

Branchwide Information Security Roadmap

 **Highlight:** Workstream is finalizing work on incident response plan and template, as well as procurement process for Security Awareness program.

Key Objectives	Status	Description
Identify core team (sponsor and leads); form group membership; hold kickoff meeting(s).	Completed	The membership roster was approved on September 9, 2019, and the kickoff meeting held on October 7, 2019.
(a) Define methods, activities and/or initiatives for expanding and strengthening branch information security capabilities.	Completed	In partnership with the Judicial Branch security audit program, the workstream identified the top security priorities for the branch and individual courts. For those priorities the workstream has established branchwide recommendations to be provided at the June 2021 ITAC meeting.
(b) Create an overarching strategy for educating courts on information security end user education, risk management, and incident response.	Completed	The workstream determined the best branchwide education program will be a security awareness program. The workstream has completed a Request for Offer for a branch Security Awareness program. Execution of contract is expected in April/May 2021. JCIT will roll out program first quarter FY 21-22.
(c) Identify resources to assist the courts in developing policies and procedures based on the Judicial Branch Information Systems Controls Framework.	Completed	The workstream has identified the security domains in need of the most attention. The workstream is in the process of establishing an ongoing governance model for branchwide policies and procedures, to be vetted at the April Branch CIO meeting.
(d) Consult with other workstreams on individual security recommendations and ensure alignment with ongoing development of Judicial Branch security standards.	Completed	Workstream has reviewed Data Analytics and Identity Management Workstream recommendations and providing feedback and recommendations based on security recommendations and best practices. An ongoing comment and review process will be included in the governance model.
(e) At the completion of these objectives, present findings and recommendations to, and seek approval from, ITAC, JCTC and, if appropriate, the Judicial Council. Formally sunset the workstream.	Completed	ITAC: June 23, 2021
	Completed	Technology Committee: August 9, 2021
	In progress	Judicial Council: October 1, 2021

Statewide E-Filing Program Review/Evaluation



Highlight: Surveys for courts, EFM vendors and EFSP vendors drafted

Key Objectives	Status	Description
(a) Identify core team (sponsor and leads); form group membership; hold kickoff meeting(s).	Completed	
(b) Explore the strengths and weaknesses of current e-filing programs and practices across the state	In progress	The workstream has drafted a survey for distribution to all courts, and to EFM and EFSP vendors operating in the state
(c) Explore benefits of statewide EFM solutions inclusive of development opportunities and potential funding sources.	In progress	The workstream has acquired access to National Center for State Courts efiling data for courts nationwide. To evaluate the pros and cons of this approach, the workstream will analyze this data and draft follow up questions for Judicial Branch staff at courts with existing statewide eFiling solutions.
(d) Evaluate standardizing e-filing transaction fees across the state.	In progress	The workstream has included in the surveys for Courts, EFSP and EFM Vendors, questions directly focused on the non-statutory electronic filing fees. Survey responses from courts and vendors will provide information on the range and type of fees to provide a clearer picture of current eFiling fees across the state
(e) Review e-filing rules and statutes to clarify language and improve consistency across the branch.	In progress	The workstream has included in the surveys for Courts, EFSP and EFM Vendors, questions directly focused on the Rules and Statutes pertaining to both electronic filing and electronic service. Survey responses from courts and vendor will assist in identifying any areas of concern.
(f) At the completion of these objectives, present findings and recommendations to, and seek approval from, ITAC, JCTC and, if appropriate, the Judicial Council. Formally sunset the workstream	Not started	

