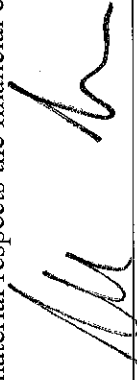


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

04/15/2013

Date

Santa Cruz Superior

Court

2012-2013 Q3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Cruz Superior
Court

Q3 FY 12-13
Fiscal Year and Ending Quarter

FOOTNOTES

1	Court reported 116.5 full time employees (FTEs) in quarter two of fiscal year 2012-2013; however, the correct total FTEs should have been 111.00. The error was due to a calculation error in Human Resources module of SAP; moreover, a repair is being implemented.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sania Cruz Superior
 Court

Q3 FY 12-13
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	118.95			111.00	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (9,241)	\$ 71,074	\$ (223,749)	\$ 0			\$ 359,883	\$ 197,966	\$ (125,231)
Payroll	\$ (1,292)						\$ 0	\$ (1,292)	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0						\$ 11,920	\$ 11,920	\$ 415
Trust							\$ (10,395)	\$ (10,395)	\$ 208,382
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 1,786,181	\$ 1,786,181	\$ 1,721,241
Cash Outside of the AOC									
Total Cash	\$ (2,183)	\$ 71,074	\$ (223,749)	\$ 0			\$ 2,147,588	\$ 1,992,730	\$ 1,813,157
Short Term Investment	\$ 3,429,655						\$ 405,115	\$ 3,834,770	\$ 5,633,502
Investment in Financial Institution									
Total Investments	\$ 3,429,655						\$ 405,115	\$ 3,834,770	\$ 5,633,502
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 351	\$ 0	\$ 0					\$ 351	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 160,417					\$ 160,417	\$ 111,083
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 250							\$ 250	\$ 135
Total Receivables	\$ 601	\$ 0	\$ 160,417	\$ 0			\$ 0	\$ 161,018	\$ 111,218
Prepaid Expenses - General									
Salary and Travel Advances			\$ 0					\$ 0	\$ 0
Counties									
Total Prepaid Expenses			\$ 0					\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,428,073	\$ 71,074	\$ (63,333)	\$ 0			\$ 2,552,704	\$ 5,988,518	\$ 7,557,877
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 7,302	\$ 0	\$ 0	\$ 0			\$ 0	\$ 7,302	\$ 66,697
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 250	\$ 250	\$ 135
Due to Other Courts									
Due to State									\$ 0
TC145 Liability	\$ 0						\$ 491,963	\$ 491,963	\$ 499,786
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 241							\$ 241	\$ 138
Interest							\$ 33	\$ 33	\$ 73
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 7,543	\$ 0	\$ 0	\$ 0			\$ 492,246	\$ 499,789	\$ 566,829
Civil							\$ 262,850	\$ 262,850	\$ 190,244
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 1,786,181	\$ 1,786,181	\$ 1,721,241
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 2,049,031	\$ 2,049,031	\$ 1,911,484
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (7,866)							\$ (7,866)	\$ (4,849)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 2,263							\$ 2,263	\$ 3,211
Payroll Clearing	\$ (6)							\$ (6)	\$ 0
Total Payroll Liabilities	\$ (5,610)	\$ 0	\$ 0					\$ (5,610)	\$ (1,638)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 10,631						\$ 5,825	\$ 16,456	\$ 12,109
Jury Fees - Non-Interest							\$ 675	\$ 675	\$ 8,775
Fees - Partial Payment & Overpayment							\$ 4,928	\$ 4,928	\$ 3,350
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 10,631						\$ 11,427	\$ 22,058	\$ 24,234
Total Liabilities	\$ 12,564	\$ 0	\$ 0	\$ 0			\$ 2,552,704	\$ 2,565,268	\$ 2,500,909
Total Fund Balance	\$ 3,415,509	\$ 71,074	\$ (63,333)	\$ 0				\$ 3,423,250	\$ 5,056,968
Total Liabilities and Fund Balance	\$ 3,428,073	\$ 71,074	\$ (63,333)	\$ 0			\$ 2,552,704	\$ 5,988,518	\$ 7,557,877

