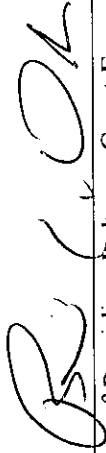


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

2-14-13

Date

Santa Clara

\_\_\_\_\_  
Court

FY 12-13 Second Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Clara

Court

FY 12-13 Second Quarter

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	Constraints displayed on the balance sheet statement do not accurately reflect the current constraints on the Courts fund balance.
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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Santa Clara

Court

FY 12-13 Second Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	909.9	764.56	757.01		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended December								2011/12	
	Fiscal Year 2012/13									
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)
General	Special Revenues		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
	Non-Grant	Grant								
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 33,696,831	\$ 218,105					\$ 33,914,735	\$ 70,963,418	\$ 43,673,128	\$ 93,233,935
Trial Court Improvement Fund								\$ 332,172	\$ (782)	\$ 332,173
Judicial Administration Efficiency & Mod Fund	\$ 0						\$ 0	\$ 464,370	\$ 85,497	\$ 526,387
Judges' Compensation (45.25)	\$ 375,251						\$ 375,251	\$ 750,500	\$ 375,252	\$ 750,500
Court Interpreter (45.45)	\$ 1,479,724						\$ 1,479,724	\$ 3,000,000	\$ 1,232,172	\$ 3,000,000
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 464,538						\$ 464,538	\$ 825,357	\$ 616,105	\$ 1,320,578
Other Miscellaneous	\$ 1,810,282						\$ 1,810,282	\$ 1,810,282		\$ 1,797,417
	\$ 37,826,426	\$ 218,105					\$ 38,044,530	\$ 78,146,097	\$ 45,981,372	\$ 100,960,988
Grants										
A&B 1058 Commissioner/Facilitator			\$ (960)				\$ (960)	\$ 2,808,199	\$ 0	\$ 2,825,607
Other AQC Grants	\$ 0		\$ 2,737				\$ 2,737	\$ 126,634	\$ 189,096	\$ 302,486
Non-AQC Grants			\$ 388,085				\$ 388,085	\$ 2,101,623	\$ 347,434	\$ 1,906,202
	\$ 0		\$ 389,861				\$ 389,861	\$ 4,834,456	\$ 538,531	\$ 4,834,295
Other Financing Sources										
Interest Income	\$ 44,317	\$ 828		\$ 5,588			\$ 50,731	\$ 75,000	\$ 59,410	\$ 150,000
Investment Income										
Donations		\$ 10,303					\$ 10,303	\$ 14,000	\$ 15,102	\$ 20,000
Local Fees	\$ 802,187						\$ 802,187	\$ 1,803,500	\$ 598,007	\$ 1,408,000
Non-Fee Revenues										
Enhanced Collections		\$ 297,942					\$ 297,942	\$ 723,000	\$ 306,548	\$ 700,000
Escheatment	\$ 6,789	\$ 14					\$ 8,803			\$ 1,000,000
Prior Year Revenue	\$ 323,765						\$ 323,765		\$ 48,214	
County Program - Restricted		\$ 105,802					\$ 105,802	\$ 331,220	\$ 114,750	\$ 351,714
Reimbursement Other	\$ 456,528	\$ 380,573	\$ 52,246				\$ 889,348	\$ 1,820,173	\$ 451,283	\$ 1,913,317
Sale of Fixed Assets										
Other Miscellaneous	\$ 673,392						\$ 673,392	\$ 1,813,253	\$ 1,083,516	\$ 2,188,253
	\$ 2,306,977	\$ 795,292	\$ 52,248	\$ 5,588			\$ 3,160,072	\$ 6,180,146	\$ 2,677,806	\$ 7,709,284
<b>Total Revenues</b>	<b>\$ 40,133,403</b>	<b>\$ 1,013,387</b>	<b>\$ 442,108</b>	<b>\$ 5,588</b>			<b>\$ 41,594,464</b>	<b>\$ 88,180,689</b>	<b>\$ 49,195,709</b>	<b>\$ 113,504,587</b>
