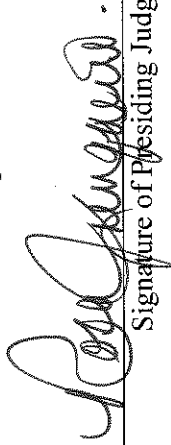


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

09/12/2013

Date

San Joaquin

Court

FY12-13, Qtr 4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Joaquin
Court

FY12-13, Qtr 4
Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Joaquin
 Court
 FY12-13, Qtr 4
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	267.22	251.44	258.61	258.42	251.59

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2012/13									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2011/12 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,634,895)	\$ 432,793	\$ (22,897)	\$ 2,264,234			\$ 1,851,505	\$ 1,890,740	\$ 2,375,808
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 53,158	\$ 53,158	\$ (24,658)
Civil Filing Fees							\$ 0	\$ 0	\$ 5,960
Trust							\$ (24,094)	\$ (24,094)	\$ (40,336)
Credit Card									
Cash on Hand	\$ 9,460							\$ 9,460	\$ 9,460
Cash with County	\$ 1,598,460	\$ 160,019	\$ 26,967					\$ 1,785,446	\$ (830,640)
Cash Outside of the AOC								\$ 0	\$ 6,183
Total Cash	\$ (1,026,975)	\$ 592,811	\$ 4,071	\$ 2,264,234			\$ 1,860,569	\$ 3,714,708	\$ 1,601,777
Short Term Investment	\$ 4,425,494						\$ 1,871,148	\$ 6,296,641	\$ 7,013,368
Investment in Financial Institution									
Total Investments	\$ 4,425,494						\$ 1,871,148	\$ 6,296,641	\$ 7,013,368
Accrued Revenue	\$ 9,058	\$ 24,425		\$ 901			\$ 0	\$ 34,385	\$ 56,531
Accounts Receivable - General	\$ 91,737		\$ 687,896					\$ 779,633	\$ 192,723
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 2,492							\$ 2,492	\$ 1,024
Trust									
Due From Other Funds	\$ 603,469							\$ 603,469	\$ 523,557
Due From Other Governments	\$ 31,286	\$ 84,757	\$ 143,311					\$ 259,355	\$ 299,154
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 602,152	\$ 33,616	\$ (74,472)					\$ 561,296	\$ 586,586
Trust Due To/From							\$ 3	\$ 3	\$ 3
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									\$ 1,010
General Due To/From	\$ 1,032							\$ 1,032	\$ 1,010
Total Receivables	\$ 1,341,226	\$ 142,799	\$ 756,735	\$ 901			\$ 3	\$ 2,241,854	\$ 1,660,588
Prepaid Expenses - General	\$ 41,494	\$ 0	\$ 1,596					\$ 43,090	\$ 17,357
Salary and Travel Advances									\$ 0
Counties									
Total Prepaid Expenses	\$ 41,494	\$ 0	\$ 1,596					\$ 43,090	\$ 17,357
Other Assets									
Total Other Assets									
Total Assets	\$ 4,781,238	\$ 735,610	\$ 762,402	\$ 2,265,135			\$ 3,751,719	\$ 12,296,104	\$ 10,193,089
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 96,810	\$ 24,075	\$ 4,774					\$ 115,659	\$ 220,150
Accounts Payable - General	\$ 15,378	\$ 0	\$ 0				\$ 2,629	\$ 18,007	\$ 19,771
Due to Other Funds	\$ 3	\$ 64,829	\$ 538,640				\$ 1,032	\$ 604,503	\$ 524,571
Due to Other Courts									
Due to State	\$ 0			\$ 10,502				\$ 10,502	\$ 133,781
TC145 Liability							\$ 1,399,770	\$ 1,399,770	\$ 1,539,730
Due to Other Governments	\$ 122,101	\$ 0	\$ 122,914	\$ 2,254,633				\$ 2,499,648	\$ 2,633,089
AB145 Due to Other Government Agency							\$ 74,431	\$ 74,431	
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 37	\$ 37	\$ 197
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 224,292	\$ 88,904	\$ 666,327	\$ 2,265,135			\$ 1,477,899	\$ 4,722,537	\$ 5,070,286
Civil							\$ 1,233,880	\$ 1,233,880	\$ 2,094,253
Criminal							\$ 653,762	\$ 653,762	\$ 480,903
Unreconciled - Civil and Criminal							\$ 10,702	\$ 10,702	\$ 10,447
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 6,183
Trust Interest Payable							\$ 21,690	\$ 21,690	\$ 20,360
Miscellaneous Trust									
Total Trust Deposits							\$ 2,120,034	\$ 2,120,034	\$ 2,612,146
Accrued Payroll	\$ 0		\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 140,970							\$ 140,970	\$ 71,187
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 1,459,572	\$ 32,671	\$ 80,853					\$ 1,573,096	
Total Payroll Liabilities	\$ 1,600,542	\$ 32,671	\$ 80,853					\$ 1,714,066	\$ 71,187
Revenue Collected in Advance	\$ 1,786		\$ 15,222					\$ 17,008	\$ 3,726
Liabilities For Deposits	\$ 55,220	\$ 650					\$ 16,846	\$ 72,717	\$ 49,292
Jury Fees - Non-Interest							\$ 107,355	\$ 107,355	\$ 128,914
Fees - Partial Payment & Overpayment							\$ 29,585	\$ 29,585	\$ 20,350
Uncleared Collections	\$ 17							\$ 17	
Other Miscellaneous Liabilities	\$ 916,000		\$ 0					\$ 916,000	\$ 916,000
Total Other Liabilities	\$ 973,023	\$ 650	\$ 15,222				\$ 153,787	\$ 1,142,682	\$ 1,116,281
Total Liabilities	\$ 2,797,857	\$ 122,226	\$ 782,402	\$ 2,265,135			\$ 3,751,719	\$ 9,899,339	\$ 8,869,902
Total Fund Balance	\$ 1,983,382	\$ 613,384	\$ 0	\$ 0				\$ 2,396,766	\$ 1,323,187
Total Liabilities and Fund Balance	\$ 4,781,238	\$ 735,610	\$ 762,402	\$ 2,265,135			\$ 3,751,719	\$ 12,296,104	\$ 10,193,089

