

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

\_\_\_\_\_  
Sonoma

\_\_\_\_\_  
Court

\_\_\_\_\_  
12-13 Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

Sonoma Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Sep									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (79,346)	\$ 850,732	\$ (615,508)				\$ 3,716,769	\$ 3,872,647	\$ (208,961)
Payroll	\$ 11,762	\$ 0						\$ 11,762	\$ 13,017
Jury									
Revolving	\$ 14,573							\$ 14,573	\$ 15,000
Other									
Distribution							\$ 0	\$ 0	\$ 41,312
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (194,925)	\$ (194,925)	\$ 2,330,066
Credit Card									
Cash on Hand	\$ 8,000							\$ 8,000	\$ 8,000
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ (45,010)	\$ 850,732	\$ (615,508)				\$ 3,521,844	\$ 3,712,057	\$ 2,198,434
Short Term Investment	\$ 5,934,719						\$ 1,006,921	\$ 6,941,640	\$ 8,197,064
Investment in Financial Institution							\$ 2,894,425	\$ 2,894,425	\$ 2,071,621
Total Investments	\$ 5,934,719						\$ 3,901,346	\$ 9,836,065	\$ 10,268,685
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0						\$ 0	\$ 0
Trust Due To/From							\$ 461	\$ 461	\$ 2,487
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 884							\$ 884	\$ 1,373
Total Receivables	\$ 884	\$ 0	\$ 0				\$ 461	\$ 1,345	\$ 3,860
Prepaid Expenses - General	\$ 250							\$ 250	\$ 250
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 250							\$ 250	\$ 250
Other Assets									
Total Other Assets									
Total Assets	\$ 5,890,843	\$ 850,732	\$ (615,508)				\$ 7,423,651	\$ 13,549,718	\$ 12,471,230
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 28,167	\$ 1,072	\$ 128				\$ 0	\$ 29,367	\$ 13,954
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,345	\$ 1,345	\$ 3,860
Due to Other Courts									
Due to State									
TC145 Liability							\$ 720,668	\$ 720,668	\$ 638,993
Due to Other Governments							\$ 318,955	\$ 318,955	\$ 569,915
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 567	\$ 567	\$ 330
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 28,167	\$ 1,072	\$ 128				\$ 1,041,536	\$ 1,070,903	\$ 1,227,053
Civil							\$ 2,190,657	\$ 2,190,657	\$ 1,523,832
Criminal							\$ 984,587	\$ 984,587	\$ 517,907
Unreconciled - Civil and Criminal							\$ 47,850	\$ 47,850	\$ 48,411
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 4,322	\$ 4,322	\$ 5,720
Miscellaneous Trust									
Total Trust Deposits							\$ 3,227,415	\$ 3,227,415	\$ 2,095,870
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (238,273)						\$ 2,894,425	\$ 2,656,152	\$ 1,970,228
Deferred Compensation Payable	\$ (1,217)							\$ (1,217)	\$ 0
Deductions Payable	\$ 364,168							\$ 364,168	\$ 156,661
Payroll Clearing									
Total Payroll Liabilities	\$ 124,678	\$ 0	\$ 0				\$ 2,894,425	\$ 3,019,103	\$ 2,126,889
Revenue Collected in Advance									
Liabilities For Deposits	\$ 24,181						\$ 21,609	\$ 45,790	\$ 39,948
Jury Fees - Non-Interest							\$ 235,596	\$ 235,596	\$ 215,593
Fees - Partial Payment & Overpayment							\$ 3,071	\$ 3,071	\$ 1,739
Uncleared Collections									
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 24,181	\$ 0	\$ 0				\$ 260,276	\$ 284,457	\$ 257,280
Total Liabilities	\$ 177,027	\$ 1,072	\$ 128				\$ 7,423,651	\$ 7,601,878	\$ 5,707,092
Total Fund Balance	\$ 5,713,816	\$ 849,660	\$ (615,637)					\$ 5,947,839	\$ 6,764,138
Total Liabilities and Fund Balance	\$ 5,890,843	\$ 850,732	\$ (615,508)				\$ 7,423,651	\$ 13,549,718	\$ 12,471,230

