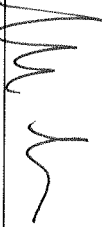


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

1/6/2012

Date

Santa Cruz

Court

2011-2012 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Cruz

Court

2011-2012 1st Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Cruz

Court

2011-2012 1st Quarter

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
118.95	118.95				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Santa Cruz Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
	Fiscal Year 2011/12							2010/11			
	Governmental Funds					Proprietar y Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,982,472							\$ 2,982,472	\$ 12,638,251	\$ 2,835,189	\$ 16,420,687
Trial Court Improvement Fund	\$ (1)							\$ (1)	\$ 56,289	\$ (9,075)	\$ 54,381
Judicial Administration Efficiency & Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 125,992							\$ 125,992	\$ 595,000	\$ 245,404	\$ 691,106
Civil Coordination Reimbursement											
MOU Reimbursements (45.10 and Other Miscellaneous	\$ 18,508							\$ 18,508	\$ 215,993	\$ 2,422	\$ 218,028
	\$ 3,126,971							\$ 3,126,971	\$ 13,505,533	\$ 3,073,940	\$ 17,384,201
Grants											
AB 1058 Commissioner/Facilitator											
Other AOC Grants			\$ (25,837)					\$ (25,837)	\$ 326,152	\$ (20,846)	\$ 285,712
Non-AOC Grants									\$ 26,899		\$ 52,734
			\$ (25,837)					\$ (25,837)	\$ 353,051	\$ (20,846)	\$ 338,446
Other Financing Sources											
Interest Income	\$ 233	\$ 1						\$ 234	\$ 7,800	\$ 306	\$ 8,797
Investment Income											
Donations											
Local Fees	\$ 38,815							\$ 38,815	\$ 463,000	\$ 19,966	\$ 454,348
Non-Fee Revenues	\$ 6,356							\$ 6,356	\$ 0	\$ 5,355	\$ 22,715
Enhanced Collections		\$ 56,131						\$ 56,131	\$ 236,400	\$ 38,848	\$ 181,616
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 50,526						\$ 50,526	\$ 75,828	\$ 55,651	\$ 75,310
Reimbursement Other	\$ 39,598							\$ 39,598	\$ 212,857	\$ 10,545	\$ 228,057
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,024							\$ 1,024	\$ 10,200	\$ 905	\$ 7,241
	\$ 86,026	\$ 106,657						\$ 192,683	\$ 1,006,085	\$ 131,576	\$ 978,084
Total Revenues	\$ 3,212,996	\$ 106,657	\$ (25,837)					\$ 3,293,817	\$ 14,864,669	\$ 3,184,671	\$ 18,700,731

