

JUDICIAL COUNCIL OF CALIFORNIA

QUESTIONS AND ANSWERS

RFP NUMBER – TCAS-2020-01-BH

Pretrial Risk Assessment Application for the Superior Courts of California

March 5, 2020

*Please note: Items marked to be determined will be further defined in the implementation phase - Preliminary Business Analysis, Business Process and Procedure review as outlined in Exhibit 3, Tab 2

- 1) **Question:** It was mentioned on the pre-bid conference call that the courts and possibly the county probation departments could purchase the risk assessment software, and possibly a full Pretrial CMS from this Master Service Agreement. Can you please provide more detail about how a county agency will be able to use this state agreement to purchase a pretrial or probation case management system?

Answer: Vendors that enter into any Master Agreement established as a result of this RFP must offer the Pretrial Risk Assessment Application (PTRA) to any trial court that enters into a Participation Agreement as set forth in this RFP. The PTRA will be procured and licensed by a trial court through such Participation Agreement. While contracted justice partners, including county probation departments, will not directly procure the PTRA via a participation agreement, nor be a party to any Master Agreement, they will have authorized access to the PTRA via the license granted to the participating court by the vendor. The aforementioned clarification will be inserted into applicable RFP documents via a forthcoming addendum.

- 2) **Question:** Will the JCC please share the list of vendors (companies) that participated in the Proposers' Conference on Feb 19th?

Answer: AutoMon, LLC; cFive Solutions; Connectrex Corp; Equivant; FivepointSolutions; Journal Technologies; Justice Systems; Ping Identity; Quartech Justice Solutions; TechCare® EHR & Information Technology, NaphCare Inc.; Tyler Technologies, Inc

- 3) **Question:** Can the State identify all other Solutions that an interface is required for?
- Name of entity and system being interfaced to?
 - One way or both ways?
 - Specs for format?
 - Transformation required?

Answer: The interface solutions will vary by county. All pilot courts are required to send specific pretrial data to JC data warehouse (individual Snowflake tenant). The JC is contracting with an integration vendor and will have translation tool for this purpose. The Department of Justice will be a two-way exchange - sending the CII and identifiers and receiving criminal history information. Other solutions will vary for each county - Court CMS, jail and probation. The JC is

contracting with CMS vendors and courts directly for work on statewide APIs. Standard APIs will be established by the Judicial Council and the Pretrial Technical workgroup.

- 4) **Question:** Can the State identify all state reporting interfaces required?

Answer: Refer to answer 3

- 5) **Question:** How many cases will be converted?
- a. What systems are they converted from?
 - b. What will be converted from each system?
 - c. Number of records, size?
 - d. Number of cases converted?
 - e. Number of Images converted? Per day? Per month? Per year?
 - f. Average size of documents to be converted?

Answer: In the event a legacy pretrial solution exists, and the court or contracted justice partner opts for conversion services, those specific details will be on a county by county basis and specified in the individual Participating Addendum Statement of Work.

- 6) **Question:** Will services for data conversion be needed in the RFP response?

Answer: Refer to answer 5

- 7) **Question:** The RFP technical requirements refers to a "FedRAMP certified". If hosting is provided by AWS on the GovCloud platform which is FedRAMP authorized, is this an acceptable solution?

Answer: Yes

- 8) **Question:** Can you please clarify what the Fee Structure response should include (is there a required format)? Will both implementation services and license and maintenance schedules be expected?

Answer: RFP was amended to include Exhibit 5 Cost template, as well amended to allow alternative pricing proposals

- 9) **Question:** Will any participating county require an on-premise hosted solution?

Answer: Unknown at this time.

- 10) **Question:** How many pretrial cases are initiated and disposed of annually in the State of California?

Answer: Data are readily available, but the scale is about 800,000 bookings per year in CA, some are never filed on by the DA, others are dismissed in court. Criminal filings number about 600,000, and dispositions around 500,000.

- 11) **Question:** Will SB10 and SB36 reporting requirements be expected in the final solution available to all jurisdictions?

Answer: Current reporting requirement are included in the data elements tab of Exhibit 1. However, application should be flexible to allow for changes in legislative requirements.

12) **Question:** Is there a state-level Web Portal system in production today that chosen vendors would need to integrate with?

Answer: To Be Determined.

13) **Question:** Is a web solution preferred?