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended June									
	Fiscal Year 2012/13							2011/12		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service						
	Non-Grant	Grant								
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 24,494,281	\$ 201,687					\$ 24,695,977	\$ 24,991,114	\$ 27,806,164	\$ 28,808,295
Trial Court Improvement Fund	\$ 38,592						\$ 38,592	\$ 93,639	\$ 1,172,977	\$ 103,804
Judicial Administration Efficiency & Mod Fund									\$ 137,750	\$ 276,000
Judges' Compensation (45.25)							\$ 1,240,536	\$ 1,089,780	\$ 1,249,581	\$ 1,342,831
Court Interpreter (45.45)	\$ 1,240,536								\$ 654	\$ 30,927
Civil Coordination Reimbursement (45.55)	\$ 612,524						\$ 612,524	\$ 615,179	\$ 733,280	\$ 770,548
MOU Reimbursements (45.10 and General)	\$ 2,728,601						\$ 2,728,601	\$ 2,160,652	\$ 813,189	
Other Miscellaneous										
	\$ 29,115,533	\$ 201,687					\$ 29,917,230	\$ 28,860,364	\$ 31,913,476	\$ 31,932,345
Grants										
AB 1058 Commissioner/Facilitator			\$ 943,922				\$ 943,922	\$ 908,910	\$ 898,505	\$ 931,252
Other ACC Grants			\$ 23,773				\$ 23,773	\$ 27,516	\$ 81,733	\$ 82,000
Non-ACC Grants			\$ 879,680				\$ 879,680	\$ 918,834	\$ 1,476,472	\$ 1,531,747
			\$ 1,847,354				\$ 1,847,354	\$ 1,946,260	\$ 2,456,810	\$ 2,544,999
Other Financing Sources										
Interest Income	\$ 12,477	\$ 690					\$ 13,167	\$ 15,650	\$ 161,868	\$ 34,698
Investment Income										
Donations										
Local Fees	\$ 350,622						\$ 350,622	\$ 216,975	\$ 216,956	\$ 261,823
Non-Fee Revenues	\$ 0						\$ 0	\$ 1,225	\$ 2,044	\$ 47,376
Enhanced Collections	\$ 0	\$ 808,330					\$ 808,330	\$ 148,941	\$ 140,418	\$ 179,033
Escheatment	\$ 92,258						\$ 92,258	\$ 91,946		
Prior Year Revenue	\$ (157,525)	\$ 202,042					\$ 44,517	\$ 0	\$ 19,820	
County Program - Restricted		\$ 738,450					\$ 738,450	\$ 871,589	\$ 550,850	\$ 677,807
Reimbursement Other	\$ 60,797	\$ 5					\$ 60,802	\$ 63,200	\$ 73,379	\$ 81,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 6,261						\$ 6,261		\$ 22,675	\$ 3,937
	\$ 367,089	\$ 1,248,507					\$ 1,615,597	\$ 1,208,525	\$ 1,181,100	\$ 1,188,874
Total Revenues	\$ 29,482,623	\$ 1,450,204	\$ 1,847,354				\$ 32,785,181	\$ 32,042,149	\$ 35,581,386	\$ 35,843,918
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 13,788,061	\$ 387,398	\$ 879,961				\$ 15,055,418	\$ 15,344,169	\$ 17,358,778	\$ 15,820,143
Temp Help	\$ 610,170		\$ 11,820				\$ 621,990	\$ 260,796	\$ 320,936	\$ 76,229
Overtime	\$ 989						\$ 989		\$ 137	
Staff Benefits	\$ 8,545,883	\$ 193,034	\$ 453,137				\$ 9,192,054	\$ 9,836,779	\$ 9,908,732	\$ 12,332,626
