 178,125           |                   |                   |                      |              |                   |                                      | \$ 178,125                 | \$ 712,000                           | \$ 178,125               | \$ 670,780            |
| Court Interpreter (45.45)                      | \$ 817,342           |                   |                   |                      |              |                   |                                      | \$ 817,342                 | \$ 3,784,000                         | \$ 769,593               | \$ 3,595,000          |
| Civil Coordination Reimbursement (45.55)       |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| MOU Reimbursements (45.10 and General)         | \$ 46,766            |                   |                   |                      |              |                   |                                      | \$ 46,766                  | \$ 855,111                           | \$ 90,483                | \$ 945,784            |
| Other Miscellaneous                            |                      |                   |                   |                      |              |                   |                                      |                            | \$ 3,102,046                         |                          | \$ 3,102,046          |
|  | \$ 39,025,600        | \$ 85,228         |                   |                      |              |                   |                                      | \$ 39,110,828              | \$ 87,438,683                        | \$ 32,754,007            | \$ 86,598,555         |
| Grants   |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| AB 1058 Commissioner/Facilitator               |                      |                   | \$ 47,229         |                      |              |                   |                                      | \$ 47,229                  | \$ 1,843,471                         | \$ 211,851               | \$ 1,843,471          |
| Other AOC Grants                               |                      |                   | \$ (25,087)       |                      |              |                   |                                      | \$ (25,087)                | \$ 856,365                           | \$ 22,218                | \$ 557,525            |
| Non-AOC Grants                                 |                      |                   | \$ 47,478         |                      |              |                   |                                      | \$ 47,478                  | \$ 1,557,408                         | \$ 103,128               | \$ 1,246,277          |
|  |                      |                   | \$ 69,621         |                      |              |                   |                                      | \$ 69,621                  | \$ 4,257,244                         | \$ 337,197               | \$ 3,647,273          |
| Other Financing Sources                        |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Interest Income                                | \$ 807               | \$ 18             |                   | \$ 1                 |              |                   |                                      | \$ 826                     | \$ 58,000                            | \$ 571                   | \$ 29,000             |
| Investment Income                              |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Donations                                      |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Local Fees                                     | \$ 423,046           | \$ 47,326         |                   |                      |              |                   |                                      | \$ 470,372                 | \$ 2,563,765                         | \$ 440,589               | \$ 1,958,000          |
| Non-Fee Revenues                               |                      |                   |                   |                      |              |                   |                                      |                            | \$ 149,000                           |                          | \$ 210,000            |
| Enhanced Collections                           |                      | \$ 458,521        |                   |                      |              |                   |                                      | \$ 458,521                 | \$ 2,682,171                         | \$ 738,030               |                       |
| Escheatment                                    |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Prior Year Revenue                             |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| County Program - Restricted                    |                      | \$ 12             |                   |                      |              |                   |                                      | \$ 12                      | \$ 1,010,013                         | \$ 0                     | \$ 982,000            |
| Reimbursement Other                            | \$ 36,437            |                   |                   |                      |              |                   |                                      | \$ 36,437                  | \$ 210,000                           | \$ 29,900                | \$ 110,000            |
| Sale of Fixed Assets                           |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Other Miscellaneous                            | \$ (14,526)          |                   |                   |                      |              |                   |                                      | \$ (14,526)                | \$ 305,000                           | \$ (682)                 | \$ 423,000            |
|  | \$ 445,764           | \$ 505,877        |                   | \$ 1                 |              |                   |                                      | \$ 951,643                 | \$ 6,977,949                         | \$ 1,208,407             | \$ 3,712,000          |
| <b>Total Revenues</b>                          | <b>\$ 39,471,364</b> | <b>\$ 591,105</b> | <b>\$ 69,621</b>  | <b>\$ 1</b>          |              |                   |                                      | <b>\$ 40,132,092</b>       | <b>\$ 98,673,876</b>                 | <b>\$ 34,299,612</b>     | <b>\$ 93,957,828</b>  |
