

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/17/2012

Date

YOLO

Court

FY 12/13 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

YOLO

Court

FY 12/13 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

YOLO

Court

FY 12/13 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	94.0	94.0			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2012/13								2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 208,639	\$ (135,175)	\$ (125,550)	\$ 271			\$ 4,756	\$ (47,059)	\$ (14,810)
Payroll	\$ 922	\$ 0						\$ 922	\$ 2,090
Jury	\$ 14,142							\$ 14,142	\$ 14,142
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust									
Credit Card									
Cash on Hand	\$ 1,535							\$ 1,535	\$ 1,535
Cash with County	\$ 0							\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ 225,237	\$ (135,175)	\$ (125,550)	\$ 271			\$ 4,756	\$ (30,461)	\$ 2,957
Short Term Investment	\$ 4,497,660						\$ 231,637	\$ 4,729,297	\$ 6,161,431
Investment in Financial Institution									
Total Investments	\$ 4,497,660						\$ 231,637	\$ 4,729,297	\$ 6,161,431
Accrued Revenue	\$ 0		\$ 0	\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 16,465		\$ 42,499					\$ 58,964	\$ 59,465
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 8,000	\$ 0						\$ 8,000	\$ 8,000
Due From Other Courts	\$ 0							\$ 0	\$ 0
Due From State	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Trust Due To/From								\$ 0	\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 24,465	\$ 0	\$ 42,499	\$ 0			\$ 0	\$ 68,964	\$ 67,465
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 4,747,363	\$ (135,175)	\$ (83,051)	\$ 271			\$ 236,392	\$ 4,765,800	\$ 6,231,852
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ (73)
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State									
TC145 Liability							\$ 236,364	\$ 236,364	\$ 266,903
Due to Other Governments	\$ 0							\$ 0	
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 28	\$ 28	\$ 17
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 0	\$ 0	\$ 0	\$ 0			\$ 236,392	\$ 236,392	\$ 266,847
Civil									
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits									
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 10,727							\$ 10,727	\$ 86,533
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 234,716							\$ 234,716	\$ 64,312
Payroll Clearing	\$ 0	\$ 0						\$ 0	\$ 0
Total Payroll Liabilities	\$ 245,444	\$ 0						\$ 245,444	\$ 150,845
Revenue Collected in Advance	\$ 438,438							\$ 438,438	\$ 438,438
Liabilities For Deposits	\$ 10,473							\$ 10,473	\$ 10,473
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 448,911							\$ 448,911	\$ 448,911
Total Liabilities	\$ 694,355	\$ 0	\$ 0	\$ 0			\$ 236,392	\$ 930,747	\$ 866,603
Total Fund Balance	\$ 4,053,008	\$ (135,175)	\$ (83,051)	\$ 271				\$ 3,835,052	\$ 5,365,249
Total Liabilities and Fund Balance	\$ 4,747,363	\$ (135,175)	\$ (83,051)	\$ 271			\$ 236,392	\$ 4,765,800	\$ 6,231,852

