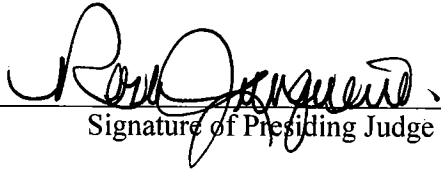


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

08/16/2012

\_\_\_\_\_  
Date

San Joaquin

\_\_\_\_\_  
Court

FY2011-2012, Qtr 4

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

San Joaquin

Court

FY2011-2012, Qtr 4

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

San Joaquin

Court

FY2011-2012, Qtr 4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	365.05	318.95	277	275.9	265.42

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
		General	Non-Grant						
<b>ASSETS</b>									
Operations	\$ (2,690,103)	\$ (157,011)	\$ 163,209	\$ 2,608,039			\$ 2,451,674	\$ 2,375,808	\$ (36,177)
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ (24,658)	\$ (24,658)	\$ 36,314
Civil Filing Fees							\$ 5,960	\$ 5,960	\$ 0
Trust	\$ 0						\$ (40,336)	\$ (40,336)	\$ 2,006,154
Credit Card									
Cash on Hand	\$ 9,460							\$ 9,460	\$ 9,460
Cash with County	\$ (1,005,370)	\$ 337,940	\$ (163,209)					\$ (830,640)	\$ 235,937
Cash Outside of the AOC							\$ 6,183	\$ 6,183	\$ 5,575
Total Cash	\$ (3,686,013)	\$ 180,928	\$ 0	\$ 2,608,039			\$ 2,398,822	\$ 1,501,777	\$ 2,257,263
Short Term Investment	\$ 5,082,287						\$ 1,931,081	\$ 7,013,368	\$ 8,331,424
Investment in Financial Institution									
Total Investments	\$ 5,082,287						\$ 1,931,081	\$ 7,013,368	\$ 8,331,424
Accrued Revenue	\$ 54,948	\$ 42	\$ 0	\$ 1,542			\$ 0	\$ 56,531	\$ 34,245
Accounts Receivable - General	\$ 30,846	\$ 0	\$ 161,877					\$ 192,723	\$ 531,764
Dishonored Checks									
Due From Employee									\$ 0
Civil Jury Fees	\$ 1,024							\$ 1,024	\$ 33,373
Trust									
Due From Other Funds	\$ 523,557							\$ 523,557	\$ 818,704
Due From Other Governments	\$ 11,400	\$ 30,086	\$ 257,668					\$ 299,154	\$ 475,403
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 431,958		\$ 154,628					\$ 586,586	\$ 677,706
Trust Due To/From							\$ 3	\$ 3	
Distribution Due To/From									
Civil Filing Fee Due To/From							\$ 0	\$ 0	
General Due To/From	\$ 1,010							\$ 1,010	\$ 1,345
Total Receivables	\$ 1,054,743	\$ 30,127	\$ 574,173	\$ 1,542			\$ 3	\$ 1,660,588	\$ 2,572,540
Prepaid Expenses - General	\$ 15,407	\$ 1,950						\$ 17,357	\$ 18,284
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
Total Prepaid Expenses	\$ 15,407	\$ 1,950						\$ 17,357	\$ 18,284
Other Assets									
Total Other Assets									
Total Assets	\$ 2,466,424	\$ 213,005	\$ 574,173	\$ 2,609,581			\$ 4,329,906	\$ 10,193,089	\$ 13,179,510
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 173,978	\$ 17,689	\$ 28,483					\$ 220,150	\$ 301,288
Accounts Payable - General	\$ 1,295	\$ 0	\$ 0				\$ 17,476	\$ 18,771	\$ 70,467
Due to Other Funds	\$ 3	\$ 1,689	\$ 521,868	\$ 0			\$ 1,010	\$ 524,571	\$ 820,049
Due to Other Courts									\$ 0
Due to State	\$ 133,781							\$ 133,781	\$ 496,984
TC145 Liability							\$ 1,539,730	\$ 1,539,730	\$ 1,565,656
Due to Other Governments	\$ (314)	\$ 0	\$ 23,821	\$ 2,609,581				\$ 2,633,089	\$ 5,341,443
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 197	\$ 197	\$ 94
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 308,743	\$ 19,378	\$ 574,173	\$ 2,609,581			\$ 1,558,413	\$ 5,070,289	\$ 8,595,980
Civil							\$ 2,094,253	\$ 2,094,253	\$ 1,186,150
Criminal							\$ 480,903	\$ 480,903	\$ 863,398
Unreconciled - Civil and Criminal							\$ 10,447	\$ 10,447	\$ 10,447
Trust Held Outside of the AOC							\$ 6,183	\$ 6,183	\$ 5,575
Trust Interest Payable							\$ 20,360	\$ 20,360	\$ 165,973
Miscellaneous Trust									
Total Trust Deposits							\$ 2,612,146	\$ 2,612,146	\$ 2,231,543
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 870,372
Benefits Payable	\$ 71,187							\$ 71,187	\$ 4,219
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 71,187	\$ 0	\$ 0					\$ 71,187	\$ 874,591
Revenue Collected in Advance	\$ 3,726							\$ 3,726	\$ 4,625
Liabilities For Deposits	\$ 37,184	\$ 25					\$ 12,083	\$ 49,292	\$ 47,876
Jury Fees - Non-Interest							\$ 126,914	\$ 126,914	\$ 170,795
Fees - Partial Payment & Overpayment							\$ 20,350	\$ 20,350	\$ 20,899
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 916,000							\$ 916,000	\$ 0
Total Other Liabilities	\$ 956,910	\$ 25					\$ 159,347	\$ 1,116,281	\$ 244,196
Total Liabilities	\$ 1,336,840	\$ 19,403	\$ 574,173	\$ 2,609,581			\$ 4,329,906	\$ 8,869,902	\$ 11,946,310
Total Fund Balance	\$ 1,129,585	\$ 193,603	\$ 0	\$ 0				\$ 1,323,187	\$ 1,233,200
Total Liabilities and Fund Balance	\$ 2,466,424	\$ 213,005	\$ 574,173	\$ 2,609,581			\$ 4,329,906	\$ 10,193,089	\$ 13,179,510