**Santa Cruz Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)**

For the month ended Sep											
Fiscal Year 2011/12											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2010/11		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,626,525	\$ 42,422	\$ 27,400				\$ 1,696,347	\$ 7,998,141	\$ 1,673,992	\$ 7,697,835	
Temp Help	\$ (159)						\$ (159)				
Overtime	\$ 1,385						\$ 1,385		\$ (5)		
Staff Benefits	\$ 709,415	\$ 18,153	\$ 11,700				\$ 739,268	\$ 3,570,205	\$ 645,022	\$ 4,680,107	
	\$ 2,337,166	\$ 60,575	\$ 39,100				\$ 2,436,841	\$ 11,568,346	\$ 2,319,009	\$ 12,377,942	
Operating Expenses and Equipment											
General Expense	\$ 96,204						\$ 96,204	\$ 411,265	\$ 19,049	\$ 348,961	
Printing	\$ 9,426						\$ 9,426	\$ 50,000	\$ 8,897	\$ 50,000	
Telecommunications	\$ 37,794						\$ 37,794	\$ 216,523	\$ 31,680	\$ 216,523	
Postage	\$ 19,178						\$ 19,178	\$ 130,800	\$ 18,895	\$ 115,800	
Insurance	\$ 72						\$ 72	\$ 6,961		\$ 6,961	
In-State Travel	\$ 2,991		\$ 1,990				\$ 4,980	\$ 64,286	\$ 1,513	\$ 31,534	
Out-of-State Travel	\$ 0						\$ 0				
Training	\$ 2,845		\$ 750				\$ 3,595	\$ 2,550	\$ 855	\$ 2,300	
Security Services	\$ 75						\$ 75	\$ 580	\$ (644,847)	\$ 2,783,020	
Facility Operations	\$ 2,092						\$ 2,092	\$ 489,600	\$ 12,925	\$ 394,600	
Utilities											
Contracted Services	\$ 64,365	\$ 9,167	\$ (25,837)				\$ 47,695	\$ 737,715	\$ 81,696	\$ 759,926	
Consulting and Professional Services	\$ 4,720						\$ 4,720	\$ 16,500	\$ 2,546	\$ 16,500	
Information Technology	\$ 75,284						\$ 75,284	\$ 665,539	\$ 8,046	\$ 747,387	
Major Equipment	\$ 0						\$ 0				
Other Items of Expense	\$ 480						\$ 480	\$ 2,900	\$ 994	\$ 2,900	
	\$ 315,526	\$ 9,167	\$ (23,097)				\$ 301,595	\$ 2,795,219	\$ (457,752)	\$ 5,476,412	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 41,213						\$ 41,213	\$ 88,000	\$ 5,997	\$ 103,200	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (3,331)	\$ 3,331					\$ 0	\$ 0		\$ 30,503	
Prior Year Expense Adjustment											
	\$ 37,882	\$ 3,331					\$ 41,213	\$ 88,000	\$ 5,997	\$ 133,703	
Total Expenditures	\$ 2,690,574	\$ 73,073	\$ 16,003				\$ 2,779,649	\$ 14,451,565	\$ 1,867,254	\$ 17,988,057	
Excess (Deficit) of Revenues Over	\$ 522,423	\$ 33,585	\$ (41,840)				\$ 514,168	\$ 413,104	\$ 1,317,417	\$ 712,674	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,678,298	\$ 5,785	\$ 0	\$ 0			\$ 3,684,083	\$ 3,684,083	\$ 365,085	\$ 365,085	
Ending Balance (Deficit)	\$ 4,200,721	\$ 39,369	\$ (41,840)	\$ 0			\$ 4,198,251	\$ 4,097,187	\$ 1,682,502	\$ 1,077,759	

Santa Cruz Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2011/12									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 46,045	\$ 39,369	\$ (110,735)	\$ 0		\$ 9,005	\$ (16,316)	\$ (83,290)	
Payroll	\$ (2,543)					\$ 0	\$ (2,543)	\$ (1,759)	
Jury									
Revolving	\$ 5,000						\$ 5,000	\$ 5,000	
Other									
Distribution									
Civil Filing Fees	\$ 0	\$ 0				\$ 125	\$ 125	\$ 5	
Trust						\$ 177,508	\$ 177,508	\$ 147,258	
Credit Card							\$ 3,350	\$ 3,350	
Cash on Hand	\$ 3,350						\$ 3,350	\$ 682,953	
Cash with County								\$ 753,515	
Total Cash	\$ 51,852	\$ 39,369	\$ (110,735)	\$ 0		\$ 1,148,392	\$ 1,148,382	\$ 682,953	
Short Term Investment									
Investment in Financial Institution	\$ 4,246,302					\$ 493,548	\$ 4,739,850	\$ 1,874,937	
Total Investments	\$ 4,246,302					\$ 493,548	\$ 4,739,850	\$ 1,874,937	
Accrued Revenue	\$ 0	\$ 0		\$ 0		\$ 0	\$ 0	\$ 0	
Accounts Receivable - General									
Dishonored Checks	\$ 1	\$ 0	\$ 0				\$ 1	\$ 1,488	
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 75	
Due From Other Governments	\$ 0	\$ 0					\$ 0	\$ 0	
Due From Other Courts	\$ 0						\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 68,895				\$ 68,895	\$ 36,118	
Trust Due To/From							\$ 0	\$ 0	
Distribution Due To/From							\$ 0	\$ 0	
Civil Filing Fee Due To/From							\$ 0	\$ 0	
General Due To/From	\$ 105						\$ 105	\$ 105	
Total Receivables	\$ 106	\$ 0	\$ 68,895	\$ 0		\$ 0	\$ 69,001	\$ 37,680	
Prepaid Expenses - General									
Salary and Travel Advances			\$ 0				\$ 0	\$ 0	
Counties									
Total Prepaid Expenses			\$ 0				\$ 0	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 4,298,200	\$ 39,369	\$ (41,840)	\$ 0		\$ 1,828,877	\$ 6,124,367	\$ 2,666,131	

