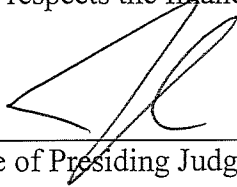


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

John Fitton – Court Executive Officer

8/18/2014

Date

San Mateo

Court

2013-2014 Quarter Ending June 30th, 2014

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Mateo
 Court

FY2013-2014 June 30th, 2014
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	268.25	251.25	247.25	244.25	248.25

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2013/14									2012/13
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,411,598)	\$ 1,972,297	\$ 0			\$ 0	\$ 16,359,591	\$ 15,920,289	\$ 7,999,361
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 187,227	\$ 187,227	\$ 441,243
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (126,158)	\$ (126,158)	\$ (163,442)
Credit Card									
Cash on Hand	\$ 5,170							\$ 5,170	\$ 5,170
Cash with County	\$ 244,994						\$ 0	\$ 244,994	\$ 1,508,286
Cash Outside of the AOC									
Total Cash	\$ (2,161,434)	\$ 1,972,297	\$ 0			\$ 0	\$ 16,420,660	\$ 16,231,522	\$ 9,790,619
Short Term Investment	\$ 4,631,579						\$ 4,409,251	\$ 9,040,829	\$ 8,394,535
Investment in Financial Institution							\$ 0	\$ 0	\$ 680,614
Total Investments	\$ 4,631,579						\$ 4,409,251	\$ 9,040,829	\$ 9,075,149
Accrued Revenue	\$ 4,894	\$ 563				\$ 0	\$ 0	\$ 5,457	\$ 4,026
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 4,225							\$ 4,225	\$ 11,162
Trust									
Due From Other Funds	\$ 265,414						\$ 0	\$ 265,414	\$ 329,774
Due From Other Governments	\$ 113,315	\$ 150				\$ 0		\$ 113,465	\$ 52,823
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 1,191,883	\$ 33,700	\$ 265,115					\$ 1,490,699	\$ 1,113,984
Trust Due To/From							\$ 387,556	\$ 387,556	\$ 595,670
Distribution Due To/From							\$ 9,896	\$ 9,896	\$ 16,000
Civil Filing Fee Due To/From									
General Due To/From	\$ 85,936	\$ 8,268						\$ 94,204	\$ 69,429
Total Receivables	\$ 1,665,668	\$ 42,681	\$ 265,115			\$ 0	\$ 397,452	\$ 2,370,916	\$ 2,192,869
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 4,135,813	\$ 2,014,977	\$ 265,115			\$ 0	\$ 21,227,363	\$ 27,643,268	\$ 21,058,636
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 365,554	\$ 33,703	\$ 259					\$ 399,516	\$ 206,099
Accounts Payable - General	\$ 20,618	\$ 0	\$ 0			\$ 0	\$ 238	\$ 20,856	\$ 121,402
Due to Other Funds	\$ 8,599	\$ 558	\$ 264,856				\$ 483,057	\$ 757,070	\$ 1,010,874
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 14,660
TC145 Liability							\$ 945,740	\$ 945,740	\$ 956,661
Due to Other Governments	\$ 229,908	\$ 529	\$ 0					\$ 230,437	\$ 408,158
AB145 Due to Other Government Agency							\$ 3,399,149	\$ 3,399,149	\$ 3,227,417
Due to Other Public Agencies									
Sales and Use Tax	\$ 142							\$ 142	\$ 0
Interest							\$ 7	\$ 7	\$ 13
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 12
Total Accounts Payable and Accrued Liab.	\$ 624,821	\$ 34,790	\$ 265,115			\$ 0	\$ 4,828,191	\$ 5,752,917	\$ 5,945,296
Civil							\$ 12,636,935	\$ 12,636,935	\$ 3,756,748
Criminal							\$ 1,671,158	\$ 1,671,158	\$ 2,151,668
Unreconciled - Civil and Criminal							\$ 1,518,462	\$ 1,518,462	\$ 1,518,502
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 110,164	\$ 110,164	\$ 99,977
Miscellaneous Trust									
Total Trust Deposits							\$ 15,936,718	\$ 15,936,718	\$ 7,526,895
Accrued Payroll	\$ 681,004							\$ 681,004	\$ 585,857
Benefits Payable							\$ 0	\$ 0	\$ 680,614
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 681,004						\$ 0	\$ 681,004	\$ 1,266,471
Revenue Collected in Advance						\$ 0		\$ 0	\$ 0
Liabilities For Deposits	\$ 55,331						\$ 23,714	\$ 79,045	\$ 50,543
Jury Fees - Non-Interest							\$ 429,525	\$ 429,525	\$ 475,469
Fees - Partial Payment & Overpayment							\$ 9,215	\$ 9,215	\$ 11,171
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 809
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 55,331					\$ 0	\$ 462,454	\$ 517,785	\$ 537,992
Total Liabilities	\$ 1,361,156	\$ 34,790	\$ 265,115			\$ 0	\$ 21,227,363	\$ 22,888,425	\$ 15,276,654
Total Fund Balance	\$ 2,774,656	\$ 1,980,187	\$ 0			\$ 0		\$ 4,754,843	\$ 5,781,983
Total Liabilities and Fund Balance	\$ 4,135,813	\$ 2,014,977	\$ 265,115			\$ 0	\$ 21,227,363	\$ 27,643,268	\$ 21,058,636