Superior Court of California, County of San Joaquin  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 27,806,164						\$ 27,806,164	\$ 28,808,235	\$ 38,248,019	\$ 38,656,902	
Trial Court Improvement Fund	\$ 1,172,977						\$ 1,172,977	\$ 103,804	\$ 76,695	\$ 145,888	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 137,750						\$ 137,750	\$ 276,000	\$ 240,936	\$ 304,000	
Court Interpreter (45.45)	\$ 1,249,581						\$ 1,249,581	\$ 1,342,831	\$ 1,318,458	\$ 1,573,737	
Civil Coordination Reimbursement (45.55)	\$ 554						\$ 554	\$ 30,927	\$ 30,927	\$ 15,372	
MOU Reimbursements (45.10 and General)	\$ 733,280						\$ 733,280	\$ 770,548	\$ 827,660	\$ 777,525	
Other Miscellaneous	\$ 813,169						\$ 813,169				
	\$ 31,913,475						\$ 31,913,475	\$ 31,332,345	\$ 40,742,695	\$ 41,473,424	
Grants											
AB 1058 Commissioner/Facilitator			\$ 899,605				\$ 899,605	\$ 931,252	\$ 945,191	\$ 970,663	
Other AOC Grants	\$ 4,217		\$ 77,516				\$ 81,733	\$ 82,000	\$ 128,332	\$ 130,657	
Non-AOC Grants			\$ 1,475,472				\$ 1,475,472	\$ 1,531,747	\$ 1,041,148	\$ 1,071,363	
	\$ 4,217		\$ 2,452,593				\$ 2,456,810	\$ 2,544,999	\$ 2,114,670	\$ 2,172,683	
Other Financing Sources											
Interest Income	\$ 161,669	\$ 189		\$ 0			\$ 161,858	\$ 34,698	\$ 34,697	\$ 41,798	
Investment Income									\$ 500		
Donations										\$ 500	
Local Fees	\$ 216,056						\$ 216,056	\$ 261,823	\$ 261,706	\$ 264,086	
Non-Fee Revenues	\$ 2,044						\$ 2,044	\$ 47,376	\$ 89,390	\$ 51,732	
Enhanced Collections	\$ 140,419						\$ 140,419	\$ 179,033	\$ 160,376	\$ 162,918	
Escheatment										\$ 29,409	
Prior Year Revenue	\$ 16,732	\$ (1,647)	\$ (1,265)				\$ 13,820		\$ (139,901)		
County Program - Restricted		\$ 550,850					\$ 550,850	\$ 577,807	\$ 652,934	\$ 628,652	
Reimbursement Other	\$ 73,378						\$ 73,378	\$ 61,000	\$ 59,904	\$ 60,100	
Sale of Fixed Assets											
Other Miscellaneous	\$ 22,675						\$ 22,675	\$ 3,937	\$ 2,392	\$ 1,556	
	\$ 632,974	\$ 549,392	\$ (1,265)	\$ 0			\$ 1,181,100	\$ 1,165,674	\$ 1,151,406	\$ 1,210,842	
<b>Total Revenues</b>	<b>\$ 32,550,666</b>	<b>\$ 549,392</b>	<b>\$ 2,451,328</b>	<b>\$ 0</b>			<b>\$ 35,551,386</b>	<b>\$ 35,043,018</b>	<b>\$ 44,008,772</b>	<b>\$ 44,856,949</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 16,275,433	\$ 193,084	\$ 890,262				\$ 17,358,778	\$ 15,820,143	\$ 19,056,303	\$ 18,487,139	
Temp Help	\$ 285,295	\$ 9,475	\$ 25,268				\$ 320,038	\$ 76,229	\$ 157,928	\$ 295,827	
Overtime	\$ 137						\$ 137		\$ 1,484		
Staff Benefits	\$ 9,405,113	\$ 85,419	\$ 418,200				\$ 9,908,732	\$ 12,332,526	\$ 10,301,205	\$ 11,363,450	
	\$ 25,965,978	\$ 287,978	\$ 1,333,730				\$ 27,587,686	\$ 28,228,898	\$ 29,516,920	\$ 30,146,416	