	\$ 22,945,104	\$ 580,430	\$ 1,344,918				\$ 24,870,452	\$ 25,441,744	\$ 27,588,583	\$ 28,229,888
Operating Expenses and Equipment										
General Expense	\$ 552,997	\$ 30,875	\$ 53,982				\$ 637,853	\$ 725,393	\$ 674,457	\$ 743,446
Printing	\$ 97,117	\$ 1,501	\$ 6,513				\$ 105,131	\$ 83,454	\$ 75,761	\$ 171,328
Telecommunications	\$ 286,996		\$ 4,315				\$ 291,310	\$ 350,690	\$ 318,752	\$ 346,550
Postage	\$ 197,734	\$ 7	\$ 1,816				\$ 199,557	\$ 211,826	\$ 209,456	\$ 228,940
Insurance	\$ 10,129						\$ 10,129	\$ 11,700	\$ 9,544	\$ 11,700
In-State Travel	\$ 69,725	\$ 2,805	\$ 1,831				\$ 74,361	\$ 51,941	\$ 68,395	\$ 24,988
Out-of-State Travel									\$ 0	\$ 80
Training	\$ 1,817						\$ 1,817	\$ 2,795	\$ 2,020	\$ 7,320
Security Services	\$ 503,727		\$ 177,196				\$ 680,922	\$ 731,730	\$ 733,463	\$ 759,277
Facility Operations	\$ 419,662		\$ 7,429				\$ 427,121	\$ 454,818	\$ 437,320	\$ 451,140
Utilities	\$ 31,932						\$ 31,932	\$ 33,000	\$ 32,989	
Contracted Services	\$ 1,524,860	\$ 273,036	\$ 103,873				\$ 1,801,768	\$ 2,170,088	\$ 2,754,777	\$ 3,086,254
Consulting and Professional Services	\$ 1,593,444		\$ 83,652				\$ 1,677,096	\$ 1,890,179	\$ 1,898,922	\$ 1,869,785
Information Technology	\$ 85,949		\$ 5,323				\$ 91,272	\$ 149,401	\$ 232,986	\$ 196,056
Major Equipment	\$ 0						\$ 0	\$ 0	\$ 47,960	\$ 35,000
Other Items of Expense	\$ 18,985						\$ 18,985	\$ 20,000	\$ 20,187	\$ 22,773
	\$ 5,405,122	\$ 808,224	\$ 445,928				\$ 6,659,274	\$ 6,886,652	\$ 7,506,086	\$ 7,972,968
Special Items of Expense										
Grand Jury	\$ 0	\$ 142,204					\$ 142,204	\$ 65,326	\$ 65,224	\$ 164,917
Jury Costs	\$ 341,001						\$ 341,001	\$ 328,200	\$ 313,643	\$ 408,000
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0						\$ 0		\$ 0	
Capital Costs										
Internal Cost Recovery	\$ (63,942)		\$ 83,942				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (6,893)	\$ (438)					\$ (6,329)		\$ (11,244)	
	\$ 251,168	\$ 141,788	\$ 83,942				\$ 476,978	\$ 393,228	\$ 397,623	\$ 672,617
Total Expenditures	\$ 28,601,391	\$ 1,030,422	\$ 1,874,789				\$ 31,506,603	\$ 32,721,924	\$ 35,461,389	\$ 36,774,383
Excess (Deficit) of Revenues Over Expenditures	\$ 881,231	\$ 419,782	\$ (27,435)				\$ 1,278,578	\$ (679,775)	\$ 89,987	\$ (1,731,365)
Operating Transfers In (Out)	\$ (27,435)		\$ 27,435				\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,129,585	\$ 193,603	\$ 0	\$ 0			\$ 1,323,187	\$ 1,323,187	\$ 1,239,200	\$ 1,239,200
Ending Balance (Deficit)	\$ 1,083,382	\$ 613,384	\$ 0	\$ 0			\$ 1,295,706	\$ 643,412	\$ 1,239,187	\$ (498,155)