| <b>EXPENDITURES</b>                            |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Personal Services                              |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Salaries - Permanent                           | \$ 11,468,735        | \$ 178,965        | \$ 154,679        |                      |              |                   |                                      | \$ 11,802,379              | \$ 49,535,547                        | \$ 11,925,734            | \$ 54,149,020         |
| Temp Help                                      | \$ 284,264           | \$ 1,122          | \$ 1,793          |                      |              |                   |                                      | \$ 287,179                 | \$ 816,285                           | \$ 342,672               | \$ 2,196,465          |
| Overtime                                       | \$ 90,648            | \$ 903            | \$ 973            |                      |              |                   |                                      | \$ 92,524                  | \$ 231,000                           | \$ 14,470                | \$ 135,674            |
| Staff Benefits                                 | \$ 5,085,756         | \$ 87,214         | \$ 40,188         |                      |              |                   |                                      | \$ 5,213,158               | \$ 27,788,266                        | \$ 5,204,820             | \$ 28,892,193         |
|  | \$ 16,929,404        | \$ 268,204        | \$ 197,633        |                      |              |                   |                                      | \$ 17,395,241              | \$ 78,371,098                        | \$ 17,487,695            | \$ 85,373,352         |
| Operating Expenses and Equipment               |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| General Expense                                | \$ 340,727           |                   | \$ 6,290          |                      |              |                   |                                      | \$ 347,017                 | \$ 2,954,869                         | \$ 304,414               | \$ 2,403,239          |
| Printing                                       | \$ 53,519            |                   | \$ 358            |                      |              |                   |                                      | \$ 53,877                  | \$ 324,500                           | \$ 62,122                | \$ 330,000            |
| Telecommunications                             | \$ 95,226            |                   | \$ 49             |                      |              |                   |                                      | \$ 95,275                  | \$ 454,000                           | \$ 56,024                | \$ 455,000            |
| Postage  | \$ 208,276           |                   |                   |                      |              |                   |                                      | \$ 208,276                 | \$ 728,100                           | \$ 54,364                | \$ 395,000            |
| Insurance                                      |                      |                   |                   |                      |              |                   |                                      |                            | \$ 31,500                            | \$ 600                   | \$ 40,000             |
| In-State Travel                                | \$ 18,922            |                   | \$ 10,120         |                      |              |                   |                                      | \$ 29,042                  | \$ 95,463                            | \$ 13,497                | \$ 79,940             |
| Out-of-State Travel                            | \$ 81                |                   |                   |                      |              |                   |                                      | \$ 81                      |                                      | \$ 127                   | \$ 16,089             |
| Training                                       | \$ 11,516            |                   | \$ (1,256)        |                      |              |                   |                                      | \$ 10,260                  | \$ 459,425                           | \$ 20,430                | \$ 261,950            |
| Security Services                              | \$ 361               |                   |                   |                      |              |                   |                                      | \$ 361                     | \$ 69,000                            | \$ 6,019                 | \$ 108,000            |
| Facility Operations                            | \$ 429,340           |                   | \$ (358)          |                      |              |                   |                                      | \$ 428,983                 | \$ 3,572,156                         | \$ 436,457               | \$ 2,081,000          |
| Utilities                                      |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Contracted Services                            | \$ 821,510           | \$ 479,761        | \$ 156,316        |                      |              |                   |                                      | \$ 1,457,587               | \$ 9,728,279                         | \$ 1,577,835             | \$ 4,884,785          |
| Consulting and Professional Services           | \$ 244,419           |                   |                   |                      |              |                   |                                      | \$ 244,419                 | \$ 1,650,000                         | \$ 34,026                | \$ 3,563,500          |
| Information Technology                         | \$ 504,950           |                   |                   |                      |              |                   |                                      | \$ 504,950                 | \$ 2,563,690                         | \$ 510,972               | \$ 1,894,000          |
| Major Equipment                                | \$ 14,537            |                   |                   |                      |              |                   |                                      | \$ 14,537                  | \$ 1,012,082                         | \$ 5,958                 | \$ 75,000             |
| Other Items of Expense                         | \$ 3,045             |                   | \$ 2,091          |                      |              |                   |                                      | \$ 5,136                   | \$ 78,644                            | \$ (63)                  | \$ 45,000             |