Operating Expenses and Equipment											
General Expense	\$ 620,412	\$ 15,323	\$ 38,722				\$ 674,457	\$ 743,446	\$ 704,135	\$ 797,226	
Printing	\$ 71,506	\$ 1,506	\$ 2,750				\$ 75,761	\$ 171,325	\$ 180,534	\$ 215,750	
Telecommunications	\$ 314,503	\$ 74	\$ 4,174				\$ 318,752	\$ 346,580	\$ 358,285	\$ 389,739	
Postage	\$ 208,366	\$ 95	\$ 995				\$ 209,456	\$ 228,640	\$ 228,241	\$ 252,767	
Insurance	\$ 9,544						\$ 9,544	\$ 11,700	\$ 9,919	\$ 9,919	
In-State Travel	\$ 44,645	\$ 3,172	\$ 10,578				\$ 58,395	\$ 24,488	\$ 32,841	\$ 49,382	
Out-of-State Travel	\$ 0						\$ 0	\$ 80			
Training	\$ 1,425	\$ 110	\$ 485				\$ 2,020	\$ 7,320	\$ 8,080	\$ 5,808	
Security Services	\$ 572,427		\$ 161,037				\$ 733,463	\$ 759,277	\$ 9,686,134	\$ 9,966,008	
Facility Operations	\$ 430,406		\$ 6,914				\$ 437,320	\$ 451,140	\$ 451,894	\$ 494,233	
Utilities	\$ 32,089						\$ 32,089			\$ 40,000	
Contracted Services	\$ 1,886,017	\$ 176,742	\$ 692,017				\$ 2,754,777	\$ 3,086,254	\$ 2,726,926	\$ 3,057,776	
Consulting and Professional Services	\$ 1,782,952	\$ 612	\$ 115,357				\$ 1,898,922	\$ 1,889,785	\$ 1,741,421	\$ 1,762,649	
Information Technology	\$ 226,603	\$ 500	\$ 5,883				\$ 232,986	\$ 195,058	\$ 242,536	\$ 375,036	
Major Equipment	\$ 47,962						\$ 47,962	\$ 35,000		\$ 40,000	
Other Items of Expense	\$ 20,187						\$ 20,187	\$ 22,775	\$ 22,756	\$ 20,000	
	\$ 6,269,043	\$ 198,134	\$ 1,038,913				\$ 7,506,089	\$ 7,972,868	\$ 16,393,701	\$ 17,476,293	
Special Items of Expense											
Grand Jury	\$ 0	\$ 65,224					\$ 65,224	\$ 164,617	\$ 155,576	\$ 125,000	
Jury Costs	\$ 313,643						\$ 313,643	\$ 408,000	\$ 406,515	\$ 396,100	
Judgements, Settlements and Claims									\$ 4,318	\$ 4,000	
Debt Service											
Other	\$ 0						\$ 0		\$ 0		
Capital Costs											
Internal Cost Recovery	\$ (86,633)		\$ 86,633				\$ 0	\$ 0	\$ 0	\$ (1)	
Prior Year Expense Adjustment	\$ (14,196)		\$ 2,952				\$ (11,244)		\$ 5,430		
	\$ 212,814	\$ 65,224	\$ 89,586				\$ 367,623	\$ 572,617	\$ 571,839	\$ 525,099	
<b>Total Expenditures</b>	<b>\$ 32,447,835</b>	<b>\$ 551,336</b>	<b>\$ 2,462,228</b>				<b>\$ 35,461,399</b>	<b>\$ 36,774,383</b>	<b>\$ 46,482,460</b>	<b>\$ 48,147,808</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 102,832	\$ (1,944)	\$ (10,900)	\$ 0			\$ 89,987	\$ (1,731,365)	\$ (2,473,688)	\$ (3,290,859)	
Operating Transfers In (Out)	\$ (10,900)		\$ 10,900				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,037,653	\$ 195,547	\$ 0	\$ 0			\$ 1,233,200	\$ 1,233,200	\$ 3,706,888	\$ 3,706,888	
Ending Balance (Deficit)	\$ 1,129,585	\$ 193,603	\$ 0	\$ 0			\$ 1,323,187	\$ (498,165)	\$ 1,233,200	\$ 416,029	