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep										
Fiscal Year 2012/13								2011/12		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects						
		Non-Grant	Grant							
REVENUES										
State Financing Sources										
Trial Court Trust Fund	\$ 1,434,073					\$ 1,434,073	\$ 6,528,039	\$ 2,174,526	\$ 9,323,255	
Trial Court Improvement Fund	\$ (4,256)					\$ (4,256)	\$ 25,608	\$ 27,178	\$ 34,808	
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)	\$ 20,625					\$ 20,625	\$ 82,500	\$ 20,625	\$ 82,500	
Court Interpreter (45.45)	\$ 73,443					\$ 73,443	\$ 577,194	\$ 134,073	\$ 581,000	
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 27,230					\$ 27,230	\$ 527,801	\$ 87,425	\$ 537,136	
Other Miscellaneous							\$ 128,079		\$ 127,169	
	\$ 1,551,115					\$ 1,551,115	\$ 7,869,021	\$ 2,443,827	\$ 10,685,668	
Grants										
AB 1058 Commissioner/Facilitator			\$ 6,714			\$ 6,714	\$ 348,071	\$ 38,283	\$ 350,498	
Other AOC Grants			\$ (3,467)			\$ (3,467)	\$ 6,878	\$ 7,656	\$ 9,000	
Non-AOC Grants										
			\$ 3,247			\$ 3,247	\$ 364,949	\$ 46,939	\$ 360,498	
Other Financing Sources										
Interest Income	\$ 200			\$ 0		\$ 200	\$ 18,000	\$ 61	\$ 26,500	
Investment Income										
Donations										
Local Fees	\$ 4,557					\$ 4,557	\$ 415,500	\$ (3,190)	\$ 401,300	
Non-Fee Revenues	\$ 6,217					\$ 6,217	\$ 35,600	\$ 5,948	\$ 123,700	
Enhanced Collections		\$ (5,338)				\$ (5,338)	\$ 868,104	\$ 77,569	\$ 839,049	
Escheatment										
Prior Year Revenue										
County Program - Restricted										
Reimbursement Other	\$ (4,620)					\$ (4,620)	\$ 6,000		\$ 10,000	
Sale of Fixed Assets										
Other Miscellaneous	\$ 5,355	\$ (5,338)		\$ 0		\$ 17	\$ 1,933,204	\$ 80,379	\$ 1,400,549	
Total Revenues	\$ 1,550,469	\$ (5,338)	\$ 3,247	\$ 0		\$ 1,554,979	\$ 9,557,174	\$ 2,670,144	\$ 12,445,716	
EXPENDITURES										
Personal Services										
Salaries - Permanent	\$ 1,062,654	\$ 61,140	\$ 49,808			\$ 1,173,607	\$ 4,924,911	\$ 1,309,430	\$ 5,712,804	
Temp Help										
Overtime										
Staff Benefits	\$ 655,829	\$ 37,078	\$ 25,714			\$ 718,621	\$ 3,302,574	\$ 739,774	\$ 3,442,805	
	\$ 1,718,482	\$ 98,223	\$ 75,522			\$ 1,892,228	\$ 8,227,485	\$ 2,049,204	\$ 9,155,609	
Operating Expenses and Equipment										
General Expense	\$ 54,237	\$ (5,742)	\$ 1,125			\$ 49,620	\$ 300,375	\$ 94,571	\$ 475,006	
Printing	\$ 3,734					\$ 3,734	\$ 24,475	\$ 5,119	\$ 36,650	
Telecommunications	\$ 9,162					\$ 9,162	\$ 78,200	\$ 46,130	\$ 101,900	
Postage	\$ 12,534	\$ 8,200				\$ 20,740	\$ 136,850	\$ 26,581	\$ 132,250	
Insurance	\$ 4,123					\$ 4,123	\$ 3,500	\$ 2,539	\$ 2,700	
In-State Travel	\$ 200					\$ 200	\$ 8,725	\$ 3,182	\$ 11,600	
Out-of-State Travel			\$ 1,041			\$ 1,241				
Training	\$ 475		\$ 1,140			\$ 1,615	\$ 4,350	\$ 2,145	\$ 13,025	
Security Services	\$ 104,977		\$ 2,421			\$ 107,398	\$ 505,000	\$ (179,281)	\$ 539,300	
Facility Operations	\$ 43,502	\$ 238				\$ 43,740	\$ 255,800	\$ (11,039)	\$ 257,600	
Utilities	\$ 2,715					\$ 2,715	\$ 20,000		\$ 9,800	
Contracted Services	\$ 238,166	\$ 16,534				\$ 254,700	\$ 1,728,292	\$ 350,704	\$ 1,718,733	
Consulting and Professional Services	\$ 551					\$ 551	\$ 3,700	\$ 795	\$ 2,200	
Information Technology	\$ (18,004)					\$ (18,004)	\$ 114,500	\$ 57,827	\$ 88,510	
Major Equipment	\$ 0					\$ 0	\$ 20,000		\$ 40,000	
Other Items of Expense	\$ 87					\$ 87	\$ 600	\$ 29	\$ 700	
	\$ 455,460	\$ 19,235	\$ 5,728			\$ 481,442	\$ 3,204,167	\$ 599,371	\$ 3,430,474	
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 21,020					\$ 21,020	\$ 118,200	\$ 28,844	\$ 110,200	
Judgements, Settlements and Claims										
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery	\$ (17,160)	\$ 12,616	\$ 4,544			\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 6,750		\$ 504			\$ 7,255				
	\$ 10,610	\$ 12,616	\$ 5,049			\$ 28,275	\$ 118,200	\$ 28,844	\$ 110,200	
Total Expenditures	\$ 2,185,572	\$ 130,074	\$ 88,289			\$ 2,401,945	\$ 11,609,652	\$ 2,477,419	\$ 12,698,283	
Excess (Deficit) of Revenues Over Expenditures	\$ (629,103)	\$ (135,412)	\$ (83,051)	\$ 0		\$ (847,660)	\$ (2,052,678)	\$ 92,726	\$ (250,668)	
Operating Transfers In (Out)	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 4,682,110	\$ 237	\$ 0	\$ 271		\$ 4,682,618	\$ 4,682,618	\$ 5,272,524	\$ 5,272,524	
Ending Balance (Deficit)	\$ 4,053,008	\$ (135,175)	\$ (83,051)	\$ 271		\$ 3,836,062	\$ 2,620,940	\$ 5,365,249	\$ 5,021,956	