|  | \$ 2,746,430         | \$ 479,761        | \$ 173,610        |                      |              |                   |                                      | \$ 3,399,801               | \$ 23,721,708                        | \$ 3,082,782             | \$ 16,632,503         |
| Special Items of Expense                       |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Grand Jury                                     |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Jury Costs                                     | \$ 138,787           |                   |                   |                      |              |                   |                                      | \$ 138,787                 | \$ 811,500                           | \$ 181,094               | \$ 735,000            |
| Judgements, Settlements and Claims             |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Debt Service                                   |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Other  |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Capital Costs                                  |                      |                   |                   | \$ 20,800,000        |              |                   |                                      | \$ 20,800,000              | \$ 20,818,000                        | \$ 0                     | \$ 0                  |
| Internal Cost Recovery                         | \$ (49,523)          | \$ 19,747         | \$ 29,777         |                      |              |                   |                                      | \$ 0                       | \$ 0                                 | \$ 0                     | \$ 0                  |
| Prior Year Expense Adjustment                  |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
|  | \$ 89,264            | \$ 19,747         | \$ 29,777         | \$ 20,800,000        |              |                   |                                      | \$ 20,938,788              | \$ 21,629,500                        | \$ 181,094               | \$ 735,000            |
| <b>Total Expenditures</b>                      | <b>\$ 19,765,097</b> | <b>\$ 767,712</b> | <b>\$ 401,020</b> | <b>\$ 20,800,000</b> |              |                   |                                      | <b>\$ 41,733,630</b>       | <b>\$ 125,722,306</b>                | <b>\$ 20,751,571</b>     | <b>\$ 102,740,855</b> |
| Excess (Deficit) of Revenues Over Expenditures | \$ 19,706,267        | \$ (176,607)      | \$ (331,399)      | \$ (20,799,999)      |              |                   |                                      | \$ (1,601,738)             | \$ (25,048,430)                      | \$ 13,548,040            | \$ (8,783,027)        |
| Operating Transfers In (Out)                   | \$ 30,999            | \$ (4,800)        | \$ (26,199)       |                      |              |                   |                                      | \$ 0                       | \$ 0                                 | \$ 0                     | \$ 0                  |
| Fund Balance (Deficit)                         |                      |                   |                   |                      |              |                   |                                      |                            |                                      |                          |                       |
| Beginning Balance (Deficit)                    | \$ 7,221,097         | \$ 1,619,676      | \$ 0              | \$ 20,928,956        |              |                   |                                      | \$ 29,769,729              | \$ 29,769,729                        | \$ 28,665,352            | \$ 28,665,352         |
| Ending Balance (Deficit)                       | \$ 26,958,363        | \$ 1,438,269      | \$ (357,598)      | \$ 128,957           |              |                   |                                      | \$ 28,167,991              | \$ 4,721,299                         | \$ 42,213,363            | \$ 19,882,325         |

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

|   | For the month ended September |                                  |                          |               |                        |                               |                      |                         |                      |                       |
|---|-------------------------------|----------------------------------|--------------------------|---------------|------------------------|-------------------------------|----------------------|-------------------------|----------------------|-----------------------|
|   | Fiscal Year 2014/15           |                                  |                          |               |                        |                               |                      | 2013/14                 |                      |                       |
|   | Personal Services             | Operating Expenses and Equipment | Special Items of Expense | Capital Costs | Internal Cost Recovery | Prior Year Expense Adjustment | Total Actual Expense | Current Budget (Annual) | Total Actual Expense | Final Budget (Annual) |
| <b>PROGRAM EXPENDITURES:</b>                        |                               |                                  |                          |               |                        |                               |                      |                         |                      |                       |
| Judges & Courtroom Support                          | \$ 6,228,677                  | \$ 434,304                       |                          |               |                        |                               | \$ 6,662,981         | \$ 32,171,756           | \$ 6,575,196         | \$ 33,804,544         |
| Traffic & Other Infractions                         | \$ 1,140,368                  | \$ 11,769                        |                          |               |                        |                               | \$ 1,152,137         | \$ 5,070,930            | \$ 1,016,856         | \$ 6,448,860          |
| Other Criminal Cases                                | \$ 1,344,435                  | \$ 335,331                       |                          |               |                        |                               | \$ 1,679,766         | \$ 8,530,490            | \$ 1,632,066         | \$ 8,963,185          |
| Civil   | \$ 1,302,042                  | \$ 427,094                       |                          |               |                        |                               | \$ 1,729,136         | \$ 9,718,374            | \$ 1,242,431         | \$ 6,286,522          |
