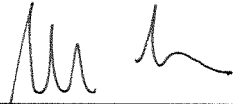


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

10/7/2011  
\_\_\_\_\_  
Date

Santa Cruz.  
\_\_\_\_\_  
Court

4<sup>th</sup> Quarter 2010/2011  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
 Filled Court Employee Positions

Santa Cuz

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Court

FY 2010-2011 4th Quarter

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions <sup>1</sup>	Positions Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions	146.45	147.45	148.05	148.05	118.95

<sup>1</sup> Total Authorized Positions (FTEs) pre-populated using information submitted in the court's FY 2010-2011 Schedule 7A.

Santa Cruz Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Jun											
Fiscal Year 2010/11										2009/10	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 16,842,609						\$ 16,842,609	\$ 16,420,687	\$ 15,251,325	\$ 14,996,171	
Trial Court Improvement Fund	\$ 55,463						\$ 55,463	\$ 54,381	\$ 66,787	\$ 35,289	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 565,009						\$ 565,009	\$ 691,105	\$ 718,345	\$ 679,058	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 214,731						\$ 214,731	\$ 218,028	\$ 189,434	\$ 256,359	
Other Miscellaneous											
	<b>\$ 17,677,813</b>						<b>\$ 17,677,813</b>	<b>\$ 17,384,201</b>	<b>\$ 16,225,891</b>	<b>\$ 15,966,877</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 297,714				\$ 297,714	\$ 285,712	\$ 287,784	\$ 285,047	
Other AOC Grants			\$ 52,734				\$ 52,734	\$ 52,734	\$ 29,000		
Non-AOC Grants											
			<b>\$ 350,448</b>				<b>\$ 350,448</b>	<b>\$ 338,446</b>	<b>\$ 316,784</b>	<b>\$ 285,047</b>	
Other Financing Sources											
Interest Income	\$ 12,898	\$ 44					\$ 12,941	\$ 8,797	\$ 8,797	\$ 20,812	
Investment Income											
Donations											
Local Fees	\$ 465,757						\$ 465,757	\$ 454,348	\$ 286,060	\$ 309,578	
Non-Fee Revenues	\$ 22,635						\$ 22,635	\$ 22,715	\$ 23,119	\$ 22,715	
Enhanced Collections		\$ 228,235					\$ 228,235	\$ 181,616	\$ 184,754	\$ 216,084	
Escheatment									\$ 1,600		
Prior Year Revenue											
County Program - Restricted		\$ 64,971					\$ 64,971	\$ 75,310	\$ 61,682	\$ 68,237	
Reimbursement Other	\$ 259,701						\$ 259,701	\$ 228,057	\$ 398,008	\$ 407,949	
Sale of Fixed Assets											
Other Miscellaneous	\$ 6,777						\$ 6,777	\$ 7,241	\$ 7,366	\$ 8,506	
	<b>\$ 767,768</b>	<b>\$ 293,251</b>					<b>\$ 1,061,018</b>	<b>\$ 978,084</b>	<b>\$ 971,386</b>	<b>\$ 1,053,881</b>	
<b>Total Revenues</b>	<b>\$ 18,445,580</b>	<b>\$ 293,251</b>	<b>\$ 350,448</b>				<b>\$ 19,089,279</b>	<b>\$ 18,700,731</b>	<b>\$ 17,514,060</b>	<b>\$ 17,305,805</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 7,132,600	\$ 136,180	\$ 236,546				\$ 7,505,326	\$ 7,697,835	\$ 8,356,820	\$ 7,954,683	
Temp Help	\$ 24,231						\$ 24,231		\$ (236)		