Superior Court of California, County of San Joaquin
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2012/13										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 9,134,888	\$ 605,707	\$ 0			\$ (617)	\$ 9,739,976	\$ 10,416,144	\$ 10,888,334	\$ 11,357,318
Traffic & Other Infractions	\$ 1,666,749	\$ 247,086				\$ 100	\$ 1,913,834	\$ 2,044,601	\$ 2,251,377	\$ 2,906,864
Other Criminal Cases	\$ 3,290,952	\$ 477,921					\$ 3,768,673	\$ 3,799,512	\$ 4,729,804	\$ 4,770,756
Civil	\$ 1,956,606	\$ 39,413					\$ 1,996,019	\$ 1,959,627	\$ 2,566,272	\$ 2,206,577
Family & Children Services	\$ 2,489,998	\$ 86,415			\$ 83,942		\$ 2,669,366	\$ 2,785,131	\$ 2,651,851	\$ 2,525,389
Probate, Guardianship & Mental Health Services	\$ 656,795	\$ 5,375					\$ 662,171	\$ 655,780	\$ 787,463	\$ 841,052
Juvenile Dependency Services	\$ 176,195	\$ 50,213					\$ 226,408	\$ 243,953	\$ 286,401	\$ 294,499
Juvenile Delinquency Services	\$ 352,498	\$ 46,644					\$ 399,142	\$ 464,532	\$ 480,811	\$ 379,767
Other Court Operations	\$ 871,571	\$ 658,296				\$ 1,147	\$ 1,531,014	\$ 1,573,777	\$ 1,641,799	\$ 1,963,069
Court Interpreters	\$ 1,064,732	\$ 336,401				\$ (10)	\$ 1,401,133	\$ 1,237,674	\$ 1,328,451	\$ 1,444,457
Jury Services	\$ 249,578	\$ 179,930	\$ 341,001			\$ (10)	\$ 770,500	\$ 740,531	\$ 717,360	\$ 820,115
Security		\$ 694,403				\$ 0	\$ 694,403	\$ 742,306	\$ 801,919	\$ 831,469
Trial Court Operations Program	\$ 21,919,560	\$ 3,427,503	\$ 341,001		\$ 83,942	\$ 621	\$ 25,772,625	\$ 26,663,568	\$ 29,131,841	\$ 30,281,352
Enhanced Collections	\$ 158,918	\$ 132,490					\$ 291,408	\$ 146,941	\$ 165,324	\$ 179,033
Other Non-Court Operations	\$ 41,646	\$ 142,485	\$ 142,204			\$ (436)	\$ 325,900	\$ 281,500	\$ 281,080	\$ 377,468
Non-Court Operations Program	\$ 200,565	\$ 274,976	\$ 142,204			\$ (436)	\$ 617,399	\$ 428,441	\$ 446,404	\$ 556,501
Executive Office	\$ 576,342	\$ 5,454					\$ 581,796	\$ 640,634	\$ 775,430	\$ 799,497
Fiscal Services	\$ 681,977	\$ 38,119				\$ 301	\$ 697,364	\$ 780,905	\$ 1,018,526	\$ 1,063,827
Human Resources	\$ 508,445	\$ 23,790					\$ 516,989	\$ 517,870	\$ 550,629	\$ 567,156
Business & Facilities Services	\$ 340,484	\$ 477,257					\$ 809,468	\$ 881,832	\$ 865,319	\$ 848,262
Information Technology	\$ 643,080	\$ 1,912,177				\$ (6,815)	\$ 2,533,468	\$ 2,808,674	\$ 2,673,248	\$ 2,657,788
Court Administration Program	\$ 2,750,328	\$ 2,456,795			\$ (83,942)	\$ (6,514)	\$ 5,136,666	\$ 5,629,915	\$ 5,883,153	\$ 5,936,530
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 24,870,452	\$ 6,159,274	\$ 483,205		\$ 0	\$ (6,329)	\$ 31,506,603	\$ 32,721,924	\$ 35,461,399	\$ 36,774,383

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Joaquin
as of June 30, 2013

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	598,462	-	-	-	-	-	598,462
Committed	264,057	-	-	-	-	-	-	264,057
Assigned	1,719,324	14,922	-	-	-	-	-	1,734,246
Unassigned	-	-	-	-	-	N/A	N/A	-
Total	\$ 1,983,381	\$ 613,384	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,596,765