Answer: Yes

14) **Question:** Exhibit 2, Technical Requirements: Please clarify what is intended with the Print-on-Demand requirement (ID 16.2). Can the Judicial Council provide an example of how this is used in courts today?

Answer: While the desire is to remove dependency on paper, some users or justice partners may require paper risk assessment or judicial order/release documents. The application should allow the user to print a hard copy of reports, orders, violations, etc.

15) **Question:** Section 2.5.2: Please clarify if Implementation Services payments are acceptable prior to go live?

Answer: Please see 2.5.1 Milestone Payment Schedule.

16) **Question:** In Exhibit 1: Business & Functional Requirements, numerous requirements refer to Attachment #1 (ie: section 1 Demographics: ability to capture all Technical elements for Data linking as listed on Attachment #1- CII, FBI, unique local ID, name, DOB, Sex, Race). Can you clarify exactly where this information referred to as attachment1 is located? (Attachment 1 of the RFP package is the Administrative Rules Governing RFPs)

Answer: Please see Exhibit 1 tab 2 labeled Data Elements.

17) **Question:** In Exhibit 1: Business & Functional Requirements: Data Elements Tab- are responses required for each data element? If so, can you please provide instruction on how you would like each element answered?

Answer: The data elements presented tab 2 Exhibit 1 are all mandatory data elements that must be captured and reported as part of the legislative requirement.

18) **Question:** In Exhibit 1: Business & Functional Requirements: Data Elements Tab- column C does not identify requirement/preference/optional for all listed data elements. If responses are mandatory, can you please identify each item as either required, preferred, or optional?

Answer: All data elements are mandatory

19) **Question:** In Exhibit 1: Business & Functional Requirements: section 2- Intake/Initiation requires a manual entry of ACHS information. Can you please clarify the acronym ACHS and the type of information is acquired via the ACHS?

Answer: ACH - Adult Criminal History. This information populates the risk assessment as to prior convictions. The prior conviction ideally will be populated through a real-time data exchange with the Department of Justice. Courts may opt out of that exchange and enter the information manually, or in the interim (pending exchange development)

20) **Question:** In Exhibit 1: Business & Functional Requirements: section 3- Risk Assessment requires that the application provide automated interviews and pretrial risk assessments. Can you please define automated interview in detail?

Answer: Correction: Application provides automated risk assessments and allows for addition of interview information for those tools that require interviews.

21) **Question:** In Exhibit 1: Business & Functional Requirements: section 5- Monitoring/Supervised OR requires single data entry to change information in multiple cases. Please provide a list of those data points that would need to be changed on multiple cases.

Answer: To be determined as part of Operational Process and Procedure review. Example: Single defendant is being monitored on 5 cases, successfully reports for weekly check-in, user inputs single entry for all five cases.

22) **Question:** Please provide a use case to explain what is meant by “monitoring status” for the requirement “Display Person's current monitoring status (should this be associated with demographics, should be part of criminal history?).”

Answer: Sample: weekly monitoring, no monitoring as assigned as part of supervised release

23) **Question:** Do relationships & permissions exist “in place” between the Superior Courts and DOJ to “Send CII and required data (from a system) to DOJ to perform adult criminal history search.”

Answer: Current relationships and permissions exist in each county. Leveraging existing or creating new or updating permission may be required.

24) **Question:** For confirmation purposes, please identify the acronym “ACHS” for the requirement “Allow for manual entry of ACHS information.”

Answer: Adult Criminal History - this includes prior conviction information for populating factors in the risk assessment tools.

25) **Question:** What CMS does the Superior Court utilize as described in “Query court CMS for FTAs, record FTA information for risk assessment.” In addition, does the Court CMS vendor provide an Application Programming Interface (API) that allows for the retrieval of the FTA information in this requirement?

Answer: The Judicial Council is contracting with CMS vendors to provide the required APIs.

26) **Question:** Does this requirement enumerate all of the Pretrial Risk Assessment Tools that will be used by the System? “The application has the ability to integrate in real-time with risk assessment tools (e.g., PSA, VPRAI, VPRAA-R and ORAS).”

Answer: Yes, PSA, ORAS, VPRAI and VPRAI-R

27) **Question:** Will the Superior Court be one validated pretrial risk assessment tool or will it be using multiple tools? Please enumerate each of the Risk Assessment Tools.

Answer: The individual court selects which Risk Assessment Tool. See above 4 options.

