



Judicial Council of California

ADMINISTRATIVE OFFICE OF THE COURTS

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May 18, 2011

Hon. Mark Leno
California State Senate
Chair, Joint Legislative Budget Committee
State Capitol, Room 5100
Sacramento, California 95814

Hon. Bob Blumenfield
California State Assembly
Chair, Committee on Budget
State Capitol, Room 6026
Sacramento, California 95814

Hon. Bob Huff
California State Senate
Vice-Chair, Committee on Budget and Fiscal
Review
State Capitol, Room 5097
Sacramento, California 95814

Hon. Jim Nielsen
California State Assembly
Vice-Chair, Committee on Budget
State Capitol, Room 6031
Sacramento, California 95814

Re: *Status of the California Court Case Management System and the Phoenix Program 2010*

Dear Senator Leno, Senator Huff, Assembly Member Blumenfield, and Assembly Member Nielsen:

The Judicial Council respectfully submits this report on the status of the California Court Case Management System and the Phoenix program, as required by Government Code section 68511.8(a). That section specifies that, until project completion, the Judicial Council shall provide an annual status report to the chairperson of the budget committee in each house of the Legislature and to the chairperson of the Joint Legislative Budget Committee with regard to the California Court Case Management System and the Court Accounting and Reporting System, which is now referred to as the Phoenix Financial System.

The AOC is in the processes of requesting proposals from vendors that would perform the independent quality review of the CCMS product as recommended by the California State Auditor. Formal acceptance of CCMS will occur after the independent quality review is completed and plans are in place to address any issues identified by the review. The objective will be to resolve any issues during the warranty and before the early adopter courts go live with CCMS. Initial deployment activity of CCMS has begun with three early adopter courts, the Superior Courts of San Diego, San Luis Obispo, and Ventura Counties, to validate the application in a production environment in a large-, medium-, and small-sized court and to develop a deployment approach for the remaining 55 trial courts.

The AOC completed the initial statewide implementation of the Phoenix Financial System in July 2008. The second phase of the project involved a system software upgrade and its redeployment to all 58 trial courts. The Phoenix Human Resources System (formerly referred to as the Courts Human Resources Information System (CHRIS)) is also a component of the Phoenix Program and has been implemented in 7 courts as of 2010. Deployment to the remaining 51 courts is contingent on available resources in upcoming fiscal years.

If you have any questions regarding the information provided in this report, please contact Donna Hershkowitz, Assistant Director, AOC Office of Governmental Affairs, at 916-323-3121 or donna.hershkowitz@jud.ca.gov.

Sincerely,



William C. Vickrey
Administrative Director of the Courts

WCV/DH/cs

Enclosures

cc: Members of the Judicial Council
Hon. Terence L. Bruiniers, Associate Justice, Court of Appeal, First Appellate District,
Division Five
Hon. Glen M. Reiser, Judge, Superior Court of Ventura County
Kiyomi Burchill, Policy Consultant, Office of Senate President pro Tempore
Darrell S. Steinberg
Gregory P. Schmidt, Secretary of the Senate
Jody Martin, Principal Consultant, Joint Legislative Budget Committee
Brian Brown, Consultant, Senate Committee on Budget and Fiscal Review
Matt Osterli, Consultant, Senate Republican Fiscal Office
Fredericka McGee, General Counsel, Office of Assembly Speaker John A. Pérez

Diane F. Boyer-Vine, Legislative Counsel
E. Dotson Wilson, Chief Clerk of the Assembly
Joe Stephenshaw, Consultant, Assembly Committee on Budget
Allan Cooper, Consultant, Assembly Republican Fiscal Office
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Curt Soderlund, Director, AOC Trial Court Administrative Services Division
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Title of Report: Status of the California Court Case Management System and the Phoenix Program 2010

Statutory Citation: Government Code section 68511.8

Date of Report: May 2011

The Judicial Council has submitted a report to the Legislature in accordance with Government Code section 68511.8 regarding the California Court Case Management System (CCMS) and the Phoenix Program.

The following summary of the report is provided per the requirements of Government Code section 9795.

The AOC is requesting proposals from vendors that would perform the independent quality review of the CCMS product as directed by GC section 68511.8(d). Formal acceptance of CCMS will occur after the review is completed and plans are in place to address any issues identified by the review. The objective will be to resolve any issues during the warranty period and before the early adopter courts go live with CCMS. Initial deployment activity of CCMS has begun with three early adopters, the Superior Courts of San Diego, San Luis Obispo, and Ventura Counties, to validate the application in a production environment in a large-, medium-, and small-sized court and to develop a deployment approach for the remaining 55 courts.

The AOC completed the initial statewide implementation of the Phoenix Financial System (formerly referred to as the Court Accounting and Reporting System (CARS)) in July 2008. The second phase of the project involved a system software upgrade and its redeployment to all 58 trial courts. The Phoenix Human Resources System (formerly referred to as the Courts Human Resources Information System (CHRIS)) is also a component of the Phoenix Program and has been implemented in 7 courts as of 2010. Deployment to the remaining 51 courts is contingent on available resources in upcoming fiscal years.

The full report is available at: <http://www.courts.ca.gov/7466.htm>.

A printed copy of the report may be obtained by calling 415-865-7542.

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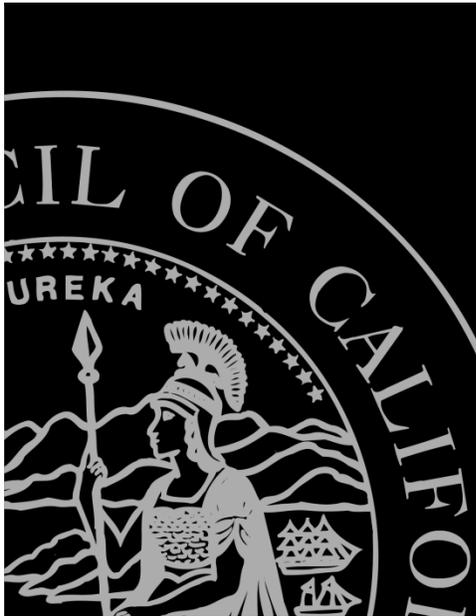
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Status of the California Court Case Management System and the Phoenix Program 2010

REPORT TO THE LEGISLATURE
MAY 2011



ADMINISTRATIVE OFFICE
OF THE COURTS

Summary

Government Code section 68511.8 requires the Judicial Council to annually report on the status of the California Court Case Management System (CCMS) and the Court Accounting and Reporting System (now referred to as the Phoenix Financial System). The statute specifically requires the report to include, but not be limited to, all of the following information:

- (1) Project accomplishments to date;
- (2) Project activities underway;
- (3) Proposed activities; and
- (4) Annual revenues and expenditures to date in support of these projects, which shall include all costs for the Administrative Office of the Courts and incremental court personnel, contracts, and hardware and software.

This report is intended to satisfy that reporting requirement, providing a comprehensive summary of the status of these two crucial systems. As the Phoenix system incorporate human resources services as well, the information providing regarding Phoenix comprehensively covers both financial and human resources services.

Section 68511.8 also requires the Administrative Office of the Courts to annually submit to the Legislature copies of any Independent Project Oversight reports for CCMS. Copies of these reports for 2010 were submitted under separate cover by letter dated December 22, 2010.

Reports on the status of CCMS and Phoenix issued in prior years included background on each of the programs as well as descriptions of the vision, capabilities, and benefits of these systems. In the interest of avoiding duplication and focusing the report on changes, updates, progress, and general status in 2010, those sections are omitted in this year's report. For additional background information, prior reports may be accessed at <http://www.courts.ca.gov/7466.htm>.

California Court Case Management System

CCMS Activities in 2010

The following section highlights key activities and progress related to the CCMS program in 2010.

New CCMS Governance Structure

Effective November 1, 2010, in response to near completion of system development and the coming shift to deployment-focused activities, the governance of the CCMS program was altered. A new CCMS Program Management Office (PMO) was created within the AOC, under the leadership of an executive program director. The CCMS PMO is responsible for all aspects of the day-to-day management of the CCMS program, including application development, testing, trial court deployments, budget forecasts, project management reporting, ongoing CCMS maintenance, support, hosting, and e-business portfolio management.

The addition of a CCMS PMO was strongly recommended by the Office of the State Chief Information Officer (OCIO), now the California Technology Agency (CTA), in the review and discussions described below.

In addition, the CCMS oversight and governance structure was augmented to provide overarching direction and guidance to the program and to help ensure successful implementation across the state. This new governance model consists of the CCMS Executive Committee and three advisory committees to help manage issues and make decisions related to administration, operations, and justice partner relationships. Chaired by an appellate court justice, the CCMS Executive Committee comprises 11 members, including 6 judicial officers and 3 court executives. Altogether, the executive committee and the advisory committees include representatives from 27 trial courts and 3 appellate courts, and are composed of 3 appellate justices, 19 trial court judges, 20 trial court executive officers, 2 appellate court clerk/administrators, and representatives of several state and local justice partners.

The CCMS Executive Committee will provide overall executive oversight and leadership of this project, including but not limited to project scope and supervision, budget, priorities, policy, and independent verification and validation. The General Administrative Advisory Committee is charged with focusing on program scope, budget, scheduling, and program portfolio management. This committee will review CCMS program management reports, budget information, change management requests, and monthly independent validation and verification (IV&V) reports, providing analysis and recommendations to the Executive Committee for its consideration and subsequent action. The Operational Advisory Committee is charged with evaluating and making recommendations regarding standard (best practice) trial court operations; business process reengineering; CCMS common application configurations; venue transparency; application support and hosting service levels; standard reports, forms, and notices; CCMS user acceptance criteria; annual CCMS release plan (enhancement releases); and the development and approval of CCMS functional designs (enhancements after deployment). The focus of the Justice Partner Advisory Committee is to ensure that the implementation of CCMS and its data exchanges proceeds in a manner that maximizes state and local justice partner participation; minimizes disruptions to existing automated processes between the trial courts and their justice partners; provides a mechanism for justice partners to influence the future evolution of CCMS and related e-business initiatives; and, wherever possible, provides specific information regarding the anticipated benefits and cost savings to justice partners as CCMS and related e-business initiatives are deployed.

OCIO Review of CCMS

In 2009, Government Code section 68511.9 was enacted, requiring the then OCIO to review and make recommendations on CCMS. In April 2010, the OCIO published its findings in *Review of the California Court Case Management System* (available at: http://www.cio.ca.gov/pdf/CCMS_Final_Report.pdf). In conducting this review, the OCIO considered the objectives, activities, and costs of CCMS in the context of defining overall project

success. The report noted the size and complexity of the CCMS project and benefits that may accrue to the state when the system is completed. The review included observations and recommendations in the areas of governance, deployment strategy, project management, cost management, and technology management and review. The OCIO concluded that it believes in the value of CCMS as an enterprise solution, that CCMS can be successfully implemented if the report recommendations are complied with, and that the project should move forward. Eleven recommendations have been implemented to date. The remaining 10 recommendations will be implemented at the appropriate point in the project life cycle.

Through 2010, AOC executive leadership continued to meet with the OCIO as part of an effort to increase information and collaboration between the executive and judicial branches. Efforts continued to jointly define a process for complying with the statutory requirement for review and consultation with the OCIO for other large Judicial Council and court technology projects.

Interim Criminal and Traffic Case Management System

The criminal and traffic case management system is currently installed in the Superior Court of Fresno County. Maintenance and support was initially provided by BearingPoint, transitioned to Deloitte Consulting in 2006, and fully transitioned to the AOC Information Services Division (ISD) in September 2009. The result is an expected net savings of \$4.8 million through June 2012.

Interim Civil, Small Claims, and Probate and Mental Health Case Management System

In 2010, a new software release, Release 10.02, was installed in six trial court civil, small claims, and probate and mental health case management systems (Los Angeles, Orange, Sacramento, San Diego, San Joaquin, and Ventura). This release includes the ability to process e-filed documents. In total, the six courts using this case management system represent approximately 25 percent of all civil cases processed statewide. The application is currently in maintenance mode (no new enhancements will be added). Future releases will only address judicial branch requirements and legislative changes.

In June 2010, e-filing for civil cases through the interim system was successfully deployed in the Superior Court of Orange County. Functionality includes electronic case filing and clerk review, digital stamping and endorsing, electronic notification of filing, return of endorsed documents, and system verification that data elements are complete and accurate. In the first six months, the Orange County court processed close to 20,000 transactions, with more than 32,000 documents. Three additional courts, Sacramento, San Diego, and Ventura, are in various stages of testing as they prepare to deploy e-filing.

In October 2010, updates to the civil fee schedule necessary to implement statutory changes were developed, tested, and made available to the civil, small claims, and probate and mental health case management system courts. Updated forms and changes to reflect statutory amendments were made available to the courts on January 1, 2011. In August 2010, work began on the development of Release 10.03, which includes 148 fixes (for severity 3—noncritical component

not working as expected, but with no significant impact on the end-user issues, and severity 4—a minor or cosmetic defect where workaround is available issues) that were requested by the V3 courts. This release is scheduled to be completed in spring 2011.

As the AOC did for the interim criminal and traffic case management system, technical support for the civil, small claims, and probate and mental health case management system will begin to transition from Deloitte Consulting to the AOC ISD early in 2011. As with the transition of the criminal and traffic case management system to in-house support, after factoring in knowledge transfer costs and using parallel teams for several months during the transition, a savings of approximately \$2.5 million through June 2014 is projected.