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 2,439,201	\$ 292,903			\$ 13,803		\$ 2,745,907	\$ 3,800,063	\$ 2,740,405	\$ 4,388,784
Traffic & Other Infractions	\$ 318,339	\$ 23,756					\$ 342,095	\$ 603,462	\$ 347,570	\$ 726,042
Other Criminal Cases	\$ 542,928	\$ 66,794					\$ 609,722	\$ 943,036	\$ 592,720	\$ 1,029,316
Civil	\$ 554,611	\$ 67,170					\$ 621,781	\$ 898,577	\$ 660,744	\$ 1,312,230
Family & Children Services	\$ 437,318	\$ 39,924			\$ 14,778		\$ 492,020	\$ 1,042,961	\$ 441,080	\$ 1,005,292
Probate, Guardianship & Mental Health Services	\$ 222,137	\$ 2,270					\$ 224,407	\$ 332,695	\$ 224,723	\$ 381,579
Juvenile Dependency Services	\$ 136,820	\$ 929					\$ 137,749	\$ 97,451	\$ 160,593	\$ 272,473
Juvenile Delinquency Services	\$ 1,204	\$ 803					\$ 2,007	\$ 117,325	\$ 724	\$ 1,605
Other Court Operations	\$ 264,556	\$ 77,367					\$ 341,923	\$ 463,215	\$ 265,365	\$ 464,451
Court Interpreters	\$ 513,748	\$ 44,894					\$ 558,642	\$ 763,086	\$ 543,599	\$ 825,243
Jury Services	\$ 104,139	\$ 48,107	\$ 81,792				\$ 234,038	\$ 342,921	\$ 236,677	\$ 360,241
Security		\$ 122					\$ 122	\$ 800	\$ 150	\$ 200,000
Trial Court Operations Program	\$ 5,535,002	\$ 665,039	\$ 81,792		\$ 28,581		\$ 6,310,415	\$ 9,405,592	\$ 6,214,352	\$ 10,967,256
Enhanced Collections	\$ 148,905	\$ 11,472			\$ 19,099		\$ 179,476	\$ 233,290	\$ 254,792	\$ 252,600
Other Non-Court Operations	\$ 633,292	\$ 6,388					\$ 639,680	\$ 739,917	\$ 707,263	\$ 1,690,677
Non-Court Operations Program	\$ 782,197	\$ 17,860			\$ 19,099		\$ 819,156	\$ 973,207	\$ 962,055	\$ 1,943,277
Executive Office	\$ 194,748	\$ 1,732					\$ 196,480	\$ 289,174	\$ 199,526	\$ 571,431
Fiscal Services	\$ 360,593	\$ 74,032	\$ 599		\$ (47,681)		\$ 387,544	\$ 661,859	\$ 482,763	\$ 573,991
Human Resources	\$ 324,727	\$ 31,948					\$ 356,676	\$ 256,504	\$ 421,217	\$ 315,321
Business & Facilities Services	\$ 426,800	\$ 178,522					\$ 605,322	\$ 1,106,764	\$ 609,603	\$ 1,093,202
Information Technology	\$ 450,541	\$ 175,589					\$ 626,130	\$ 1,099,412	\$ 896,094	\$ 2,210,495
Court Administration Program	\$ 1,757,410	\$ 461,824	\$ 599		\$ (47,681)		\$ 2,172,152	\$ 3,413,713	\$ 2,609,203	\$ 4,764,440
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
Total	\$ 8,074,609	\$ 1,144,723	\$ 82,391		\$ 0		\$ 9,301,723	\$ 13,792,512	\$ 9,785,610	\$ 17,674,973