28) **Question:** Apart from the PSA, the other tools enumerated in this requirement are normalized and validated for populations in their respective States (Virginia and Ohio). It is the general recommendation that with the adoption of any risk assessment tool, the tool should be normalized and validated to that State's population (including the PSA). Will this take place for the tools to be utilized by the Superior Courts of California?

Answer: The tools will be locally validated.

29) **Question:** Have the Courts already designed a Decision-Making Framework (DMF) tool that will be utilized for the requirement "Application allows for locally configurable, Agency-defined "Keep/Release" Decision Matrix".

Answer: Each pilot court has already designed their matrix and moving forward that is a part of the pretrial release process.

30) **Question:** Do the Courts already have an example of a Pretrial/Risk Assessment report to support the requirement "Ability to create risk assessment and recommendation/pretrial report document (pdf)?" If so, please provide this example for review.

Answer: Variety of reports in use and under development. Most letter size with standard filing requirements, displaying case name, case number, risk assessment results, recommendation by PO, etc. This would be a locally configurable form.

31) **Question:** Please provide an example of the currently used Judicial Order Document as defined in this requirement "Create Judicial Order document with electronic signature."

Answer: Variety of reports in use and under development. See above

32) **Question:** What Jail Management System (JMS) is currently in use to support the requirement "Send order and release information/conditions to Jail Management System (JMS)." In addition, does the vendor of the JMS provide and API in which data can be exchanged?

Answer: There are 10 JMS currently in use for our 16 pilot courts. Each court/county will address the required data exchanges on a county by county basis. Counties that share a JMS may work with the vendor as a consortium.

33) **Question:** Please provide uses and examples to support the requirement "Allow for automatic notification of changes in case and offender status."

Answer: In the context of the DOJ data exchange, once the initial inquiry is submitted to the DOJ, that CII number is flagged and any subsequent activity by that individual will generate an automatic notification.

34) **Question:** Please provide the specific Probation System Name and Vendor as described in requirement "Send all defendant, risk assessment, and order information to Probation system for monitoring/supervised OR purposes if required." In addition, please specify if the Vendor provides and API in which data can be exchanged.

Answer: Varies per county. The assumption is the vendor of the local system will provide API.

35) **Question:** Please provide the details and specifications of the Kiosks currently used by the Courts to support requirement “Office, Home, Telephone and Kiosk Reporting.”

Answer: To be determined as part of requirements gathering

36) **Question:** Please provide the details and Key Performance Indicators (KPIs) currently used by the Court to support the requirement “Customizable Analysis dashboard for key performance measurements and reporting;”

Answer: To be determined*

37) **Question:** Please provide a complete list of the current templates used by the Courts to support “Provide ability to create and maintain a file of templates, including text and negotiated data field identifiers.”

Answer: To be determined*

38) **Question:** Please provide a real-Court use case example to support this requirement “Add metadata and tags to documents.” Provide examples of real “metadata tags” that the Court would add to a document.

Answer: To be determined*

39) **Question:** Please specify the vendor and JMS application (product) referenced by in this requirement “Application receives new arrest/release information daily from Jail Management System (JMS), if not multiple times per day, as required by local configuration.” In addition, please specify if the JMS vendor provides and API for data exchanges.

Answer: Varies per county

40) **Question:** What format does the Court CMS expect that data transfer in (i.e. XML, .csv, .txt, etc.) and is there an established API with the Court CMS to support the data that is enumerated in the following requirement “Application sends the Pretrial Report with Risk Assessment Information (data and pdf), recommendations for release and conditions of release, Judicial Order (data/pdf), violation of PTOR/Condition reports, requests for warrants and other information to the court CMS in real time or as designated by local court; and also FTA info and pending case info upon initiation, case updates and court hearing information from court CMS.”?

Answer: Data format accepted is dependent on the CMS. Data format should support XML, JSON and "delimited" files. Court CMS APIs to support is unknown and to be determined. The assumption is that the APIs probably do not exist currently.

41) **Question:** Please provide additional information regarding the requirement “Application sends all required data to the Judicial Council (JCC) Data Repository as required and described in tab 2.” Please provide a sample file that demonstrates the format of the required data that the JCC Data Repository expects.

Answer: Under development.

42) **Question:** What frequency and transmission method is in place to support the requirement “Please provide additional information regarding the requirement “Application sends all required data to the Judicial Council (JCC) Data Repository as required and described in tab 2.”. Please provide a sample file that demonstrates the format of the required data that the JCC Data Repository expects.” How is this data transmitted today to the JCC Data Repository?