CCMS Activities in Progress

CCMS California State Auditor Review

At the request of the Legislature, a comprehensive review of CCMS was initiated in May 2010 by the Bureau of State Audits (BSA). A report was issued in early February 2011 (<http://www.bsa.ca.gov/pdfs/reports/2010-102.pdf>) and presented at a joint hearing of the Joint Legislative Audit Committee and Assembly Budget Subcommittee No. 5. BSA's recommendations focused on project planning; project budgeting, estimating, and reporting of costs; fostering receptiveness among the superior courts; and independent project oversight. In the initial response to the audit, contained in the report, the Judicial Council agreed with nearly all of the recommendations made by BSA, but disagreed that an independent analysis of the system was necessary at this time. Based on the response to the audit, the AOC readily agreed to implement that recommendation as well, concluding that all recommendations that have not yet been implemented will be.

Several of the recommendations are consistent with AOC practices and procedures adopted in recent years, but after the inception of CCMS. Other recommendations (such as the completion of an independent cost-benefit analysis) were in the process of being implemented when the BSA report was released. The AOC has begun implementing other recommendations and will coordinate with the Bureau of State Audits on implementation of the recommendations in the coming months.

BSA made some recommendations to improve the content and ultimate value of this annual report to the Legislature. In response to those recommendations, future reports will include all identifiable costs related to CCMS incurred by the trial courts, identify the nature of costs that justice partners may incur, and continue to provide a complete cost estimate for the program. To accomplish this, the AOC will work closely with the courts to identify and report, on an ongoing basis, the costs they are incurring for other local interim case management systems. The trial courts' financial reporting system, Phoenix, has already been modified to enable courts to track current and future case management system costs distinct from other technology expenditures. The AOC is working with the trial courts to compile any additional expenditure information not already included in its reporting for prior fiscal years. In this report, the estimated expenditures

for deployment for the early adopter courts set forth in Attachment 1 include the total anticipated reimbursement funding to be provided to those courts to meet the resource needs for the non-discretionary activities related to deployment. Additionally, the AOC will be better positioned to estimate and refine case management system costs likely to be incurred by the other trial courts based on information gathered from early adopter court deployments. These costs will be used to update total cost estimates where applicable.

To ensure broader understanding of the types of costs justice partners may incur to integrate with CCMS as well as provide additional information regarding the process, a justice partner website had been developed. Justice partners have been directed to the web site to help with their planning. The resources identified as necessary to implement the data exchanges were the following:

- Staff resources with subject matter expertise about the agency's business;
- Technical staff to support the technology;
- Funds to make the necessary changes to existing information management systems such as records management system (RMS), probation system, or jail and inmate management system (JMS/IMS) software applications; and
- Funds for adapter and data transformation design, development, and deployment, and possible middleware/ESB deployment requirements.

The total justice partner integration costs for each court will vary based on the following three factors: (1) number and complexity of data exchanges required; (2) level of court-to-justice partner integration already in existence; and (3) whether any county-wide integration facility currently exists or is planned.. More information is provided on the justice partner website (<http://www2.courtinfo.ca.gov/justicepartners/>). . As part of the comprehensive cost-benefit analysis of the CCMS project performed by Grant Thornton LLP (referenced later in this report), the integration costs likely to be incurred by the justice partners of two early adopter courts were evaluated. This will further help justice partners determine the range of costs they could incur.

Additionally, the CCMS Justice Partner Advisory Committee is charged with ensuring that the implementation of CCMS occurs in a manner that maximizes state and local justice partner participation. As part of its responsibilities, the committee will communicate with state and local justice partners to gain a more comprehensive understanding of the costs justice partners may incur. The advisory committee will also be working with justice partners to help ascertain the administrative and financial benefits, in addition to costs, accruing as a result of CCMS deployment or enhancements.

Obtaining sufficient funding to deploy CCMS statewide is an issue that the program continues to address. The program, as directed and authorized by the Judicial Council, has modified its strategy and will continue to do so in light of current and foreseeable future economic realities as well as the needs of courts whose current systems are at imminent risk of failing. The AOC will continue to work with the Legislature and the Governor to explore all potential approaches for securing sufficient funding to complete the statewide deployment of CCMS. Such options may include consideration of project financing, as well as state, federal, local, and private funding.

The Judicial Council, in coordination with legislative and executive branch leadership, has demonstrated prudence and flexibility in its overall funding strategy in light of the fiscal crisis, redirecting more than \$200 million in the last two fiscal years from funding that would have been available for technology projects to cover reduced court funding, and scaling back initial CCMS deployment plans to three early adopter courts. By completing these early deployments, the AOC also reduces risk for later deployments, and will foster a more competitive bid process for CCMS deployment and protect the interests of the judicial branch and the state.

CCMS Development and Validation Activities

In December 2009, during preliminary vendor testing of the CCMS core product, the AOC and the courts discovered numerous quality issues with the application code. As a result, the AOC required that a rigorous and extensive effort be introduced to verify that the application code met the requirements of the final functional design. These quality issues resulted in a project delay of completion of the core product previously anticipated in November 2010 to April 2011. Additional AOC, court, and vendor resources were dedicated to a comprehensive review process that involved comparing developed code against the final functional design, a process that identified and resolved 50,000 issues prior to moving into vendor testing. The AOC and court subject matter experts were involved in the process and tested issue fixes in the application code to ensure readiness. The vendor is responsible for the costs associated with correcting the quality issues and any costs incurred by the branch as a result of the project's delay.

Lastly, the AOC is in the process of requesting proposals from vendors that would perform the independent review of the CCMS product as recommended by the BSA. Subsequent to the BSA recommendation, the Legislature passed and the Governor signed Senate Bill 78 (Stats. 2011, ch. 10), which calls for the independent review to occur prior to acceptance of the CCMS product from Deloitte. Though no delays in the project schedule are currently anticipated as a result of the independent quality review, the program has identified the potential risk to the current schedule and taken steps to address this issue. Formal acceptance of CCMS will occur after the independent quality review is completed and plans are in place to address any issues identified by the review. The objective will be to resolve any issues during the warranty period and before the early adopter courts go live with CCMS.

CCMS Deployment Activities

Due to the current economic climate, deployment has been slowed, but progress continues consistent with the discussion with the Legislature's budget conference committee in 2009. After the independent analysis of the product described above, deployment of CCMS will begin with three "early adopter" courts, the Superior Courts of San Diego, San Luis Obispo, and Ventura Counties, to validate the application in a production environment in a large-, medium-, and small-sized court and to develop a deployment approach for the remaining 55 trial courts.

At the end of FY 2009–2010, the early adopter courts, the AOC, and Deloitte executed the first phase of the CCMS deployment project; the Early Adopter Readiness and Integration

Assessment. This assessment began with a series of court kick-off meetings followed by activities including project management, court readiness, deployment strategy, and integration implementation planning. This three-court assessment produced 13 project deliverables documenting the deployment strategy, preparedness, and a high-level plan for both the courts and their integrated justice partners. The key focus areas of these reports include strategy and recommendations for deploying each case type, objectives for data conversion, imaging, e-filing, and business process workflow, an assessment of risks and mitigation strategies, and a high-level deployment project plan. The project also generated a number of valuable project artifacts that will be turned into templates to support activities at the three early adopters and be reused for future assessment and deployment planning activities statewide.

The assessment project also identified risks that impact the deployment of CCMS at the courts, including:

- Availability of court resources;
- Local justice partner participation;
- Program governance;
- Court executive commitment;
- Project schedule;
- A standard configuration of CCMS;
- Deployment vendor contract;
- Data conversion;
- Technical infrastructure and environments;
- Court facilities;
- Management of the release of product enhancements; and
- AOC/California Courts Technology Center (CCTC) support readiness.

These risks have been and continue to be managed by the project team. Efforts to mitigate these risks have already been taken in order to resolve them within the next few months to retain project momentum. Those efforts include engaging the courts and developing an intrabranch agreement, pending CCMS Governance Executive Committee approval, with Ventura and San Luis Obispo Superior Courts to address staffing and court resource needs. Justice partner outreach with the courts has also already remediated concerns regarding their participation. The new CCMS governance structure as detailed earlier is also a reflection of these efforts. There are some internal issues that may be remediated quickly, but there are also a number of external issues that will require coordination between the AOC, the courts, and external entities. The number and significance of those risks continue to be analyzed and quantified by the project team.

On September 29, 2010, a joint strategy meeting with all three early adopter courts was held. Meetings with local justice partners for each of the early adopter courts have detailed the deployment schedule, data exchanges, and other preparations for successful implementation. Individual sessions were held with court executives and managers of various business units in the courts to understand court organization, structure, operations, and technology environments, as

well as resource needs, implementation challenges, and timelines, in preparation for the readiness report.

Deloitte Consulting, the courts, and the AOC prepared the CCMS Deployment Goals and Objectives deliverable, which outlines requirements to successfully deploy CCMS in the early adopter courts. The plan details the approach, identifies risks, and proposes a schedule for early adopter deployment activities through 2012. Work continues with early adopter local justice partners as well on their proposed schedules. A set of standard configurations for CCMS is also being created as part of the deployment activities.

In 2010, the California Courts Technology Center (CCTC) team began to design, plan, and build out the first technology environment for the three CCMS early adopter courts. Following early adopter court deployment, these environments will be reused in subsequent court deployments. The AOC will use the results of the cost-benefit analysis described below and the underlying cost-benefit model to inform the CCMS statewide deployment strategy.

CCMS Cost-Benefit Analysis

In October 2010, the AOC engaged Grant Thornton LLP to perform a cost-benefit analysis (CBA) for developing CCMS and deploying it to all 58 trial courts. This analysis was completed at the end of February 2011 and presented to the Judicial Council on February 25, 2011 (http://www.courts.ca.gov/xbcr/partners/CCMS_costbenefitanalysis_20110222.pdf). To conduct the CBA, Grant Thornton attempted to survey all courts and to conduct telephone interviews with all survey respondents to understand current IT costs. Responses to the electronic survey were submitted by 48 courts; 28 courts participated in the follow-up telephone survey. In addition, Grant Thornton made in-person visits to seven courts, conducted telephone interviews with representatives of the Sustain Justice Edition (SJE) User Group and Small Court Consortium, and held numerous meetings with AOC management and staff. The analysis identified and compared the costs and benefits of four alternative scenarios: (1) cancel CCMS deployment; (2) deploy CCMS to all 58 courts; (3) deploy CCMS to the early adopter courts, all courts in the AOC's Southern Region (with the exception of Los Angeles), and any other court using the interim criminal and traffic or civil, small claims, and probate and mental health case management systems; and (4) deploy CCMS to the early adopter courts, all courts using the interim criminal and traffic or civil, small claims, and probate and mental health case management systems (with the exception of Los Angeles), all courts currently using the Sustain Justice Edition interim case management system, and any other extra-small courts. The report concluded that by far the largest return on investment (ROI) resulted from a 58-court deployment of CCMS, finding that deploying to all courts, when all historical CCMS V4 costs are included, produces a positive return on investment (ROI) of \$566,130,107. Once CCMS is fully deployed, the annual ROI was calculated at approximately \$300 million. After deployment to the early adopter courts has been completed, the cost-benefit analysis will be updated before further deployment decisions are finalized.

Accomplishments to Date¹

Table 1 — Criminal and Traffic Case Management System

Accomplishment	Date Completed
Software developed by the Superior Courts of Orange and Ventura Counties was successfully migrated to a Web-based application.	2003
The Superior Court of Alameda County was the first court selected to deploy the product. Analysis phase for this deployment was completed in May 2004. However, in 2006, the court decided it was not beneficial to implement the CMS until all case types had been developed.	May-04
Software coding of the baseline system was completed.	Jul-04
The application was successfully installed in the California Courts Technology Center.	Jul-04
The evaluation environment was established at the California Courts Technology Center to allow courts to assess the application.	2004
Validation testing of the application was completed.	Sep-04
Stress testing of the application was completed.	Aug-05
End users from six lead courts (Alameda, Los Angeles, Orange, Sacramento, San Diego, and Ventura) tested the baseline application. A contract was approved to begin the initial set of enhancements to the baseline application.	May-05
Functional training sessions were held for staff and judicial officers at the Superior Court of Alameda County, and the product configuration training plan was finalized with the vendor.	Jun-05
Major enhancements were completed resulting from the passage of Assembly Bill 3049 (Stats 2004, ch. 952, dealing with traffic matters).	Sep-05
Training environments for the next set of deployment courts were installed.	Oct-05
The criminal case category was completed.	Mid-2006
Fresno was the first court to deploy the criminal and traffic CMS.	Jul-06
Support transitioned from BearingPoint to Deloitte for criminal and traffic product.	Dec-06
Decision by Enterprise-wide Infrastructure Committee to not to deploy the criminal and traffic CMS at additional courts given budget constraints and anticipated completion of CCMS by fall 2010.	Dec-07
Release 4 completed.	Apr-08
Release 5 completed.	Jun-08
Transitioned application to a new California Courts Technology Center vendor.	2008
Application maintenance transferred from Deloitte to AOC IS Division.	Sep-09
Release 5.8.1, first release developed by AOC V2 team, resolved critical JBSIS issues.	Sep-09
Release 6.1 resolved high priority defects that impacted Fresno court operations.	Mar-10

¹ Only the 2010 entries in each of the following charts are new. All entries for prior year accomplishments are carried over, unchanged, from prior year reports.

