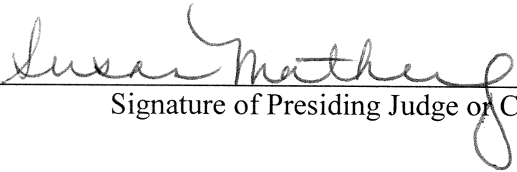
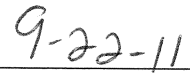


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

  
\_\_\_\_\_  
Date

San Luis Obispo  
\_\_\_\_\_  
Court  
FY 2010/11 1st Quarter  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Luis Obispo

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Court

FY 2010/11 1st Quarter

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Fiscal Year and Ending Quarter

### FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT**  
 Filled Court Employee Positions

San Luis Obispo

Court

FY 2010/11 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions <sup>1</sup>	Positions Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions	160	146			

<sup>1</sup> Total Authorized Positions (FTEs) pre-populated using information submitted in the court's FY 2010-2011 Schedule 7A.

San Luis Obispo Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Sep									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (337,669)	\$ 183,907	\$ (64,501)				\$ 13,419	\$ (204,844)	\$ 213,142
Payroll	\$ 844							\$ 844	\$ (624)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 295,991
Trust							\$ 821,260	\$ 821,260	\$ 2,369,795
Credit Card									
Cash on Hand	\$ 3,670							\$ 3,670	\$ 3,670
Cash with County									\$ 0
Total Cash	\$ (328,155)	\$ 183,907	\$ (64,501)				\$ 834,678	\$ 625,930	\$ 2,886,975
Short Term Investment	\$ 3,684,201	\$ 0					\$ 314,646	\$ 3,998,848	\$ 4,072,687
Investment in Financial Institution									
Total Investments	\$ 3,684,201	\$ 0					\$ 314,646	\$ 3,998,848	\$ 4,072,687
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 2							\$ 2	\$ 2
Civil Jury Fees	\$ 0							\$ 0	
Trust									
Due From Other Funds	\$ 540							\$ 540	\$ 1,039
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 646,979
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 6,906	\$ 0	\$ 61,988					\$ 68,894	\$ 92,074
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 7,448	\$ 0	\$ 61,988				\$ 0	\$ 69,436	\$ 740,094
Prepaid Expenses - General	\$ 752							\$ 752	
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 752							\$ 752	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,364,246	\$ 183,907	\$ (2,513)				\$ 1,149,325	\$ 4,694,965	\$ 7,699,755
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 506	\$ 0	\$ 0				\$ 16,777	\$ 17,283	\$ 7,990
Due to Other Funds	\$ 0		\$ 0				\$ 540	\$ 540	\$ 1,039
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 328,027	\$ 328,027	\$ 295,991
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 153	\$ 153	\$ 356
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 506	\$ 0	\$ 0				\$ 345,498	\$ 346,004	\$ 305,377
Civil							\$ 386,908	\$ 386,908	\$ 1,968,762
Criminal							\$ 279,253	\$ 279,253	\$ 288,347
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 69,962	\$ 69,962	\$ 69,500
Miscellaneous Trust									
Total Trust Deposits							\$ 736,124	\$ 736,124	\$ 2,326,609
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ (835)							\$ (835)	\$ 4,758
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 87							\$ 87	\$ 3,369
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (748)							\$ (748)	\$ 8,127
Revenue Collected in Advance									
Liabilities For Deposits	\$ 6,636						\$ 49,703	\$ 56,339	\$ 38,828
Jury Fees - Non-Interest							\$ 18,000	\$ 18,000	\$ 9,600
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,636						\$ 67,703	\$ 74,339	\$ 48,428
Total Liabilities	\$ 6,394	\$ 0	\$ 0				\$ 1,149,325	\$ 1,155,718	\$ 2,688,540
Fund Balance - Restricted	\$ 1,334,285	\$ 165,820						\$ 1,500,105	\$ 1,500,105
Fund Balance - Unrestricted									
Designated	\$ 3,178,131							\$ 3,178,131	\$ 3,178,131
Undesignated	\$ (986,146)	\$ 14,842	\$ 0					\$ (971,303)	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op.	\$ (168,418)	\$ 3,245	\$ (2,513)					\$ (167,686)	\$ 332,979
Total Fund Balance	\$ 3,357,853	\$ 183,907	\$ (2,513)					\$ 3,539,247	\$ 5,011,215
Total Liabilities and Fund Balance	\$ 3,364,246	\$ 183,907	\$ (2,513)				\$ 1,149,325	\$ 4,694,965	\$ 7,699,755

