

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Blair H. Gamason  
Signature of Presiding Judge or Court Executive

9.23.11  
Date

Superior Court - Santa Clara  
Court

FY 2010-11 Quarter 2  
Fiscal Year and Ending Quarter

Santa Clara Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Dec									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 712,122	\$ 542,674	\$ (1,528,660)				\$ 56,353	\$ (217,511)	\$ 203,274
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 464,192	\$ 464,192	\$ 1,006,428
Civil Filing Fees							\$ 0	\$ 0	\$ 1,723,484
Trust							\$ 21,673,227	\$ 21,673,227	\$ 20,592,635
Credit Card									
Cash on Hand	\$ 16,185						\$ 0	\$ 16,185	\$ 15,385
Cash with County	\$ 3,623,199						\$ 0	\$ 3,623,199	\$ 3,861,589
Total Cash	\$ 4,351,507	\$ 542,674	\$ (1,528,660)				\$ 22,193,772	\$ 25,559,292	\$ 27,402,796
Short Term Investment	\$ 22,143,541	\$ 0					\$ 9,041,652	\$ 31,185,192	\$ 20,721,563
Investment in Financial Institution									
Total Investments	\$ 22,143,541	\$ 0					\$ 9,041,652	\$ 31,185,192	\$ 20,721,563
Accrued Revenue	\$ (133,188)	\$ 0	\$ 0				\$ 0	\$ (133,188)	\$ 20,167
Accounts Receivable - General	\$ 0		\$ 108,086					\$ 108,086	\$ 32,047
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust							\$ 750	\$ 750	\$ 0
Due From Other Funds	\$ 9,850						\$ 1,429	\$ 11,279	\$ 4,775,526
Due From Other Governments	\$ 0	\$ 22,857	\$ 0				\$ 0	\$ 22,857	\$ 0
Due From Other Courts							\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1,300,847
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ (123,338)	\$ 22,857	\$ 108,086				\$ 2,179	\$ 9,784	\$ 6,128,587
Prepaid Expenses - General	\$ 92,341							\$ 92,341	\$ 215,604
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 92,341							\$ 92,341	\$ 215,604
Other Assets									
Total Other Assets									
Total Assets	\$ 26,464,050	\$ 565,531	\$ (1,420,574)				\$ 31,237,602	\$ 56,846,609	\$ 54,468,550
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 22,125	\$ 7,929	\$ 2,805				\$ 4,647	\$ 37,505	\$ 179,542
Due to Other Funds	\$ 1,429	\$ 0	\$ 0				\$ 9,850	\$ 11,279	\$ 4,775,526
Due to Other Courts									
Due to State									
TC145 Liability							\$ 2,710,204	\$ 2,710,204	\$ 1,723,484
Due to Other Governments							\$ 6,851,593	\$ 6,851,593	\$ 5,240,788
AB145 Due to Other Government Agency	\$ 0								
Due to Other Public Agencies									
Sales and Use Tax	\$ 4,908							\$ 4,908	\$ 789
Interest							\$ 3,411	\$ 3,411	\$ 2,903
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 28,461	\$ 7,929	\$ 2,805				\$ 9,579,705	\$ 9,618,900	\$ 11,923,032
Civil							\$ 19,077,402	\$ 19,077,402	\$ 18,808,430
Criminal							\$ 2,511,677	\$ 2,511,677	\$ 2,902,341
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 19,325
Trust Interest Payable							\$ 50,712	\$ 50,712	\$ 25,466
Miscellaneous Trust									
Total Trust Deposits							\$ 21,639,791	\$ 21,639,791	\$ 21,755,562
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 405,132							\$ 405,132	\$ 405,132
Revenue Collected in Advance	\$ 63,000		\$ 123,134					\$ 186,134	\$ 247,560
Liabilities For Deposits	\$ 22,589	\$ 2,261					\$ 18,106	\$ 42,956	
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0		\$ 0				\$ 0	\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 85,589	\$ 2,261	\$ 123,134				\$ 18,106	\$ 229,090	\$ 247,560
Total Liabilities	\$ 519,182	\$ 10,190	\$ 125,939				\$ 31,237,602	\$ 31,892,914	\$ 34,331,286
Fund Balance - Restricted	\$ 2,413,876	\$ 879,031	\$ 363					\$ 3,293,269	\$ 3,254,297
Fund Balance - Unrestricted									
Designated	\$ 16,998,539							\$ 16,998,539	\$ 16,998,539
Undesignated	\$ 3,916,538	\$ 0	\$ 0					\$ 3,916,538	\$ 1,133,911
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 2,615,916	\$ (323,690)	\$ (1,546,876)					\$ 745,350	\$ (1,249,483)
Total Fund Balance	\$ 25,944,869	\$ 555,341	\$ (1,546,514)					\$ 24,953,696	\$ 20,137,264
Total Liabilities and Fund Balance	\$ 26,464,050	\$ 565,531	\$ (1,420,574)				\$ 31,237,602	\$ 56,846,609	\$ 54,468,550