Accomplishment	Date Completed
Release 7.0 upgraded the software stack that supports the V2 application, ensuring ongoing vendor support and maintenance and enabling Fresno client upgrades to Windows 7, Internet Explorer 8, and MS Office 2010.	Jun-10
Release 7.1 successfully deployed, resolving known JBSIS reporting issues.	Sep-10
Oracle database software upgrade completed from 10.2.0.3 to 10.2.0.5.	Nov-10

Table 2 — Civil, Small Claims, Probate and Mental Health Case Management System

Accomplishment	Date Completed
Deloitte Consulting selected as vendor for civil, small claims, and probate case types.	2003
System design completed and construction and coding of the application began.	Feb-05
Deployment discussions initiated with Los Angeles, Orange, Sacramento, San Diego, and Ventura Superior Courts.	Spring 2005
Application construction completed. Requirements testing of the code begun.	Apr-05
Integration testing completed and application technical testing phase finalized.	Jul-05
Development of test cases, scripts, and scenarios completed.	Jul-05
The technical environment, including hardware and software, installed at the California Courts Technology Center.	Aug-05
Product acceptance testing began. Testing conducted with Superior Courts of Alameda, Los Angeles, Orange, Sacramento, San Diego, and Ventura Counties.	Sep-05
The assessment phase for deployment in the Superior Courts of Sacramento and San Diego Counties was completed.	Sep-05
The application was demonstrated at the California Judicial Conference in the Superior Court of San Diego County.	Sep-05
Product acceptance testing was completed and the application was accepted by the lead courts and the AOC.	Nov-05
Knowledge transfer requirements defined. First session held with vendor, courts, AOC IS Division staff, and consultants.	2005
Release 4 completed.	Sep-06
Oversight Committee voted to add the mental health case type and the design phase.	Oct-06
Sacramento and San Diego Superior Courts deployed small claims case type.	Nov-06
Court content experts and CCMS staff discussion on standardization of codes and text.	Dec-06
Release 5 of the application completed.	Jan-07
Deployments of additional case categories and locations in Orange, Sacramento, San Diego, and Ventura Superior Courts.	2007
Release 6 of the application, which included the addition of the mental health case category, completed.	Jul-07
Superior Court of Ventura County completed deployment of all four case categories.	Aug-07
A presentation about the application was given at the National Center for State Courts CTC10, the Tenth National Court Technology Conference, and the National Association for Justice Information Systems Conference.	Oct-07

Accomplishment	Date Completed
Superior Court of San Joaquin County deployed all civil, small claims, and probate and mental health CMS categories in all locations.	Apr-08
Superior Court of Los Angeles County deployed the small claims case category at the Alhambra Courthouse.	May-08
Product acceptance testing for Release 7 completed.	Early 2008
The courts, regional project office, and vendor worked with the AOC IS Division to transition to a new technology center.	2008
Release 8/9 completed. Superior Court of Orange County went live with the release with no major issues.	Oct-09
Executive leadership kick-off/orientation meeting at the regional program office with the early adopter courts about initial steps for courts with CCMS deployment.	Feb-10
Orange, Sacramento, San Joaquin, and Ventura go live with Release 8/9	Nov-10
All courts go live on Release 10.01.	May-10
Release 10.02 is in use at the Superior Courts of Los Angeles (4 small claims courtrooms in Alhambra court), Orange, Sacramento, San Diego, San Joaquin, and Ventura Counties, providing the ability to process e-filed documents, which is in use at Orange County Superior Court. Other functionality includes electronic clerk review, digital stamping and endorsing, electronic notification of filing and return of endorsed documents, and system verification that data elements are complete and accurate.	Sept/Oct-10

Table 3 — California Court Case Management System

Accomplishment	Date Completed
CCMS Oversight Committee adopted the technology framework used in the civil, probate, and small claims case types as the basis to build a unified case management system. The criminal, misdemeanor, infraction, family law, mental health, and juvenile functionality will use the same technology standards as are employed in the civil, probate, and small claims case types.	2006
CCMS Oversight Committee approved a high-level plan to begin process of unifying all case categories into one application.	2006
The lead courts assigned staff to participate in the project workgroups.	2006
CCMS team began defining requirements for unification of additional case categories.	Jul-06
AOC Regional Program Office, IS Division, Center for Families, Children & the Courts, and court project managers reviewed and validated the business requirements.	Apr-07
The AOC amended the development contract with Deloitte Consulting to include: <ul style="list-style-type: none"> • Design and construction of family, juvenile dependency, and juvenile delinquency case categories; • Incorporation of the criminal and traffic functionality; and • Design of the additional components (portals and reporting database). 	Jun-07
Deloitte Consulting began development of CCMS.	Jun-07
Resources from AOC Regional Project Office, IS Division, Center for Families,	Jul-07

Accomplishment	Date Completed
Children & the Courts, and court project managers reviewed and validated the requirements.	
Sjoberg & Evashenk engaged as an independent project oversight consultant and for Independent Verification & Validation services.	Jul-07
RFP issued for statewide deployment of CCMS.	Feb-08
The deployment RFP bidder's conference was held.	Mar-08
Bids due for the statewide deployment RFP.	Apr-08
AOC began review of final functional design deliverable.	Sep-08
AOC and courts transitioned to new CCTC/shared services vendor (SAIC and IFOX).	Sep-08
Oversight Committee approved Deloitte Consulting as the preferred vendor for statewide deployment RFP. AOC and Deloitte began contract negotiations.	Oct-08
Deloitte began development of test scripts to test the application under each business scenario.	Mar-09
The final functional design deliverable was accepted by the AOC.	May-09
Statewide deployment contract negotiations with Deloitte put on hold due to budget constraints.	May-09
The standardization and configuration deliverable was accepted by the AOC.	Jul-09
Deloitte completed application coding for CCMS.	Aug-09
The creation of all test scripts completed.	Aug-09
Deloitte began integration and stress testing.	Sep-09
Discussions initiated with Deloitte regarding a statement of work for early-adopter deployment.	Sep-09
CCMS early adopter deployment readiness assessment contract begins.	June-10
San Diego, Ventura, and San Luis Obispo hold early adopter kick-off meetings.	June-10
Final functional design (FFD) validation effort completed. This included enhanced rigorous testing of the system, additional testing resources, and the development of high exit criteria.	Jul-10
Initial gap analysis performed for all three early adopter courts, and a draft integration assessment was delivered by Deloitte for review.	Sep-10
Approval of the CCMS product acceptance testing plan for the core product.	Oct-10
Deployment strategy and readiness and integration assessments completed at all three courts. Deployment strategy deliverables, integration and readiness assessment deliverables, and the final CCMS Early Adopter Readiness and Integration Assessment deliverable, CCMS Goals and Objectives, were reviewed and accepted by the CCMS PMO.	Nov/Dec-10

Phoenix Program

The Phoenix Financial System enables the courts to produce a standardized set of monthly, quarterly, and annual financial statements that comply with existing statutes, rules, and regulations and are prepared in accordance with generally accepted accounting principles. The AOC has been providing professional accounting and business services to all 58 trial courts using the Phoenix Financial System since July 2009. The Phoenix Financial System provides immediate access to data, enabling courts to make informed business decisions and improving day-to-day operations.

The Phoenix Human Resources System provides a comprehensive information system infrastructure that supports trial court human resources management and payroll needs. Designed for integration with the Phoenix Financial System and first deployed in July 2006, the system offers new standardized technology for human resources administration and payroll processing, provides consistent reporting, ensures compliance with state and federal labor laws, collects data at the source, provides central processing, and provides manager and employee self-service functions to the courts. The system has been deployed to 7 trial courts.

The Phoenix Financial System and the Phoenix Human Resources System (together referred to as the “Phoenix System”) were originally derived from integrating various accounting and reporting systems and human resources information systems in the courts. They provide end users with a coordinated system that allows seamless interaction between the input and retrieval of financial information and support for human resources.

Funding

The Phoenix Program is funded by the Judicial Administration Efficiency and Modernization Fund, the Trial Court Improvement Fund, the Trial Court Trust Fund, the state General Fund, and reimbursements from the trial courts.

Accomplishments to Date²

The accomplishments of each system are detailed below.

Table 4 — Phoenix Financial System

Accomplishment	Date Completed
The AOC surveyed trial courts to determine interest in a statewide trial court financial system.	Early 2001
The AOC launched the implementation of the Phoenix Financial System (then known as the Court Accounting and Reporting System). The Superior Court of Stanislaus County became the first court to use the new system.	Dec 2002
A five-year statewide rollout schedule was released, detailing the trial courts in line	Feb/Mar

² Only the 2010 entries in each of the following charts are new. All entries for prior year accomplishments are carried over, unchanged, from prior year reports.

Accomplishment	Date Completed
for transition to the Phoenix Financial System from fiscal year 2003–2004 through FY 2008–2009.	2003
Six trial courts were added to the system: the Superior Courts of Lake, Madera, Placer, San Luis Obispo, Siskiyou, and Tulare Counties.	FY 2003-2004
The Phoenix Financial System was installed at 10 trial courts: the Superior Courts of Alameda, Calaveras, Contra Costa, Kings, Merced, Modoc, San Benito, San Bernardino, Tehama, and Yolo Counties—bringing to 17 the number of courts on the statewide system.	FY 2004-2005
The position of assistant director, Office of Trial Court Financial Services, in the AOC Finance Division, was established to oversee the Phoenix Financial System on the courts' behalf. This position was filled in November 2004.	Jul 2004
The system was implemented in an additional 14 courts: the Superior Courts of Colusa, El Dorado, Fresno, Humboldt, Kern, Marin, Napa, Plumas, San Joaquin, Santa Cruz, Solano, Sonoma, Trinity, and Ventura Counties—bringing to 31 the number of courts on the statewide system.	FY 2005-2006
The Phoenix Financial System product was migrated to the newest version of MySAP (4.7c) for the statewide financial system, adding new functionality and reporting capabilities for use by the trial courts.	Apr 2005
Quarterly Phoenix Financial System user group meetings were held to enable the courts to network with the AOC, to improve the level of services received from the accounting processing center, to serve as a forum to raise concerns regarding the functionality of the statewide system, and to help build professional relationships with the newly formed Trial Court Financial Services unit.	2005
A comprehensive governance structure for the Phoenix Financial System was established, encompassing a steering committee composed of AOC Finance, Human Resources, and Information Services divisions and the three regional administrative directors.	Apr 2005
The function of the Treasury Services unit was expanded to include trust accounting services, cash management, and banking services.	May 2005
A contractor was selected as a result of an RFP to study the court trust accounting processes, analyze court business requirements, and identify processing gaps between MySAP and the Phoenix Financial System environment to assess the latter's readiness to include the trust accounting business processes within the statewide system.	Jun 2005
A study was conducted of the trial court cashiering processes to determine the impact of pending and subsequently chaptered legislation affecting the collection of civil assessment and uniform civil filing fees (Assem. Bill 139, Stats. 2005, ch. 74; Assem. Bill 145, Stats. 2005, ch. 75).	Jul 2005
The Business Process Management section in the Division of Finance was established to provide planning and leadership for the Phoenix Financial System and to develop a	Dec 2005

Accomplishment	Date Completed
strategic direction for the system and its future use by the courts.	
Based on a study of business requirements and a functional gap analysis beginning in June 2005, the 12-member trial court working group and the AOC resolved to develop a new civil and criminal bail trust processing computer application for statewide implementation within SAP's Public Sector Collections and Disbursement module. This new trust system application will be fully integrated with the Phoenix Financial System, which is also a SAP application. The development of this trust processing and accounting module is under way.	Jun 2006
The Phoenix Financial System was implemented in an additional 13 courts: the Superior Courts of Alpine, Amador, Glenn, Imperial, Inyo, Lassen, Mariposa, Mono, Riverside, Sacramento, San Francisco, Shasta, and Sierra Counties, bringing to 44 the number of courts on the statewide system.	FY 2006-2007
The project was renamed from Court Accounting and Reporting System to Phoenix Financial System, a component of the Phoenix Program that incorporates the Phoenix Financial System and the Phoenix Human Resources System.	Jul 2006
The SAP technical infrastructure was expanded to support higher system availability for users and the statewide implementation of the remaining courts. The new infrastructure also complies with higher security standards established by the AOC.	Dec 2006
The Phoenix Financial System was implemented in 5 additional courts: the Superior Courts of Butte, Monterey, San Diego, San Mateo, and Santa Barbara Counties, bringing to 49 the number of courts on the statewide system since its inception.	Jul 2007
The services provided to the courts by Phoenix Financial Services increased significantly as more courts were added to the system. The Accounts Payable Unit processed approximately 20,000 jury checks per month and printed and issued 20,000 operations checks per month on behalf of the trial courts to pay their bills. The General Ledger and Reports Unit balanced 147 trial court bank accounts per month, and the Trust Services Unit tracked \$500 million in trust monies for the trial courts annually. These numbers reflect the support provided to 49 trial courts on the Phoenix Financial System during that period.	FY 2007-2008
The AOC participated in an RFP process for a system upgrade (both financial and human resources), beginning in July 2008 with a 12-month preparation and testing period before implementation. The upgrade ensures the continuance of technical support of the system software and provides added system functionality such as enhanced statewide reporting and other features.	Jan 2008
The Phoenix Financial System was deployed to the Superior Courts of Del Norte and Mendocino Counties.	Jan 2008
The Phoenix Financial System was deployed to the Superior Courts of Nevada, Sutter, Tuolumne, and Yuba Counties.	Apr 2008