Answer: Under development.

43) **Question:** Please provide a specific use case to support the functional requirement “Ability to use “type ahead” features to assist with ease of data entry.”

Answer: User begins to input address and types Ca and system predictively autocompletes.

44) **Question:** Please provide a specific use case to support the functional requirement “Ability to configure varying business rules for the various locations, buildings, divisions, departments and offices.”

Answer: Some pretrial services will service more than one court location. The application must be able to configure multiple court locations, multiple judicial officers and manage the risk assessments and process accordingly.

45) **Question:** Please provide a complete list of DMV and ORI codes as defined in the functional requirement “Ability to assign a DMV court code and ORI code to county locations. /buildings.”

Answer: Court and county specific identifiers will be provided upon signed PA and as needed.

46) **Question:** Please provide a specific use case to support the functional requirement “Application allows for configurable work flow, with tasks and dependencies; prompts users for incomplete work flows.”

Answer: Example: Risk assessment score is calculated, and user does not complete next step (does not submit for review or save, etc.)

47) **Question:** Please provide a specific use case to support the functional requirement “Application allows for configurable clocks/ticklers to prompt user when action is due.”

Answer: Example: A risk assessment must be completed within 12-24 hours of arrest - When deadline is approaching, the system should flag or prompt for action.; A court date reminder notice is scheduled to go out 24 hours prior to court date.

48) **Question:** Please provide a sample of the existing “pre-populated Recommendation/Pretrial Report” that is currently used by the Court.

Answer: Varies by county

49) **Question:** Please provide a specific use case to support the functional requirement “The ability to schedule batch jobs and to specify the dependency level of the relationships to other batch jobs, the interval between attempted runs of the batch job, the number of times the Application will attempt to run the batch job (when the batch job fails due to the parent batch job not having run), the time at which the Application will stop attempting to run the batch job, the date

on which the batch job dependency will be active, and the date on which the batch job dependency will become inactive.”

Answer: Example: Court date reminder trigger action batches all messages together; the batch job is scheduled to run every day at 9:00 am; the contents of the messages is all reminders that have a court date scheduled in the next 24 hours.

50) **Question:** Please provide a specific use case to support the functional requirement “Ability to view and monitor the status of all application components and connections at-a-glance.” - Specifically, what “application components and connections” does the Court specifically want to Monitor?

Answer: to be determined

51) **Question:** Please provide a specific use case to support what is desired in the functional requirement “Ability to customize and configure the application user interface based upon user requirements.”

Answer: to be determined

52) **Question:** Please provide a specific use case to support what functionality is required in the functional requirement “Ability to define or configure county specific data integration.”

Answer: Varies by county

53) **Question:** Please provide a specific use case to support the functional requirement “Ability to have multiple versions of the same table value with various effective date ranges.”

Answer: to be determined

54) **Question:** Please provide a specific use case to support the functional requirement “Ability to create relationships between reference tables to ensure that data dependencies are established.”

Answer: Reference tables: Court Location, Judicial Officer, Court Hearing Department. A risk assessment may be assigned to a specific court location and routed to only judicial officers that are assigned to that location, and so on. If release type is Supervised OR, conditions of release may be tied to that release type.

55) **Question:** Does the JCC/Courts have a preference to either an On-Premise or Vendor Hosted Solution?

Answer: This is dependent on the court's facilities, operations and connectivity. Ideally, a SaaS based solution that meet all the security requirements and controls would be beneficial for the courts with highly reliable connectivity. For courts that are limited in connectivity, an on-premise solution would be preferred.

56) **Question:** What groups and departments are part of the decision-making process for this RFP?

Answer: Ultimate decision-making regarding the RFP rests with the judiciary, but justice partners such as county probation departments have been consulted for input.

57) **Question:** Is this project funded? If Yes, please provide the Capital and Operational budgets.

Answer: Varies by county

58) **Question:** What are the total number of Full and Read-Only licenses required?

Answer: See Exhibit 5 Cost Response Template

59) **Question:** Has the JCC, Courts or any of their Evaluation Team members evaluated any other vendors systems on a client site visit or through some presentation prior to the release of this RFP? If so, what companies and products were evaluated?

Answer: No

60) **Question:** Please provide a real-world use case scenario that for the requirement “The Proposer shall describe whether the job scheduler supports prioritization.” – Specifically, what kind of “Jobs” will need to be scheduled by the System?

