

**Judicial Branch and
Judicial Council
FY 2015-2016 BCP Concepts
as of August 5, 2014
(in thousands)**

ACTION

| | | JUDICIAL BRANCH FACILITY PROGRAM BCP CONCEPT TITLE | OFFICE | DESCRIPTION | FUND SOURCE | Positions | Total Personal Services (includes Salary and Benefits) | OE&E | BCP Concept Total FY 15-16 | BCP Concept Total FY 16-17 |
|--|---|--|--------|---|--|------------|---|-----------------|----------------------------------|----------------------------------|
| AP | 1 | Ongong Increase to Facility Modifications <i>Approved by Judicial Council June 27, 2014. A&E approval required for positions only.</i> | REFM | Request 4.0 positions and funding to support an ongoing increase to the facility modification program from the General Fund (\$12.625 million). The increase to the facility modification program will address major repairs, system life-cycle replacements, and renovation projects in existing courthouses to provide safe and secure facilities. The requested staff resources will enable effective and timely delivery of projects. Ongoing request. | GF Trsf to SCFCF (\$12,625) | 4.0 | \$507 | \$12,118 | \$12,625 | \$12,625 |
| I | 2 | Increased Operations Costs for New/Renovated Courthouses² <i>Approved by Judicial Council June 27, 2014.</i> | REFM | Request appropriation authority from the General Fund (for transfer to the Court Facilities Trust Fund) to address increased facility operating costs (operations and maintenance, utilities, and insurance) for 19 new/renovated court facilities (Plumas-Sierra, Contra Costa, Fresno-Sisk, Mono, Lassen, San Benito, Tulare, Calaveras, Riverside Mid-County, San Bernardino, Solano, San Joaquin-Juvenile Justice Center, Madera, Butte, Sutter, Yolo, Kings, Santa Clara, and Merced.) Ongoing request. (Pending final update) | GF Trsf to CFTF | | | \$7,200 | \$7,200 | \$7,700 |
| AP | 3 | Facilities Operations Costs Adjustment <i>Approved by Judicial Council June 27, 2014. A&E approval required for positions only.</i> | REFM | Request 4.0 positions and funding to support an ongoing increase in appropriation authority from the General Fund in the amount of \$27.605 million (for transfer to the Court Facilities Trust Fund) to maintain trial court facilities at industry standard levels using the Building Owners and Managers Association (BOMA) average. In addition ongoing baseline adjustment to offset inflationary cost increases, and adjustment to maintain trial court facilities at industry standard levels. | GF Trsf to CFTF (\$27,000) GF Trsf to SCFCF (\$605) | 4.0 | \$475 | \$27,130 | \$27,605 | \$27,605 |
| I | 4 | Judicial Branch Risk Management Program - Trial Courts¹ <i>Approved by Judicial Council June 27, 2014.</i> | CP | Request increased appropriation authority from the General Fund (for transfer to the Court Facilities Trust Fund) for facilities-related insurance premiums for effective risk management of trial court facilities. County Facility Payments provide \$2.862 million for insurance. Total property and liability costs associated with court facility operations is estimated at \$4.583 million, this request addresses the unfunded need. Ongoing request. (Pending update for FY 15-16 needs) | GF Trsf to CFTF | | | \$1,721 | \$1,721 | \$1,721 |
| Total FY 2015-2016 BCP Concepts, JB Facility Program | | | | | | 8.0 | \$982 | \$48,169 | \$49,151 | \$49,651 |
| BCP Concepts By Fund: | | | | | | | | | | |
| Total, General Fund Transfers to Court Facilities Trust Fund - BCP Concepts | | | | | | 0.0 | \$0 | \$35,921 | \$35,921 | \$36,421 |
| Total, State Court Facilities Construction Fund - BCP Concepts | | | | | | 8.0 | \$982 | \$12,248 | \$13,230 | \$13,230 |

Footnotes:

- 1) The cost estimate for the Risk Mangement concept is based upon FY 2014-2015 BCP Concept estimates. If this project is authorized to proceed, the cost estimates will be updated to reflect current information. The cost estimates are not anticipated to change substantially from last year's proposal.
- 2) The cost estimate for the Increased Operations Costs for New/Renovated Courthouses is pending further review.