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 24,548,542	\$ 285,282	\$ 801,382				\$ 25,435,185	\$ 57,013,818	\$ 26,543,738	\$ 59,222,889
Temp Help	\$ 329,322	\$ 1,692	\$ 80,796				\$ 411,810	\$ 483,907	\$ 480,887	\$ 1,183,960
Overtime	\$ 10,741						\$ 10,741	\$ 48,000	\$ 47,256	\$ 50,000
Staff Benefits	\$ 15,558,590	\$ 894,662	\$ 521,887				\$ 16,974,919	\$ 38,774,753	\$ 17,209,989	\$ 43,606,682
	\$ 40,447,195	\$ 1,181,836	\$ 1,203,825				\$ 42,832,855	\$ 96,320,478	\$ 44,281,848	\$ 104,043,531
Operating Expenses and Equipment										
General Expense	\$ 640,335	\$ 9,911	\$ 16,652				\$ 666,897	\$ 1,706,065	\$ 763,941	\$ 1,876,911
Printing	\$ 114,517	\$ 435					\$ 114,952	\$ 191,200	\$ 117,986	\$ 200,000
Telecommunications	\$ 436,845		\$ 463				\$ 437,108	\$ 800,280	\$ 388,354	\$ 850,000
Postage	\$ 219,088						\$ 219,088	\$ 487,000	\$ 244,502	\$ 460,000
Insurance	\$ 36,846						\$ 36,846	\$ 40,000	\$ 35,898	\$ 40,000
In-State Travel	\$ 59,050	\$ 1,188	\$ 0				\$ 60,238	\$ 101,501	\$ 30,371	\$ 163,880
Out-of-State Travel	\$ 667		\$ 1,017				\$ 1,684	\$ 18,668	\$ 8,262	
Training	\$ 47,451		\$ 0				\$ 47,451	\$ 105,217	\$ 81,932	\$ 187,942
Security Services								\$ 301,656	\$ 150,828	\$ 295,378
Facility Operations	\$ 461,893						\$ 461,893	\$ 1,134,778	\$ 377,042	\$ 1,180,236
Utilities	\$ 77,772						\$ 77,772	\$ 180,000	\$ 70,126	\$ 160,000
Contracted Services	\$ 1,923,632	\$ 186,016	\$ 854,529				\$ 2,964,177	\$ 6,711,369	\$ 2,885,747	\$ 8,683,705
Consulting and Professional Services	\$ 518,454						\$ 518,454	\$ 1,906,413	\$ 580,333	\$ 2,100,113
Information Technology	\$ 377,194						\$ 377,194	\$ 878,939	\$ 432,594	\$ 631,684
Major Equipment	\$ 27,618						\$ 27,618	\$ 7,101	\$ 375,798	\$ 112,549
Other Items of Expense	\$ 26,738						\$ 26,738	\$ 69,500	\$ 15,571	\$ 73,000
	\$ 4,965,878	\$ 187,548	\$ 872,661				\$ 6,038,088	\$ 14,599,687	\$ 8,549,284	\$ 15,264,397
Special Items of Expense										
Grand Jury	\$ 0	\$ 3,034					\$ 3,034	\$ 4,000	\$ 4,000	\$ 11,000
Jury Costs	\$ 339,968	\$ 49,068					\$ 389,037	\$ 713,770	\$ 311,138	\$ 705,000
Judgements, Settlements and Claims										
Debt Service										
Other									\$ 0	
Capital Costs				\$ 0			\$ 0	\$ 2,500,000	\$ 0	\$ 2,500,000
Internal Cost Recovery	\$ (208,733)		\$ 208,733				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment									\$ (13,248)	
	\$ 131,236	\$ 52,102	\$ 208,733	\$ 0			\$ 392,071	\$ 3,217,770	\$ 301,889	\$ 3,218,000
<b>Total Expenditures</b>	<b>\$ 45,544,309</b>	<b>\$ 1,431,288</b>	<b>\$ 2,285,218</b>	<b>\$ 0</b>			<b>\$ 49,260,813</b>	<b>\$ 114,137,935</b>	<b>\$ 51,133,000</b>	<b>\$ 122,523,927</b>
Excess (Deficit) of Revenues Over Expenditures	\$ (5,410,906)	\$ (417,919)	\$ (1,843,111)	\$ 5,588			\$ (7,666,350)	\$ (24,977,238)	\$ (1,937,291)	\$ (9,019,380)
Operating Transfers In (Out)	\$ (960)		\$ 960				\$ 0	\$ 0	\$ 0	\$ 0
Financing (Deficit)										
Beginning Balance (Deficit)	\$ 22,077,748	\$ 958,113	\$ 0	\$ 5,256,232			\$ 28,290,091	\$ 28,290,091	\$ 24,686,118	\$ 24,686,118
Ending Balance (Deficit)	\$ 16,666,880	\$ 538,194	\$ (1,842,151)	\$ 5,261,818			\$ 20,623,741	\$ 3,312,855	\$ 22,748,927	\$ 15,666,758