Answer: Court date reminder, hearing updates, report filing, etc.

61) **Question:** Please provide the departments and function that are currently being used by the following Document Management System

- a. EMC Documentum
- b. IBM FileNet P8 and IBM FileNet Image Services
- c. Microsoft SharePoint
- d. Laserfiche.
- e. ImageSoft

Answer: Decision for the document management system is defined each court. System should have integration interfaces with the major document management systems. Provide a list of the DMS that product has out of the box support.

62) **Question:** Please provide the departments and function that are currently being used by the following Image Capture Systems

- a. EMC Captiva
- b. ImageSource ILINX
- c. Kofax Capture
- d. IBM Capture
- e. IBM DataCap

Answer: Decision for the image capture systems is defined by each court. System should have integration with the major Image Capturing Systems. Provide a listing of all the supported Image Capture systems available out of the box

63) **Question:** Please provide a real-world use case to support the technical requirement “The Proposer shall describe the proposed solution’s ability to automate role-based work-flows from configurable business rules with multiple parameters and describe its ability to distribute the data to other processes, email, queues, views, notifications, data sources and external applications. Also, describe how the work flow engine manages and resolves task activity dependencies, rejected tasks and prioritization of tasks.”

Answer: Example: role-based work may include a user role that allows for Risk Assessment initiation, interview questions and recommendations, while this role does not have access to Judicial Officer review work flow. Supervision/monitoring functions may not be assigned to intake or judicial officer role, etc.

64) **Question:** Exhibit 4 appears to be missing the Cost/Pricing matrix/grid to provide as described in the RFP on page 17, Section 7 COST PROPOSAL CONTENT "The purpose of exhibit 4 is to obtain detail pricing for courts and their contracted partner using the PTR." Please provide where vendors are to provide the details of their Cost Proposal Pricing in Exhibit 4.

Answer: RFP was amended to include Exhibit 5 Cost template, as well amended to allow alternative pricing proposals

65) **Question:** Couriers like Federal Express require a specific Individual and contact phone when sending. Please provide the individual and their telephone contact number to be addressed.

Answer: You may send: Attn: Bill Hardin, 916-643-8064. This is the general phone number for the contracts group and not to be used for any contact regarding this RFP.

66) **Question:** 'Provide for transfer or entry of all charges, custody, and release information for each offender from CJ agency or court.': We are unclear of this requirement - please provide more detail

Answer: Incoming arrest data from jail system is accepted by the application via data exchange and auto-fills required info; application sends release information to jail system, court CMS, etc.

67) **Question:** 'Flag incoming arrests ineligible for risk assessment.': Is this requirement based on statute that would be pre-defined? Please explain

Answer: Yes, there are certain pre-defined violations that are not eligible for pretrial release (by statute)

68) **Question:** 'Ability to sort and filter on incoming message page by date, eligibility flags, and remaining data fields/elements on the message page.': Please explain what is referred to by "messaging page"

Answer: Incoming arrests, defendants that need to be assessed.

69) **Question:** 'allow the computation and entry of probation term and expiration date of probation.': Please explain how this is relevant for Pretrial

Answer: Please disregard this requirement

70) **Question:** 'Application shall have a trigger or electronic notification of the future assessment dates that shall be predefined based on monitoring and risk levels scheduled at set interval times. Each level of monitoring shall require a different length of time between assessments and this shall be customizable.': Re-assessments are not a standard procedure when using Pretrial Risk Assessments unless there is an event (i.e., re-arrest, warrant, etc.). What is the use case of re-assessing individuals on Pretrial release/supervision?

Answer: Supervisor approval would be a locally configurable function for those counties that require it.

71) **Question:** 'Create Judicial Order document with electronic signature.': This sounds like something that should come from the court system via the integration? What is a Judicial Order document?

Answer: After review of assessment and recommendation, order for release or detention. These cases are pre arraignment, so there is no court case yet.

72) **Question:** 'In lieu of interface, application will email completed report to designated configurable agencies as needed.': Are there not security concerns with emailing CJIS data via email? Our understanding is this would be considered a CJIS violation....