Accomplishment	Date Completed
The Phoenix Financial System was live in all 58 courts, with deployment to the Superior Courts of Los Angeles, Orange, and Santa Clara Counties. In the 58th and final court, the Superior Court of Los Angeles County, implementation is occurring in phases because of the size and complexity of the court.	Jul 2008
The AOC received additional resources for the Phoenix Program through the budget change proposal process to assist with the development and deployment of the project.	Jul 2008
As a result of the RFP process in January 2008, contract services were obtained to assist with a system functionality assessment in preparation for the second phase of the project specific to a system software upgrade and its redeployment. EPI-USE America, Inc. was selected to assist in the final deployment of the Phoenix Financial System to the Superior Court of Los Angeles County and the implementation of the Phoenix Human Resources System to the 52 remaining courts. That company will also perform the planned Phoenix Financial System SAP upgrade and redeployment to all 58 courts.	Jul 2008
Statistics indicated a significant increase in services provided to the 58 courts on the Phoenix Financial System. The Accounts Payable Unit processed approximately 231,000 jury checks per month and also printed and issued 175,000 operation checks per month on behalf of the trial courts to pay their bills. The General Ledger and Reports Unit balanced 228 trial court bank accounts per month, and the Trust Services Unit tracked more than \$1 billion in trust monies for the trial courts annually.	Oct 2008
Statewide regional forums were held in an effort to ensure that the vision, goals, and objectives of the Phoenix Program were conveyed to stakeholders, affording them the opportunity to provide essential input for various aspects of the project.	Oct 2008
The project's Blueprint Phase was completed in preparation for the SAP software upgrade from version 4.7 to 6.0 in collaboration with court staff.	Mar 2009
The testing and development for the planned statewide software upgrade was completed.	Apr 2009
The Phoenix Financial System software upgrade was successfully launched. The financial component of the Phoenix System was upgraded, migrating from SAP version 4.7 to 6.0. This statewide effort encompassed many months of planning and collaboration between Phoenix Program staff and court subject matter experts and users, as well as lengthy software testing and development and included new functionality.	Jul 2009
The Superior Court of Los Angeles County phased implementation on the Phoenix Financial System neared completion—a significant milestone that marked the final deployment of this component statewide. The size and complexity of this court presented challenges overcome through the successful partnering of AOC and superior court staff. Plans are currently being developed to complete this effort in 2010.	Jul 2009
The Procurement Program was initiated in the courts. The Procurement Program provides the courts with the option to elect a specific scenario to review and approve	Aug 2009

Accomplishment	Date Completed
their internal purchase orders using the Phoenix Financial System, ensuring that there are checks and balances in place to meet the requirements of the <i>Trial Court Financial Policies and Procedures Manual</i> .	
Preparation began for the launch of SECUDE, a security software designed to protect the data transmitted between the Phoenix System and SAP environments using a single sign-on configuration. This software will be installed on all finance and human resources users' desktops in each court.	Feb 2010
The Bank Account Consolidation project was launched with the goal of seamlessly consolidating the structure of AOC-administered bank accounts, creating cost savings opportunities for the courts, and simplifying the overall cash management process. The collective cost savings is estimated at \$600,000 per year. The phased implementation is planned to take place over seven months.	Feb 2010
The Phoenix Program's Flexible Reporting project was launched to enhance the Phoenix System's reporting solution to offer faster, more user-friendly, and more flexible online analytical functionality. Court staff benefit by faster data retrieval and an enhanced user interface.	Mar 2010
The deployment of Solution Manager to the Phoenix production landscape was a continuous-improvement initiative designed to increase effective support for the courts, the AOC, and the underlying information technology infrastructure. The initiative centralizes the handling of court-reported systems issues and allows for quick resolution and tracking. It supports a repository of project and maintenance documentation organized by business process and it allows for systems monitoring for the application and its related infrastructure and hardware.	Apr 2010
Efforts to finalize an encryption solution for a single sign-on for the courts were completed. SECUDE was successfully deployed to all 58 courts.	July 2010
The Bank Account Consolidation project was successfully concluded in all 58 courts as a cost savings measure to reduce banking fees on AOC-administered bank accounts.	Aug 2010
The Phoenix team worked with the Superior Court of Los Angeles County to modify the 2009 version of the data upload process for their general ledger accounts on the Phoenix System. The new process allows the court to load detailed information from its legacy system (eCAPS) into the Phoenix System, providing a complete picture of the court's financial activity. The Los Angeles court remains on its county system for certain other functions, including procurement and financial investment.	Sept – Dec 2010
Efforts for the update and revision of the current <i>Trial Court Financial Policies and Procedures Manual</i> began with a late-2011 anticipated release date for the 8th edition.	Dec 2010

Table 5 — Phoenix Human Resources System³

Accomplishment	Date Completed
A steering committee formed by the AOC voted to support the Court Human Resources and Information System (CHRIS) project and defined the project scope.	Nov 2004
The AOC completed the development and configuration of a CHRIS prototype.	Jun 2005
CHRIS was renamed the Phoenix Human Resources System, which joined the Phoenix Financial System as part of an integrated system designed to serve the financial, human resources, and payroll needs of the trial courts.	Jun 2006
First installed in the Superior Court of Sacramento County, the Phoenix Human Resources System enabled electronic management of personnel administration, organizational management, payroll, time management, benefits administration, training and event tracking, and compensation administration.	Jun 2006
Five additional courts, the Superior Courts of Lake, Riverside, Santa Cruz, Siskiyou, and Stanislaus Counties, went live on the Phoenix HR System.	Jan 2007
Further deployment of the Phoenix Human Resources System was temporarily halted pending an upgrade of the system to be conducted during fiscal year 2008–2009 and until additional resources are provided for this component of the project.	Jul 2008
Scheduled to begin after the planned upgrade to the SAP system in 2009, the deployment of the Phoenix Human Resources System to the remaining 52 courts will include additional modules such as recruitment, performance management, personnel cost planning, and e-learning.	Jan 2009
The project’s Blueprint Phase was completed in preparation for the SAP software upgrade from version 4.7 to 6.0 in collaboration with court staff.	Mar 2009
The testing and development for the planned statewide software upgrade was completed.	Apr 2009
The Phoenix System software was successfully upgraded in the six courts using the Phoenix Human Resources System, migrating from SAP version 4.7 to 6.0.	May 2009
Preparation for the statewide deployment of the Phoenix Human Resources System continues. The Global Blueprint phase determines the manner in which the payroll system will be designed and implemented in each of the remaining 51 courts based on the system requirements gathered as part of the extensive planning process. The recent budget reductions have had a significant impact on this critical step, but rather than ceasing the effort completely the Phoenix Program has slowed momentum and extended project completion to future fiscal years, contingent on the availability of resources.	Jul 2009
The Phoenix Human Resources System was initiated in the Superior Court of San Bernardino County. The AOC was approached by the court regarding implementation of the payroll system after receiving notification from San Bernardino County that it	Aug 2009

³ Only the 2010 entries in each of the following charts are new. All entries for prior year accomplishments are carried over, unchanged, from prior year reports.

Accomplishment	Date Completed
would no longer provide the court with payroll services for approximately 1,200 employees. Implementation efforts began in August, and the Trial Court Administrative Services Division anticipates payroll activities to begin in June 2010.	
The Phoenix Maintenance and Operations Stabilization project was launched. The staff of the Phoenix Program collaborated with the six courts already on the system to carry out the redesign of specific technical elements of existing Payroll, Benefits, and Time Management functions to ensure the creation of a more stable Human Capital Management (HCM) system with the goal of enhanced flexibility and automation statewide.	Dec 2009
Refinement of the SAP database, comprising information about trial court bargaining contracts and other similar data, continues. Staff will develop additional reports that can be extracted from the database as management tools.	Jan 2010
The Phoenix Steering Committee reached consensus on a number of guidelines for best practices that have an impact on financial and payroll matters. The finalized best practices were shared with the trial courts over the next few months.	Jan 2010
The Phoenix HR System pilot for implementation of the HR system continued in the Superior Court of San Bernardino County. Program staff continued to configure the system according to the business rules necessary to support the day-to-day operations in the court. The system configuration is 50 percent complete.	Feb 2010
The Phoenix Program launched an initiative to further assist the courts in the area of benefits administration. An online benefits enrollment function will be added to the Phoenix Employee Self-Service (ESS) portal to assist court HR staff in administering qualified life and work events in compliance with federal regulations.	Mar 2010
The AOC completed redesign of specific technical elements of existing payroll, benefits, and time management functions, with the goal of enhanced flexibility and automation in the Phoenix HR System.	Mar 2010
Preparation and testing for the implementation of the Phoenix HR System in the Superior Court of San Bernardino County were successfully completed.	May 2010
The Phoenix Program's Education Support Unit completed the Personnel Administration for Administrators and HR Analysts training for the Superior Court of San Bernardino County in anticipation of their Phoenix HR System launch. Additional training sessions for 1,100 court staff were conducted through August 2010 on the functionality associated with the payroll attributes of the system.	Jun 2010
Parallel testing was successfully completed in the Superior Court of San Bernardino County. The Phoenix System and the court's legacy system were tested within the same time frame using the same data to ensure that no discrepancies existed in any of the payroll configurations.	Jun 2010
The Phoenix Program implemented a number of improvements within the SAP application for the Phoenix System, reconfiguring the HR component by incorporating the redesign of specific technical elements with the goal of enhancing flexibility and	Jul 2010

Accomplishment	Date Completed
automation uniformly among the courts on the system.	
Before the launch of the Phoenix HR System, Superior Court of San Bernardino County system users engaged in user acceptance testing to determine that they system meets all mutually agreed upon requirements. This testing was successfully completed.	Jul 2010
Phoenix Program staff collaboratively worked with court staff to successfully and seamlessly implement the Phoenix HR System in the Superior Court of San Bernardino County. A total of seven courts benefit from a fully integrated financial and human resources management system. Future system deployments are contingent on the availability of additional resources.	Aug 2010

Challenges Facing the Phoenix Program

All further deployments of the Phoenix Human Resources System to the remaining 51 trial courts, originally scheduled to be completed by 2013, were suspended in May 2010. The deployment suspension has required courts that had planned to utilize Phoenix Human Resources services to find alternative solutions for their human resources and payroll needs.

Deployments may resume once additional resources are identified. This suspension eliminates the ability of courts to have a fully integrated financial and payroll system.

Total CCMS and Phoenix Program Funding and Expenses to Date

Attached are a series of tables identifying, describing, and comparing expenditures to date and all future projected expenditures for the CCMS program. Also attached is a table identifying expenditures to date and projected expenditures for the Phoenix program. For your reference, each set of attachments is preceded by an attachment title page and short explanation of its contents.

Attachment 1

“California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – Early Adopter Deployment”

This attachment consists of four tables, displaying a high-level summary table and three detailed tables reflecting funding and expenses to date as well as all future projected expenditures related to the CCMS program through FY 2012–2013 (See Attachments 1A, 1B, 1C, and 1D descriptions below). This estimate reflects the current plan to deploy CCMS to the three “Early Adopter” Superior Courts of San Diego, San Luis Obispo, and Ventura County with completion scheduled for FY 2012–2013. The estimated expenditures for deployment for the early adopter courts set forth include the total anticipated reimbursement funding to be provided to those courts to meet the resource needs for the non-discretionary activities related to deployment. This estimate also includes a \$10 million reduction in previously planned expenditures for 2011–2012 pursuant to the direction of the Legislature in Senate Bill 69 (Leno).

Attachment 1A, “California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – Early Adopter Deployment,” provides a high-level summary of the funding and expenses related to the CCMS program detailed in Attachments 1B, 1C, and 1D through FY 2012–2013.

Attachment 1B, “California Court Case Management System (CCMS) Project Expenses – Early Adopter Deployment,” summarizes both the expenses to date and all future projected expenditures related to CCMS projects through FY 2012–2013.

Attachment 1C, “Ongoing Program and Services Expenses – Early Adopter Deployment,” summarizes both the expenses to date and all future projected expenditures related to CCMS ongoing programs and services through FY 2012–2013.

Attachment 1D, “Interim Case Management System Expenses – Early Adopter Deployment,” summarizes both the expenses to date and all future projected expenditures related to the interim case management systems through FY 2012–2013.

**California Court Case Management System (CCMS)
Project, Ongoing Programs and Services, and Interim Case Management System
Funding and Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, FY 2002-03 through FY 2009-10
FUND SOURCES									
General Fund	\$ -	\$ 4,499,992	\$ 265,729	\$ 238,366	\$ 301,156	\$ 309,067	\$ 266,732	\$ 1,216,644	\$ 7,097,685
Modernization Fund	\$ -	\$ 4,364,781	\$ 13,198,412	\$ 2,549,915	\$ 11,133,122	\$ 8,651,394	\$ 13,365,966	\$ 18,952,989	\$ 72,216,579
Trial Court Trust Fund	\$ 20,516,563	\$ -	\$ -	\$ -	\$ 50,000,000	\$ -	\$ 19,770,874	\$ 26,375,479	\$ 116,662,916
Trial Court Improvement Fund	\$ -	\$ 1,447,738	\$ 4,494,679	\$ 24,121,932	\$ 39,162,716	\$ 73,026,650	\$ 33,758,146	\$ 19,482,417	\$ 195,494,279
Trial Court Reimbursements	\$ -	\$ -	\$ 200,000	\$ 1,647,987	\$ 3,948,790	\$ 3,396,790	\$ 1,875,435	\$ 1,878,995	\$ 12,947,997
Trial Court Expenditures (direct pay by court)	\$ -	\$ -	\$ -	\$ 20,760,508	\$ 20,590,630	\$ 8,080,415	\$ 190,654	\$ -	\$ 49,622,207
TOTAL FUNDING	\$ 20,516,563	\$ 10,312,511	\$ 18,158,820	\$ 49,318,708	\$ 125,136,415	\$ 93,464,316	\$ 69,227,807	\$ 67,906,523	\$ 454,041,663
EXPENDITURES									
CCMS Project Costs									
Civil, Small Claims, Probate, MH Development & Deployment	\$ 11,694,435	\$ 8,198,699	\$ 14,744,964	\$ 30,596,298	\$ 21,177,607	\$ 8,080,415	\$ 190,654	\$ -	\$ 94,683,072
CCMS Development (Incl. Planning & Strategy)	\$ 4,285,582	\$ 1,638,143	\$ 556,999	\$ 237,791	\$ 64,781,131	\$ 48,599,380	\$ 34,052,323	\$ 44,800,446	\$ 198,951,794
All CCMS Deployment Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,680,113	\$ 3,680,113
DMS Project Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL CCMS PROJECT	\$ 15,980,017	\$ 9,836,842	\$ 15,301,963	\$ 30,834,089	\$ 85,958,739	\$ 56,679,795	\$ 34,242,977	\$ 48,480,559	\$ 297,314,979
Ongoing Program & Services									
CCMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL OPERATIONAL	\$ -	\$ -	\$ -	\$ -	\$ -				
Interim CMS									
Criminal & Traffic Development	\$ 4,433,993	\$ 475,669	\$ 1,390,809	\$ 4,712,923	\$ 615,768	\$ 1,600,000	\$ 1,000,000	\$ -	\$ 14,229,162
Criminal & Traffic Maintenance & Support	\$ 102,554	\$ -	\$ -	\$ 11,167,579	\$ 15,835,959	\$ 13,583,386	\$ 10,916,990	\$ 5,182,976	\$ 56,789,445
Civil, Small Claims, Probate, MH Maintenance & Support	\$ -	\$ -	\$ 1,466,049	\$ 2,604,117	\$ 22,725,949	\$ 21,601,136	\$ 23,067,840	\$ 14,242,987	\$ 85,708,078
TOTAL INTERIM CMS	\$ 4,536,546	\$ 475,669	\$ 2,856,858	\$ 18,484,619	\$ 39,177,677	\$ 36,784,521	\$ 34,984,830	\$ 19,425,963	\$ 156,726,684
TOTAL CCMS PROGRAM EXPENDITURES	\$ 20,516,563	\$ 10,312,511	\$ 18,158,820	\$ 49,318,708	\$ 125,136,415	\$ 93,464,316	\$ 69,227,807	\$ 67,906,522	\$ 454,041,663

1 Cost model assumes 3 court deployment completes in Spring 2013. Future fund source information excluded as the Judicial Council approves the allocation of funding on an annual basis.