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

		For the month ended December						2011/12		
		Fiscal Year 2012/13								
		Governmental Funds								
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		General	Non-Grant	Grant						
<b>ASSETS</b>										
Operations		\$ (4,842,722)	\$ 518,081	\$ (1,891,433)	\$ 5,261,818		\$ 9,989,530	\$ 9,083,274	\$ (743,110)	
Payroll										
Jury										
Revolving										
Other		\$ 0						\$ 0	\$ 0	
Distribution							\$ 278,555	\$ 278,555	\$ 582,533	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (224,417)	\$ (224,417)	\$ 13,927,424	
Credit Card								\$ 16,160	\$ 16,160	
Cash on Hand		\$ 16,160						\$ 3,641,782	\$ 3,679,292	
Cash with County		\$ 3,641,782						\$ 0	\$ 0	
Cash Outside of the AOC							\$ 0	\$ 0	\$ 0	
<b>Total Cash</b>		<b>\$ (1,184,780)</b>	<b>\$ 518,081</b>	<b>\$ (1,891,433)</b>	<b>\$ 5,261,818</b>		<b>\$ 10,043,669</b>	<b>\$ 12,745,355</b>	<b>\$ 17,462,299</b>	
Short Term Investment		\$ 18,217,221					\$ 6,213,294	\$ 24,430,515	\$ 29,022,690	
Investment in Financial Institution										
<b>Total Investments</b>		<b>\$ 18,217,221</b>					<b>\$ 6,213,294</b>	<b>\$ 24,430,515</b>	<b>\$ 29,022,690</b>	
Accrued Revenue		\$ 0	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	
Accounts Receivable - General		\$ 50,725	\$ 24,249	\$ 49,591				\$ 124,565	\$ 245,347	
Dishonored Checks										
Due From Employee										
Civil Jury Fees										
Trust										
Due From Other Funds		\$ 0					\$ 0	\$ 0	\$ 0	
Due From Other Governments		\$ 0	\$ 0	\$ 0				\$ 0	\$ 515	
Due From Other Courts		\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Due From State		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Trust Due To/From							\$ 0	\$ 0	\$ 46	
Distribution Due To/From									\$ 0	
Civil Filing Fee Due To/From										
General Due To/From		\$ 6,376						\$ 6,376	\$ 8,096	
<b>Total Receivables</b>		<b>\$ 57,100</b>	<b>\$ 24,249</b>	<b>\$ 49,591</b>	<b>\$ 0</b>		<b>\$ 0</b>	<b>\$ 130,940</b>	<b>\$ 254,004</b>	
Prepaid Expenses - General		\$ 40,180						\$ 40,180	\$ 65,468	
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>		<b>\$ 40,180</b>						<b>\$ 40,180</b>	<b>\$ 65,468</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>		<b>\$ 17,129,721</b>	<b>\$ 540,330</b>	<b>\$ (1,841,842)</b>	<b>\$ 5,261,818</b>		<b>\$ 16,256,963</b>	<b>\$ 37,346,990</b>	<b>\$ 46,804,460</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General		\$ 22,756	\$ 0	\$ 0	\$ 0		\$ 0	\$ 22,756	\$ 71,120	
Due to Other Funds		\$ 0	\$ 0	\$ 0			\$ 6,376	\$ 6,376	\$ 8,142	
Due to Other Courts										
Due to State		\$ 0			\$ 0			\$ 0	\$ 1,250,000	
TC145 Liability							\$ 1,866,989	\$ 1,866,989	\$ 1,880,217	
Due to Other Governments		\$ 0	\$ 0	\$ 0				\$ 0	\$ 53	
AB145 Due to Other Government Agency		\$ 0					\$ 4,801,590	\$ 4,801,590	\$ 6,388,765	
Due to Other Public Agencies								\$ 448	\$ 1,309	
Sales and Use Tax		\$ 448						\$ 1,917	\$ 1,904	
Interest							\$ 1,917	\$ 1,917	\$ 1,904	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>		<b>\$ 23,204</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>		<b>\$ 6,676,872</b>	<b>\$ 6,700,076</b>	<b>\$ 9,601,511</b>	
Civil							\$ 4,508,654	\$ 4,508,654	\$ 9,466,089	
Criminal							\$ 4,909,383	\$ 4,909,383	\$ 4,333,570	
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 17,844	\$ 17,844	\$ 37,801	
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 9,435,882</b>	<b>\$ 9,435,882</b>	<b>\$ 13,837,461</b>	
Accrued Payroll		\$ 405,132						\$ 405,132	\$ 405,132	
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing										
<b>Total Payroll Liabilities</b>		<b>\$ 405,132</b>						<b>\$ 405,132</b>	<b>\$ 405,132</b>	
Revenue Collected in Advance				\$ 309				\$ 309	\$ 86,560	
Liabilities For Deposits		\$ 35,506	\$ 2,135	\$ 0			\$ 144,209	\$ 181,850	\$ 124,970	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections		\$ 0						\$ 0	\$ 0	
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>		<b>\$ 35,506</b>	<b>\$ 2,135</b>	<b>\$ 309</b>			<b>\$ 144,209</b>	<b>\$ 182,159</b>	<b>\$ 211,530</b>	
<b>Total Liabilities</b>		<b>\$ 463,842</b>	<b>\$ 2,135</b>	<b>\$ 309</b>	<b>\$ 0</b>		<b>\$ 16,256,963</b>	<b>\$ 16,723,249</b>	<b>\$ 24,055,633</b>	
<b>Total Fund Balance</b>		<b>\$ 16,665,880</b>	<b>\$ 538,194</b>	<b>\$ (1,842,151)</b>	<b>\$ 5,261,818</b>			<b>\$ 20,623,741</b>	<b>\$ 22,748,827</b>	
<b>Total Liabilities and Fund Balance</b>		<b>\$ 17,129,721</b>	<b>\$ 540,330</b>	<b>\$ (1,841,842)</b>	<b>\$ 5,261,818</b>		<b>\$ 16,256,963</b>	<b>\$ 37,346,990</b>	<b>\$ 46,804,460</b>	