Answer: Remove email requirement. Secured FTP or other secured method if interface not available

73) **Question:** 'Distribute monitoring/supervised OR documents electronically to other agencies (e.g., courts, court support agencies, CJ agencies, and Non-CJ agencies) in accordance with federal, state, and local statutes, rules, or procedures.': The application can be made available with appropriate role-based security to all mentioned agencies or data can/would be provided via the integration. Is there a reason the documents would need to be transmitted electronically outside of the integration (and still be CJIS compliant?) Please provide more details on "federal, state, and local statutes, rules and procedures" that govern this requirement"

Answer: Through integration or other secure means.

74) **Question:** 'Provide ability to automatically close a case based on business rules in accordance with federal, state, and local statutes, rules, or procedures.': Please provide more details on "federal, state, and local statutes, rules and procedures" that govern this requirement

Answer: To be determined*

75) **Question:** 'Provide ability to close case (e.g., change status to closed; update all related record-keeping functions; generate required forms, notices, reports for that case).': Upon case closure, please detail what "required forms, notices and reports" are required?

Answer: To be determined. If pretrial services are terminated, no further court date reminders will go out, no further tracking, work flows, etc.

76) **Question:** 'Ability to track incidents and violations of terms and conditions of pretrial release:'

Answer: If a defendant is being supervised/monitored by PTS, then when they fail to appear, fail to call in, attend counseling, etc. those incidents are tracked and as defined in the business process may be used as elements in a violation report, recommendation for detention or warrant, etc.

77) **Question:** 'Visibility;': Please explain what is meant by "visibility" as it relates to "incidents and violations or terms and conditions of pretrial release"

Answer: Formatting issue. This is the last word in the sentence above

78) **Question:** 'Ability to transmit failure message, report and forms to the court CMS. ' Are you referring to error handling related to an integration with the Court CMS? If not, please explain this requirement

Answer: When a defendant violates pretrial release, a violation report is transmitted to the court for action (warrant, revoke OR, etc.)

79) **Question:** 'Customizable Analysis dashboard for key performance measurements and reporting;': Please provide details/specifics on the required KPIs requested

Answer: At a minimum they should be able to report on: Number assessed, Release rates, and whether the release was pre-arraignment, Release rates by risk level Success rates of those released separated into at least two categories "no new law violation in PT period" and no "FTA in pretrial period". Reports should be set up so that they can be easily examined by: race/ethnic group, female/male, booking type M/F, zip code/release type, and if desired by an individual judicial officer to track their own releases.

80) **Question:** 'Generate and print documents individually or in scheduled batches.': Please provide more detail/use case for scheduled batch printing of documents related to Pretrial

Answer: Example: All violation documents are printed out at the end of the day or set to print in the middle of the night, or upon demand, as required

81) **Question:** 'Ability to upload, scan, attach documents, photos to case (inline process)': Please explain "inline process"

Answer: Ability to upload, scan or attached while in the process of creating a report or document. For example, you are reporting a violation of supervision and attaching the GPS report as an exhibit/proof of violation

82) **Question:** 'Ability to configure multiple addresses and phone numbers for the various locations, buildings, divisions, departments and offices.': Please explain the need for addresses and phone numbers of physical county buildings within the application? What would this data be used for?

Answer: Pretrial risk assessments may be directed to more than one court location within a single county.

83) **Question:** 'Ability to configure varying business rules for the various locations, buildings, divisions, departments and offices.': Please explain in more detail

Answer: Each individual court may have different hearing requirements or judicial officers assigned to pre-arraignment review schedules.

84) **Question:** 'Ability to assign a DMV court code and ORI code to county locations. /buildings.': Please provide more detail on this requirement and how/why this information is useful

Answer: This requirement is a state identifier assigned to the court jurisdiction that may be used to obtain criminal history or other information (required in calls to DOJ, for example)

85) **Question:** 'Fully compliant to judicial branch framework where applicable to the application (audit requirement)': Please provide more detail/explain this requirement

Answer: System should have a record log of transactions that is identifiable to the individual and actions. Audit logs should be producible and tamper proof format to ensure trustworthiness of information.

86) **Question:** 'The ability to schedule batch jobs and to specify the dependency level of the relationships to other batch jobs, the interval between attempted runs of the batch job, the number of times the Application will attempt to run the batch job (when the batch job fails due to the parent batch job not having run), the time at which the Application will stop attempting to run the batch job, the date on which the batch job dependency will be active, and the date on which the batch job dependency will become inactive.': Please explain/provide use case(s) surrounding this requirement

Answer: The system may be configured to run a job to, for example, generate a job at a certain time, in batch. Like producing reports.