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2013/14									2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 12,493,693	\$ 760,761	\$ 0				\$ 13,254,455	\$ 11,753,916	\$ 13,895,013	\$ 14,040,488
Traffic & Other Infractions	\$ 1,391,141	\$ 499,776					\$ 1,890,917	\$ 1,971,293	\$ 1,845,992	\$ 1,954,769
Other Criminal Cases	\$ 2,633,020	\$ 257,527					\$ 2,890,548	\$ 3,628,552	\$ 3,628,447	\$ 3,517,059
Civil	\$ 3,283,555	\$ 319,933					\$ 3,603,488	\$ 3,166,714	\$ 3,051,270	\$ 3,399,000
Family & Children Services	\$ 2,236,215	\$ 258,339					\$ 2,494,554	\$ 2,835,653	\$ 2,553,370	\$ 2,819,344
Probate, Guardianship & Mental Health Services	\$ 1,311,935	\$ 32,968					\$ 1,344,903	\$ 1,448,329	\$ 1,317,800	\$ 1,512,061
Juvenile Dependency Services	\$ 0	\$ 402,699					\$ 402,699	\$ 673,030	\$ 623,968	\$ 499,989
Juvenile Delinquency Services	\$ 317,658	\$ 30,286					\$ 347,944	\$ 349,401	\$ 330,364	\$ 344,163
Other Court Operations	\$ 393,143	\$ 16					\$ 393,160	\$ 404,603	\$ 424,543	
Court Interpreters	\$ 1,368,332	\$ 309,979					\$ 1,678,311	\$ 1,832,226	\$ 1,633,942	\$ 1,751,461
Jury Services	\$ 409,685	\$ 197,671	\$ 348,017				\$ 955,374	\$ 966,529	\$ 924,431	\$ 921,847
Security		\$ 480,254					\$ 480,254	\$ 443,042	\$ 8,721	
Trial Court Operations Program	\$ 25,838,378	\$ 3,550,210	\$ 348,017				\$ 29,736,605	\$ 29,473,288	\$ 30,237,860	\$ 30,760,181
Enhanced Collections		\$ 1,378					\$ 1,378		\$ 0	
Other Non-Court Operations			\$ 760				\$ 760		\$ 699	
Non-Court Operations Program		\$ 1,378	\$ 760				\$ 2,138		\$ 699	
Executive Office	\$ 1,934,300	\$ 291,138	\$ 810,000			\$ 844	\$ 3,036,282	\$ 3,440,839	\$ 2,842,202	\$ 2,556,250
Fiscal Services	\$ 1,456,385	\$ 23,268					\$ 1,479,653	\$ 1,509,031	\$ 1,426,274	\$ 1,517,813
Human Resources	\$ 234,474	\$ 164,393					\$ 398,867	\$ 332,581	\$ 470,036	\$ 519,627
Business & Facilities Services	\$ 486,416	\$ 585,379			\$ 0		\$ 1,071,795	\$ 1,201,588	\$ 1,034,936	\$ 1,227,446
Information Technology	\$ 1,471,840	\$ 2,409,286					\$ 3,881,126	\$ 5,118,649	\$ 3,248,463	\$ 4,495,792
Court Administration Program	\$ 5,583,416	\$ 3,473,463	\$ 810,000		\$ 0	\$ 844	\$ 9,867,722	\$ 11,602,688	\$ 9,021,910	\$ 10,316,928
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 31,421,794	\$ 7,025,051	\$ 1,158,778		\$ 0	\$ 844	\$ 39,606,466	\$ 41,075,976	\$ 39,260,469	\$ 41,077,109