Overtime	\$ (28)						\$ (28)		\$ 159		
Staff Benefits	\$ 3,347,656	\$ 64,309	\$ 87,447				\$ 3,499,412	\$ 4,680,107	\$ 3,685,666	\$ 4,224,342	
	<b>\$ 10,504,458</b>	<b>\$ 200,490</b>	<b>\$ 323,993</b>				<b>\$ 11,028,940</b>	<b>\$ 12,377,942</b>	<b>\$ 12,042,408</b>	<b>\$ 12,179,025</b>	
Operating Expenses and Equipment											
General Expense	\$ 261,576		\$ 670				\$ 262,245	\$ 348,961	\$ 227,281	\$ 262,247	
Printing	\$ 68,888		\$ 39				\$ 68,927	\$ 50,000	\$ 73,976	\$ 52,500	
Telecommunications	\$ 82,163						\$ 82,163	\$ 216,523	\$ 183,156	\$ 138,300	
Postage	\$ 80,550	\$ 15,938					\$ 96,489	\$ 115,800	\$ 99,995	\$ 70,370	
Insurance	\$ 4,316						\$ 4,316	\$ 6,961	\$ 6,961	\$ 5,100	
In-State Travel	\$ 9,738	\$ 134	\$ 326				\$ 10,199	\$ 31,534	\$ 13,183	\$ 15,841	
Out-of-State Travel											
Training	\$ 1,096		\$ 855				\$ 1,951	\$ 2,300	\$ 5,194		
Security Services	\$ 2,556,286		\$ 12,656				\$ 2,568,942	\$ 2,783,020	\$ 2,619,352	\$ 2,739,532	
Facility Operations	\$ 284,826						\$ 284,826	\$ 394,600	\$ 382,177	\$ 316,100	
Utilities											
Contracted Services	\$ 463,179	\$ 50,000	\$ 52,734				\$ 565,913	\$ 759,926	\$ 566,239	\$ 399,670	
Consulting and Professional Services	\$ 20,643			\$ 75,000			\$ 95,643	\$ 16,500	\$ 282,852	\$ 315,774	
Information Technology	\$ 442,660						\$ 442,660	\$ 747,387	\$ 583,369	\$ 704,474	
Major Equipment	\$ 25,962						\$ 25,962				
Other Items of Expense	\$ 2,713						\$ 2,713	\$ 2,900	\$ 4,898		
	<b>\$ 4,304,596</b>	<b>\$ 66,073</b>	<b>\$ 67,279</b>	<b>\$ 75,000</b>			<b>\$ 4,512,948</b>	<b>\$ 5,476,412</b>	<b>\$ 5,048,633</b>	<b>\$ 5,019,908</b>	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 75,255						\$ 75,255	\$ 103,200	\$ 81,933	\$ 104,000	
Judgements, Settlements and Claims											
Debt Service											
Other										\$ 1,900	
Capital Costs											
Internal Cost Recovery	\$ (79,865)	\$ 25,354	\$ 54,511				\$ 0	\$ 30,503	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 149,270	\$ 1,632	\$ 2,236				\$ 153,138		\$ 103,436		
	<b>\$ 144,660</b>	<b>\$ 26,987</b>	<b>\$ 56,747</b>				<b>\$ 228,393</b>	<b>\$ 133,703</b>	<b>\$ 185,368</b>	<b>\$ 105,900</b>	
<b>Total Expenditures</b>	<b>\$ 14,953,714</b>	<b>\$ 293,549</b>	<b>\$ 448,018</b>	<b>\$ 75,000</b>			<b>\$ 15,770,281</b>	<b>\$ 17,988,057</b>	<b>\$ 17,276,410</b>	<b>\$ 17,304,833</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,491,867	\$ (298)	\$ (97,571)	\$ (75,000)			\$ 3,318,998	\$ 712,674	\$ 237,650	\$ 972	
Operating Transfers In (Out)	\$ (177,869)	\$ 5,298	\$ 97,571	\$ 75,000			\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 364,300	\$ 785	\$ 0	\$ 0			\$ 365,085	\$ 365,085	\$ 127,435	\$ 127,435	
Ending Balance (Deficit)	<b>\$ 3,678,298</b>	<b>\$ 5,785</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 3,684,083</b>	<b>\$ 1,077,759</b>	<b>\$ 365,085</b>	<b>\$ 128,407</b>	

