

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Alex Galvo

Signature of Court Executive

11/22/2013

Date

SANTA CRUZ

Court

2013-2014 and Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

SANTA CRUZ

Court

2013-2014 and Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filed Court Employee Positions (FTEs)

SANTA CRUZ

Court

2013-2014 and Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	124.7	122.8			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2013/14

2012/13

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (3,409)	\$ 56,704	\$ (62,840)	\$ 0			\$ 175,072	\$ 165,527	\$ (72,814)
Payroll	\$ (3,333)						\$ 0	\$ (3,333)	\$ (3,580)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0						\$ (14,062)	\$ (14,062)	\$ 0
Trust							\$ (9,652)	\$ (9,652)	\$ (7,406)
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 2,472,509	\$ 2,472,509	\$ 2,210,163
Cash Outside of the AOC									
Total Cash	\$ 1,608	\$ 56,704	\$ (62,840)	\$ 0			\$ 2,623,867	\$ 2,619,339	\$ 2,134,712
Short Term Investment	\$ 4,872,949						\$ 423,395	\$ 5,296,344	\$ 4,439,867
Investment in Financial Institution							\$ 1,000,000	\$ 1,000,000	
Total Investments	\$ 4,872,949						\$ 1,423,395	\$ 6,296,344	\$ 4,439,867
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 116	\$ 0	\$ 0					\$ 116	\$ (1)
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 44,664					\$ 44,664	\$ 56,331
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 117							\$ 117	\$ 142
Total Receivables	\$ 232	\$ 0	\$ 44,664	\$ 0			\$ 0	\$ 44,896	\$ 56,473
Prepaid Expenses - General									
Salary and Travel Advances									\$ 403
Counties									
Total Prepaid Expenses									\$ 403
Other Assets									
Total Other Assets									
Total Assets	\$ 4,874,789	\$ 56,704	\$ (18,176)	\$ 0			\$ 4,047,262	\$ 8,960,580	\$ 6,631,453
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 83,781	\$ 0	\$ 0	\$ 0			\$ 22,302	\$ 106,082	\$ 63,129
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 117	\$ 117	\$ 142
Due to Other Courts									
Due to State	\$ 195							\$ 195	
TC145 Liability	\$ 0						\$ 416,835	\$ 416,835	\$ 545,430
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ (17)							\$ (17)	\$ 138
Interest							\$ 14	\$ 14	\$ 52
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 83,958	\$ 0	\$ 0	\$ 0			\$ 439,268	\$ 523,226	\$ 608,891
Civil							\$ 131,138	\$ 131,138	\$ 254,570
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 2,472,509	\$ 2,472,509	\$ 2,210,163
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 2,603,647	\$ 2,603,647	\$ 2,464,733
Accrued Payroll	\$ 2,268	\$ 0	\$ 0					\$ 2,268	\$ 0
Benefits Payable	\$ (9,755)						\$ 1,000,000	\$ 990,245	\$ 108,008
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,135							\$ 1,135	\$ 1,205
Payroll Clearing	\$ (3)							\$ (3)	\$ (5)
Total Payroll Liabilities	\$ (6,355)	\$ 0	\$ 0				\$ 1,000,000	\$ 993,645	\$ 109,207
Revenue Collected in Advance			\$ 14,500					\$ 14,500	
Liabilities For Deposits	\$ 10,631						\$ 0	\$ 10,631	\$ 12,055
Jury Fees - Non-Interest							\$ 275	\$ 275	\$ 3,600
Fees - Partial Payment & Overpayment							\$ 4,073	\$ 4,073	\$ 2,653
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 10,631		\$ 14,500				\$ 4,348	\$ 29,478	\$ 18,308
Total Liabilities	\$ 88,234	\$ 0	\$ 14,500	\$ 0			\$ 4,047,262	\$ 4,149,996	\$ 3,201,139
Total Fund Balance	\$ 4,786,555	\$ 56,704	\$ (32,676)	\$ 0				\$ 4,810,584	\$ 3,430,315
Total Liabilities and Fund Balance	\$ 4,874,789	\$ 56,704	\$ (18,176)	\$ 0			\$ 4,047,262	\$ 8,960,580	\$ 6,631,453