Superior Court of California, County of Yolo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended Sep									
	Fiscal Year 2012/13									
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 744,014	\$ 74,491					\$ 818,505	\$ 3,866,345	\$ 922,540	\$ 4,302,292
Traffic & Other Infractions	\$ 92,490	\$ 4,326					\$ 96,816	\$ 486,274	\$ 103,138	\$ 523,432
Other Criminal Cases	\$ 125,828	\$ 1,740					\$ 127,568	\$ 544,010	\$ 159,760	\$ 755,214
Civil	\$ 75,058	\$ 1,807					\$ 76,865	\$ 364,185	\$ 99,342	\$ 439,320
Family & Children Services	\$ 89,675	\$ 22,244	\$ 0				\$ 111,919	\$ 426,911	\$ 112,469	\$ 434,682
Probate, Guardianship & Mental Health Services	\$ 15,968	\$ 13,867					\$ 29,835	\$ 157,541	\$ 28,969	\$ 144,163
Juvenile Dependency Services	\$ 6,605	\$ 62,022					\$ 68,627	\$ 364,323	\$ 63,233	\$ 369,392
Juvenile Delinquency Services	\$ 8,740	\$ 188					\$ 8,928	\$ 43,824	\$ 9,481	\$ 44,674
Other Court Operations	\$ 91,692	\$ 3,440					\$ 95,132	\$ 426,759	\$ 104,900	\$ 473,257
Court Interpreters	\$ 26,059	\$ 71,092					\$ 97,151	\$ 577,194	\$ 143,745	\$ 629,049
Jury Services	\$ 27,685	\$ 8,566	\$ 21,020				\$ 57,271	\$ 280,993	\$ 85,694	\$ 343,524
Security	\$ 1,303,816	\$ 107,864			\$ 20,226		\$ 128,089	\$ 530,860	\$ (149,347)	\$ 751,600
Trial Court Operations Program	\$ 1,303,816	\$ 371,644	\$ 21,020		\$ 20,226		\$ 1,716,705	\$ 8,069,219	\$ 1,683,925	\$ 9,210,999
Enhanced Collections	\$ 98,223	\$ 19,235					\$ 130,074	\$ 858,104	\$ 155,342	\$ 894,943
Other Non-Court Operations	\$ 17,496	\$ 312			\$ 12,616		\$ 17,808	\$ 111,689	\$ 17,010	\$ 81,258
Non-Court Operations Program	\$ 115,719	\$ 19,547			\$ 12,616		\$ 147,832	\$ 989,793	\$ 172,352	\$ 976,201
Executive Office	\$ 201,442	\$ 2,340					\$ 191,166	\$ 742,884	\$ 205,199	\$ 628,539
Fiscal Services	\$ 83,805	\$ 12,497					\$ 96,302	\$ 515,582	\$ 106,779	\$ 480,484
Human Resources	\$ 74,573	\$ 6,488					\$ 68,090	\$ 256,276	\$ 95,444	\$ 377,404
Business & Facilities Services	\$ 112,874	\$ 70,460					\$ 70,460	\$ 378,000	\$ 28,345	\$ 366,400
Information Technology	\$ 472,693	\$ 90,251			\$ (12,616)		\$ 557,357	\$ 678,098	\$ 185,375	\$ 656,256
Court Administration Program								\$ 2,570,840	\$ 621,142	\$ 2,509,083
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 1,892,228	\$ 481,442	\$ 21,020		\$ 0	\$ 7,255	\$ 2,401,945	\$ 11,609,852	\$ 2,477,419	\$ 12,696,283