Santa Cruz Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Jun									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (622,497)	\$ 5,763	\$ 0	\$ 0			\$ 11,974	\$ (604,761)	\$ 218,419
Payroll	\$ (84,845)						\$ 0	\$ (84,845)	\$ (176,617)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0						\$ 24	\$ 24	\$ 0
Trust							\$ 165,269	\$ 165,269	\$ 106,600
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 1,838,871	\$ 1,838,871	\$ 682,953
Total Cash	\$ (698,992)	\$ 5,763	\$ 0	\$ 0			\$ 2,016,137	\$ 1,322,908	\$ 839,706
Short Term Investment	\$ 4,188,130	\$ 0					\$ 577,900	\$ 4,766,030	\$ 1,157,320
Investment in Financial Institution									
Total Investments	\$ 4,188,130	\$ 0					\$ 577,900	\$ 4,766,030	\$ 1,157,320
Accrued Revenue	\$ 4,243	\$ 42		\$ 0			\$ 0	\$ 4,284	\$ 11,609
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0	\$ 0	\$ 0					\$ 0	\$ 3,361
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 298,256						\$ 0	\$ 298,256	\$ 100,496
Due From Other Governments	\$ 29,132	\$ 25,235						\$ 54,367	\$ 59,150
Due From Other Courts	\$ 127,684						\$ 0	\$ 127,684	\$ 10,931
Due From State	\$ 438,505	\$ 0	\$ 322,313					\$ 760,818	\$ 461,419
Trust Due To/From							\$ 0	\$ 0	
Distribution Due To/From									
Civil Filing Fee Due To/From							\$ 129	\$ 129	
General Due To/From	\$ 132							\$ 132	
Total Receivables	\$ 897,952	\$ 25,277	\$ 322,313	\$ 0			\$ 129	\$ 1,245,671	\$ 646,967
Prepaid Expenses - General	\$ 0							\$ 0	
Salary and Travel Advances	\$ 0							\$ 0	
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 4,387,090	\$ 31,040	\$ 322,313	\$ 0			\$ 2,594,167	\$ 7,334,610	\$ 2,643,993
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 77,742	\$ 0	\$ 0					\$ 77,742	\$ 46,183
Accounts Payable - General	\$ (84,498)	\$ 0	\$ 0	\$ 0			\$ 0	\$ (84,498)	\$ 160,509
Due to Other Funds	\$ 129	\$ 12,792	\$ 285,464				\$ 132	\$ 298,517	\$ 100,496
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 1,850							\$ 1,850	\$ 3,198
TC145 Liability	\$ 0						\$ 589,971	\$ 589,971	\$ 456,623
Due to Other Governments	\$ 56,828		\$ 25,837					\$ 82,665	\$ 702,739
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	
Interest							\$ 32	\$ 32	\$ 38
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0						\$ 0	\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 52,052	\$ 12,792	\$ 311,301	\$ 0			\$ 590,135	\$ 966,280	\$ 1,469,787
Civil							\$ 149,712	\$ 149,712	\$ 94,441
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 1,838,871	\$ 1,838,871	\$ 682,953
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 1,988,583	\$ 1,988,583	\$ 777,394
Accrued Payroll	\$ 540,529	\$ 12,463	\$ 11,012					\$ 564,004	\$ 109,966
Benefits Payable	\$ 109,161							\$ 109,161	\$ (90,838)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 820							\$ 820	\$ (7,282)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 650,509	\$ 12,463	\$ 11,012					\$ 673,985	\$ 11,846
Revenue Collected in Advance	\$ 0							\$ 0	
Liabilities For Deposits	\$ 6,231						\$ 5,878	\$ 12,109	\$ 11,045
Jury Fees - Non-Interest							\$ 9,520	\$ 9,520	\$ 6,350
Fees - Partial Payment & Overpayment							\$ 50	\$ 50	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 2,486
Other Miscellaneous Liabilities	\$ 0							\$ 0	
Total Other Liabilities	\$ 6,231						\$ 15,448	\$ 21,679	\$ 19,880
Total Liabilities	\$ 708,791	\$ 25,255	\$ 322,313	\$ 0			\$ 2,594,167	\$ 3,650,527	\$ 2,278,908
Fund Balance - Restricted	\$ 0	\$ 785	\$ 0	\$ 0				\$ 785	\$ 72,967
Fund Balance - Unrestricted									
Designated	\$ 364,300							\$ 364,300	\$ 54,467
Undesignated	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 3,313,998	\$ 5,000	\$ 0	\$ 0				\$ 3,318,998	\$ 237,650
Total Fund Balance	\$ 3,678,298	\$ 5,785	\$ 0	\$ 0				\$ 3,684,083	\$ 365,085
Total Liabilities and Fund Balance	\$ 4,387,090	\$ 31,040	\$ 322,313	\$ 0			\$ 2,594,167	\$ 7,334,610	\$ 2,643,993