87) **Question:** 'The ability to view, edit, or establish batch job dependencies. Through the use of these screens, the user can view, edit, and establish parent-child relationships between batch jobs in order to ensure that specified batch jobs will not run if their parent batch job has not run. ': Please explain/provide use case(s) surrounding this requirement

Answer: To be determined*

88) **Question:** 'Ability to monitor the status of batch jobs, and to rerun or reschedule batch jobs as required.': Please explain/provide use case(s) surrounding this requirement

Answer: Court date reminders are scheduled to go out at a certain time, and that job should be labeled scheduled, in process, complete, failed. Failed jobs should have ability to be rescheduled on demand.

89) **Question:** 'Ability of the application to notify admin user when the status of any batch job changes (e.g. completes, fails, etc.)': Please explain/provide use case(s) surrounding this requirement

Answer: Error message of flag. See example above.

90) **Question:** 'Ability to view and monitor the status of all application components and connections at-a-glance.': Is this related to monitoring system performance? Please provide more detail on this requirement

Answer: System should be able to provide a means to be able to monitor the system health. Preferably the system has integration components that can be hooked into the system monitoring services.

91) **Question:** 'Ability to create relationships between reference tables to ensure that data dependencies are established.': Please provide more information on this requirement

Answer: Duplicate see answer to 54

92) **Question:** 'Ability for the user to assign/update the security access to cases and/or documents.': Need more info - are you looking for users to have the ability to assign such permissions or SystemAdmins?

Answer: We are looking to assign role-based security - for example user, supervisor and admin. Some functions - like override functions may only be assigned to supervisor or admin. Certain roles should not be able to edit or delete.

93) **Question:** "...service delivery locations in the Continental United States...": Please explain what information you are asking for here...other office locations besides Headquarters location?

Answer: Looking for the areas your company services. For purposes of the RFP, we want to be certain proposer can cover the 58 counties in California.

94) **Question:** 'Negotiations will be conducted at the court at times to be determined by the court and its contracted justice partners. Meetings will require the in-person presence of the Proposer's entire negotiation team. ': What is the purpose of on-site negotiations? In 20 years, we have never conducted negotiations on site

Answer: We are not aware of any legal requirement that the negotiation or discussion must occur in any specific manner or location. Negotiations may be conducted as mutually agreed upon.

95) **Question:** This entire section speaks to a development project not a software services agreement. The first part speaks to work being "work for hire"? All work performed on a SaaS solution is deployed to all customers and no JBE shall obtain rights to anything but their data but rather are provided a license to use the software during the term. Section D then states "this Master Agreement grants the JBEs no title or rights of ownership in the Licensed Software." Please clarify

Answer: There will be an opportunity to discuss and potentially revise Section 19, Ownership of Intellectual Property, during negotiations depending on the end PTR product and services.

96) **Question:** How were the requirements detailed in the RFP assembled? As we have several CA counties currently utilizing our Pretrial CMS many of the requirements listed are not part of any Pretrial Services operation, we service, nor have they ever been requested by our customers - several who are CA Pretrial Pilots. Insight?

Answer: This design is currently in use and provides a mechanism for the expansion of pretrial risk assessment to all bookings in CA. This is new functionality - the interface work, automatic risk assessment scoring, electronic judicial review.

97) **Question:** Number of employees (deployment services) - could you please clarify what is meant by deployment services.

Answer: Please see exhibit 3

98) **Question:** Service Delivery Locations in the Continental United States - could you please clarify how we should respond (e.g. states? List all current customers?)

Answer: Looking for the areas your company services. For purposes of the RFP, we want to be certain proposer can cover the 58 counties in California.

99) **Question:** Details of Revenue Stream "Fiscal 2016" – is 2016 the intended year or is this a typo and the JCC would like more recent financial info?

Answer: That is a typo. Most current is desired.

- 100) **Question:** Who are the possible end users (what pretrial sites do not currently have a system or would be considered potential users if awarded this RFP)?

Answer: The end users are pretrial services staff, supervisors and judicial officers.

- 101) **Question:** For each possible pretrial site what JMS Court MS or third-party systems are in use/ require an integration? And if data conversion required how many records and from what systems?

Answer: This will vary and be negotiated in each individual participation agreement.

- 102) **Question:** Will each pilot site be considered a unique implementation or will the JCC be managing/overseeing multiple sites' implementations at once?