**California Court Case Management System (CCMS)
Project, Ongoing Programs and Services, and Interim Case Management System
Funding and Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

	Subtotal, FY 2002-03 through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
FUND SOURCES					
General Fund	\$ 7,097,685	\$ 310,278			\$ 7,407,963
Modernization Fund	\$ 72,216,579	\$ -			\$ 72,216,579
Trial Court Trust Fund	\$ 116,662,916	\$ 83,507,033			\$ 200,169,949
Trial Court Improvement Fund	\$ 195,494,279	\$ 7,703,903			\$ 203,198,182
Trial Court Reimbursements	\$ 12,947,997	\$ 1,310,947			\$ 14,258,944
Trial Court Expenditures (direct pay by court)	\$ 49,622,207	\$ -			\$ 49,622,207
TOTAL FUNDING	\$ 454,041,663	\$ 92,832,161	\$ -	\$ -	\$ 546,873,824
EXPENDITURES					
CCMS Project Costs					
Civil, Small Claims, Probate, MH Development & Deployment	\$ 94,683,072	\$ -	\$ -	\$ -	\$ 94,683,072
CCMS Development (Incl. Planning & Strategy)	\$ 198,951,794	\$ 25,471,899	\$ -	\$ -	\$ 224,423,693
All CCMS Deployment Costs	\$ 3,680,113	\$ 26,476,813	\$ 48,770,181	\$ 16,545,305	\$ 95,472,412
DMS Project Costs	\$ -	\$ 703,903	\$ -	\$ -	\$ 703,903
TOTAL CCMS PROJECT	\$ 297,314,979	\$ 52,652,614	\$ 48,770,181	\$ 16,545,305	\$ 415,283,080
Ongoing Program & Services					
CCMS Operational Costs	\$ -	\$ 12,567,987	\$ 55,044,518	\$ 53,431,907	\$ 121,044,413
DMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL OPERATIONAL	\$ -	\$ 12,567,987	\$ 55,044,518	\$ 53,431,907	\$ 121,044,413
Interim CMS					
Criminal & Traffic Development	\$ 14,229,162	\$ -	\$ -	\$ -	\$ 14,229,162
Criminal & Traffic Maintenance & Support	\$ 56,789,445	\$ 6,568,156	\$ 6,933,526	\$ 6,999,483	\$ 77,290,610
Civil, Small Claims, Probate, MH Maintenance & Support	\$ 85,708,078	\$ 21,043,404	\$ 13,842,277	\$ 11,027,324	\$ 131,621,083
TOTAL INTERIM CMS	\$ 156,726,684	\$ 27,611,560	\$ 20,775,803	\$ 18,026,807	\$ 223,140,854
TOTAL CCMS PROGRAM EXPENDITURES	\$ 454,041,663	\$ 92,832,161	\$ 124,590,503	\$ 88,004,019	\$ 759,468,347

1 Cost model assumes 3 court deployment completes in Spring 2013. Future fund source information excluded as the Judicial Council approves the allocation of funding on an annual basis.

**California Court Case Management System (CCMS) Project Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

Civil, Small Claims, Probate, MH Development & Deployment	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	300,000	4,954,565	14,176,876	8,083,053	-	-	-	-	27,514,494
Interim Deployment - State funded	-	-	-	380,000	-	-	-	-	380,000
Interim Deployment - Direct pay by Trial Court	-	-	-	18,686,082	20,590,630	8,080,415	190,654	-	47,547,781
Funds Awarded to Trial Courts / Trial Court Expenses	10,450,000	2,000,000	-	-	-	-	-	-	12,450,000
IS Trial Court Expenses	19,305	1,692	227,148	212,099	24,569	-	-	-	484,814
Hosting - Support Environments (non-CCTC)	-	839,558	6,236	-	-	-	-	-	845,794
Hosting - Support & Production Environments (CCTC)	429,400	402,884	334,704	3,235,064	562,408	-	-	-	4,964,460
Consultants - Program Office	87,770	-	-	-	-	-	-	-	87,770
Consultants - Technical	407,960	-	-	-	-	-	-	-	407,960
SUBTOTAL	11,694,435	8,198,699	14,744,964	30,596,298	21,177,607	8,080,415	190,654	-	94,683,072

CCMS Development (Incl. Planning & Strategy)	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	-	-	-	-	49,249,748	37,602,290	10,507,978	18,080,219	115,440,235
Funds Awarded to Trial Courts / Trial Court Expenses	-	-	-	-	-	1,158,210	1,177,720	1,126,700	3,462,630
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	10,001,350	1,252,727	4,781,027	1,904,174	17,939,278
Non-CCTC Hardware & Software	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	1,673,044	2,278,578	7,080,895	15,151,490	26,184,007
Consultants - Program Office	-	-	-	-	1,945,934	3,178,565	2,517,548	2,790,202	10,432,249
Consultants - Technical	4,285,582	1,558,473	306,270	-	-	219,770	3,489,534	1,594,196	11,453,826
Staff - Program Office	-	-	-	85,057	1,486,367	1,986,889	2,964,302	3,171,056	9,693,671
Staff - Technical	-	79,670	250,729	152,734	424,688	922,350	1,533,319	982,409	4,345,899
SUBTOTAL	4,285,582	1,638,143	556,999	237,791	64,781,131	48,599,380	34,052,323	44,800,446	198,951,794

All CCMS Deployment Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Hosting - Deployment Specific Environments (CCTC)	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	148	148
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	679,271	679,271
CCMS Deployment (Vendor + PMO)	-	-	-	-	-	-	-	3,000,000	3,000,000
Technical Training	-	-	-	-	-	-	-	694	694
Deployment - Direct pay by Trial Court	-	-	-	-	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-	-	-	-	-
CCTC One time Costs	-	-	-	-	-	-	-	-	-
SUBTOTAL	-	3,680,113	3,680,113						

Document Management System (DMS) Project Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Consultants	-	-	-	-	-	-	-	-	-
Hardware	-	-	-	-	-	-	-	-	-
Software Licences	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Deployment-State Funded	-	-	-	-	-	-	-	-	-
SUBTOTAL	-								

TOTAL CCMS PROJECT	15,980,017	9,836,842	15,301,963	30,834,089	85,958,739	56,679,795	34,242,977	48,480,559	297,314,979
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1 Cost model assumes 3 court deployment completes in Spring 2013.

**California Court Case Management System (CCMS) Project Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

Civil, Small Claims, Probate, MH Development & Deployment	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Development	27,514,494	-	-	-	27,514,494
Interim Deployment - State funded	380,000	-	-	-	380,000
Interim Deployment - Direct pay by Trial Court	47,547,781	-	-	-	47,547,781
Funds Awarded to Trial Courts / Trial Court Expenses	12,450,000	-	-	-	12,450,000
IS Trial Court Expenses	484,814	-	-	-	484,814
Hosting - Support Environments (non-CCTC)	845,794	-	-	-	845,794
Hosting - Support & Production Environments (CCTC)	4,964,460	-	-	-	4,964,460
Consultants - Program Office	87,770	-	-	-	87,770
Consultants - Technical	407,960	-	-	-	407,960
SUBTOTAL	94,683,072	-	-	-	94,683,072

CCMS Development (Incl. Planning & Strategy)	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Development	115,440,235	-	-	-	115,440,235
Funds Awarded to Trial Courts / Trial Court Expenses	3,462,630	2,931,250	-	-	6,393,880
IS Trial Court Expenses	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	17,939,278	1,580,750	-	-	19,520,028
Non-CCTC Hardware & Software	-	955,170	-	-	955,170
Hosting - Support & Production Environments (CCTC)	26,184,007	8,251,444	-	-	34,435,452
Consultants - Program Office	10,432,249	6,573,098	-	-	17,005,347
Consultants - Technical	11,453,826	937,264	-	-	12,391,089
Staff - Program Office	9,693,671	3,954,554	-	-	13,648,225
Staff - Technical	4,345,899	288,369	-	-	4,634,268
SUBTOTAL	198,951,794	25,471,899	-	-	224,423,693

All CCMS Deployment Costs	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Hosting - Deployment Specific Environments (CCTC)	-	8,299,600	11,545,964	3,319,327	23,164,891
Consultants - Program Office	-	-	-	-	-
Consultants - Technical	148	2,540,706	2,212,835	2,011,906	6,765,595
Staff - Program Office	-	-	-	-	-
Staff - Technical	679,271	1,379,286	2,148,807	2,186,868	6,394,232
CCMS Deployment (Vendor + PMO)	3,000,000	14,206,220	32,811,575	8,976,205	58,994,000
Technical Training	694	51,000	51,000	51,000	153,694
Deployment - Direct pay by Trial Court	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-
CCTC One time Costs	-	-	-	-	-
SUBTOTAL	3,680,113	26,476,813	48,770,181	16,545,305	95,472,412

Document Management System (DMS) Project Costs	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Consultants	-	403,903	-	-	403,903
Hardware	-	-	-	-	-
Software Licences	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	300,000	-	-	300,000
Deployment-State Funded	-	-	-	-	-
SUBTOTAL	-	703,903	-	-	703,903

TOTAL CCMS PROJECT	297,314,979	52,652,614	48,770,181	16,545,305	415,283,080
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1 Cost model assumes 3 court deployment completes in Spring 2013.

**Ongoing Program and Services Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

CCMS Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-	-
Software Releases	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Non-CCTC Hardware & Software	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	-	-
Hosting - Prod & Staging Envs (CCTC)	-	-	-	-	-	-	-	-	-
TOTAL ONGOING PROGRAMS & SERVICES	-	-	-	-	-	-	-	-	-

Document Management System (DMS) Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-	-
Non-CCTC Software Maintenance	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	-	-
SUBTOTAL	-	-	-	-	-	-	-	-	-

TOTAL ONGOING PROGRAMS & SERVICES	-	-	-	-	-	-	-	-	-
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¹ Cost model assumes 3 court deployment completes in Spring 2013.

**Ongoing Program and Services Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

CCMS Operational Costs	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Support - Vendor	-	4,866,000	12,000,000	12,000,000	28,866,000
Software Releases	-	6,945,987	9,554,013	7,500,000	24,000,000
Hosting - Support Environments (non-CCTC)	-	756,000	2,608,200	2,965,410	6,329,610
Hosting - Support & Production Environments (CCTC)	-	-	9,738,602	10,330,780	20,069,382
Non-CCTC Hardware & Software	-	-	1,027,363	1,062,631	2,089,994
Consultants - Program Office	-	-	1,314,349	1,314,349	2,628,698
Consultants - Technical	-	-	1,256,371	702,504	1,958,875
Staff - Program Office	-	-	5,253,017	5,253,017	10,506,034
Staff - Technical	-	-	676,133	686,745	1,362,879
Hosting - Prod & Staging Envs (CCTC)	-	-	11,616,471	11,616,471	23,232,941
TOTAL ONGOING PROGRAMS & SERVICES	-	12,567,987	55,044,518	53,431,907	121,044,413

Document Management System (DMS) Operational Costs	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Support - Vendor	-	-	-	-	-
Non-CCTC Software Maintenance	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-
Consultants - Technical	-	-	-	-	-
Staff - Program Office	-	-	-	-	-
Staff - Technical	-	-	-	-	-
SUBTOTAL	-	-	-	-	-

TOTAL ONGOING PROGRAMS & SERVICES	-	12,567,987	55,044,518	53,431,907	121,044,413
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1 Cost model assumes 3 court deployment completes in Spring 2013.

