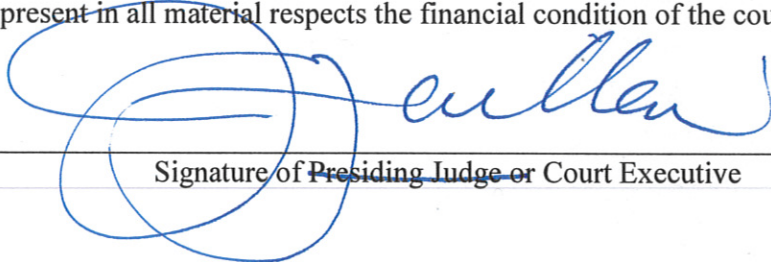


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of ~~Presiding Judge~~ or Court Executive

11-25-13

\_\_\_\_\_  
Date

\_\_\_\_\_  
Sonoma  
Court

\_\_\_\_\_  
13-14 Q1  
Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2013/14

2012/13

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (995,052)	\$ 1,019,143	\$ (9,317)				\$ 2,829,388	\$ 2,844,163	\$ 3,872,647
Payroll	\$ 11,366	\$ 0						\$ 11,366	\$ 11,762
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 14,573
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (133,533)	\$ (133,533)	\$ (194,925)
Credit Card									
Cash on Hand	\$ 8,000							\$ 8,000	\$ 8,000
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ (960,686)	\$ 1,019,143	\$ (9,317)				\$ 2,695,855	\$ 2,744,996	\$ 3,712,057
Short Term Investment	\$ 8,397,071						\$ 1,021,162	\$ 9,418,233	\$ 6,941,640
Investment in Financial Institution							\$ 4,609,788	\$ 4,609,788	\$ 2,894,425
Total Investments	\$ 8,397,071						\$ 5,630,950	\$ 14,028,021	\$ 9,836,065
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 466,051						\$ 0	\$ 466,051	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0						\$ 0	\$ 0
Trust Due To/From							\$ 95,520	\$ 95,520	\$ 461
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,297							\$ 1,297	\$ 884
Total Receivables	\$ 467,348	\$ 0	\$ 0				\$ 95,520	\$ 562,868	\$ 1,345
Prepaid Expenses - General	\$ 16,583							\$ 16,583	\$ 250
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 16,583							\$ 16,583	\$ 250
Other Assets									
Total Other Assets									
Total Assets	\$ 7,920,316	\$ 1,019,143	\$ (9,317)				\$ 8,422,325	\$ 17,352,468	\$ 13,549,718
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 169,263	\$ 11,039	\$ 5,560				\$ 0	\$ 185,862	\$ 29,367
Due to Other Funds	\$ 100	\$ 15,561	\$ 450,491				\$ 96,717	\$ 562,868	\$ 1,345
Due to Other Courts									
Due to State									
TC145 Liability							\$ 574,261	\$ 574,261	\$ 720,668
Due to Other Governments									
AB145 Due to Other Government Agency	\$ 0						\$ 381,247	\$ 381,247	\$ 318,955
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 172	\$ 172	\$ 567
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 169,363	\$ 26,599	\$ 456,051				\$ 1,052,396	\$ 1,704,409	\$ 1,070,903
Civil							\$ 1,906,713	\$ 1,906,713	\$ 2,190,657
Criminal							\$ 656,027	\$ 656,027	\$ 984,587
Unreconciled - Civil and Criminal							\$ 30,055	\$ 30,055	\$ 47,850
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 5,563	\$ 5,563	\$ 4,322
Miscellaneous Trust									
Total Trust Deposits							\$ 2,598,358	\$ 2,598,358	\$ 3,227,415
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (246,626)						\$ 4,609,788	\$ 4,363,162	\$ 2,656,152
Deferred Compensation Payable	\$ (1,310)							\$ (1,310)	\$ (1,217)
Deductions Payable	\$ 19,455							\$ 19,455	\$ 364,168
Payroll Clearing									
Total Payroll Liabilities	\$ (228,481)	\$ 0	\$ 0				\$ 4,609,788	\$ 4,381,307	\$ 3,019,103
Revenue Collected in Advance									
Liabilities For Deposits	\$ 40,177						\$ 66,843	\$ 107,020	\$ 45,790
Jury Fees - Non-Interest							\$ 91,703	\$ 91,703	\$ 235,596
Fees - Partial Payment & Overpayment							\$ 3,236	\$ 3,236	\$ 3,071
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 40,177	\$ 0	\$ 0				\$ 161,783	\$ 201,960	\$ 284,457
Total Liabilities	\$ (18,940)	\$ 26,599	\$ 456,051				\$ 8,422,325	\$ 8,886,034	\$ 7,601,878
Total Fund Balance	\$ 7,939,257	\$ 992,544	\$ (465,367)					\$ 8,466,433	\$ 5,947,839
Total Liabilities and Fund Balance	\$ 7,920,316	\$ 1,019,143	\$ (9,317)				\$ 8,422,325	\$ 17,352,468	\$ 13,549,718