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14									2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 777,536	\$ 153,821					\$ 931,357	\$ 3,983,095	\$ 765,854	\$ 3,800,063
Traffic & Other Infractions	\$ 80,839	\$ 11,912					\$ 92,751	\$ 689,345	\$ 105,287	\$ 603,462
Other Criminal Cases	\$ 181,484	\$ 24,569					\$ 206,053	\$ 1,048,999	\$ 185,028	\$ 944,536
Civil	\$ 164,790	\$ 10,310					\$ 175,100	\$ 838,204	\$ 167,100	\$ 898,577
Family & Children Services	\$ 123,034	\$ 11,293			\$ 4,264		\$ 138,591	\$ 1,158,336	\$ 110,384	\$ 1,050,392
Probate, Guardianship & Mental Health Services	\$ 70,411	\$ 221					\$ 70,631	\$ 335,260	\$ 64,987	\$ 332,695
Juvenile Dependency Services	\$ 41,493	\$ (35)					\$ 41,458	\$ 78,586	\$ 41,518	\$ 97,451
Juvenile Delinquency Services	\$ 282	\$ 243					\$ 525	\$ 116,245	\$ 399	\$ 117,325
Other Court Operations	\$ 125,457	\$ 11,867					\$ 137,324	\$ 570,386	\$ 72,943	\$ 463,865
Court Interpreters	\$ 164,907	\$ 5,382					\$ 170,290	\$ 917,871	\$ 169,332	\$ 763,086
Jury Services	\$ 31,226	\$ 35,438	\$ 19,542				\$ 86,206	\$ 359,578	\$ 69,012	\$ 342,921
Security		\$ 760					\$ 760	\$ 300	\$ (28)	\$ 800
Trial Court Operations Program	\$ 1,761,458	\$ 265,782	\$ 19,542		\$ 4,264		\$ 2,051,046	\$ 10,096,205	\$ 1,751,815	\$ 9,415,173
Enhanced Collections	\$ 30,246						\$ 30,246	\$ 245,290	\$ 63,337	\$ 233,290
Other Non-Court Operations	\$ 185,763	\$ 353					\$ 186,116	\$ 890,413	\$ 213,316	\$ 740,817
Non-Court Operations Program	\$ 216,009	\$ 353					\$ 216,361	\$ 1,135,703	\$ 276,652	\$ 974,107
Executive Office	\$ 69,043	\$ 691					\$ 69,734	\$ 295,669	\$ 68,621	\$ 289,174
Fiscal Services	\$ 105,352	\$ 3,254			\$ (4,264)		\$ 104,342	\$ 476,894	\$ 101,775	\$ 661,859
Human Resources	\$ 103,128	\$ 2,287					\$ 105,415	\$ 353,485	\$ 121,477	\$ 256,504
Business & Facilities Services	\$ 132,445	\$ (9,812)					\$ 122,633	\$ 1,045,097	\$ 96,522	\$ 1,106,764
Information Technology	\$ 149,602	\$ 87,266					\$ 236,868	\$ 1,230,084	\$ (443)	\$ 1,099,412
Court Administration Program	\$ 559,571	\$ 83,686			\$ (4,264)		\$ 638,993	\$ 3,401,229	\$ 387,951	\$ 3,413,713
Expenditures Not Distributed or Posted to a Program									\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,537,037	\$ 349,821	\$ 19,542		\$ 0		\$ 2,906,400	\$ 14,633,137	\$ 2,416,418	\$ 13,802,993

