

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

Brian Taylor

12/21/2012

Signature of Presiding Judge or Court Executive

Date

Solano

Court

FY12-13 Quarter Ending 09-30-12

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Solano
Court

FY12-13 quarter ending 09-30-12
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Solano
 Court

FY12-13 Quarter ending 09-30-12
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled				
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	
		Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	211.60	209.60				

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Solano
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 30.729	\$ 306.631	\$ (390.812)				\$ 3.693.369	\$ 3.639.916	\$ (283.426)
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury	\$ 12.000							\$ 12.000	\$ 12.000
Revolving	\$ 5.000							\$ 5.000	\$ 5.000
Other									
Distribution							\$ 0	\$ 0	\$ 72.826
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (598.197)	\$ (598.197)	\$ 1.589.907
Credit Card									
Cash on Hand	\$ 4.950							\$ 4.950	\$ 4.850
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ 52.679	\$ 306.631	\$ (390.812)				\$ 3.095.171	\$ 3.063.669	\$ 1.401.156
Short Term Investment	\$ 2.334.706						\$ 1.909.811	\$ 4.244.517	\$ 4.246.647
Investment in Financial Institution									
Total Investments	\$ 2.334.706						\$ 1.909.811	\$ 4.244.517	\$ 4.246.647
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 960		\$ 237.147					\$ 238.107	\$ 212.062
Dishonored Checks									
Due From Employee			\$ 0					\$ 0	\$ 277
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Governments	\$ 2.099	\$ 0	\$ 0					\$ 2.099	\$ 6.161
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 631	\$ 0	\$ 9.906					\$ 10.537	\$ 9.906
Trust Due To/From							\$ 272.215	\$ 272.215	\$ 0
Distribution Due To/From							\$ 2.234	\$ 2.234	\$ 1.301
Civil Filing Fee Due To/From									
General Due To/From	\$ 35.720	\$ 3						\$ 35.723	\$ 2.618
Total Receivables	\$ 39.410	\$ 3	\$ 247.054				\$ 274.449	\$ 560.916	\$ 232.325
Prepaid Expenses - General	\$ 1.456							\$ 1.456	\$ 1.456
Salary and Travel Advances									\$ 477
Counties									
Total Prepaid Expenses	\$ 1.456							\$ 1.456	\$ 1.933
Other Assets									
Total Other Assets									
Total Assets	\$ 2.428.250	\$ 306.635	\$ (143.758)				\$ 5.279.431	\$ 7.870.558	\$ 5.882.061
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 3.854	\$ 0	\$ 0				\$ 0	\$ 3.854	\$ 517
Due to Other Funds	\$ 40	\$ 0	\$ 0				\$ 310.132	\$ 310.172	\$ 3.918
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 634.222	\$ 634.222	\$ 627.703
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 509.195	\$ 509.195	\$ 268.667
Due to Other Public Agencies							\$ 0	\$ 0	\$ 0
Sales and Use Tax	\$ 1.234							\$ 1.234	\$ 1
Interest							\$ 588	\$ 588	\$ 211
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 5.128	\$ 0	\$ 0				\$ 1.454.136	\$ 1.459.264	\$ 901.017
Civil							\$ 844.479	\$ 844.479	\$ 253.776
Criminal	\$ 0						\$ 2.558.029	\$ 2.558.029	\$ 870.393
Unreconciled - Civil and Criminal							\$ 278.392	\$ 278.392	\$ 278.242
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 34.443	\$ 34.443	\$ 47.524
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 3.715.344	\$ 3.715.344	\$ 1.449.936
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 218.607							\$ 218.607	\$ 25.458
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 2.259							\$ 2.259	\$ (14)
Payroll Clearing	\$ 0							\$ 0	\$ (12.039)
Total Payroll Liabilities	\$ 220.866	\$ 0						\$ 220.866	\$ 13.405
Revenue Collected in Advance									
Liabilities For Deposits	\$ 37.012						\$ 99.900	\$ 136.913	\$ 132.870
Jury Fees - Non-Interest							\$ 10.050	\$ 10.050	\$ 41.700
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 37.012						\$ 109.950	\$ 146.963	\$ 174.570
Total Liabilities	\$ 263.006	\$ 0	\$ 0				\$ 5.279.431	\$ 5.542.437	\$ 2.538.928
Total Fund Balance	\$ 2.165.244	\$ 306.635	\$ (143.758)					\$ 2.328.120	\$ 3.343.133
Total Liabilities and Fund Balance	\$ 2.428.250	\$ 306.635	\$ (143.758)				\$ 5.279.431	\$ 7.870.558	\$ 5.882.061