Santa Clara Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Dec											
Fiscal Year 2010/11									2009/10		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 61,132,629	\$ 86,497					\$ 61,219,126	\$ 125,844,205	\$ 57,351,798	\$ 117,484,748	
Trial Court Improvement Fund	\$ (19,443)						\$ (19,443)	\$ 371,662	\$ 0	\$ 596,576	
Judicial Administration Efficiency & Mod Fund	\$ (32,548)						\$ (32,548)	\$ 872,365	\$ (28,023)	\$ 682,469	
Judges' Compensation (45.25)	\$ 330,162						\$ 330,162	\$ 750,500	\$ 375,252	\$ 740,500	
Court Interpreter (45.45)	\$ 1,171,763						\$ 1,171,763	\$ 3,178,213	\$ 1,242,807	\$ 3,190,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 1,397,414	\$ 0					\$ 1,397,414	\$ 2,025,195	\$ 827,239	\$ 970,120	
Other Miscellaneous											
	\$ 63,979,977	\$ 86,497					\$ 64,066,474	\$ 133,042,140	\$ 59,769,073	\$ 123,664,413	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 2,625,606	\$ 1,249,248	\$ 2,639,528	
Other AOC Grants			\$ 6,420				\$ 6,420	\$ 115,785	\$ (13,482)	\$ 132,300	
Non-AOC Grants			\$ 350,879				\$ 350,879	\$ 2,236,750	\$ 133,346	\$ 1,191,315	
			\$ 357,299				\$ 357,299	\$ 4,978,141	\$ 1,369,111	\$ 3,963,143	
Other Financing Sources											
Interest Income	\$ 67,920	\$ 971	\$ 156				\$ 69,047	\$ 150,000	\$ 63,368	\$ 550,000	
Investment Income											
Donations	\$ 0	\$ 13,534					\$ 13,534	\$ 40,000	\$ 40,354	\$ 40,000	
Local Fees	\$ 383,537						\$ 383,537	\$ 1,764,000	\$ 794,609	\$ 1,754,000	
Non-Fee Revenues											
Enhanced Collections		\$ 0					\$ 0	\$ 490,000	\$ 223,640	\$ 575,000	
Escheatment									\$ (1,306)		
Prior Year Revenue	\$ 309,238		\$ 0				\$ 309,238		\$ (172,895)		
County Program - Restricted		\$ 79,887					\$ 79,887	\$ 369,498	\$ 100,245	\$ 381,615	
Reimbursement Other	\$ 326,233	\$ 20,854					\$ 347,086	\$ 1,773,707	\$ 734,290	\$ 1,678,062	
Sale of Fixed Assets											
Other Miscellaneous	\$ 398,039						\$ 398,039	\$ 1,608,253	\$ 503,409	\$ 1,500,717	
	\$ 1,484,967	\$ 115,246	\$ 156				\$ 1,600,369	\$ 6,195,458	\$ 2,285,714	\$ 6,479,394	
Total Revenues	\$ 65,464,943	\$ 201,743	\$ 357,455				\$ 66,024,141	\$ 144,215,739	\$ 63,423,899	\$ 134,106,950	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 25,903,403	\$ 219,995	\$ 488,388				\$ 26,611,786	\$ 59,929,752	\$ 27,099,665	\$ 55,591,527	
Temp Help	\$ 505,268	\$ 9,720	\$ 129,998				\$ 644,985	\$ 1,077,649	\$ 392,903	\$ 316,203	
Overtime	\$ 31,233						\$ 31,233	\$ 50,000	\$ 19,854		
Staff Benefits	\$ 16,206,016	\$ 138,377	\$ 444,123				\$ 16,788,516	\$ 41,748,888	\$ 16,237,988	\$ 39,004,906	