Superior Court of California, County of San Joaquin  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 10,135,886	\$ 752,448	\$ 0			\$ 0	\$ 10,888,334	\$ 11,357,318	\$ 11,115,807	\$ 11,406,554
Traffic & Other Infractions	\$ 1,981,946	\$ 267,690				\$ 1,741	\$ 2,251,377	\$ 2,906,864	\$ 2,968,917	\$ 3,112,113
Other Criminal Cases	\$ 3,745,223	\$ 980,364				\$ 4,217	\$ 4,729,804	\$ 4,710,756	\$ 4,608,204	\$ 4,744,451
Civil	\$ 2,539,322	\$ 26,949					\$ 2,566,272	\$ 2,206,577	\$ 2,800,490	\$ 2,779,490
Family & Children Services	\$ 2,481,002	\$ 84,215			\$ 86,633	\$ 0	\$ 2,651,851	\$ 2,525,389	\$ 2,746,485	\$ 2,630,376
Probate, Guardianship & Mental Health Services	\$ 783,411	\$ 4,052					\$ 787,463	\$ 841,052	\$ 818,545	\$ 866,639
Juvenile Dependency Services	\$ 236,151	\$ 50,249					\$ 286,401	\$ 294,499	\$ 397,400	\$ 448,150
Juvenile Delinquency Services	\$ 429,131	\$ 51,680					\$ 480,811	\$ 379,767	\$ 492,406	\$ 558,890
Other Court Operations	\$ 975,514	\$ 666,390				\$ (104)	\$ 1,641,799	\$ 1,963,089	\$ 1,999,625	\$ 2,187,668
Court Interpreters	\$ 994,537	\$ 333,914					\$ 1,328,451	\$ 1,444,457	\$ 1,500,901	\$ 1,718,188
Jury Services	\$ 220,329	\$ 183,388	\$ 313,643				\$ 717,360	\$ 820,115	\$ 840,507	\$ 855,330
Security		\$ 806,142				\$ (4,223)	\$ 801,919	\$ 831,469	\$ 9,745,112	\$ 10,036,228
Trial Court Operations Program	\$ 24,522,453	\$ 4,207,480	\$ 313,643		\$ 86,633	\$ 1,632	\$ 29,131,841	\$ 30,281,352	\$ 40,034,398	\$ 41,344,077
Enhanced Collections	\$ 71,185	\$ 94,139					\$ 165,324	\$ 179,033	\$ 144,156	\$ 161,625
Other Non-Court Operations	\$ 39,356	\$ 176,500	\$ 65,224				\$ 281,080	\$ 377,468	\$ 377,468	\$ 339,443
Non-Court Operations Program	\$ 110,542	\$ 270,639	\$ 65,224				\$ 446,404	\$ 556,501	\$ 521,623	\$ 501,068
Executive Office	\$ 793,077	\$ 4,120			\$ (20,579)	\$ (1,189)	\$ 775,430	\$ 799,497	\$ 782,858	\$ 778,771
Fiscal Services	\$ 776,418	\$ 265,194			\$ (23,085)		\$ 1,018,528	\$ 1,063,827	\$ 1,008,047	\$ 1,031,223
Human Resources	\$ 545,865	\$ 20,802			\$ (16,039)		\$ 550,629	\$ 567,156	\$ 648,984	\$ 647,153
Business & Facilities Services	\$ 339,586	\$ 539,475			\$ (7,812)	\$ (5,930)	\$ 865,319	\$ 848,262	\$ 943,794	\$ 1,118,008
Information Technology	\$ 499,745	\$ 2,198,379			\$ (19,120)	\$ (5,756)	\$ 2,673,248	\$ 2,657,788	\$ 2,542,755	\$ 2,727,508
Court Administration Program	\$ 2,954,692	\$ 3,027,970			\$ (86,633)	\$ (12,875)	\$ 5,883,153	\$ 5,936,530	\$ 5,926,438	\$ 6,302,663
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 27,587,686	\$ 7,506,089	\$ 378,867		\$ 0	\$ (11,244)	\$ 35,461,399	\$ 36,774,383	\$ 46,482,460	\$ 48,147,808

## Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Joaquin  
as of June 30, 2012

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	395,301	-	-	-	-	-	395,301
Committed	360,001	-	-	-	-	-	-	360,001
Assigned	567,885	-	-	-	-	-	-	567,885
Unassigned	-	-	-	-	-	N/A	N/A	-
<b>Total</b>	<b>\$ 927,886</b>	<b>\$ 395,301</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,323,187</b>