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 4,503,801	\$ 18,868					\$ 4,522,669	\$ 11,722,245	\$ 2,285,068	\$ 11,087,863	
Improvement and Modernization Fund								\$ 43,143		\$ 43,144	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 165,884						\$ 165,884	\$ 828,551	\$ 152,343	\$ 650,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 21,543						\$ 21,543	\$ 203,335	\$ 28,798	\$ 170,215	
Other Miscellaneous								\$ 203,557		\$ 381,568	
	\$ 4,691,228	\$ 18,868					\$ 4,710,096	\$ 13,000,831	\$ 2,466,209	\$ 12,332,790	
Grants											
AB 1058 Commissioner/Facilitator			\$ 15,814				\$ 15,814	\$ 270,940	\$ (5,542)	\$ 282,044	
Other AOC Grants								\$ 63,798	\$ 12,468	\$ 29,000	
Non-AOC Grants											
			\$ 15,814				\$ 15,814	\$ 334,738	\$ 6,926	\$ 311,044	
Other Financing Sources											
Interest Income	\$ 171	\$ 0					\$ 171	\$ 10,862	\$ 717	\$ 10,700	
Investment Income											
Donations											
Local Fees	\$ 30,010						\$ 30,010	\$ 376,580	\$ 48,326	\$ 370,050	
Non-Fee Revenues											
Enhanced Collections		\$ 30,122					\$ 30,122	\$ 245,290	\$ 94,264	\$ 320,987	
Escheatment										\$ 40,450	
Prior Year Revenue											
County Program - Restricted		\$ 48,042					\$ 48,042	\$ 53,772	\$ 49,128	\$ 54,000	
Reimbursement Other	\$ 9,100						\$ 9,100	\$ 220,000	\$ 31,064	\$ 229,416	
Sale of Fixed Assets											
Other Miscellaneous	\$ 10,262						\$ 10,262	\$ 15,801	\$ 10,911	\$ 16,415	
	\$ 49,542	\$ 78,164					\$ 127,707	\$ 922,305	\$ 234,410	\$ 1,042,018	
Total Revenues	\$ 4,740,770	\$ 97,032	\$ 15,814				\$ 4,853,617	\$ 14,257,874	\$ 2,707,545	\$ 13,685,852	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,661,797	\$ 19,851	\$ 27,849				\$ 1,709,497	\$ 8,049,654	\$ 1,654,011	\$ 7,872,140	
Temp Help									\$ (116)		
Overtime	\$ 2,568						\$ 2,568		\$ 3,705	\$ 2,750	
Staff Benefits	\$ 796,732	\$ 13,205	\$ 15,035				\$ 824,972	\$ 3,911,270	\$ 835,822	\$ 3,646,065	
	\$ 2,461,097	\$ 33,056	\$ 42,884				\$ 2,537,037	\$ 11,960,924	\$ 2,493,422	\$ 11,520,955	
Operating Expenses and Equipment											
General Expense	\$ 57,609		\$ 20				\$ 57,629	\$ 231,756	\$ (2,144)	\$ 285,330	
Printing	\$ 13,543						\$ 13,543	\$ 54,579	\$ 12,076	\$ 84,826	
Telecommunications	\$ 14,827						\$ 14,827	\$ 118,700	\$ 12,347	\$ 111,025	
Postage	\$ 17,203						\$ 17,203	\$ 89,551	\$ 25,739	\$ 85,406	
Insurance								\$ 5,175		\$ 4,825	
In-State Travel	\$ 4,210		\$ 541				\$ 4,752	\$ 20,034	\$ 4,509	\$ 28,199	
Out-of-State Travel										\$ 3,000	
Training	\$ 924		\$ 780				\$ 1,704	\$ 15,645	\$ (744)	\$ 26,122	
Security Services	\$ 75						\$ 75	\$ 300		\$ 300	
Facility Operations	\$ (15,964)						\$ (15,964)	\$ 651,326	\$ (26,935)	\$ 488,900	
Utilities											
Contracted Services	\$ 85,141	\$ 7,500					\$ 92,641	\$ 777,922	\$ 62,403	\$ 630,715	
Consulting and Professional Services	\$ 3,115						\$ 3,115	\$ 23,765	\$ 5,005	\$ 15,000	
Information Technology	\$ 80,943						\$ 80,943	\$ 445,910	\$ 4,500	\$ 396,250	
Major Equipment	\$ 79,101						\$ 79,101	\$ 98,250	\$ (187,706)		
Other Items of Expense	\$ 251						\$ 251	\$ 3,300	\$ 452	\$ 5,140	
	\$ 340,979	\$ 7,500	\$ 1,342				\$ 349,821	\$ 2,536,213	\$ (90,499)	\$ 2,165,038	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 19,542						\$ 19,542	\$ 136,000	\$ 13,495	\$ 117,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (4,264)		\$ 4,264				\$ 0	\$ 0		\$ 0	
Prior Year Expense Adjustment											
	\$ 15,278		\$ 4,264				\$ 19,542	\$ 136,000	\$ 13,495	\$ 117,000	
Total Expenditures	\$ 2,817,354	\$ 40,556	\$ 48,490				\$ 2,906,400	\$ 14,633,137	\$ 2,416,418	\$ 13,802,993	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,923,417	\$ 56,476	\$ (32,676)				\$ 1,947,217	\$ (375,263)	\$ 291,127	\$ (117,141)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,863,139	\$ 228	\$ 0	\$ 0			\$ 2,863,367	\$ 2,863,367	\$ 3,139,188	\$ 3,139,188	
Ending Balance (Deficit)	\$ 4,786,555	\$ 56,704	\$ (32,676)	\$ 0			\$ 4,810,584	\$ 2,488,104	\$ 3,430,315	\$ 3,022,047	