**Interim Case Management System Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

Criminal & Traffic Development	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	1,458,584	-	1,403,824	-	-	-	-	-	2,862,408
Interim Deployment - State funded	-	-	-	2,670,793	438,163	-	-	-	3,108,956
Interim Deployment - Direct pay by Trial Court	-	-	-	2,074,426	-	-	-	-	2,074,426
Funds Awarded to Trial Courts / Trial Court Expenses	2,755,442	-	-	-	175,404	1,600,000	1,000,000	-	5,530,846
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	397,575	-	-	-	-	-	-	397,575
Hosting - Support & Production Environments (CCTC)	221,206	90,795	25,477	-	-	-	-	-	337,479
Prior Year Adjustments	(1,239)	(12,701)	(38,492)	(32,296)	2,201	-	-	-	(82,528)
SUBTOTAL	4,433,993	475,669	1,390,809	4,712,923	615,768	1,600,000	1,000,000	-	14,229,162

Criminal & Traffic Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2006-08	FY 2006-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	2,560,647	6,250,283	7,134,000	3,913,507	-	19,858,437
Software Releases	-	-	-	4,682,399	4,447,155	2,795,628	194,350	-	12,119,532
Hosting - Support Environments (non-CCTC)	-	-	-	1,012,586	551,976	630,000	661,584	-	2,856,146
Hosting - Support & Production Environments (CCTC)	-	-	-	2,523,937	4,443,058	2,644,844	3,141,961	2,029,574	14,783,374
Consultants - Program Office	102,554	-	-	-	-	-	-	-	102,554
Consultants - Technical	-	-	-	47,498	-	244,888	2,779,828	2,576,056	5,648,271
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	340,513	143,487	134,026	225,760	577,345	1,421,131
SUBTOTAL	102,554	-	-	11,167,579	15,835,959	13,583,386	10,916,990	5,182,976	56,789,445

Civil, Small Claims, Probate, MH Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2006-08	FY 2006-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	7,451,368	9,612,600	12,830,204	8,172,393	38,066,565
Software Releases	-	-	-	155,111	2,631,872	3,486,961	-	-	6,273,944
Hosting - Support Environments (non-CCTC)	-	-	-	-	1,855,178	1,305,000	1,441,308	1,312,545	5,914,031
Hosting - Support & Production Environments (CCTC)	-	-	-	-	10,536,429	6,860,264	8,122,545	3,911,735	29,430,973
Consultants - Program Office	-	-	1,436,049	2,221,422	-	-	-	265,753	3,923,224
Consultants - Technical	-	-	30,000	-	-	-	282,465	84,191	396,656
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	227,584	251,103	336,310	391,318	496,370	1,702,685
SUBTOTAL	-	-	1,466,049	2,604,117	22,725,949	21,601,136	23,067,840	14,242,987	85,708,078

TOTAL INTERIM CMS	4,536,546	475,669	2,856,858	18,484,619	39,177,677	36,784,521	34,984,830	19,425,963	156,726,684
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¹ Cost model assumes 3 court deployment completes in Spring 2013.

**Interim Case Management System Expenses - Early Adopter Deployment
(FY 2010-2011 through FY 2012-2013 Estimated)¹**

Criminal & Traffic Development	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Development	2,862,408	-	-	-	2,862,408
Interim Deployment - State funded	3,108,956	-	-	-	3,108,956
Interim Deployment - Direct pay by Trial Court	2,074,426	-	-	-	2,074,426
Funds Awarded to Trial Courts / Trial Court Expenses	5,530,846	-	-	-	5,530,846
IS Trial Court Expenses	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	397,575	-	-	-	397,575
Hosting - Support & Production Environments (CCTC)	337,479	-	-	-	337,479
Prior Year Adjustments	(82,528)	-	-	-	(82,528)
SUBTOTAL	14,229,162	-	-	-	14,229,162

Criminal & Traffic Maintenance & Support	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Support - Vendor	19,858,437	-	-	-	19,858,437
Software Releases	12,119,532	-	-	-	12,119,532
Hosting - Support Environments (non-CCTC)	2,856,146	-	-	-	2,856,146
Hosting - Support & Production Environments (CCTC)	14,783,374	3,023,986	3,181,859	3,254,087	24,243,307
Consultants - Program Office	102,554	-	-	-	102,554
Consultants - Technical	5,648,271	3,216,025	3,419,376	3,407,487	15,691,158
Staff - Program Office	-	-	-	-	-
Staff - Technical	1,421,131	328,146	332,291	337,909	2,419,476
SUBTOTAL	56,789,445	6,568,156	6,933,526	6,999,483	77,290,610

Civil, Small Claims, Probate, MH Maintenance & Support	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Total
Support - Vendor	38,066,565	9,231,631	-	-	47,298,196
Software Releases	6,273,944	-	-	-	6,273,944
Hosting - Support Environments (non-CCTC)	5,914,031	1,085,500	-	-	6,999,531
Hosting - Support & Production Environments (CCTC)	29,430,973	5,487,089	7,298,891	5,964,997	48,181,950
Consultants - Program Office	3,923,224	216,552	216,552	216,552	4,572,880
Consultants - Technical	396,656	3,171,808	4,079,746	2,563,188	10,211,399
Staff - Program Office	-	232,969	324,175	328,878	886,022
Staff - Technical	1,702,685	1,617,854	1,922,913	1,953,709	7,197,161
SUBTOTAL	85,708,078	21,043,404	13,842,277	11,027,324	131,621,083

TOTAL INTERIM CMS	156,726,684	27,611,560	20,775,803	18,026,807	223,140,854
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1 Cost model assumes 3 court deployment completes in Spring 2013.

Attachment 2

“CCMS Budget Category Definitions”

This attachment provides descriptions for each expenditure line item included in Attachment 1, “California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – Early Adopter Deployment,” and Attachment 2, “California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – 58-Court Deployment.”

CCMS Budget Category Definitions

Criminal & Traffic CMS

Criminal & Traffic Development	Description
Development	Cost for initial development statement of work (SOW)
Interim Deployment - State funded	Deployment activities for interim case management system (CMS) funded by the AOC
Interim Deployment - Direct pay by Trial Court	Deployment activities for interim CMS paid directly to deployment vendor by the Trial Courts
Funds Awarded to Trial Courts / Trial Court Expenses	Funding provided to the courts who were originally involved in CCMS
IS Trial Court Expenses	
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Cost for initial development of environments used for testing, training, and production located at the CCTC
Prior Year Adjustments	Adjustments made by Finance in order to account for changes in prior year accruals

Criminal & Traffic Maintenance & Support	Description
Support - Vendor	Maintenance and support provided by the vendor (i.e. BearingPoint, Deloitte, Sybase)
Software Releases	SOWs for product enhancements, legislative changes, etc.
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Hardware and software maintenance, hosting fees for CCTC
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for project management, coding, testing, troubleshooting, data scrubs, database support and PMO support
Staff - Program Office	Regional Program Office (SRO) staff including salaries, operating expenses and equipment (OE&E), rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent

Civil, Small Claims, Probate, & Mental Health CMS

Staff - Program Office	Description
Development	Cost for initial development SOW
Interim Deployment - State funded	Deployment activities for interim CMS funded by the AOC
Interim Deployment - Direct pay by Trial Court	Deployment activities for interim CMS paid directly to deployment vendor by the Trial Courts
Funds Awarded to Trial Courts / Trial Court Expenses	
IS Trial Court Expenses	
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Cost for initial development of environments used for testing, training, and production located at the CCTC
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for technical project management, product support

Civil, Small Claims, Probate, Mental Health Maintenance & Support	Description
Support - Vendor	Maintenance and support provided by the vendor (i.e. BearingPoint, Deloitte, Sybase)
Software Releases	SOWs for product enhancements, legislative changes, etc.
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Hardware and software maintenance, hosting fees for CCTC
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for project management, coding, testing, troubleshooting, data scrubs, database support and PMO support
Staff - Program Office	Regional Program Office (SRO) staff including salaries, OE&E, rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent
Sacramento Special Project	Consultants conducting the performance testing scenarios plus associated network and architecture support

Court Case Management System (CCMS)

CCMS Development (Incl. Planning & Strategy)	Description
Development	Cost for initial development SOW
Funds Awarded to Trial Courts / Trial Court Expenses	
IS Trial Court Expenses	
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Non-CCTC Hardware & Software	
Hosting - Support & Production Environments (CCTC)	Cost for initial development of environments used for testing, training, and production located at the CCTC
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for technical project management, product support
Staff - Program Office	Regional Program Office (SRO) staff including salaries, OE&E, rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent

CCMS Operational Costs	Description
Support - Vendor	Maintenance and support provided by the vendor (i.e. BearingPoint, Deloitte, Sybase)
Software Releases	SOWs for product enhancements, legislative changes, etc.
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Hardware and software maintenance, hosting fees for CCTC
Non-CCTC Hardware & Software	
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for technical project management, product support
Staff - Program Office	Regional Program Office (SRO) staff including salaries, OE&E, rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent

CCMS Deployment

All CCMS Deployment Costs	Description
Hosting - Deployment Specific Environments (CCTC)	Hosting Environment costs and other infrastructure spend including hardware and software purchases
Consultants - Program Office	Consultants and temporary staff resources to support the Regional Program Office (SRO)
Consultants - Technical	Consultants and temporary staff resources to support IS staff and technical project management tasks
Staff - Program Office	Regional Program Office (SRO) staff including salaries, OE&E, rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent
CCMS Deployment Vendor	Fees paid directly to an External Vendor for deployment services as specified in a SOW
Technical Training	Trial Court and User group training to support deployment activities (not CCMS application training)
Deployment - Direct pay by Trial Court	Deployment activities for interim CMS paid directly to deployment vendor by the Trial Courts
Funds Awarded to Trial Courts	
CCTC One time Costs	Hosting Environment costs and other infrastructure spend including hardware and software purchases

Document Management System (DMS)

Document Management System (DMS) Project Costs	Description
Consultants	RFP Support and cost for initial development SOW
Hardware	Hardware for the deployment of the DMS
Software Licenses	Licenses for the procurement of a Document Mgmt application
Hosting - Support & Production Environments (CCTC)	Hardware and software maintenance, hosting fees for CCTC
Deployment-State Funded	Hardware and software maintenance, hosting fees for vendor facilities

Document Management System (DMS) Operational Costs	Description
Support - Vendor	M&S provided by the vendor (i.e. BearingPoint, Deloitte, Sybase)
Non-CCTC Software Maintenance	SOWs for product enhancements, legislative changes, etc.
Hosting - Support Environments (non-CCTC)	Hardware and software maintenance, hosting fees for vendor facilities
Hosting - Support & Production Environments (CCTC)	Hardware and software maintenance, hosting fees for CCTC
Consultants - Program Office	Consultants for project management, product support, documentation, procurement processes, legal
Consultants - Technical	Consultants for technical project management, product support
Staff - Program Office	Regional Program Office (SRO) staff including salaries, OE&E, rent
Staff - Technical	Information Services (IS) staff including salaries, OE&E, rent

Attachment 3

“Phoenix Human Resources and Financial Services Revenue and Expenses”

This attachment summarizes the revenues and expenses to date as well as future projected expenditures related for the Phoenix program through FY 2011–2012. This estimate reflects the full deployment of the Phoenix Financial System in 57 superior courts, near full implementation of the Phoenix Financial System in the remaining court, and full deployment of the Phoenix Human Resources System to 7 courts.

**Phoenix Human Resources and Financial Services Revenue and Expenses
Through FY 2011–2012 (Estimated for FY 2010–2011 and FY 2011–2012)¹**

	FY 2000–01	FY 2001–02	FY 2002–03	FY 2003–04	FY 2004–05	FY 2005–06	FY 2006–07	FY 2007–08	FY 2008–09	FY 2009–10	Estimated FY 2010–11	Estimated FY 2011–12 ²	Total FYs To Date
FUND SOURCES													
Fund Allocations													
General Fund	\$109,256	\$639,450	\$1,774,488	\$5,646,640	\$2,594,877	\$3,590,099	\$2,344,864	\$3,461,267	\$2,561,627	\$8,959,484	\$6,027,002	\$5,627,002	\$43,336,057
Modernization Fund	116,865	1,618,242	2,436,594	600,582	4,135,487	2,735,201	23,169	4,124,284	2,944,504	0	530,000	1,583,941	\$20,848,868
Trial Court Improvement Fund	0	0	1,275,000	2,142,479	780,730	2,589,879	8,575,439	23,336,617	10,584,036	10,841,032	7,628,124	6,003,037	\$73,756,373
Trial Court Trust Fund	0	0	0	0	0	1,309,669	0	5,243,157	12,208,194	4,657,863	0	0	\$23,418,883
Trial Court Reimbursements	0	0	0	0	1,869,815	1,686,716	4,790,112	7,135,877	8,556,019	8,224,564	8,556,020	8,556,020	\$49,375,143
Total Revenue	\$226,121	\$2,257,692	\$5,486,082	\$8,389,701	\$9,380,909	\$11,911,564	\$15,733,584	\$43,301,202	\$36,854,380	\$32,682,943	\$22,741,146	\$21,770,000	\$210,735,324
EXPENSES													
AOC Staffing	\$15,656	\$419,021	\$862,808	\$991,617	\$1,028,140	\$3,090,099	\$1,844,864	\$4,093,350	\$3,676,747	\$3,791,453	\$3,620,820	\$3,620,820	\$27,055,396
AOC ISD ERP/User Support Staff	93,600	112,320	219,030	500,000	465,000	659,448	1,005,332	\$1,277,744	\$1,917,234	1,598,003	1,903,569	1,903,569	\$11,654,849
AOC Support, Center Staff	0	108,109	692,650	971,159	2,513,953	2,658,866	4,711,244	7,385,649	8,307,925	8,224,563	8,556,020	8,556,020	\$52,686,157
AOC AUPR/Internal Audit Staff	0	0	0	94,000	100,000	150,000	0	0	0	0	0	0	\$344,000
Subtotal AOC Staff	\$109,256	\$639,450	\$1,774,488	\$2,556,776	\$4,107,093	\$6,558,413	\$7,561,440	\$12,756,743	\$13,901,906	\$13,614,019	\$14,080,409	\$14,080,409	\$91,740,402
Contractors	\$116,865	\$1,457,694	\$3,447,332	\$5,281,042	\$3,336,486	\$3,834,805	\$7,628,894	\$26,300,099	\$20,759,538	\$17,269,614	\$6,860,737	\$5,889,591	\$102,182,697
SAP licenses, hardware, maintenance, tech center support, and end-user training	\$0	\$160,548	\$264,262	\$551,883	\$1,937,330	\$1,518,346	\$543,250	\$4,244,360	\$2,192,935	\$1,799,310	\$1,800,000	\$1,800,000	\$16,812,225
Total Expenses	\$226,121	\$2,257,692	\$5,486,082	\$8,389,701	\$9,380,909	\$11,911,564	\$15,733,584	\$43,301,202	\$36,854,380	\$32,682,943	\$22,741,146	\$21,770,000	\$210,735,324

¹ Expenditures and funding prior to FY 2007-2008 reflect Phoenix Financial System (CARS) costs solely.