San Luis Obispo Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Sep											
Fiscal Year 2010/11										2009/10	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 3,513,163	\$ 3,245					\$ 3,516,408	\$ 18,997,779	\$ 4,320,055	\$ 17,711,139	
Trial Court Improvement Fund								\$ 35,408	\$ 292,098	\$ 17,704	
Judicial Administration Efficiency & Mod Fund	\$ 4,000						\$ 4,000	\$ 113,103		\$ 859,918	
Judges' Compensation (45.25)	\$ 21,473						\$ 21,473	\$ 90,000	\$ 22,500	\$ 90,000	
Court Interpreter (45.45)	\$ 101,566						\$ 101,566	\$ 423,616	\$ 94,420	\$ 445,683	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 103,534						\$ 103,534	\$ 608,701	\$ 16,190	\$ 693,697	
Other Miscellaneous								\$ 6,654		\$ 2,273	
	\$ 3,743,736	\$ 3,245					\$ 3,746,981	\$ 20,275,261	\$ 4,745,263	\$ 19,820,414	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 381,008	\$ 21,967	\$ 377,116	
Other AOC Grants									\$ 13,100		
Non-AOC Grants									\$ (2,250)	\$ 91,242	
			\$ 0				\$ 0	\$ 381,008	\$ 32,817	\$ 468,358	
Other Financing Sources											
Interest Income	\$ 654	\$ 0					\$ 654	\$ 27,070	\$ (1,810)	\$ 69,907	
Investment Income											
Donations											
Local Fees								\$ 802,518	\$ 154,421	\$ 960,877	
Non-Fee Revenues	\$ 4,890						\$ 4,890	\$ 99,849	\$ 3,980	\$ 42,016	
Enhanced Collections								\$ 312,861			
Escheatment											
Prior Year Revenue											
County Program - Restricted								\$ 59,214	\$ 14,941	\$ 57,997	
Reimbursement Other	\$ (2,400)						\$ (2,400)	\$ 34,033	\$ 5,161	\$ 35,000	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 3,144	\$ 0					\$ 3,144	\$ 1,335,545	\$ 176,692	\$ 1,165,797	
Total Revenues	\$ 3,746,880	\$ 3,245	\$ 0				\$ 3,750,125	\$ 21,991,814	\$ 4,954,772	\$ 21,454,569	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,044,785						\$ 2,044,785	\$ 8,302,745	\$ 2,094,109	\$ 8,915,928	
Temp Help	\$ 5,023						\$ 5,023	\$ 35,971	\$ 14,221		
Overtime											
Staff Benefits	\$ 1,049,761						\$ 1,049,761	\$ 6,180,586	\$ 1,153,850	\$ 5,458,153	
	\$ 3,099,569						\$ 3,099,569	\$ 14,519,302	\$ 3,262,180	\$ 14,374,081	
Operating Expenses and Equipment											
General Expense	\$ 89,781		\$ 854				\$ 90,636	\$ 611,799	\$ 149,269	\$ 720,662	
Printing	\$ 11,561						\$ 11,561	\$ 129,473	\$ 19,622	\$ 138,499	
Telecommunications	\$ 18,449						\$ 18,449	\$ 239,478	\$ 42,580	\$ 211,861	
Postage	\$ 22,883						\$ 22,883	\$ 129,055	\$ 13,254	\$ 133,133	
Insurance	\$ 122						\$ 122	\$ 4,261	\$ 840	\$ 4,254	
In-State Travel	\$ 383						\$ 383	\$ 13,448	\$ 2,147		
Out-of-State Travel								\$ 107			
Training	\$ 105						\$ 105	\$ 20,142	\$ 2,170	\$ 500	
Security Services	\$ 296,941						\$ 296,941	\$ 3,593,297	\$ 617,545	\$ 3,884,794	
Facility Operations	\$ 10,002						\$ 10,002	\$ 234,600	\$ 8,434	\$ 172,681	
Utilities								\$ 9,090	\$ 6,192		
Contracted Services	\$ 188,634		\$ 1,659				\$ 190,293	\$ 1,274,924	\$ 251,167	\$ 1,359,212	
Consulting and Professional Services	\$ 111,273						\$ 111,273	\$ 1,167,556	\$ 178,935	\$ 1,469,100	
Information Technology	\$ 29,151						\$ 29,151	\$ 194,165	\$ 13,894	\$ 68,000	
Major Equipment	\$ 15,592						\$ 15,592	\$ 4,985	\$ 10,877	\$ 20,000	
Other Items of Expense	\$ 1,681						\$ 1,681	\$ 3,948	\$ 399	\$ 5,565	
	\$ 796,558		\$ 2,513				\$ 799,072	\$ 7,630,328	\$ 1,317,325	\$ 8,188,261	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 19,149						\$ 19,149	\$ 115,424	\$ 42,288	\$ 129,545	
Judgements, Settlements and Claims	\$ 21						\$ 21			\$ 69	
Debt Service											
Other											
Internal Cost Recovery									\$ 0		
Prior Year Expense Adjustment											
	\$ 19,170						\$ 19,170	\$ 115,424	\$ 42,288	\$ 129,614	
Total Expenditures	\$ 3,915,297		\$ 2,513				\$ 3,917,811	\$ 22,265,054	\$ 4,621,793	\$ 22,691,956	
Excess (Deficit) of Revenues Over Expenditures	\$ (168,418)	\$ 3,245	\$ (2,513)				\$ (167,686)	\$ (273,240)	\$ 332,979	\$ (1,237,387)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,526,270	\$ 180,662	\$ 0				\$ 3,706,933	\$ 3,706,933	\$ 4,678,236	\$ 4,678,236	
Ending Balance (Deficit)	\$ 3,357,853	\$ 183,907	\$ (2,513)				\$ 3,539,247	\$ 3,433,693	\$ 5,011,215	\$ 3,440,849	