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Sep										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,920,290	\$ 277,090	\$ 0		\$ 42,084		\$ 2,239,464	\$ 10,568,332	\$ 2,195,107	\$ 11,148,495
Traffic & Other Infractions	\$ 127,132	\$ 22,624					\$ 149,756	\$ 620,393	\$ 156,184	\$ 756,988
Other Criminal Cases	\$ 328,529	\$ 31,505					\$ 360,034	\$ 1,596,791	\$ 242,291	\$ 1,449,725
Civil	\$ 221,802	\$ 2,182				\$ (453)	\$ 223,530	\$ 1,022,447	\$ 259,031	\$ 1,238,499
Family & Children Services	\$ 504,081	\$ 29,147			\$ 16,065		\$ 549,293	\$ 2,503,802	\$ 627,834	\$ 3,102,691
Probate, Guardianship & Mental Health Services	\$ 128,229	\$ 712					\$ 128,942	\$ 638,968	\$ 159,861	\$ 769,484
Juvenile Dependency Services	\$ 22,617	\$ 2,039					\$ 24,656	\$ 102,212	\$ 45,644	\$ 204,086
Juvenile Delinquency Services	\$ 46,116	\$ 26,849					\$ 72,965	\$ 338,798	\$ 104,609	\$ 506,096
Other Court Operations	\$ 306,090	\$ 140,631	\$ 230				\$ 446,951	\$ 2,572,237	\$ 294,623	\$ 2,003,625
Court Interpreters	\$ 279,277	\$ 30,218					\$ 309,495	\$ 1,441,263	\$ 327,696	\$ 1,574,753
Jury Services	\$ 51,520	\$ 20,889	\$ 83,917				\$ 156,326	\$ 588,839	\$ 91,507	\$ 540,975
Security		\$ 115,443					\$ 115,443		\$ 88,030	\$ 421,060
Trial Court Operations Program	\$ 3,935,682	\$ 699,330	\$ 84,147		\$ 58,149	\$ (453)	\$ 4,776,855	\$ 21,994,082	\$ 4,592,414	\$ 23,716,476
Enhanced Collections	\$ 174,728	\$ 17,933			\$ 50,331		\$ 242,992	\$ 1,118,982	\$ 245,534	\$ 1,236,205
Other Non-Court Operations		\$ 2,000					\$ 2,000	\$ 12,000	\$ 2,000	\$ 12,000
Non-Court Operations Program	\$ 174,728	\$ 19,933			\$ 50,331		\$ 244,992	\$ 1,130,982	\$ 247,534	\$ 1,248,205
Executive Office	\$ 127,600	\$ 1,788					\$ 129,388	\$ 621,980	\$ 139,630	\$ 619,616
Fiscal Services	\$ 163,470	\$ 33,448			\$ (108,481)		\$ 88,438	\$ 796,111	\$ 154,631	\$ 851,685
Human Resources	\$ 129,448	\$ 8,496	\$ 150,000				\$ 287,943	\$ 1,203,490	\$ 228,370	\$ 1,255,455
Business & Facilities Services	\$ 69,727	\$ 4,772					\$ 74,499	\$ 382,705	\$ 76,503	\$ 763,901
Information Technology	\$ 196,053	\$ 21,300				\$ (3,960)	\$ 213,393	\$ 1,089,754	\$ 249,539	\$ 1,081,836
Court Administration Program	\$ 686,298	\$ 69,803	\$ 150,000		\$ (108,481)	\$ (3,960)	\$ 793,661	\$ 4,094,040	\$ 848,674	\$ 4,572,493
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 4,796,708	\$ 789,066	\$ 234,147		\$ 0	\$ (4,413)	\$ 5,815,508	\$ 27,219,104	\$ 5,688,622	\$ 29,537,174