² Fund source is subject to modification.

Addendum

“CCMS Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – 58-Court Deployment Scenario”

Consistent with prior year reporting, we are providing our best current estimate regarding the full 58-court deployment. Funding for full deployment, however, is uncertain at this time. This attachment consists of four tables, displaying a high-level summary table and three detailed tables reflecting funding and expenses to date as well as estimated expenditures for full statewide deployment of CCMS through FY 2016–2017 (See Addendum 1A, 1B, 1C, and 1D descriptions below). This scenario assumes the deployment of CCMS to all 58 superior courts would be completed in FY 2016–2017. This revised schedule would extend deployment activities from FY 2015–2016 as estimated in the prior year’s report to the Legislature to FY 2016–2017 to reflect the plan to deploy CCMS first to three “Early Adopter” courts prior to statewide deployment to the remaining 55 courts. This scenario also includes a \$10 million reduction in previously planned expenditures for 2011–2012 pursuant to the direction of the Legislature in SB 69 (Leno).

Addendum 1A, “California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Funding and Expenses – 58-Court Deployment,” provides a high-level summary of the funding and expenses related to the CCMS program detailed in Attachments 2B, 2C, and 2D through FY 2016–2017.

Addendum 1B, “California Court Case Management System (CCMS) Project Expenses – 58-Court Deployment,” summarizes both the expenses to date and all future projected expenditures related to the CCMS project through FY 2016–2017.

Addendum 1C, “Ongoing Program and Services Expenses – 58-Court Deployment,” summarizes both the expenses to date and all future projected expenditures related to CCMS ongoing programs and services through FY 2016–2017.

Addendum 1D, “Interim Case Management System Expenses – 58-Court Deployment,” summarizes both the expenses to date and all future projected

expenditures related to the interim case management systems through FY 2016–2017.

“Comparison of CCMS Program Cost Estimates”

Addendum 1E, “Comparison of FY 2008–2009 and FY 2009–2010 Reports to the Legislature,” provides a comparison of the estimated total costs for CCMS assuming a 58-court deployment included in the FY 2008–2009 and FY 2009–2010 reports to the Legislature with brief explanations for differences in the two estimates.

**California Court Case Management System (CCMS)
Project, Ongoing Programs and Services, and Interim Case Management System
Funding and Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, FY 2002-03 through FY 2009-10
FUND SOURCES									
General Fund	\$ -	\$ 4,499,992	\$ 265,729	\$ 238,366	\$ 301,156	\$ 309,067	\$ 266,732	\$ 1,216,644	\$ 7,097,685
Modernization Fund	\$ -	\$ 4,364,781	\$ 13,198,412	\$ 2,549,915	\$ 11,133,122	\$ 8,651,394	\$ 13,365,966	\$ 18,952,989	\$ 72,216,579
Trial Court Trust Fund	\$ 20,516,563	\$ -	\$ -	\$ -	\$ 50,000,000	\$ -	\$ 19,770,874	\$ 26,375,479	\$ 116,662,916
Trial Court Improvement Fund	\$ -	\$ 1,447,738	\$ 4,494,679	\$ 24,121,932	\$ 39,162,716	\$ 73,026,650	\$ 33,758,146	\$ 19,482,417	\$ 195,494,279
Trial Court Reimbursements	\$ -	\$ -	\$ 200,000	\$ 1,647,987	\$ 3,948,790	\$ 3,396,790	\$ 1,875,435	\$ 1,878,995	\$ 12,947,997
Trial Court Expenditures (direct pay by court)	\$ -	\$ -	\$ -	\$ 20,760,508	\$ 20,590,630	\$ 8,080,415	\$ 190,654	\$ -	\$ 49,622,207
TOTAL FUNDING	\$ 20,516,563	\$ 10,312,511	\$ 18,158,820	\$ 49,318,708	\$ 125,136,415	\$ 93,464,316	\$ 69,227,807	\$ 67,906,523	\$ 454,041,663
EXPENDITURES									
CCMS Project Costs									
Civil, Small Claims, Probate, MH Development & Deployment	\$ 11,694,435	\$ 8,198,699	\$ 14,744,964	\$ 30,596,298	\$ 21,177,607	\$ 8,080,415	\$ 190,654	\$ -	\$ 94,683,072
CCMS Development (Incl. Planning & Strategy)	\$ 4,285,582	\$ 1,638,143	\$ 556,999	\$ 237,791	\$ 64,781,131	\$ 48,599,380	\$ 34,052,323	\$ 44,800,446	\$ 198,951,794
All CCMS Deployment Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,680,113	\$ 3,680,113
DMS Project Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL CCMS PROJECT	\$ 15,980,017	\$ 9,836,842	\$ 15,301,963	\$ 30,834,089	\$ 85,958,739	\$ 56,679,795	\$ 34,242,977	\$ 48,480,559	\$ 297,314,979
Ongoing Program & Services									
CCMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL OPERATIONAL	\$ -	\$ -	\$ -	\$ -	\$ -				
Interim CMS									
Criminal & Traffic Development	\$ 4,433,993	\$ 475,669	\$ 1,390,809	\$ 4,712,923	\$ 615,768	\$ 1,600,000	\$ 1,000,000	\$ -	\$ 14,229,162
Criminal & Traffic Maintenance & Support	\$ 102,554	\$ -	\$ -	\$ 11,167,579	\$ 15,835,959	\$ 13,583,386	\$ 10,916,990	\$ 5,182,976	\$ 56,789,445
Civil, Small Claims, Probate, MH Maintenance & Support	\$ -	\$ -	\$ 1,466,049	\$ 2,604,117	\$ 22,725,949	\$ 21,601,136	\$ 23,067,840	\$ 14,242,987	\$ 85,708,078
TOTAL INTERIM CMS	\$ 4,536,546	\$ 475,669	\$ 2,856,858	\$ 18,484,619	\$ 39,177,677	\$ 36,784,521	\$ 34,984,830	\$ 19,425,963	\$ 156,726,684
TOTAL CCMS PROGRAM EXPENDITURES	\$ 20,516,563	\$ 10,312,511	\$ 18,158,820	\$ 49,318,708	\$ 125,136,415	\$ 93,464,316	\$ 69,227,807	\$ 67,906,522	\$ 454,041,663

¹ Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.
Future fund source information excluded as the Judicial Council approves the allocation of funding on an annual basis.

**California Court Case Management System (CCMS)
Project, Ongoing Programs and Services, and Interim Case Management System
Funding and Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

	Subtotal, FY 2002-03 through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Estimated FY 2016-17	Total
FUND SOURCES									
General Fund	\$ 7,097,685	\$ 310,278							\$ 7,407,963
Modernization Fund	\$ 72,216,579	\$ -							\$ 72,216,579
Trial Court Trust Fund	\$ 116,662,916	\$ 83,507,033							\$ 200,169,949
Trial Court Improvement Fund	\$ 195,494,279	\$ 7,703,903							\$ 203,198,182
Trial Court Reimbursements	\$ 12,947,997	\$ 1,310,947							\$ 14,258,944
Trial Court Expenditures (direct pay by court)	\$ 49,622,207	\$ -							\$ 49,622,207
TOTAL FUNDING	\$ 454,041,663	\$ 92,832,161	\$ -	\$ 546,873,824					
EXPENDITURES									
CCMS Project Costs									
Civil, Small Claims, Probate, MH Development & Deployment	\$ 94,683,072	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 94,683,072
CCMS Development (Incl. Planning & Strategy)	\$ 198,951,794	\$ 25,471,899	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 224,423,693
All CCMS Deployment Costs	\$ 3,680,113	\$ 26,476,813	\$ 48,770,181	\$ 68,864,809	\$ 189,596,136	\$ 312,115,914	\$ 239,842,290	\$ 30,426,807	\$ 919,773,062
DMS Project Costs	\$ -	\$ 703,903	\$ -	\$ 27,546,670	\$ 13,030,804	\$ 10,255,948	\$ -	\$ -	\$ 51,537,325
TOTAL CCMS PROJECT	\$ 297,314,979	\$ 52,652,614	\$ 48,770,181	\$ 96,411,479	\$ 202,626,940	\$ 322,371,862	\$ 239,842,290	\$ 30,426,807	\$ 1,290,417,151
Ongoing Program & Services									
CCMS Operational Costs	\$ -	\$ 12,567,987	\$ 55,044,518	\$ 54,489,175	\$ 59,651,131	\$ 66,222,947	\$ 83,253,701	\$ 85,320,817	\$ 416,550,276
DMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,640,239	\$ 5,676,235	\$ 5,676,235	\$ 16,992,709
TOTAL OPERATIONAL	\$ -	\$ 12,567,987	\$ 55,044,518	\$ 54,489,175	\$ 59,651,131	\$ 71,863,186	\$ 88,929,936	\$ 90,997,052	\$ 433,542,986
Interim CMS									
Criminal & Traffic Development	\$ 14,229,162	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,229,162
Criminal & Traffic Maintenance & Support	\$ 56,789,445	\$ 6,568,156	\$ 6,933,526	\$ 6,999,483	\$ 1,682,429	\$ -	\$ -	\$ -	\$ 78,973,039
Civil, Small Claims, Probate, MH Maintenance & Support	\$ 85,708,078	\$ 21,043,404	\$ 13,842,277	\$ 11,027,324	\$ 12,100,913	\$ 12,858,800	\$ -	\$ -	\$ 156,580,795
TOTAL INTERIM CMS	\$ 156,726,684	\$ 27,611,560	\$ 20,775,803	\$ 18,026,807	\$ 13,783,342	\$ 12,858,800	\$ -	\$ -	\$ 249,782,996
TOTAL CCMS PROGRAM EXPENDITURES	\$ 454,041,663	\$ 92,832,161	\$ 124,590,503	\$ 168,927,460	\$ 276,061,413	\$ 407,093,847	\$ 328,772,226	\$ 121,423,859	\$ 1,973,743,133

¹ Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.
Future fund source information excluded as the Judicial Council approves the allocation of funding on an annual basis.

**California Court Case Management System (CCMS) Project Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

Civil, Small Claims, Probate, MH Development & Deployment	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	300,000	4,954,565	14,176,876	8,083,053	-	-	-	-	27,514,494
Interim Deployment - State funded	-	-	-	380,000	-	-	-	-	380,000
Interim Deployment - Direct pay by Trial Court	-	-	-	18,686,082	20,590,630	8,080,415	190,654	-	47,547,781
Funds Awarded to Trial Courts / Trial Court Expenses	10,450,000	2,000,000	-	-	-	-	-	-	12,450,000
IS Trial Court Expenses	19,305	1,692	227,148	212,099	24,569	-	-	-	484,814
Hosting - Support Environments (non-CCTC)	-	839,558	6,236	-	-	-	-	-	845,794
Hosting - Support & Production Environments (CCTC)	429,400	402,884	334,704	3,235,064	562,408	-	-	-	4,964,460
Consultants - Program Office	87,770	-	-	-	-	-	-	-	87,770
Consultants - Technical	407,960	-	-	-	-	-	-	-	407,960
SUBTOTAL	11,694,435	8,198,699	14,744,964	30,596,298	21,177,607	8,080,415	190,654	-	94,683,072

CCMS Development (Incl. Planning & Strategy)	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	-	-	-	-	49,249,748	37,602,290	10,507,978	18,080,219	115,440,235
Funds Awarded to Trial Courts / Trial Court Expenses	-	-	-	-	-	1,158,210	1,177,720	1,126,700	3,462,630
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	10,001,350	1,252,727	4,781,027	1,904,174	17,939,278
Non-CCTC Hardware & Software	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	1,673,044	2,278,578	7,080,895	15,151,490	26,184,007
Consultants - Program Office	-	-	-	-	1,945,934	3,178,565	2,517,548	2,790,202	10,432,249
Consultants - Technical	4,285,582	1,558,473	306,270	-	-	219,770	3,489,534	1,594,196	11,453,826
Staff - Program Office	-	-	-	85,057	1,486,367	1,986,889	2,964,302	3,171,056	9,693,671
Staff - Technical	-	79,670	250,729	152,734	424,688	922,350	1,533,319	982,409	4,345,899
SUBTOTAL	4,285,582	1,638,143	556,999	237,791	64,781,131	48,599,380	34,052,323	44,800,446	198,951,794

All CCMS Deployment Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Hosting - Deployment Specific Environments (CCTC)	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	148	148
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	679,271	679,271
CCMS Deployment (Vendor + PMO)	-	-	-	-	-	-	-	3,000,000	3,000,000
Technical Training	-	-	-	-	-	-	-	694	694
Deployment - Direct pay by Trial Court	-	-	-	-	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-	-	-	-	-
CCTC One time Costs	-	-	-	-	-	-	-	-	-
SUBTOTAL	-	3,680,113	3,680,113						

Document Management System (DMS) Project Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Consultants	-	-	-	-	-	-	-	-	-
Hardware	-	-	-	-	-	-	-	-	-
Software Licences	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Deployment-State Funded	-	-	-	-	-	-	-	-	-
SUBTOTAL	-								

TOTAL CCMS PROJECT	15,980,017	9,836,842	15,301,963	30,834,089	85,958,739	56,679,795	34,242,977	48,480,559	297,314,979
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¹ Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.
Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