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14									2012/13	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,851,774	\$ 214,939	\$ 0		\$ 25,493		\$ 2,092,207	\$ 10,446,203	\$ 2,239,464	\$ 10,526,545
Traffic & Other Infractions	\$ 101,264	\$ 12,710					\$ 113,974	\$ 540,451	\$ 149,756	\$ 620,393
Other Criminal Cases	\$ 266,250	\$ 29,343					\$ 295,592	\$ 1,497,818	\$ 360,034	\$ 1,596,791
Civil	\$ 196,008	\$ 884					\$ 196,892	\$ 1,004,542	\$ 223,530	\$ 1,022,447
Family & Children Services	\$ 436,506	\$ 24,711			\$ 10,225		\$ 471,442	\$ 2,240,628	\$ 549,293	\$ 2,503,802
Probate, Guardianship & Mental Health Services	\$ 115,485	\$ 1,095					\$ 116,579	\$ 474,910	\$ 128,942	\$ 638,968
Juvenile Dependency Services	\$ 23,265	\$ 52					\$ 23,318	\$ 116,729	\$ 24,656	\$ 102,212
Juvenile Delinquency Services	\$ 47,372	\$ 20,592					\$ 67,964	\$ 319,043	\$ 72,965	\$ 380,586
Other Court Operations	\$ 332,703	\$ 163,097	\$ 0				\$ 495,800	\$ 2,323,352	\$ 446,951	\$ 2,572,237
Court Interpreters	\$ 252,958	\$ 22,302					\$ 275,261	\$ 1,415,564	\$ 309,495	\$ 1,444,061
Jury Services	\$ 52,593	\$ 18,290	\$ 61,944				\$ 132,827	\$ 753,731	\$ 156,326	\$ 588,839
Security		\$ 84,722					\$ 84,722	\$ 517,863	\$ 115,443	
Trial Court Operations Program	\$ 3,676,177	\$ 592,738	\$ 61,944		\$ 35,719		\$ 4,366,578	\$ 21,650,834	\$ 4,776,855	\$ 21,996,881
Enhanced Collections	\$ 230,379	\$ 20,926			\$ 41,984		\$ 293,289	\$ 1,430,072	\$ 242,992	\$ 1,118,982
Other Non-Court Operations		\$ 2,000					\$ 2,000	\$ 12,000	\$ 2,000	\$ 12,000
Non-Court Operations Program	\$ 230,379	\$ 22,926			\$ 41,984		\$ 295,289	\$ 1,442,072	\$ 244,992	\$ 1,130,982
Executive Office	\$ 132,113	\$ 1,370					\$ 133,483	\$ 627,981	\$ 129,388	\$ 621,980
Fiscal Services	\$ 157,783	\$ 51,483			\$ (77,702)		\$ 131,564	\$ 689,394	\$ 88,438	\$ 796,111
Human Resources	\$ 93,590	\$ 1,687	\$ 150,000				\$ 245,277	\$ 2,199,525	\$ 287,943	\$ 1,203,490
Business & Facilities Services	\$ 71,251	\$ 4,595					\$ 75,846	\$ 446,617	\$ 74,499	\$ 382,705
Information Technology	\$ 177,231	\$ 24,567					\$ 201,799	\$ 3,445,327	\$ 213,393	\$ 1,089,754
Court Administration Program	\$ 631,969	\$ 83,701	\$ 150,000		\$ (77,702)		\$ 787,968	\$ 7,408,844	\$ 793,661	\$ 4,094,040
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 4,538,526	\$ 699,365	\$ 211,944		\$ 0		\$ 5,449,835	\$ 30,501,750	\$ 5,815,508	\$ 27,221,903