	\$ 42,645,919	\$ 368,092	\$ 1,062,508				\$ 44,076,520	\$ 102,806,289	\$ 43,750,410	\$ 94,912,636	
Operating Expenses and Equipment											
General Expense	\$ 719,403	\$ 10,912	\$ 5,393				\$ 735,708	\$ 2,210,499	\$ 815,019	\$ 2,094,705	
Printing	\$ 76,425	\$ 850					\$ 77,275	\$ 190,000	\$ 84,719	\$ 300,000	
Telecommunications	\$ 523,784						\$ 523,784	\$ 900,000	\$ 459,192	\$ 980,000	
Postage	\$ 193,402	\$ 0					\$ 193,402	\$ 530,000	\$ 275,783	\$ 480,000	
Insurance	\$ 31,067						\$ 31,067	\$ 40,000	\$ 31,275	\$ 75,000	
In-State Travel	\$ 52,300	\$ 422	\$ 1,170				\$ 53,892	\$ 185,001	\$ 61,505	\$ 133,104	
Out-of-State Travel	\$ 2,091		\$ 2,487				\$ 4,578		\$ 201		
Training	\$ 40,847		\$ 1,230				\$ 42,077	\$ 175,000	\$ 69,359	\$ 177,196	
Security Services	\$ 13,716,673		\$ 123,884				\$ 13,840,557	\$ 29,152,191	\$ 14,200,461	\$ 28,754,518	
Facility Operations	\$ 509,492	\$ 270					\$ 509,762	\$ 1,424,650	\$ 622,736	\$ 1,417,953	
Utilities	\$ 75,298						\$ 75,298	\$ 160,000	\$ 73,502	\$ 190,000	
Contracted Services	\$ 2,970,546	\$ 111,515	\$ 493,829				\$ 3,575,890	\$ 8,032,582	\$ 2,726,562	\$ 5,682,955	
Consulting and Professional Services	\$ 873,028						\$ 873,028	\$ 1,918,562	\$ 989,677	\$ 2,439,288	
Information Technology	\$ 312,719		\$ 0				\$ 312,719	\$ 565,000	\$ 199,508	\$ 460,300	
Major Equipment	\$ 23,903						\$ 23,903		\$ 6,758		
Other Items of Expense	\$ 18,076						\$ 18,076	\$ 58,000	\$ 16,946	\$ 54,500	
	\$ 20,139,052	\$ 123,969	\$ 627,993				\$ 20,891,014	\$ 45,541,485	\$ 20,633,202	\$ 43,239,519	
Special Items of Expense											
Grand Jury		\$ 4,455					\$ 4,455	\$ 11,000	\$ 9,170	\$ 5,300	
Jury Costs	\$ 275,711	\$ 28,916					\$ 304,627	\$ 710,000	\$ 279,191	\$ 720,000	
Judgements, Settlements and Claims									\$ 1,410		
Debt Service											
Other	\$ 0						\$ 0		\$ 0		
Internal Cost Recovery	\$ (213,830)		\$ 213,830				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 2,175						\$ 2,175				
	\$ 64,057	\$ 33,372	\$ 213,830				\$ 311,258	\$ 721,000	\$ 289,771	\$ 725,300	
Total Expenditures	\$ 62,849,027	\$ 525,433	\$ 1,904,332				\$ 65,278,792	\$ 149,068,774	\$ 64,673,382	\$ 138,877,455	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,615,916	\$ (323,690)	\$ (1,546,876)				\$ 745,350	\$ (4,853,035)	\$ (1,249,483)	\$ (4,770,505)	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 23,328,953	\$ 879,031	\$ 363				\$ 24,208,346	\$ 24,208,346	\$ 21,386,747	\$ 21,386,747	
Ending Balance (Deficit)	\$ 25,944,869	\$ 555,341	\$ (1,546,514)				\$ 24,953,696	\$ 19,355,311	\$ 20,137,264	\$ 16,616,242	