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 31,138,422	\$ 407,191					\$ 31,545,613	\$ 30,590,445	\$ 28,808,229	\$ 27,332,586	
Improvement and Modernization Fund	\$ 97,399						\$ 97,399	\$ 107,978	\$ 139,843	\$ 111,882	
Judges' Compensation (45.25)	\$ 239,036						\$ 239,036	\$ 256,500	\$ 237,500	\$ 247,000	
Court Interpreter (45.45)	\$ 1,569,884						\$ 1,569,884	\$ 1,528,000	\$ 1,528,209	\$ 1,874,570	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 832,237						\$ 832,237	\$ 691,383	\$ 694,639	\$ 814,009	
Other Miscellaneous	\$ 2,411,112						\$ 2,411,112	\$ 2,411,112	\$ 2,587,304	\$ 2,240,517	
	\$ 36,288,090	\$ 407,191					\$ 36,695,281	\$ 35,585,418	\$ 33,995,724	\$ 32,620,564	
Grants											
AB 1058 Commissioner/Facilitator			\$ 683,408				\$ 683,408	\$ 693,883	\$ 782,524	\$ 696,501	
Other AOC Grants	\$ 4		\$ 43,249				\$ 43,254	\$ 45,513	\$ 17,200	\$ 36,339	
Non-AOC Grants											
	\$ 4		\$ 726,657				\$ 726,661	\$ 739,396	\$ 799,724	\$ 732,840	
Other Financing Sources											
Interest Income	\$ 42,628	\$ 3,658					\$ 46,286	\$ 50,000	\$ 45,839	\$ 95,000	
Investment Income											
Donations											
Local Fees	\$ 446,399	\$ 395,182					\$ 841,581	\$ 740,130	\$ 856,012	\$ 791,400	
Non-Fee Revenues	\$ 8,886						\$ 8,886	\$ 11,000	\$ 11,060		
Enhanced Collections											
Escheatment									\$ 8,428		
Prior Year Revenue	\$ 5,865						\$ 5,865		\$ 5,085		
County Program - Restricted	\$ 20,400	\$ 140,003					\$ 160,403	\$ 177,300	\$ 162,068	\$ 180,000	
Reimbursement Other	\$ 83,926						\$ 83,926	\$ 84,000	\$ 84,960	\$ 105,220	
Sale of Fixed Assets											
Other Miscellaneous	\$ 10,437						\$ 10,437	\$ 10,000	\$ 13,361	\$ 13,000	
	\$ 618,540	\$ 538,843					\$ 1,157,384	\$ 1,072,430	\$ 1,186,812	\$ 1,184,620	
Total Revenues	\$ 36,906,635	\$ 946,034	\$ 726,657				\$ 38,579,326	\$ 37,397,244	\$ 35,982,260	\$ 34,538,024	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 18,797,711		\$ 343,342				\$ 19,141,054	\$ 18,199,653	\$ 20,251,511	\$ 20,535,599	
Temp Help	\$ 115,657						\$ 115,657		\$ 18,547		
Overtime	\$ 31,027						\$ 31,027		\$ 11,963		
Staff Benefits	\$ 11,818,381		\$ 315,674				\$ 12,134,056	\$ 12,632,880	\$ 12,083,558	\$ 12,526,883	
	\$ 30,762,777		\$ 659,016				\$ 31,421,794	\$ 30,832,533	\$ 32,365,580	\$ 33,062,482	
Operating Expenses and Equipment											
General Expense	\$ 571,493	\$ 70,643	\$ 4,185				\$ 646,322	\$ 748,928	\$ 578,270	\$ 818,071	
Printing	\$ 93,086						\$ 93,086	\$ 172,775	\$ 175,775	\$ 131,440	
Telecommunications	\$ 674,874	\$ 28,234					\$ 703,108	\$ 318,620	\$ 412,621	\$ 413,120	
