

**ATTACHMENT 5
DVBE PARTICIPATION FORM**

Proposer Name: _____

RFP Project Title: _____

RFP Number: _____

The State of California Executive Branch's goal of awarding of at least three percent (3%) of the total dollar contract amount to Disabled Veterans Business Enterprise (DVBE) has been achieved for this Project. *Check one:*

Yes _____ *(Complete Parts A & C only)*

No _____ *(Complete Parts B & C only)*

"Contractor's Tier" is referred to several times below; use the following definitions for tier:

0 = Prime or Joint Contractor;

1 = Prime subcontractor/supplier;

2 = Subcontractor/supplier of level 1 subcontractor/supplier

PART A – COMPLIANCE WITH DVBE GOALS

Fill out this Part ONLY if DVBE goal has been met; otherwise fill out Part B.

**INCOMPLETE DOCUMENTATION MAY RESULT IN DISQUALIFICATION
FROM FURTHER PARTICIPATION IN SELECTION PROCESS FOR THIS
SOLICITATION**

PRIME CONTRACTOR

Company Name: _____

Nature of Work _____ Tier: _____

Claimed Value: DVBE \$ _____

Percentage of Total Contract Cost: DVBE _____%

**ATTACHMENT 5
DVBE PARTICIPATION FORM**

SUBCONTACTORS/SUBCONTRACTOR/PROPOSERS/SUPPLIERS

1. Company Name: _____

Nature of Work: _____ Tier: _____

Claimed Value: DVBE \$ _____

Percentage of Total Contract Cost: DVBE _____%

2. Company Name: _____

Nature of Work: _____ Tier: _____

Claimed Value: DVBE \$ _____

Percentage of Total Contract Cost DVBE _____%

3. Company Name: _____

Nature of Work: _____ Tier: _____

Claimed Value: DVBE \$ _____

Percentage of Total Contract Cost DVBE _____%

GRAND TOTAL: DVBE _____%

I hereby certify that the "Contract Amount," as defined herein, is the amount of \$_____. I understand that the "Contract Amount" is the total dollar figure against which the DVBE participation requirements will be evaluated.

| | |
|---|--|
| <i>Firm Name of Proposer</i> | |
| <i>Signature of Person Signing for Proposer</i> | |
| <i>Name (printed) of Person Signing for Proposer</i> | |
| <i>Title of Above-Named Person</i> | |
| <i>Date</i> | |

**ATTACHMENT 5
DVBE PARTICIPATION FORM**

PART B – ESTABLISHMENT OF GOOD FAITH EFFORT

Fill out this Part ONLY if DVBE goal will not be met but you have made a good faith effort to meet such goal.

INCOMPLETE DOCUMENTATION MAY RESULT IN DISQUALIFICATION FROM FURTHER PARTICIPATION IN SELECTION PROCESS FOR THIS SOLICITATION

1. List contacts made with personnel from state or federal agencies, and with personnel from DVBEs to identify DVBEs.

| <i>Source</i> | <i>Person Contacted</i> | <i>Date</i> |
|---------------|-------------------------|-------------|
| | | |
| | | |
| | | |

2. List the names of DVBEs identified from contacts made with other state, federal, and local agencies.

| <i>Source</i> | <i>Person Contacted</i> | <i>Date</i> |
|---------------|-------------------------|-------------|
| | | |
| | | |
| | | |
| | | |

3. If an advertisement was published in trade papers and/or papers focusing on DVBEs, attach proof of publication.

| <i>Publication</i> | <i>Date(s) Advertised</i> |
|--------------------|---------------------------|
| | |
| | |
| | |
| | |

**ATTACHMENT 5
DVBE PARTICIPATION FORM**

4. Solicitations were submitted to potential DVBE contractors (list the company name, person contacted, and date) to be subcontractors. Solicitation must be job specific to plan and/or contract.

| <i>Company</i> | <i>Person Contacted</i> | <i>Date Sent</i> |
|----------------|-------------------------|------------------|
| | | |
| | | |
| | | |
| | | |

5. List the available DVBEs that were considered as subcontractors or suppliers or both. (*Complete each subject line.*)

| | |
|----------------------------------|--|
| <i>Company Name:</i> | |
| <i>Contact Name & Title:</i> | |
| <i>Telephone Number:</i> | |
| <i>Nature of Work:</i> | |
| <i>Reason Why Rejected:</i> | |

| | |
|----------------------------------|--|
| <i>Company Name:</i> | |
| <i>Contact Name & Title:</i> | |
| <i>Telephone Number:</i> | |
| <i>Nature of Work:</i> | |
| <i>Reason Why Rejected:</i> | |

| | |
|----------------------|--|
| <i>Company Name:</i> | |
|----------------------|--|

**ATTACHMENT 5
DVBE PARTICIPATION FORM**

| | |
|----------------------------------|--|
| | |
| Contact Name & Title: | |
| Telephone Number: | |
| Nature of Work: | |
| Reason Why Rejected: | |

PART C – CERTIFICATION (to be completed by *ALL Proposer*)

I hereby certify that I have made a diligent effort to ascertain the facts with regard to the representations made herein and, to the best of my knowledge and belief, each firm set forth in this proposal as a Disabled Veterans Business Enterprise complies with the relevant definition set forth in section 1896.61 of Title 2, and section 999 of the Military and Veterans Code, California Code of Regulations. In making this certification, I am aware of section 10115 *et seq.* of the Public Contract Code that establishes the following penalties for State Contracts:

Penalties for a person guilty of a first offense are a misdemeanor, civil penalty of \$5,000, and suspension from contracting with the State for a period of not less than thirty (30) days nor more than one (1) year. Penalties for second and subsequent offenses are a misdemeanor, a civil penalty of \$20,000 and suspension from contracting with the State for up to three (3) years.

IT IS MANDATORY THAT THE FOLLOWING BE COMPLETED ENTIRELY; FAILURE TO DO SO WILL RESULT IN IMMEDIATE REJECTION.

| | |
|--|--|
| Firm Name of Proposer: | |
| Signature of Person Signing for Proposer | |
| Name (printed) of Person Signing for Proposer | |
| Title of Above-Named Person | |
| Date | |