Answer: Each court would be a unique implementation; however, a consortium of courts is a possibility to consider as well.

- 103) **Question:** Can a vendor be awarded a Master Agreement for part of its response? That is, if a proposed solution meets the needs of the State, but not all solutions, will the State consider a solution to be accepted that meets the State's needs?

Answer: The intent of this RFP is to have any development required to meet the needs completed within 6 to 12 months.

- 104) **Question:** Will the State extend the due date of the RFP?

Answer: The due date is being extended to **March 27, 2020**, which will be reflected in an upcoming addendum.

- 105) **Question:** Are the 16 Pilot Courts required to use the solutions accepted by the JCC

Answer: No, this is an option for any of the 58 trial courts

- 106) **Question:** Is there a budget for implementing the services if the 16 Pilot Courts are required to use the chosen Vendor(s) solutions?

Answer: The 2019 budget provided funding for the 16 pilot courts.

- 107) **Question:** Would California Collaborative Courts be eligible to buy off of the Master Agreement?

Answer: Any trial court in California may leverage this MSA. Any customization for collaborative court use would be negotiated in the individual PA/SOW.

- 108) **Question:** he State mentions "Attachment 1 – Data Elements Requested from Probation." Are these the Data Elements listed in Tab 2 "Data Elements" in Exhibit 1 or is this a separate document?

Answer: Tab 2 Data Elements in Exhibit 1 is correct.

- 109) **Question:** Can proposers include screenshots to demonstrate meeting Exhibit 1 Requirements?
- Answer:** A proposer may add attachments to or incorporate screen shots in Exhibit 4, if desired.
- 110) **Question:** Can a proposer modify the Excel document to include screenshots below the requirement?
- Answer:** A proposer may add attachments to or incorporate screen shots in Exhibit 4, if desired.
- 111) **Question:** Can a proposer discuss items in Exhibit 2 that Are “In Progress” by the proposing vendor or how the vendor will customize the request to meet the JCC Requirement.
- Answer:** Yes
- 112) **Question:** Can proposers include solutions that they have that include Case Management/Probation Management Systems and Query Systems?
- Answer:** Yes
- 113) **Question:** Which databases, nationally or statewide, does the JCC intend to pull data from for first arraignment?
- Answer:** First arraignment data will come from the court case management system. The court CMS will send the pretrial data to the JCC data warehouse
- 114) **Question:** Send CII and required data to DOJ to perform adult criminal history search. Does this require CJIS compliance?
- Answer:** DOJ specifications will be made available. Agencies currently have access and are performing manually. The electronic process in place is based on the local agency approval as a CLETS subscribing agency. In that way, it would require compliance with CJIS Security Policy and CLETS PPP's and a CLETS authorization.
- 115) **Question:** Create Judicial Order document with electronic signature. May we have an example Judicial Order document for reference?
- Answer:** Order for Release from custody
- 116) **Question:** Permit single data entry to change information in multiple cases. Can you please define all the information that needs to be able to be changed with a single data entry in detail?
- Answer:** Updating address, updating release conditions
- 117) **Question:** Application sends Department of Justice (DOJ) CII identifying info to DOJ and receives data for auto priors check/ new arrest information. Does this require CJIS compliance?

Answer: DOJ specifications will be made available. Agencies currently have access and are performing manually. The electronic process in place is based on the local agency approval as a CLETS subscribing agency. In that way, it would require compliance with CJIS Security Policy and CLETS PPP's and a CLETS authorization.

118) **Question:** Application sends all required data to the Judicial Council (JCC) Data Repository as required and described in tab 2. Is this every data element listed in the Data Elements table in Tab 2? If not, please define the subset of data elements required to be sent to the JCC Data Repository and define the JCC Data Repository interface requirements in detail.

Answer: Yes, this is all the required data elements for JCC repository

119) **Question:** Provide a fully-functioning "On Line" help process. Can you please define a fully-functioning "On Line" help process in detail?

Answer: User has access, within the application, to help documentation, training guides, FAQs, etc.

120) **Question:** applicable to the application (audit requirement).. Can you please define the judicial branch framework and compliance measurements in detail?

Answer: https://www.courts.ca.gov/documents/itac-InfoSecurityFramework_How_To_Use_Guide_v1.3_FINAL.pdf

121) **Question:** Multiple requirements specify functionality for batch jobs. Please define a job in this context in detail. Is this reporting, printing, communications, all of the above?

Answer: Previously answered, see above