Santa Cruz Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Jun										
Fiscal Year 2010/11									2009/10	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 3,143,228	\$ 472,203				\$ 38,963	\$ 3,654,394	\$ 3,892,953	\$ 4,658,538	\$ 4,590,678
Traffic & Other Infractions	\$ 599,240	\$ 58,673				\$ 9,775	\$ 667,687	\$ 673,261	\$ 916,682	\$ 868,100
Other Criminal Cases	\$ 783,011	\$ 92,202				\$ 16,841	\$ 892,054	\$ 749,650	\$ 1,196,054	\$ 1,222,761
Civil	\$ 993,721	\$ 137,815				\$ 22,797	\$ 1,154,333	\$ 1,646,444	\$ 1,618,670	\$ 1,330,280
Family & Children Services	\$ 618,782	\$ 61,236			\$ 54,511	\$ 8,876	\$ 743,404	\$ 985,117	\$ 629,111	\$ 785,280
Probate, Guardianship & Mental Health Services	\$ 293,849	\$ 3,331				\$ 2,333	\$ 299,513	\$ 320,031	\$ 3,233	\$ 162,461
Juvenile Dependency Services	\$ 256,147	\$ 706					\$ 256,853	\$ 183,149	\$ 308,937	\$ 336
Juvenile Delinquency Services		\$ 427				\$ 1,318	\$ 1,746	\$ 1,605	\$ 1,887	\$ 87,935
Other Court Operations	\$ 344,590	\$ 16,955				\$ 3,263	\$ 364,808	\$ 365,990	\$ 348,143	\$ 271,120
Court Interpreters	\$ 578,964	\$ 75,228				\$ 22,976	\$ 677,168	\$ 712,497	\$ 721,585	\$ 698,150
Jury Services	\$ 135,415	\$ 71,331	\$ 75,255			\$ 3,260	\$ 285,260	\$ 301,661	\$ 288,328	\$ 364,530
Security		\$ 2,360,201					\$ 2,360,201	\$ 2,759,053	\$ 2,032,598	\$ 2,731,032
Trial Court Operations Program	\$ 7,746,947	\$ 3,350,308	\$ 75,255		\$ 54,511	\$ 130,401	\$ 11,357,423	\$ 12,591,411	\$ 12,723,763	\$ 13,112,663
Enhanced Collections	\$ 185,904	\$ 15,938			\$ 25,354	\$ 1,632	\$ 228,828	\$ 27,848	\$ 144,662	\$ 27,848
Other Non-Court Operations	\$ 797,281	\$ 42,232				\$ 4,795	\$ 844,308	\$ 761,602	\$ 668,690	\$ 650,808
Non-Court Operations Program	\$ 983,185	\$ 58,170			\$ 25,354	\$ 6,427	\$ 1,073,136	\$ 789,450	\$ 813,351	\$ 678,656
Executive Office	\$ 411,202	\$ 11,354				\$ 2,677	\$ 425,233	\$ 464,259	\$ 241,834	\$ 417,997
Fiscal Services	\$ 483,263	\$ 241,640			\$ (79,865)	\$ 4,404	\$ 649,442	\$ 826,331	\$ 928,323	\$ 829,035
Human Resources	\$ 575,447	\$ 1,929				\$ 2,353	\$ 579,729	\$ 905,930	\$ 270,777	\$ 410,381
Business & Facilities Services	\$ 348,331	\$ 314,851				\$ 3,704	\$ 666,886	\$ 811,874	\$ 961,667	\$ 484,281
Information Technology	\$ 480,566	\$ 534,696				\$ 3,170	\$ 1,018,432	\$ 1,598,802	\$ 1,336,695	\$ 1,371,820
Court Administration Program	\$ 2,298,808	\$ 1,104,469			\$ (79,865)	\$ 16,309	\$ 3,339,722	\$ 4,607,196	\$ 3,739,296	\$ 3,513,514
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 11,028,940	\$ 4,512,948	\$ 75,255		\$ 0	\$ 153,138	\$ 15,770,281	\$ 17,988,057	\$ 17,276,410	\$ 17,304,833