Santa Cruz Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2011/12								2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General	\$ 92,974	\$ 0	\$ 0	\$ 0			\$ 92,974	\$ 52,977	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 105	\$ 75	
Due to Other Courts									
Due to State	\$ 0						\$ 0	\$ 0	
TC145 Liability	\$ 0						\$ 502,641	\$ 245,921	
Due to Other Governments	\$ 0		\$ 0				\$ 0	\$ 0	
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax									
Interest							\$ 57	\$ 63	
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 92,974	\$ 0	\$ 0	\$ 0			\$ 502,803	\$ 299,037	
Civil							\$ 161,684	\$ 134,138	
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 1,148,392	\$ 682,953	
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 1,310,076	\$ 817,091	
Accrued Payroll	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Benefits Payable	\$ (3,885)						\$ (3,885)	\$ (155,456)	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 2,220						\$ 2,220	\$ 4,516	
Payroll Clearing	\$ 0						\$ 0	\$ 0	
Total Payroll Liabilities	\$ (1,665)	\$ 0	\$ 0				\$ (1,665)	\$ (150,939)	
Revenue Collected in Advance									
Liabilities For Deposits	\$ 6,231						\$ 5,878	\$ 11,045	
Jury Fees - Non-Interest							\$ 9,270	\$ 7,395	
Fees - Partial Payment & Overpayment							\$ 550		
Uncleared Collections								\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,231						\$ 15,698	\$ 18,440	
Total Liabilities	\$ 97,539	\$ 0	\$ 0	\$ 0			\$ 1,828,577	\$ 983,630	
Fund Balance - Nonspendable	\$ 0	\$ 785	\$ 0	\$ 0			\$ 785	\$ 72,967	
Fund Balance - Restricted	\$ 0						\$ 0		
Fund Balance - Committed	\$ 364,300						\$ 364,300	\$ 54,467	
Fund Balance - Assigned	\$ 3,313,998	\$ 5,000	\$ 0	\$ 0			\$ 3,318,998	\$ 237,650	
Fund Balance - Unassigned	\$ 522,423	\$ 33,585	\$ (41,840)				\$ 514,168	\$ 1,317,417	
Excess (Deficit) of Rev. Over Expenses/Op. Transfers									
Total Fund Balance	\$ 4,200,721	\$ 39,369	\$ (41,840)	\$ 0			\$ 4,198,251	\$ 1,682,502	
Total Liabilities and Fund Balance	\$ 4,298,260	\$ 39,369	\$ (41,840)	\$ 0			\$ 6,124,367	\$ 2,666,131	