Superior Court of California, County of Sonoma  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended September											
Fiscal Year 2013/14										2012/13	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 8,463,307	\$ 13,199					\$ 8,476,506	\$ 20,825,470	\$ 4,087,297	\$ 17,396,766	
Improvement and Modernization Fund	\$ (1,814)						\$ (1,814)	\$ 124,555	\$ (6,500)	\$ 76,804	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 190,000	\$ 49,875	\$ 190,000	
Court Interpreter (45.45)	\$ 274,713						\$ 274,713	\$ 1,218,140	\$ 291,094	\$ 1,195,020	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 73,375						\$ 73,375	\$ 348,067	\$ 103,288	\$ 283,508	
Other Miscellaneous								\$ 1,172,049		\$ 1,026,881	
	\$ 8,859,456	\$ 13,199					\$ 8,872,655	\$ 23,878,281	\$ 4,525,054	\$ 20,168,979	
Grants											
AB 1058 Commissioner/Facilitator			\$ (128,067)				\$ (128,067)	\$ 809,537	\$ (142,100)	\$ 928,946	
Other AOC Grants			\$ (41,788)				\$ (41,788)		\$ (19,348)	\$ 41,788	
Non-AOC Grants			\$ 90				\$ 90	\$ 81,307	\$ (117,462)	\$ 358,187	
			\$ (169,765)				\$ (169,765)	\$ 890,844	\$ (278,909)	\$ 1,328,921	
Other Financing Sources											
Interest Income	\$ 1,329	\$ 12					\$ 1,341	\$ 23,641	\$ 1,173	\$ 36,939	
Investment Income											
Donations											
Local Fees	\$ 24,371						\$ 24,371	\$ 302,580	\$ 35,803	\$ 312,580	
Non-Fee Revenues											
Enhanced Collections		\$ 163,339					\$ 163,339	\$ 1,430,071	\$ 121,511	\$ 1,118,982	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 0	\$ 1,061					\$ 1,061	\$ 42,134	\$ 1,065	\$ 44,614	
Reimbursement Other	\$ 2,404						\$ 2,404	\$ 443,497	\$ 0	\$ 59,450	
Sale of Fixed Assets											
Other Miscellaneous	\$ 298						\$ 298	\$ 910	\$ 10,136	\$ 9,659	
	\$ 28,402	\$ 164,413					\$ 192,815	\$ 2,242,833	\$ 169,688	\$ 1,582,224	
Total Revenues	\$ 8,887,859	\$ 177,612	\$ (169,765)				\$ 8,895,706	\$ 27,011,958	\$ 4,415,832	\$ 23,080,124	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,296,780	\$ 118,452	\$ 129,006				\$ 2,544,238	\$ 11,266,149	\$ 2,772,836	\$ 10,632,478	
Temp Help											
Overtime	\$ 1,262		\$ 21				\$ 1,284		\$ 4,676		
Staff Benefits	\$ 1,793,066	\$ 111,928	\$ 88,011				\$ 1,993,004	\$ 9,633,389	\$ 2,019,196	\$ 11,039,488	
	\$ 4,091,108	\$ 230,379	\$ 217,038				\$ 4,538,526	\$ 20,899,538	\$ 4,796,708	\$ 21,671,966	
Operating Expenses and Equipment											
General Expense	\$ 39,269	\$ 600	\$ 1,413				\$ 41,282	\$ 416,389	\$ 48,367	\$ 312,135	