Superior Court of California, County of Solano
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,697.099	\$ 156.105					\$ 1,853.204	\$ 9,127.271	\$ 2,083.041	\$ 10,976.256
Traffic & Other Infractions	\$ 282.898	\$ (71.733)					\$ 211.165	\$ 1,514.526	\$ 442.728	\$ 2,087.403
Other Criminal Cases	\$ 447.375	\$ 27.174					\$ 474.548	\$ 2,529.061	\$ 624.894	\$ 3,366.074
Civil	\$ 255.958	\$ 35.031					\$ 290.989	\$ 1,457.269	\$ 292.808	\$ 1,568.479
Family & Children Services	\$ 311.236	\$ 68.197					\$ 379.433	\$ 2,058.982	\$ 425.571	\$ 2,336.775
Probate, Guardianship & Mental Health Services	\$ 113.039	\$ 3.036					\$ 116.075	\$ 559.927	\$ 118.691	\$ 419.200
Juvenile Dependency Services	\$ 48.225	\$ 1.006					\$ 49.231	\$ 237.616	\$ 50.275	\$ 441.020
Juvenile Delinquency Services	\$ 34.342	\$ 2.657					\$ 36.999	\$ 175.146	\$ 40.667	\$ 6.849
Other Court Operations										\$ 94
Court Interpreters	\$ 36.121	\$ 49.976					\$ 86.096	\$ 512.521	\$ 100.795	\$ 428.842
Jury Services	\$ 82.866	\$ 18.698	\$ 53.348				\$ 154.912	\$ 650.367	\$ 158.165	\$ 713.991
Security		\$ (205)					\$ (205)	\$ 56.564	\$ 66.749	\$ 400.118
Trial Court Operations Program	\$ 3,309.159	\$ 289.942	\$ 53.348				\$ 3,652.448	\$ 18,879.250	\$ 4,404.385	\$ 22,745.101
Enhanced Collections										
Other Non-Court Operations										
Non-Court Operations Program										
Executive Office	\$ 115.841	\$ 8.590					\$ 124.430	\$ 759.361	\$ 116.503	\$ 889.754
Fiscal Services	\$ 108.160	\$ 13.917					\$ 122.076	\$ 732.380	\$ 142.021	\$ 844.705
Human Resources	\$ 155.379	\$ 3.883					\$ 159.262	\$ 337.948	\$ 159.880	\$ 315.267
Business & Facilities Services	\$ 80.245	\$ 102.099					\$ 182.345	\$ 743.454	\$ 211.445	\$ 818.517
Information Technology	\$ 90.365	\$ 113.819					\$ 204.185	\$ 1,173.652	\$ 163.683	\$ 1,270.327
Court Administration Program	\$ 549.990	\$ 242.308					\$ 792.298	\$ 3,746.795	\$ 793.533	\$ 4,138.570
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 3,859.149	\$ 532.249	\$ 53.348				\$ 4,444.746	\$ 22,626.045	\$ 5,197.917	\$ 26,883.671