Sonoma Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Sep											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 4,080,799	\$ 6,498					\$ 4,087,297	\$ 17,396,766	\$ 5,122,114	\$ 22,895,040	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 64,555	\$ (266)	\$ 76,104	
Judicial Administration Efficiency & Mod Fund	\$ (6,500)						\$ (6,500)		\$ (22,750)	\$ 31,950	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 190,000	\$ 49,875	\$ 190,000	
Court Interpreter (45.45)	\$ 291,094						\$ 291,094	\$ 1,195,020	\$ 286,223	\$ 1,198,488	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 103,288						\$ 103,288	\$ 283,508	\$ 43,895	\$ 389,004	
Other Miscellaneous								\$ 1,026,881		\$ 774,339	
	\$ 4,518,556	\$ 6,498					\$ 4,525,054	\$ 20,156,730	\$ 5,479,091	\$ 25,554,925	
Grants											
AB 1058 Commissioner/Facilitator			\$ (142,100)				\$ (142,100)	\$ 809,537	\$ (102,114)	\$ 815,180	
Other AOC Grants			\$ (19,348)				\$ (19,348)		\$ (11,527)	\$ 60,227	
Non-AOC Grants			\$ (117,462)				\$ (117,462)	\$ 358,187	\$ (46,809)	\$ 468,584	
			\$ (278,909)				\$ (278,909)	\$ 1,167,724	\$ (160,450)	\$ 1,343,991	
Other Financing Sources											
Interest Income	\$ 1,135	\$ 38					\$ 1,173	\$ 36,939	\$ 46,102	\$ 35,560	
Investment Income											
Donations											
Local Fees	\$ 35,803						\$ 35,803	\$ 312,580	\$ 29,661	\$ 302,600	
Non-Fee Revenues									\$ 4,605	\$ 15,540	
Enhanced Collections		\$ 121,511					\$ 121,511	\$ 1,118,982	\$ 81,007	\$ 1,236,205	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 0	\$ 1,065					\$ 1,065	\$ 44,614	\$ 3,017	\$ 28,913	
Reimbursement Other	\$ 0						\$ 0	\$ 59,450	\$ (51,852)	\$ 50,670	
Sale of Fixed Assets											
Other Miscellaneous	\$ 10,136						\$ 10,136	\$ 9,659			
	\$ 47,074	\$ 122,613					\$ 169,688	\$ 1,582,224	\$ 112,540	\$ 1,669,488	
<b>Total Revenues</b>	<b>\$ 4,565,630</b>	<b>\$ 129,111</b>	<b>\$ (278,909)</b>				<b>\$ 4,415,832</b>	<b>\$ 22,906,678</b>	<b>\$ 5,431,181</b>	<b>\$ 28,568,404</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,541,521	\$ 89,396	\$ 141,919				\$ 2,772,836	\$ 10,632,477	\$ 2,964,171	\$ 12,497,109	
Temp Help											
Overtime	\$ 4,660	\$ 0	\$ 16				\$ 4,676		\$ 4,900		
Staff Benefits	\$ 1,841,531	\$ 85,332	\$ 92,334				\$ 2,019,196	\$ 11,039,488	\$ 1,908,198	\$ 10,127,552	
	\$ 4,387,712	\$ 174,728	\$ 234,268				\$ 4,796,708	\$ 21,671,965	\$ 4,877,268	\$ 22,624,661	
Operating Expenses and Equipment											
General Expense	\$ 46,163	\$ 488	\$ 1,716				\$ 48,367	\$ 312,135	\$ 117,518	\$ 649,223	
Printing	\$ 16,377	\$ 1,590	\$ 22				\$ 17,988	\$ 144,120	\$ 24,064	\$ 165,430	
Telecommunications	\$ 24,301	\$ 1,085	\$ 894				\$ 26,280	\$ 154,660	\$ 40,410	\$ 195,083	
Postage	\$ 24,236	\$ 2,428	\$ 89				\$ 26,752	\$ 218,180	\$ 23,253	\$ 187,540	
Insurance								\$ 8,549	\$ 476	\$ 7,000	
In-State Travel	\$ 3,653		\$ 1,271				\$ 4,924	\$ 28,590	\$ 7,166	\$ 49,390	
Out-of-State Travel											
Training	\$ 705		\$ 395				\$ 1,100	\$ 24,525	\$ 1,620	\$ 40,785	
Security Services	\$ 98,424						\$ 98,424	\$ 385,000	\$ 87,044	\$ 417,612	
Facility Operations	\$ 110,928	\$ 934					\$ 111,862	\$ 680,364	\$ (69,574)	\$ 662,155	
Utilities	\$ 5,854						\$ 5,854	\$ 68,000	\$ (20,473)	\$ 82,340	
Contracted Services	\$ 245,154	\$ 18,652	\$ 35,471				\$ 299,278	\$ 1,672,696	\$ 239,514	\$ 1,903,998	
Consulting and Professional Services	\$ 119,536	\$ 8,924	\$ 4,402				\$ 132,863	\$ 924,024	\$ 148,579	\$ 1,277,515	
Information Technology	\$ 13,311	\$ 1,732	\$ 51				\$ 15,093	\$ 88,936	\$ 29,819	\$ 394,893	
Major Equipment									\$ (187)	\$ 15,000	
Other Items of Expense	\$ 283						\$ 283	\$ 2,960	\$ 286	\$ 6,558	
	\$ 708,923	\$ 35,833	\$ 44,310				\$ 789,066	\$ 4,712,739	\$ 629,515	\$ 6,054,522	
Special Items of Expense											
Grand Jury	\$ 230						\$ 230	\$ 440			
Jury Costs	\$ 83,917						\$ 83,917	\$ 233,960	\$ 34,639	\$ 257,990	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 150,000						\$ 150,000	\$ 600,000	\$ 150,000	\$ 600,000	
Capital Costs											
Internal Cost Recovery	\$ (108,481)	\$ 50,331	\$ 58,149				\$ 0	\$ 0	\$ 0	\$ 1	
Prior Year Expense Adjustment	\$ (4,413)						\$ (4,413)		\$ (2,800)		
	\$ 121,253	\$ 50,331	\$ 58,149				\$ 229,734	\$ 834,400	\$ 181,839	\$ 857,991	
<b>Total Expenditures</b>	<b>\$ 5,217,888</b>	<b>\$ 260,892</b>	<b>\$ 336,727</b>				<b>\$ 5,815,508</b>	<b>\$ 27,219,104</b>	<b>\$ 5,688,622</b>	<b>\$ 29,537,174</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (652,258)	\$ (131,781)	\$ (615,637)				\$ (1,399,676)	\$ (4,312,426)	\$ (257,440)	\$ (968,770)	
Operating Transfers In (Out)								\$ 1		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,366,075	\$ 981,441	\$ 0				\$ 7,347,515	\$ 7,347,515	\$ 7,021,578	\$ 7,021,578	
Ending Balance (Deficit)	\$ 5,713,816	\$ 849,660	\$ (615,637)				\$ 5,947,839	\$ 3,035,090	\$ 6,764,138	\$ 6,052,808	

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Sonoma

Court

12-13 Q1

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Sonoma  
 Court

12-13 Q1  
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	226.95	180.45			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.