Postage	\$ 250,591						\$ 250,591	\$ 280,065	\$ 210,584	\$ 318,570	
Insurance	\$ 8,452						\$ 8,452	\$ 9,500	\$ 9,290	\$ 11,570	
In-State Travel	\$ 27,351	\$ 546	\$ 286				\$ 28,183	\$ 36,044	\$ 26,279	\$ 36,790	
Out-of-State Travel											
Training	\$ 5,228		\$ 620				\$ 5,848	\$ 15,225	\$ 20,515	\$ 13,060	
Security Services	\$ 446,595						\$ 446,595	\$ 446,402	\$ 3,526	\$ 2,400	
Facility Operations	\$ 469,686						\$ 469,686	\$ 509,730	\$ 524,581	\$ 602,601	
Utilities	\$ 5,757						\$ 5,757	\$ 5,850	\$ 6,103	\$ 6,500	
Contracted Services	\$ 1,483,938	\$ 467,574	\$ 45,823				\$ 1,997,334	\$ 1,951,755	\$ 1,936,115	\$ 2,135,379	
Consulting and Professional Services	\$ 1,207,736	\$ 24,761					\$ 1,232,497	\$ 2,232,206	\$ 830,276	\$ 928,843	
Information Technology	\$ 745,026	\$ 305,306					\$ 1,050,332	\$ 1,698,120	\$ 930,820	\$ 2,118,260	
Major Equipment	\$ 77,759						\$ 77,759	\$ 132,000	\$ 228,989	\$ 200,000	
Other Items of Expense	\$ 9,501						\$ 9,501	\$ 17,223	\$ 8,191	\$ 19,523	
	\$ 6,077,073	\$ 897,064	\$ 50,914				\$ 7,025,051	\$ 8,574,443	\$ 5,901,935	\$ 7,756,127	
Special Items of Expense											
Grand Jury	\$ 860						\$ 860	\$ 2,000	\$ 699	\$ 2,000	
Jury Costs	\$ 347,918						\$ 347,918	\$ 287,000	\$ 283,752	\$ 256,500	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 810,000						\$ 810,000	\$ 1,380,000	\$ 680,000		
Capital Costs											
Internal Cost Recovery	\$ (131,803)		\$ 131,803				\$ 0	\$ 0	\$ 0		
Prior Year Expense Adjustment	\$ 844						\$ 844		\$ 28,504		
	\$ 1,027,818		\$ 131,803				\$ 1,159,622	\$ 1,669,000	\$ 992,955	\$ 258,500	
Total Expenditures	\$ 37,867,669	\$ 897,064	\$ 841,733				\$ 39,606,466	\$ 41,075,976	\$ 39,260,469	\$ 41,077,109	
Excess (Deficit) of Revenues Over Expenditures	\$ (961,034)	\$ 48,971	\$ (115,077)				\$ (1,027,139)	\$ (3,678,732)	\$ (3,278,209)	\$ (6,539,085)	
Operating Transfers In (Out)	\$ (152,600)	\$ 37,523	\$ 115,077				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,888,289	\$ 1,893,693	\$ 0			\$ 0	\$ 5,781,983	\$ 5,781,983	\$ 9,060,192	\$ 9,060,192	
Ending Balance (Deficit)	\$ 2,774,656	\$ 1,980,187	\$ 0			\$ 0	\$ 4,754,843	\$ 2,103,251	\$ 5,781,983	\$ 2,521,107	

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Mateo

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	1,945,882	-	-	-	-	-	1,945,882
Committed	2,719,814	34,304	-	-	-	-	-	2,754,118
Assigned	54,843	-	-	-	-	-	-	54,843
Unassigned	-	-	-	-	-	-	-	-
Total	\$ 2,774,657	\$ 1,980,187	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,754,844