**California Court Case Management System (CCMS) Project Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Estimated FY 2016-17	Total
Civil, Small Claims, Probate, MH Development & Deployment									
Development	27,514,494	-	-	-	-	-	-	-	27,514,494
Interim Deployment - State funded	380,000	-	-	-	-	-	-	-	380,000
Interim Deployment - Direct pay by Trial Court	47,547,781	-	-	-	-	-	-	-	47,547,781
Funds Awarded to Trial Courts / Trial Court Expenses	12,450,000	-	-	-	-	-	-	-	12,450,000
IS Trial Court Expenses	484,814	-	-	-	-	-	-	-	484,814
Hosting - Support Environments (non-CCTC)	845,794	-	-	-	-	-	-	-	845,794
Hosting - Support & Production Environments (CCTC)	4,964,460	-	-	-	-	-	-	-	4,964,460
Consultants - Program Office	87,770	-	-	-	-	-	-	-	87,770
Consultants - Technical	407,960	-	-	-	-	-	-	-	407,960
SUBTOTAL	94,683,072	-	94,683,072						
CCMS Development (Incl. Planning & Strategy)									
Development	115,440,235	-	-	-	-	-	-	-	115,440,235
Funds Awarded to Trial Courts / Trial Court Expenses	3,462,630	2,931,250	-	-	-	-	-	-	6,393,880
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	17,939,278	1,580,750	-	-	-	-	-	-	19,520,028
Non-CCTC Hardware & Software	-	955,170	-	-	-	-	-	-	955,170
Hosting - Support & Production Environments (CCTC)	26,184,007	8,251,444	-	-	-	-	-	-	34,435,452
Consultants - Program Office	10,432,249	6,573,098	-	-	-	-	-	-	17,005,347
Consultants - Technical	11,453,826	937,264	-	-	-	-	-	-	12,391,089
Staff - Program Office	9,693,671	3,954,554	-	-	-	-	-	-	13,648,225
Staff - Technical	4,345,899	288,369	-	-	-	-	-	-	4,634,268
SUBTOTAL	198,951,794	25,471,899	-	-	-	-	-	-	224,423,693
All CCMS Deployment Costs									
Hosting - Deployment Specific Environments (CCTC)	-	8,299,600	11,545,964	16,785,835	51,860,952	71,863,125	30,071,052	24,233,032	214,659,560
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	148	2,540,706	2,212,835	2,011,906	3,685,876	3,435,876	3,436,827	3,437,797	20,761,971
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	679,271	1,379,286	2,148,807	2,186,868	2,381,389	2,450,575	2,499,118	2,549,397	16,274,711
CCMS Deployment (Vendor + PMO)	3,000,000	14,206,220	32,811,575	47,748,620	131,461,338	234,021,348	203,628,712	-	666,877,813
Technical Training	694	51,000	51,000	131,580	206,581	344,990	206,581	206,581	1,199,007
Deployment - Direct pay by Trial Court	-	-	-	-	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-	-	-	-	-
CCTC One time Costs	-	-	-	-	-	-	-	-	-
SUBTOTAL	3,680,113	26,476,813	48,770,181	68,864,809	189,596,136	312,115,914	239,842,290	30,426,807	919,773,062
Document Management System (DMS) Project Costs									
Consultants	-	403,903	-	2,434,007	3,364,588	-	-	-	6,202,498
Hardware	-	-	-	15,698,225	-	-	-	-	15,698,225
Software Licences	-	-	-	5,914,438	6,166,216	10,255,948	-	-	22,336,602
Hosting - Support & Production Environments (CCTC)	-	300,000	-	3,500,000	3,500,000	-	-	-	7,300,000
Deployment-State Funded	-	-	-	-	-	-	-	-	-
SUBTOTAL	-	703,903	-	27,546,670	13,030,804	10,255,948	-	-	51,537,325
TOTAL CCMS PROJECT	297,314,979	52,652,614	48,770,181	96,411,479	202,626,940	322,371,862	239,842,290	30,426,807	1,290,417,151

¹ Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.
Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

**Ongoing Program and Services Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

CCMS Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-	-
Software Releases	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Non-CCTC Hardware & Software	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	-	-
Hosting - Prod & Staging Envs (CCTC)	-	-	-	-	-	-	-	-	-
TOTAL ONGOING PROGRAMS & SERVICES	-	-	-	-	-	-	-	-	-

Document Management System (DMS) Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-	-
Non-CCTC Software Maintenance	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	-	-
SUBTOTAL	-	-	-	-	-	-	-	-	-

TOTAL ONGOING PROGRAMS & SERVICES	-	-	-	-	-	-	-	-	-
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¹ Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.

**Interim Case Management System Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

Criminal & Traffic Development	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	Subtotal, through FY 2009-10
Development	1,458,584	-	1,403,824	-	-	-	-	-	2,862,408
Interim Deployment - State funded	-	-	-	2,670,793	438,163	-	-	-	3,108,956
Interim Deployment - Direct pay by Trial Court	-	-	-	2,074,426	-	-	-	-	2,074,426
Funds Awarded to Trial Courts / Trial Court Expenses	2,755,442	-	-	-	175,404	1,600,000	1,000,000	-	5,530,846
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	397,575	-	-	-	-	-	-	397,575
Hosting - Support & Production Environments (CCTC)	221,206	90,795	25,477	-	-	-	-	-	337,479
Prior Year Adjustments	(1,239)	(12,701)	(38,492)	(32,296)	2,201	-	-	-	(82,528)
SUBTOTAL	4,433,993	475,669	1,390,809	4,712,923	615,768	1,600,000	1,000,000	-	14,229,162

Criminal & Traffic Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2006-08	FY 2006-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	2,560,647	6,250,283	7,134,000	3,913,507	-	19,858,437
Software Releases	-	-	-	4,682,399	4,447,155	2,795,628	194,350	-	12,119,532
Hosting - Support Environments (non-CCTC)	-	-	-	1,012,586	551,976	630,000	661,584	-	2,856,146
Hosting - Support & Production Environments (CCTC)	-	-	-	2,523,937	4,443,058	2,644,844	3,141,961	2,029,574	14,783,374
Consultants - Program Office	102,554	-	-	-	-	-	-	-	102,554
Consultants - Technical	-	-	-	47,498	-	244,888	2,779,828	2,576,056	5,648,271
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	340,513	143,487	134,026	225,760	577,345	1,421,131
SUBTOTAL	102,554	-	-	11,167,579	15,835,959	13,583,386	10,916,990	5,182,976	56,789,445

Civil, Small Claims, Probate, MH Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2006-08	FY 2006-09	FY 2009-10	Subtotal, through FY 2009-10
Support - Vendor	-	-	-	-	7,451,368	9,612,600	12,830,204	8,172,393	38,066,565
Software Releases	-	-	-	155,111	2,631,872	3,486,961	-	-	6,273,944
Hosting - Support Environments (non-CCTC)	-	-	-	-	1,855,178	1,305,000	1,441,308	1,312,545	5,914,031
Hosting - Support & Production Environments (CCTC)	-	-	-	-	10,536,429	6,860,264	8,122,545	3,911,735	29,430,973
Consultants - Program Office	-	-	1,436,049	2,221,422	-	-	-	265,753	3,923,224
Consultants - Technical	-	-	30,000	-	-	-	282,465	84,191	396,656
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	227,584	251,103	336,310	391,318	496,370	1,702,685
SUBTOTAL	-	-	1,466,049	2,604,117	22,725,949	21,601,136	23,067,840	14,242,987	85,708,078

TOTAL INTERIM CMS	4,536,546	475,669	2,856,858	18,484,619	39,177,677	36,784,521	34,984,830	19,425,963	156,726,684
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1 Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.

**Interim Case Management System Expenses - 58-Court Deployment
(FY 2010-2011 through FY 2016-2017 Estimated)¹**

	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Estimated FY 2016-17	Total
Criminal & Traffic Development									
Development	2,862,408	-	-	-	-	-	-	-	2,862,408
Interim Deployment - State funded	3,108,956	-	-	-	-	-	-	-	3,108,956
Interim Deployment - Direct pay by Trial Court	2,074,426	-	-	-	-	-	-	-	2,074,426
Funds Awarded to Trial Courts / Trial Court Expenses	5,530,846	-	-	-	-	-	-	-	5,530,846
IS Trial Court Expenses	-	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	397,575	-	-	-	-	-	-	-	397,575
Hosting - Support & Production Environments (CCTC)	337,479	-	-	-	-	-	-	-	337,479
Prior Year Adjustments	(82,528)	-	-	-	-	-	-	-	(82,528)
SUBTOTAL	14,229,162	-	14,229,162						
	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Estimated FY 2016-17	Total
Criminal & Traffic Maintenance & Support									
Support - Vendor	19,858,437	-	-	-	-	-	-	-	19,858,437
Software Releases	12,119,532	-	-	-	-	-	-	-	12,119,532
Hosting - Support Environments (non-CCTC)	2,856,146	-	-	-	-	-	-	-	2,856,146
Hosting - Support & Production Environments (CCTC)	14,783,374	3,023,986	3,181,859	3,254,087	742,761	-	-	-	24,986,068
Consultants - Program Office	102,554	-	-	-	-	-	-	-	102,554
Consultants - Technical	5,648,271	3,216,025	3,419,376	3,407,487	851,872	-	-	-	16,543,030
Staff - Program Office	-	-	-	-	-	-	-	-	-
Staff - Technical	1,421,131	328,146	332,291	337,909	87,796	-	-	-	2,507,272
SUBTOTAL	56,789,445	6,568,156	6,933,526	6,999,483	1,682,429	-	-	-	78,973,039
	Subtotal, through FY 2009-10	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Estimated FY 2016-17	Total
Civil, Small Claims, Probate, MH Maintenance & Support									
Support - Vendor	38,066,565	9,231,631	-	-	-	-	-	-	47,298,196
Software Releases	6,273,944	-	-	-	-	-	-	-	6,273,944
Hosting - Support Environments (non-CCTC)	5,914,031	1,085,500	-	-	-	-	-	-	6,999,531
Hosting - Support & Production Environments (CCTC)	29,430,973	5,487,089	7,298,891	5,964,997	6,946,769	7,429,694	-	-	62,558,412
Consultants - Program Office	3,923,224	216,552	216,552	216,552	216,552	227,380	-	-	5,016,812
Consultants - Technical	396,656	3,171,808	4,079,746	2,563,188	2,559,445	2,806,476	-	-	15,577,320
Staff - Program Office	-	232,969	324,175	328,878	342,069	359,172	-	-	1,587,263
Staff - Technical	1,702,685	1,617,854	1,922,913	1,953,709	2,036,078	2,036,078	-	-	11,269,316
SUBTOTAL	85,708,078	21,043,404	13,842,277	11,027,324	12,100,913	12,858,800	-	-	156,580,795
TOTAL INTERIM CMS	156,726,684	27,611,560	20,775,803	18,026,807	13,783,342	12,858,800	-	-	249,782,996

1 Cost model assumes 58 court deployment completes in June 2017 and interim CMS to sunset in FY 2014-2015.

**Comparison of FY 2008-2009 and FY 2009-2010 Reports to the Legislature
Estimated Total Costs for CCMS 58-Court Deployment**

Cost Category	Entity	FY 2008-2009 Report to the Legislature (through FY 2015-16)	FY 2009-2010 Report to the Legislature (through FY 2016-17)	Variance between A and B	Reason for Variance
		A	B	C	D
V4 Development	State-Level	198,974,781	224,423,693	25,448,912	<ol style="list-style-type: none"> 1. Reclassification of AOC staff costs from V4 Maintenance & Operations (M&O) to V4 Development in FY 2009-2010 report to Legislature (2010 RTL). 2. Included additional prior years' staff costs previously not reported
V4 Deployment and DMS Project	State-Level	1,027,646,954	971,310,386	(56,336,568)	<ol style="list-style-type: none"> 1. Deployment completion extended from FY 2015-16 (2009 RTL) to FY 2016-17 (2010 RTL) 2. Deployment Vendor costs reduced due to vendor risk mitigated by early adopter deployment (2010 RTL) 3. Los Angeles Superior Court hosted at California Courts Technology Center (CCTC) (2010 RTL) vs. Hosting CCMS locally (2009 RTL) 4. Portion of consultant costs reclassified from V4 Deployment to V4 M&O (2010 RTL) 5. Increased licensing and hosting costs for DMS (2010 RTL)
V4 and DMS Maintenance & Operations (M&O)	State-Level	313,051,766	433,542,986	120,491,220	<ol style="list-style-type: none"> 1. 1 additional year of V4 and DMS M&O (2010 RTL) 2. Los Angeles Superior Court hosted at California Courts Technology Center (CCTC) (2010 RTL) vs. Hosting CCMS locally (2009 RTL) 3. Portion of consultant costs reclassified from V4 Deployment to V4 M&O (2010 RTL) 4. Reclassification of prior years' staff costs from V4 M&O to V4 Development (2010 RTL)
V4 Deployment	Court	-	-	0	
V4 M&O	Court	-	-	0	
V2 Development and Deployment	State-Level	14,229,960	14,229,162	(799)	Prior year encumbered amounts not expended (2010 RTL)
V3 Development and Deployment	State-Level	45,220,879	45,060,865	(160,015)	Prior year encumbered amounts not expended (2010 RTL)
V3 Development and Deployment	Court	49,743,195	49,622,207	(120,988)	Prior year encumbered amounts not expended (2010 RTL)
V2 M&O	State-Level	78,101,970	78,973,039	871,069	<ol style="list-style-type: none"> 1. Increased CCTC hosting costs (2010 RTL) 2. V2 sunsets earlier in FY 2013-2014 (2010 RTL)
V3 M&O	State-Level	151,920,750	156,580,795	4,660,045	<ol style="list-style-type: none"> 1. V3 M&O sunsets in FY 2014-2015 (2010 RTL) due to extended deployment. 2. Annual V3 M&O costs reduced due to transition from vendor support to AOC staff support (2010 RTL)
Total		1,878,890,255	1,973,743,133	94,852,877	