Superior Court of California, County of Solano
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 4,241,257	\$ 10,412					\$ 4,251,669	\$ 17,683,149	\$ 4,911,615	\$ 21,167,329	
Trial Court Improvement Fund	\$ (4,492)						\$ (4,492)	\$ 56,878	\$ (1)	\$ 56,878	
Judicial Administration Efficiency & Mod Fund	\$ 0						\$ 0		\$ 0	\$ 22,300	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 180,500	\$ 49,875	\$ 180,500	
Court Interpreter (45.45)	\$ 52,011						\$ 52,011	\$ 486,387	\$ (106,025)	\$ 393,543	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 2,556						\$ 2,556	\$ 435,488	\$ (22,517)	\$ 433,299	
Other Miscellaneous								\$ 2,880		\$ 50,073	
	\$ 4,341,207	\$ 10,412					\$ 4,351,619	\$ 18,845,282	\$ 4,832,947	\$ 22,303,922	
Grants											
AB 1058 Commissioner/Facilitator			\$ (118,185)				\$ (118,185)	\$ 750,446	\$ (161,819)	\$ 772,006	
Other AOC Grants			\$ (10,192)				\$ (10,192)	\$ 38,696	\$ (10,866)		
Non-AOC Grants			\$ (351)				\$ (351)	\$ 41,800	\$ 3,679	\$ 84,894	
			\$ (128,727)				\$ (128,727)	\$ 830,942	\$ (169,006)	\$ 856,900	
Other Financing Sources											
Interest Income	\$ 1,700	\$ 34					\$ 1,734	\$ 34,650	\$ 926	\$ 41,477	
Investment Income											
Donations	\$ 8,848						\$ 8,848	\$ 16,748			
Local Fees	\$ 150,908	\$ 6					\$ 150,914	\$ 811,780	\$ 77,423	\$ 841,733	
Non-Fee Revenues	\$ 1,835						\$ 1,835	\$ 9,287	\$ 17,603	\$ 52,551	
Enhanced Collections											
Escheatment	\$ 59						\$ 59				
Prior Year Revenue	\$ 0						\$ 0		\$ (621)		
County Program - Restricted	\$ 0	\$ 0					\$ 0	\$ 255,343	\$ (10,639)	\$ 284,216	
Reimbursement Other	\$ 11,697						\$ 11,697	\$ 19,971	\$ 7,163	\$ 354,903	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,376						\$ 1,376		\$ 433		
	\$ 176,423	\$ 41					\$ 176,463	\$ 1,147,779	\$ 92,288	\$ 1,574,880	
Total Revenues	\$ 4,517,630	\$ 10,453	\$ (128,727)				\$ 4,399,355	\$ 20,824,003	\$ 4,756,229	\$ 24,735,702	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,511,117	\$ 3,652	\$ 1,474				\$ 2,516,243	\$ 12,148,909	\$ 2,990,730	\$ 14,529,375	
Temp Help	\$ 1,040						\$ 1,040		\$ 7,745	\$ 57,278	
Overtime										\$ 8,000	
Staff Benefits	\$ 1,340,022	\$ 1,844					\$ 1,341,866	\$ 6,595,536	\$ 1,426,789	\$ 7,194,145	
	\$ 3,852,180	\$ 5,496	\$ 1,474				\$ 3,859,149	\$ 18,744,445	\$ 4,425,264	\$ 21,788,798	
Operating Expenses and Equipment											
General Expense	\$ 70,306		\$ 6,802				\$ 77,108	\$ 545,698	\$ 79,721	\$ 839,590	
Printing	\$ 5,575						\$ 5,575	\$ 84,000	\$ (4,640)	\$ 128,142	
Telecommunications	\$ 40,063						\$ 40,063	\$ 103,858	\$ 57,010	\$ 118,798	
Postage	\$ 27,182		\$ 23				\$ 27,205	\$ 142,330	\$ 27,188	\$ 143,000	
Insurance								\$ 8,998		\$ 8,477	
In-State Travel	\$ 4,228		\$ 4,833				\$ 9,061	\$ 60,000	\$ 14,663	\$ 50,000	
Out-of-State Travel											
Training	\$ 375		\$ 1,900				\$ 2,275	\$ 13,100	\$ 3,705	\$ 21,500	
Security Services	\$ (165)						\$ (165)	\$ 56,564	\$ 68,710	\$ 400,118	
Facility Operations	\$ 65,170	\$ 0					\$ 65,170	\$ 397,046	\$ 48,207	\$ 497,063	
Utilities	\$ 1,144						\$ 1,144	\$ 7,668	\$ 748	\$ 5,892	
Contracted Services	\$ 240,175	\$ 21,495					\$ 261,669	\$ 1,572,576	\$ 272,474	\$ 1,446,470	
Consulting and Professional Services	\$ 80,396						\$ 80,396	\$ 477,863	\$ 80,021	\$ 478,679	
Information Technology	\$ (37,784)						\$ (37,784)	\$ 270,981	\$ 102,452	\$ 433,909	
Major Equipment										\$ 17,000	
Other Items of Expense	\$ 532						\$ 532	\$ 6,420	\$ 514	\$ 7,608	
	\$ 497,197	\$ 21,495	\$ 13,557				\$ 532,249	\$ 3,747,102	\$ 750,775	\$ 4,596,246	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 53,348						\$ 53,348	\$ 134,498	\$ 21,879	\$ 133,606	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 0		\$ 365,021	
Prior Year Expense Adjustment									\$ 0		
	\$ 53,348						\$ 53,348	\$ 134,498	\$ 21,879	\$ 498,627	
Total Expenditures	\$ 4,402,725	\$ 26,991	\$ 15,031				\$ 4,444,746	\$ 22,626,045	\$ 5,197,917	\$ 26,883,671	
Excess (Deficit) of Revenues Over Expenditures	\$ 114,905	\$ (16,538)	\$ (143,758)				\$ (45,391)	\$ (1,802,042)	\$ (441,688)	\$ (2,147,969)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,050,339	\$ 323,173	\$ 0				\$ 2,373,512	\$ 2,373,512	\$ 3,784,821	\$ 3,784,821	
Ending Balance (Deficit)	\$ 2,165,244	\$ 306,635	\$ (143,758)				\$ 2,328,120	\$ 571,470	\$ 3,343,133	\$ 1,636,852	