San Luis Obispo Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Sep									
Fiscal Year 2010/11								2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>									
Judges & Courtroom Support	\$ 1,008,340	\$ 70,895	\$ 21			\$ 1,079,256	\$ 5,509,616	\$ 1,202,844	\$ 5,508,935
Traffic & Other Infractions	\$ 125,942	\$ 44,062				\$ 170,004	\$ 859,471	\$ 195,656	\$ 934,554
Other Criminal Cases	\$ 465,876	\$ 14,650				\$ 480,526	\$ 2,066,249	\$ 536,959	\$ 2,199,168
Civil	\$ 356,706	\$ 28,516				\$ 385,223	\$ 1,296,988	\$ 351,648	\$ 1,455,080
Family & Children Services	\$ 347,964	\$ 39,121				\$ 387,085	\$ 2,041,106	\$ 386,756	\$ 1,672,600
Probate, Guardianship & Mental Health Services	\$ 63,143	\$ 38				\$ 63,181	\$ 371,126	\$ 67,294	\$ 334,340
Juvenile Dependency Services		\$ 2,400				\$ 2,400	\$ 44,945	\$ 17,555	\$ 82,512
Juvenile Delinquency Services	\$ 17,432	\$ 0				\$ 17,432	\$ 79,634	\$ 17,210	\$ 69,512
Other Court Operations	\$ 85,195	\$ 826				\$ 86,021	\$ 442,576	\$ 58,647	\$ 464,794
Court Interpreters	\$ 70,089	\$ 35,440				\$ 105,529	\$ 428,096	\$ 90,685	\$ 445,683
Jury Services	\$ 40,306	\$ 11,542	\$ 19,149			\$ 70,997	\$ 403,984	\$ 106,279	\$ 398,968
Security		\$ 296,941				\$ 296,941	\$ 3,594,257	\$ 618,233	\$ 3,717,031
Trial Court Operations Program	\$ 2,580,994	\$ 544,432	\$ 19,170			\$ 3,144,596	\$ 17,138,048	\$ 3,649,766	\$ 17,283,177
Enhanced Collections	\$ 50,454	\$ 3,606				\$ 54,061	\$ 290,931	\$ 14,020	
Other Non-Court Operations								\$ 160	
Non-Court Operations Program	\$ 50,454	\$ 3,606				\$ 54,061	\$ 290,931	\$ 14,180	
Executive Office	\$ 116,623	\$ 3,620				\$ 120,242	\$ 566,850	\$ 133,032	\$ 593,027
Fiscal Services	\$ 60,200	\$ 20,497				\$ 80,697	\$ 438,534	\$ 145,775	\$ 732,620
Human Resources	\$ 74,596	\$ 3,220				\$ 77,816	\$ 607,867	\$ 138,264	\$ 546,184
Business & Facilities Services		\$ 101,415				\$ 101,415	\$ 940,246	\$ 102,357	\$ 953,289
Information Technology	\$ 216,703	\$ 122,281				\$ 338,984	\$ 2,282,578	\$ 438,420	\$ 2,583,659
Court Administration Program	\$ 468,121	\$ 251,033				\$ 719,154	\$ 4,836,075	\$ 957,847	\$ 5,408,779
Prior Year Adjustments Not Posted to a Program									
<b>Total</b>	\$ 3,099,569	\$ 799,072	\$ 19,170			\$ 3,917,811	\$ 22,265,054	\$ 4,621,793	\$ 22,691,956