Printing	\$ 13,339	\$ 750	\$ 15				\$ 14,104	\$ 117,620	\$ 17,988	\$ 144,120	
Telecommunications	\$ 23,104	\$ 1,379	\$ 905				\$ 25,388	\$ 138,045	\$ 26,280	\$ 154,660	
Postage	\$ 28,486	\$ 4,376	\$ 5				\$ 32,867	\$ 203,190	\$ 26,752	\$ 218,180	
Insurance								\$ 7,300		\$ 8,549	
In-State Travel	\$ 3,932						\$ 3,932	\$ 22,638	\$ 4,924	\$ 28,590	
Out-of-State Travel											
Training	\$ 308		\$ 310				\$ 618	\$ 16,085	\$ 1,100	\$ 24,525	
Security Services	\$ 83,822						\$ 83,822	\$ 399,792	\$ 98,424	\$ 385,000	
Facility Operations	\$ 71,801	\$ 1,018					\$ 72,819	\$ 712,512	\$ 111,862	\$ 680,364	
Utilities	\$ 33						\$ 33	\$ 31,602	\$ 5,854	\$ 68,000	
Contracted Services	\$ 212,422	\$ 19,043	\$ 34,999				\$ 266,464	\$ 1,652,111	\$ 299,278	\$ 1,675,494	
Consulting and Professional Services	\$ 127,250	\$ 9,781	\$ 5,200				\$ 142,231	\$ 1,019,005	\$ 132,863	\$ 924,024	
Information Technology	\$ 13,400	\$ 1,878					\$ 15,278	\$ 2,500,310	\$ 15,093	\$ 88,936	
Major Equipment								\$ 265,883			
Other Items of Expense	\$ 528						\$ 528	\$ 2,590	\$ 283	\$ 2,960	
	\$ 617,693	\$ 38,826	\$ 42,846				\$ 699,365	\$ 7,505,072	\$ 789,066	\$ 4,715,537	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 2,150	\$ 230	\$ 440	
Jury Costs	\$ 61,944						\$ 61,944	\$ 294,990	\$ 83,917	\$ 233,960	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 150,000						\$ 150,000	\$ 1,800,000	\$ 150,000	\$ 600,000	
Capital Costs											
Internal Cost Recovery	\$ (77,702)	\$ 41,984	\$ 35,719				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ (4,413)		
	\$ 134,242	\$ 41,984	\$ 35,719				\$ 211,944	\$ 2,097,140	\$ 229,734	\$ 834,400	
Total Expenditures	\$ 4,843,043	\$ 311,189	\$ 295,603				\$ 5,449,835	\$ 30,501,750	\$ 5,815,508	\$ 27,221,903	
Excess (Deficit) of Revenues Over Expenditures	\$ 4,044,815	\$ (133,577)	\$ (465,367)				\$ 3,445,871	\$ (3,489,792)	\$ (1,399,676)	\$ (4,141,779)	
Operating Transfers In (Out)								\$ 0		\$ 1	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,894,441	\$ 1,126,122	\$ 0				\$ 5,020,563	\$ 5,020,563	\$ 7,347,515	\$ 7,347,515	
Ending Balance (Deficit)	\$ 7,939,257	\$ 992,544	\$ (465,367)				\$ 8,466,433	\$ 1,530,771	\$ 5,947,839	\$ 3,205,737	

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Sonoma  
 Court

13-14 Q1  
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	200.87	175.05			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.