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 7,758,655	\$ 75,472					\$ 7,834,127	\$ 10,533,763	\$ 9,636,725	\$ 12,875,084	
Trial Court Improvement Fund	\$ 1,945						\$ 1,945	\$ 55,464	\$ 35,287	\$ 56,289	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 534,443						\$ 534,443	\$ 650,000	\$ 459,682	\$ 595,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 134,166						\$ 134,166	\$ 184,340	\$ 178,168	\$ 215,993	
Other Miscellaneous	\$ 203,557						\$ 203,557	\$ 94,526	\$ 94,526	\$ 94,526	
	\$ 8,632,766	\$ 75,472					\$ 8,708,238	\$ 11,518,093	\$ 10,309,862	\$ 13,836,892	
Grants											
AB 1058 Commissioner/Facilitator			\$ 169,296				\$ 169,296	\$ 282,044	\$ 106,529	\$ 326,152	
Other AOC Grants			\$ 24,936				\$ 24,936	\$ 24,936	\$ 0	\$ 24,936	
Non-AOC Grants											
			\$ 194,232				\$ 194,232	\$ 306,980	\$ 106,529	\$ 351,088	
Other Financing Sources											
Interest Income	\$ 6,824	\$ 122					\$ 6,946	\$ 12,000	\$ 8,583	\$ 7,800	
Investment Income											
Donations											
Local Fees	\$ 221,041						\$ 221,041	\$ 361,025	\$ 264,840	\$ 463,000	
Non-Fee Revenues									\$ 6,356	\$ 0	
Enhanced Collections		\$ 202,023					\$ 202,023	\$ 233,187	\$ 256,254	\$ 296,400	
Escheatment	\$ 40,430						\$ 40,430				
Prior Year Revenue											
County Program - Restricted		\$ 52,642					\$ 52,642	\$ 54,000	\$ 56,496	\$ 75,828	
Reimbursement Other	\$ 147,110						\$ 147,110	\$ 228,616	\$ 136,633	\$ 223,575	
Sale of Fixed Assets											
Other Miscellaneous	\$ 13,125						\$ 13,125	\$ 10,375	\$ 12,943	\$ 10,200	
	\$ 428,529	\$ 254,786					\$ 683,316	\$ 899,203	\$ 742,105	\$ 1,076,803	
Total Revenues	\$ 9,061,295	\$ 330,258	\$ 194,232				\$ 9,585,785	\$ 12,724,276	\$ 11,158,495	\$ 15,264,783	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,180,152	\$ 101,990	\$ 135,447				\$ 5,417,588	\$ 7,872,140	\$ 5,664,520	\$ 8,582,641	
Temp Help	\$ 1,483						\$ 1,483	\$ 2,233	\$ 3,100	\$ 3,100	
Overtime	\$ 11,649						\$ 11,649	\$ 2,750	\$ 3,680	\$ 5,250	
Staff Benefits	\$ 2,523,320	\$ 59,227	\$ 61,342				\$ 2,643,889	\$ 3,646,065	\$ 2,534,759	\$ 3,793,205	
	\$ 7,716,603	\$ 161,217	\$ 196,789				\$ 8,074,609	\$ 11,520,955	\$ 8,205,193	\$ 12,384,196	
Operating Expenses and Equipment											
General Expense	\$ 207,700		\$ 520				\$ 208,220	\$ 281,690	\$ 286,440	\$ 941,521	
Printing	\$ 39,781		\$ 29				\$ 39,810	\$ 84,826	\$ 37,859	\$ 84,000	
Telecommunications	\$ 48,231						\$ 48,231	\$ 111,025	\$ 63,930	\$ 249,523	
Postage	\$ 54,950	\$ 8,472					\$ 63,422	\$ 85,406	\$ 68,275	\$ 145,800	
Insurance	\$ 5,093						\$ 5,093	\$ 4,825	\$ 4,796	\$ 6,961	
In-State Travel	\$ 12,820		\$ 770				\$ 13,591	\$ 26,549	\$ 12,048	\$ 67,086	
Out-of-State Travel								\$ 3,000	\$ 0	\$ 0	
Training	\$ 11,325		\$ 1,085				\$ 12,410	\$ 25,645	\$ 5,346	\$ 4,550	
Security Services	\$ 150						\$ 150	\$ 300	\$ 150	\$ 580	
Facility Operations	\$ 178,872						\$ 178,872	\$ 488,900	\$ 217,908	\$ 1,354,013	
Utilities											
Contracted Services	\$ 374,502	\$ 30,000	\$ 24,936				\$ 429,438	\$ 626,651	\$ 436,357	\$ 843,252	
Consulting and Professional Services	\$ 11,965						\$ 11,965	\$ 15,000	\$ 12,440	\$ 16,500	
Information Technology	\$ 124,879	\$ 750					\$ 125,629	\$ 396,250	\$ 319,611	\$ 786,879	
Major Equipment	\$ 5,827						\$ 5,827		\$ 26,336	\$ 668,512	
Other Items of Expense	\$ 2,064						\$ 2,064	\$ 4,490	\$ 1,634	\$ 2,900	
	\$ 1,078,160	\$ 39,222	\$ 27,341				\$ 1,144,723	\$ 2,154,557	\$ 1,493,131	\$ 5,172,077	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 81,792						\$ 81,792	\$ 117,000	\$ 87,286	\$ 118,700	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 599						\$ 599				
Capital Costs											
Internal Cost Recovery	\$ (47,681)	\$ 19,099	\$ 28,581				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 34,710	\$ 19,099	\$ 28,581				\$ 82,391	\$ 117,000	\$ 87,286	\$ 118,700	
Total Expenditures	\$ 8,829,473	\$ 219,538	\$ 252,711				\$ 9,301,723	\$ 13,792,512	\$ 9,785,610	\$ 17,674,973	
Excess (Deficit) of Revenues Over Expenditures	\$ 231,822	\$ 110,720	\$ (58,480)				\$ 284,062	\$ (1,068,236)	\$ 1,372,885	\$ (2,410,190)	
Operating Transfers In (Out)	\$ 44,623	\$ (39,770)	\$ (4,853)				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,139,063	\$ 125	\$ 0	\$ 0			\$ 3,139,188	\$ 3,139,188	\$ 3,684,083	\$ 3,684,083	
Ending Balance (Deficit)	\$ 3,415,509	\$ 71,074	\$ (63,333)	\$ 0			\$ 3,423,250	\$ 2,070,952	\$ 5,056,968	\$ 1,273,893	