Santa Cruz Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep								
Fiscal Year 2011/12								
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)
PROGRAM EXPENDITURES:								
Judges & Courtroom Support	\$ 702,688	\$ 42,673					\$ 745,361	\$ 3,780,284
Traffic & Other Infractions	\$ 93,207	\$ 5,389					\$ 98,597	\$ 699,542
Other Criminal Cases	\$ 166,198	\$ 20,743					\$ 186,942	\$ 886,929
Civil	\$ 199,733	\$ (4,807)			\$ (3,331)		\$ 191,595	\$ 1,234,505
Family & Children Services	\$ 105,820	\$ 8,058					\$ 113,879	\$ 975,692
Probate, Guardianship & Mental Health Services	\$ 66,944	\$ 384					\$ 67,328	\$ 338,479
Juvenile Dependency Services	\$ 53,691	\$ (1)					\$ 53,690	\$ 262,473
Juvenile Delinquency Services		\$ 194					\$ 194	\$ 1,605
Other Court Operations	\$ 78,450	\$ 2,897					\$ 81,347	\$ 390,226
Court Interpreters	\$ 136,167	\$ 21,373					\$ 157,540	\$ 741,493
Jury Services	\$ 29,627	\$ 26,234	\$ 41,213				\$ 99,074	\$ 294,143
Security		\$ 75					\$ 75	
Trial Court Operations Program	\$ 1,632,526	\$ 125,213	\$ 41,213		\$ (3,331)		\$ 1,795,621	\$ 9,605,371
Enhanced Collections	\$ 60,985	\$ 78			\$ 3,331		\$ 64,394	\$ 236,400
Other Non-Court Operations	\$ 195,340	\$ 3,154					\$ 198,494	\$ 873,652
Non-Court Operations Program	\$ 256,325	\$ 3,232			\$ 3,331		\$ 262,888	\$ 1,110,052
Executive Office	\$ 66,841	\$ 2,403					\$ 69,244	\$ 532,181
Fiscal Services	\$ 109,452	\$ 2,346					\$ 111,798	\$ 516,116
Human Resources	\$ 127,242	\$ 4,363					\$ 131,605	\$ 272,821
Business & Facilities Services	\$ 134,247	\$ 8,127					\$ 142,374	\$ 916,714
Information Technology	\$ 110,207	\$ 155,911					\$ 266,118	\$ 1,498,310
Court Administration Program	\$ 547,989	\$ 173,150					\$ 721,140	\$ 3,736,142
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0	
Prior Year Adjustments Not Posted to a Program								
Total	\$ 2,438,841	\$ 301,595	\$ 41,213		\$ 0		\$ 2,779,649	\$ 14,451,565

	2010/11	
	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:		
Judges & Courtroom Support	\$ 77,859	\$ 3,892,953
Traffic & Other Infractions	\$ 143,973	\$ 673,261
Other Criminal Cases	\$ 175,426	\$ 749,650
Civil	\$ 256,610	\$ 1,646,444
Family & Children Services	\$ 107,867	\$ 985,117
Probate, Guardianship & Mental Health Services	\$ 60,463	\$ 320,031
Juvenile Dependency Services	\$ 55,449	\$ 183,149
Juvenile Delinquency Services		\$ 1,605
Other Court Operations	\$ 73,062	\$ 365,990
Court Interpreters	\$ 131,558	\$ 712,497
Jury Services	\$ 51,516	\$ 301,661
Security		\$ 2,759,053
Trial Court Operations Program	\$ 1,133,804	\$ 12,591,411
Enhanced Collections	\$ 30,096	\$ 27,648
Other Non-Court Operations	\$ 175,979	\$ 761,602
Non-Court Operations Program	\$ 206,075	\$ 789,450
Executive Office	\$ 101,956	\$ 464,259
Fiscal Services	\$ 121,303	\$ 826,331
Human Resources	\$ 73,072	\$ 905,930
Business & Facilities Services	\$ 91,427	\$ 811,874
Information Technology	\$ 139,618	\$ 1,598,802
Court Administration Program	\$ 527,376	\$ 4,607,196
Expenditures Not Distributed or Posted to a Program	\$ 0	
Prior Year Adjustments Not Posted to a Program		
Total	\$ 1,867,254	\$ 17,988,057