Item Legend:

- A - Action Required
- AP - Action Required for Positions Only
- I - Information Only

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| | | JUDICIAL BRANCH/JUDICIAL COUNCIL BCP CONCEPT TITLE | OFFICE | DESCRIPTION | FUND SOURCE | Position | Total Personal Services (includes Salary and Benefits) | OE&E | BCP Concept Total FY 15-16 | BCP Concept Total FY 16-17 |
|------|---|--|---------------------|--|------------------------------|----------|---|---------|----------------------------------|----------------------------------|
| | | JUDICIAL BRANCH | | | | | | | | |
| A, I | 1 | Judicial Branch Reinvestment | FIN & GA | Reinvestment in the Judicial Branch is necessary to ensure the branch meets its constitutional and statutory mandates. The branch has taken substantial reductions over the past several years and while there has been some reinvestment over the past two fiscal years, additional reinvestment is necessary. All part of the branch required additional resources to fulfill the branch's mandates. | General Fund & Special Funds | 0.0 | \$0 | \$0 | \$0 | \$0 |
| A, I | 2 | Judicial Branch COLAs | FIN & HR | Request for funding for 4.5% COLA, consistent with funding approved for the Executive Branch. | General Fund & Special Funds | 0.0 | \$0 | \$0 | \$0 | \$0 |
| A, I | 3 | State Judiciary Rent Increases (Appellate Courts, JC/JBFP, HCRC) | | Request General Fund augmentation to fund 2015-16 increased rent costs for state-owned facilities. Increased costs based on DGS estimates for state-owned facilities; and lease rate as stated for non-state owned facilities. | General Fund & Special Funds | 0.0 | \$0 | \$0 | \$0 | \$0 |
| I | | Non BCP Issue - State Level Judiciary Merit Salary Adjustment | | The Chief Justice approved the continuation of the 3.5 percent merit salary adjustment for the state judiciary, inclusive of the Supreme Court, Courts of Appeal, and Judicial Council. | | | | | | |
| | | JUDICIAL BRANCH TECHNOLOGY PROPOSALS | | | | | | | | |
| A | 4 | Appellate Courts Document Management System <i>The JCTC will make a recommendation to the Judicial Council at the August JC meeting.</i> | IT | Request for General Fund augmentation of \$2.348 million in one-time costs in FY 2015-2016; \$1.471 million in one-time costs in FY 2016-2017, \$200,000 in one-time costs in FY 2017-2018, and ongoing costs thereafter of \$817,000 per year to implement an electronic Document Management System (DMS) for the Supreme Court and the Courts of Appeal (Appellate Courts). By acquiring a DMS, the Appellate Courts will capture, manage, store, share and preserve essential case documents and administrative records. An Appellate Court DMS is necessary to improve efficiency, reduce costs associated with record storage/retrieval and improve customer service to the public. This project would be a phased-in deployment of the DMS application to all Appellate Courts throughout the state. | General Fund | 0.0 | \$0 | \$2,348 | \$2,348 | \$1,471 |

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|---|---|---|--------|--|--------------|----------|---|---------|----------------------------------|----------------------------------|
| I | 5 | Judicial Branch Information Systems Security Framework Implementation PLACEHOLDER | IT | Funding is being requested for the initial implementation of a court information security program, which is required to ensure the security and reliability of court data. This implementation will include the assessment of court readiness for general and business process application control reviews, which may be conducted by the California State Auditor in conjunction with mandated procurement audits. With the Judicial Branch Contract Law enacted in 2011, the judicial branch is now subject to biennial audits under which court procurement activities are inspected by the California State Auditor (PCC 19210). It is critical to understand that these audits are not necessarily limited strictly to procurement activities, and auditors have the ability to perform a "general systems" audit to assess the security and reliability of local court information technology infrastructure and the data hosted on that infrastructure. A general systems audit will normally cover a number of fundamental areas: | General Fund | 0.0 | \$0 | \$0 | \$0 | \$0 |
| I | 6 | Telecommunications Trial Court Local Area Network/Wide Area Network (LAN/WAN) Architecture Program | IT | Request \$5.5 million in FY 2015-2016 and subsequent years for the statewide Telecommunications Trial Court LAN/WAN program to support all 58 courts. It is assumed that the FY 2014-2015 baseline for LAN/WAN of \$11.705 million will continue in subsequent years and the requested additional funding of \$5.5 million will be used to fully fund the LAN/WAN program for all 58 courts. The requested amount along with the baseline amount will fund the hardware refresh, ongoing training for court staff, and maintenance and security of the judicial branch network. The program will be administered for all trial courts in accordance to hardware end-of-life cycles. Additional consultants may be requested in future years depending upon the magnitude of that year's refresh cycle. The network and security infrastructure at all trial courts must be replaced consistently with a judicial branchwide technology refresh schedule in order to maintain a secure, robust, reliable and flexible computing environment for all court operations. It is assumed that any additional FTEs for the program will be funded internally. | General Fund | 0.0 | \$0 | \$5,509 | \$5,509 | \$5,509 |
| I | 7 | Statewide Partner Data Exchange PLACEHOLDER | IT | Request funding in FY 2015-2016 and subsequent years for the statewide partner interface effort to support all 58 courts. The amount to be requested will fund data exchange development, single portal solutions development, and outreach, training, configuration, and implementation between case management systems and justice partners. Development of interface standards to meet a single exchange solution will need to be adopted between the courts and business partners. Consultants for project initiation and phases will need to be hired as the roll out and adoption of exchanges are implemented. | General Fund | 0.0 | \$0 | \$0 | \$0 | \$0 |