Santa Clara Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended Dec								
	Fiscal Year 2010/11							2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>									
Judges & Courtroom Support	\$ 13,781,549	\$ 830,707	\$ 0	\$ 0		\$ 14,612,255	\$ 32,895,798	\$ 14,544,984	\$ 30,160,127
Traffic & Other Infractions	\$ 3,522,930	\$ 40,506				\$ 3,563,436	\$ 8,011,623	\$ 3,469,474	\$ 7,612,155
Other Criminal Cases	\$ 5,453,109	\$ 525,637		\$ 0		\$ 5,978,747	\$ 15,701,490	\$ 5,889,257	\$ 13,572,538
Civil	\$ 4,661,815	\$ 145,354				\$ 4,807,169	\$ 11,485,728	\$ 4,590,653	\$ 10,726,725
Family & Children Services	\$ 5,151,932	\$ 566,272		\$ 0	\$ 2,175	\$ 5,720,379	\$ 13,929,079	\$ 5,565,945	\$ 12,262,666
Probate, Guardianship & Mental Health Services	\$ 1,482,071	\$ 11,277				\$ 1,493,349	\$ 3,447,020	\$ 1,604,212	\$ 3,245,855
Juvenile Dependency Services	\$ 265,071	\$ 993,658				\$ 1,258,729	\$ 1,501,447	\$ 358,317	\$ 612,197
Juvenile Delinquency Services	\$ 320,098	\$ 45,870				\$ 365,968	\$ 807,526	\$ 365,160	\$ 762,547
Other Court Operations	\$ 243,985	\$ 3,942				\$ 247,928	\$ 970,771	\$ 360,449	\$ 1,016,003
Court Interpreters	\$ 1,264,158	\$ 496,932				\$ 1,761,090	\$ 5,298,457	\$ 1,751,943	\$ 4,980,802
Jury Services	\$ 434,828	\$ 147,676	\$ 275,711			\$ 858,215	\$ 1,674,113	\$ 718,443	\$ 1,644,095
Security		\$ 13,882,116				\$ 13,882,116	\$ 29,202,591	\$ 14,231,686	\$ 28,804,918
Trial Court Operations Program	\$ 36,581,547	\$ 17,689,947	\$ 275,711	\$ 0	\$ 2,175	\$ 54,549,381	\$ 124,925,643	\$ 53,450,522	\$ 115,400,628
Enhanced Collections									
Other Non-Court Operations	\$ 146,128	\$ 34,954	\$ 33,372			\$ 214,454	\$ 323,679	\$ 238,909	\$ 523,699
Non-Court Operations Program	\$ 146,128	\$ 34,954	\$ 33,372			\$ 214,454	\$ 323,679	\$ 238,909	\$ 523,699
Executive Office	\$ 1,336,765	\$ 106,155				\$ 1,442,919	\$ 2,164,744	\$ 1,394,006	\$ 2,894,691
Fiscal Services	\$ 946,593	\$ 309,613				\$ 1,256,206	\$ 3,330,119	\$ 1,486,382	\$ 2,592,971
Human Resources	\$ 1,087,050	\$ 24,328				\$ 1,111,378	\$ 2,526,137	\$ 991,584	\$ 2,446,561
Business & Facilities Services	\$ 2,055,525	\$ 1,098,342				\$ 3,153,867	\$ 9,013,430	\$ 3,892,732	\$ 8,328,948
Information Technology	\$ 1,922,912	\$ 1,627,675				\$ 3,550,587	\$ 6,785,022	\$ 3,219,246	\$ 6,689,957
Court Administration Program	\$ 7,348,845	\$ 3,166,113				\$ 10,514,957	\$ 23,819,452	\$ 10,983,950	\$ 22,953,128
Prior Year Adjustments Not Posted to a Program									
<b>Total</b>	\$ 44,076,520	\$ 20,891,014	\$ 309,083	\$ 0	\$ 2,175	\$ 65,278,792	\$ 149,068,774	\$ 64,673,382	\$ 138,877,455