Superior Court of California, County of Santa Clara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December										
Fiscal Year 2012/13										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 13,082,924	\$ 770,091			\$ 0		\$ 13,853,015	\$ 30,370,773	\$ 14,124,899	\$ 33,353,898
Traffic & Other Infractions	\$ 2,913,495	\$ 35,025			\$ 0		\$ 2,948,520	\$ 6,841,622	\$ 3,336,017	\$ 8,185,837
Differ Criminal Cases	\$ 5,374,393	\$ 1,012,431			\$ 0		\$ 6,386,825	\$ 14,655,730	\$ 6,402,966	\$ 16,052,855
Civil	\$ 4,250,266	\$ 153,363			\$ 0		\$ 4,403,629	\$ 10,264,369	\$ 4,838,196	\$ 11,209,438
Family & Children Services	\$ 5,184,539	\$ 646,877			\$ 0		\$ 5,831,416	\$ 14,185,088	\$ 5,532,541	\$ 13,895,059
Probate, Guardianship & Mental Health Services	\$ 1,477,371	\$ 56,544			\$ 0		\$ 1,533,915	\$ 3,317,004	\$ 1,490,590	\$ 3,487,978
Juvenile Dependency Services	\$ 270,316	\$ 73,122			\$ 0		\$ 343,437	\$ 745,497	\$ 327,515	\$ 980,832
Juvenile Delinquency Services	\$ 272,592	\$ 85,904			\$ 0		\$ 358,496	\$ 952,830	\$ 357,305	\$ 781,058
Other Court Operations	\$ 243,304	\$ 2,138			\$ 0		\$ 245,441	\$ 550,832	\$ 260,856	\$ 749,979
Court Interpreters	\$ 1,614,625	\$ 283,052			\$ 0		\$ 1,897,677	\$ 4,116,090	\$ 1,775,682	\$ 5,416,568
Jury Services	\$ 369,050	\$ 74,493	\$ 339,968		\$ 0		\$ 783,511	\$ 1,441,984	\$ 831,376	\$ 1,570,822
Security		\$ 16,306			\$ 0		\$ 16,306	\$ 301,656	\$ 312,496	\$ 345,376
Trial Court Operations Program	\$ 35,052,875	\$ 3,209,346	\$ 339,968		\$ 0		\$ 38,602,189	\$ 87,743,475	\$ 39,590,438	\$ 96,069,690
Enhanced Collections										
Other Non-Court Operations	\$ 838,201	\$ 72,091	\$ 52,102				\$ 962,393	\$ 1,741,394	\$ 812,556	\$ 288,860
Non-Court Operations Program	\$ 838,201	\$ 72,091	\$ 52,102				\$ 962,393	\$ 1,741,394	\$ 812,556	\$ 288,860
Executive Office	\$ 1,222,159	\$ 63,347					\$ 1,285,507	\$ 1,717,603	\$ 1,360,128	\$ 1,700,992
Fiscal Services	\$ 1,007,899	\$ 638,679					\$ 1,646,579	\$ 3,425,433	\$ 1,587,699	\$ 4,317,269
Human Resources	\$ 829,216	\$ 38,637					\$ 867,854	\$ 2,259,331	\$ 999,572	\$ 2,517,916
Business & Facilities Services	\$ 1,817,482	\$ 855,287		\$ 0			\$ 2,672,769	\$ 9,852,868	\$ 3,028,368	\$ 10,820,616
Information Technology	\$ 2,064,823	\$ 1,158,700			\$ 0		\$ 3,223,523	\$ 7,397,831	\$ 3,754,239	\$ 6,808,584
Court Administration Program	\$ 5,941,580	\$ 2,754,651			\$ 0		\$ 9,696,231	\$ 24,653,066	\$ 10,730,006	\$ 26,165,377
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 42,832,655	\$ 6,036,088	\$ 392,071	\$ 0	\$ 0		\$ 49,260,813	\$ 114,137,935	\$ 51,133,000	\$ 122,523,927