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|---|---|--|--------------------------|--|-------------|------------|---|----------------|----------------------------------|----------------------------------|
| | | JUDICIAL COUNCIL | | | | | | | | |
| A | 8 | Trial Court Security System Maintenance & Replacement | COS- Security | Requesting \$1.892 million ongoing, to maintain and replace camera, electronic access, duress alarm and intrusion alarm systems in State Trial Court facilities. Existing systems will be maintained for the duration of their life cycle and replaced on either a five or ten-year schedule depending on the system type. | SCFCF | 0.0 | \$0 | \$1,892 | \$1,892 | \$0 |
| | | Total FY 2015-2016 BCP Concepts, Judicial Branch/Judicial Council | | | | 0.0 | \$0 | \$9,749 | \$9,749 | \$6,980 |
| | | BCP Concepts By Fund: | | | | | | | | |
| | | Total, General Fund | | | | 0.0 | \$0 | \$7,857 | \$7,857 | \$6,980 |
| | | Total, Trial Court Trust Fund | | | | 0.0 | \$0 | \$0 | \$0 | \$0 |
| | | Total, State Court Facilities Construction Fund | | | | 0.0 | \$0 | \$1,892 | \$1,892 | \$0 |

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Trial Courts
FY 2015-2016 BCP Concepts
as of July 28, 2014
(in thousands)

8/5/2014

INFORMATION ONLY - Trial Court BCPs approved by Judicial Council June 27, 2014.

| | | TRIAL COURTS CONCEPT TITLE | BCP | OFFICE | DESCRIPTION | FUND SOURCE | Position | Total Personal Services (includes Salary and Benefits) | OE&E | BCP Concept Total FY 15-16 | BCP Concept Total FY 16-17 |
|---|---|---|-----|----------------------|--|-------------------------------------|------------|---|------------|----------------------------------|----------------------------------|
| I | 1 | Trial Court Reinvestment - Closing the Funding Gap | | FIN & GA | See "Judicial Branch Reinvestment" BCP concept description. | GF | | | | | |
| I | 2 | Technology | | IT | See "Judicial Branch Technology Proposals." | GF | | | | | |
| I | 3 | Judgeships (AB 159) | | COS & FIN | This request would include funding for court support staff, both inside and outside the courtroom, for the second of three sets of judgeships authorized by the Legislature in in AB 159 (Stats. 2007, ch.722). | GF | | | | | |
| I | 4 | Court Facilities | | REFM & CP | See " <i>Judicial Branch Facility Program BCP Concept Summary</i> " for listing of BCPs and funding requested. | GF trsf to SCFCF GF trsf to CFTF | | | | | |
| I | 5 | Court-Appointed Dependency Counsel | | CFCC | This proposal would request funding to address costs for court-appointed dependency counsel for parents and children to reduce caseloads from the current rate of 250 clients per attorney to 188. An ongoing need of \$33.1 million was identified in the Chief Justice's <i>Three-Year Blueprint for a Fully Functioning Judicial Branch</i> . | GF | | | | \$33,100 | \$33,100 |
| I | 6 | Trial Court Trust Fund Backfill | | FIN | A shortfall in the TCTF for base allocations was identified. The 2014 Budget Act only addressed \$30.9 million of the issue with \$22.7 million as the remaining problem. | GF | | | | \$22,700 | \$22,700 |
| I | 7 | State Trial Court Improvement and Modernization Fund Negative Fund Balance | | FIN | A deficit of \$18 million is currently projected for the STCIMF in 2015-2016. If the DOF does not approve funding to address this deficit before 2015-2016, a proposal would be submitted to request this funding. | GF | | | | \$18,000 | \$18,000 |
| | | Total FY 2015-2016 BCPs, Trial Courts | | | | | 0.0 | \$0 | \$0 | \$73,800 | \$73,800 |
| | | BCPS By Fund: | | | | | | | | | |
| | | Total, General Fund | | | | | 0 | \$0 | \$0 | \$73,800 | \$73,800 |
| | | Total, Trial Court Trust Fund | | | | | 0.0 | \$0 | \$0 | \$0 | \$0 |
| | | Total, State Trial Court Improvement and Modernization Fund | | | | | 0.0 | \$0 | \$0 | \$0 | \$0 |

Item Legend:

I - Information Only