| Family & Children Services                          | \$ 1,823,827                  | \$ 20,051                        |                          |               |                        |                               | \$ 1,843,878         | \$ 7,498,691            | \$ 1,787,717         | \$ 8,325,579          |
| Probate, Guardianship & Mental Health Services      | \$ 462,633                    | \$ (6,932)                       |                          |               |                        |                               | \$ 455,701           | \$ 2,409,733            | \$ 445,951           | \$ 2,400,807          |
| Juvenile Dependency Services                        | \$ 66,019                     |                                  |                          |               |                        |                               | \$ 66,019            |                         | \$ 62,065            | \$ 260,952            |
| Juvenile Delinquency Services                       | \$ 296,688                    | \$ 58,290                        |                          |               |                        |                               | \$ 354,978           | \$ 3,055,951            | \$ 345,090           | \$ 1,549,534          |
| Other Court Operations                              | \$ 687,841                    | \$ 33,908                        |                          |               |                        |                               | \$ 721,749           | \$ 1,918,510            | \$ 678,242           | \$ 2,232,844          |
| Court Interpreters                                  | \$ 817,267                    | \$ 103,521                       |                          |               |                        |                               | \$ 920,788           | \$ 3,787,571            | \$ 923,852           | \$ 4,036,804          |
| Jury Services                                       | \$ 147,820                    | \$ 130,896                       | \$ 138,787               |               |                        |                               | \$ 417,503           | \$ 2,131,667            | \$ 507,417           | \$ 1,711,378          |
| Security  | \$ 603,380                    | \$ 110                           |                          |               |                        |                               | \$ 603,490           | \$ 276,449              | \$ 670,393           | \$ 3,485,735          |
| Trial Court Operations Program                      | \$ 14,920,995                 | \$ 1,548,344                     | \$ 138,787               |               |                        |                               | \$ 16,608,126        | \$ 76,570,122           | \$ 15,887,275        | \$ 79,506,744         |
| Enhanced Collections                                | \$ 48,193                     | \$ 380,323                       |                          |               | \$ 19,747              |                               | \$ 448,263           | \$ 2,682,170            | \$ 637,993           |                       |
| Other Non-Court Operations                          | \$ 236,480                    | \$ 0                             |                          |               |                        |                               | \$ 236,480           | \$ 980,013              | \$ 228,836           | \$ 962,000            |
| Non-Court Operations Program                        | \$ 284,674                    | \$ 380,323                       |                          |               | \$ 19,747              |                               | \$ 684,743           | \$ 3,662,183            | \$ 866,829           | \$ 962,000            |
| Executive Office                                    | \$ 265,479                    | \$ 6,192                         |                          |               | \$ (3,554)             |                               | \$ 268,116           | \$ 1,699,998            | \$ 436,969           | \$ 1,834,095          |
| Fiscal Services                                     | \$ 536,856                    | \$ 186,056                       |                          |               | \$ (7,109)             |                               | \$ 715,803           | \$ 4,545,384            | \$ 1,036,775         | \$ 3,722,936          |
| Human Resources                                     | \$ 328,082                    | \$ 86,974                        |                          |               | \$ (2,172)             |                               | \$ 412,884           | \$ 2,103,931            | \$ 363,232           | \$ 2,016,400          |
| Business & Facilities Services                      | \$ 92,695                     | \$ 547,076                       |                          | \$ 20,800,000 |                        |                               | \$ 21,439,771        | \$ 25,304,232           | \$ 735,471           | \$ 5,030,805          |
| Information Technology                              | \$ 966,460                    | \$ 644,837                       |                          |               | \$ (6,911)             |                               | \$ 1,604,385         | \$ 9,836,456            | \$ 1,425,020         | \$ 9,667,875          |
| Court Administration Program                        | \$ 2,189,572                  | \$ 1,471,135                     |                          | \$ 20,800,000 | \$ (19,747)            |                               | \$ 24,440,960        | \$ 43,490,001           | \$ 3,997,467         | \$ 22,272,111         |
| Expenditures Not Distributed or Posted to a Program |                               |                                  |                          |               |                        |                               |                      |                         |                      |                       |
| Prior Year Adjustments Not Posted to a Program      |                               |                                  |                          |               |                        |                               |                      |                         |                      |                       |
| <b>Total</b>  | \$ 17,395,241                 | \$ 3,399,801                     | \$ 138,787               | \$ 20,800,000 | \$ 0                   |                               | \$ 41,733,830        | \$ 123,722,306          | \$ 20,751,571        | \$ 102,740,855        |