Electronic Evidence: Rules, Technology, and Pilot Evaluation

 Highlight: Surveys for Courts of Appeal, superior courts and justice partners completed		
Key Objectives	Status	Description
(a) Investigate and report on existing local pilots and court practices, including policies and standards, for transmitting, accepting, storing, and protecting electronic evidence.	In Progress	<p>The Superior Court of Orange County started its pilot in January 2021. Orange County POC implemented in all case types in a phased approach in April 2021; Phase I – Civil, Small Claims, and Probate -April 5th, Phase II – Family Law - April 12th , Phase III – Traffic - April 20th.</p> <p>A survey has been distributed to all courts and to justice partners to collect information on current practices and needs.</p>
(b) Research and recommend available technology and services that would support transmission, acceptance, storage, and protection of electronic evidence.	In Progress	<p>Meetings held with vendors NICE, Genetec & Axon.</p> <p>Orange has initiated agreement with vendor Omnigo for a POC to determine if their system is a viable option to move forward with a contract.</p>
(c) Develop and propose changes to Rules of Court and statutes related to electronic evidence in collaboration with the Rules and Policy Subcommittee.	Completed	<p>Proposed rules and legislative amendments presented to and accepted by ITAC on November 2, 2020, and referred to the Rules & Policy Subcommittee.</p>
(d) Develop a framework for successful possible future pilots, including use case scenarios, costs and benefits, and success criteria.	In Progress	<p>Orange has completed its procurement process for a vendor or vendors to conduct a pilot.</p> <p>Other courts may launch projects with the \$25M technology funding being distributed currently. The workstream will gather information from any such projects over the next year.</p>
(e) At the completion of these objectives, present findings and recommendations to, and seek approval from, ITAC, JCTC and, if appropriate, the Judicial Council. Formally sunset the workstream.	Not Started	

Identity And Access Management Strategy

 Highlight: Final report is being drafted.		
Key Objectives	Status	Description
Develop and issue an RFP for a statewide identity management service/provider; identify and select.	Completed	Microsoft Azure AD Identity Service acquired under a Leveraged Procurement Agreement (LPA), County of Riverside RFQ #PUARC-1518, Microsoft Master Agreement Number 01E73970.
(a) Develop the roadmap for a branch identity management strategy and approach.	Completed	Initial Roadmap track recommendations drafted for the final report. Work continues.
(b) Determine policies and processes for identity management (including proofing and access management).	Completed	Initial Policy track recommendations drafted for the final report. Work continues.
(c) Ensure linkage and alignment with other branchwide initiatives such as E-Filing, SRL Portal, Next Generation Hosting, CMS Migration and Development.	Completed	Sponsors or project managers for the aligned initiatives are members of the workstream.
(d) Coordinate and plan with JCIT regarding operational support.	Completed	JCIT workstream staff are participating in the pilots at Los Angeles, Orange, and Placer Superior Courts, as well as for the Ability to Pay project.
(e) Recommend changes to Rules of Court as needed and work with the Rules & Policy Subcommittee to draft them.	Completed	No updates or additions to current rules of court are proposed.
(f) At the completion of these objectives, seek approval of ITAC, JCTC and, if appropriate, the Judicial Council and formally sunset the workstream.	In Progress	Request to update estimated completion to December 2021.

Trial Court Rules and Statutes Revisions



Highlight: One rule proposal will proceed to the Judicial Council. One rule proposal and one legislative proposal have been deferred pending a recommendation of the Rules and Policy Subcommittee on whether to revise and re-circulate them in 2022.

Key Objectives	Status	Description
(a) Develop legislative and rule proposals for electronic exhibits and evidence based on the needs identified by the Digital Evidence Workstream including defining "lodged electronic exhibits," permitting courts to use vendors for storage of electronic exhibits and evidence; and removing requirements that clerks return exhibits if they are in electronic format.	Completed	<p>A legislative proposal to authorize courts to use a vendor to store exhibits and evidence in electronic format was circulated for public comment. Following public comment, the committee decided not to advance the proposal. The Rules and Policy Subcommittee (RPS) will make a recommendation for the 2022 annual agenda on whether to revise and recirculate the proposal as a rule proposal.</p> <p>A rule proposal to create a new rule governing "lodged electronic exhibits" circulated for public comment. Following feedback from Rules Committee staff, the ITAC and RPS chairs decided to withdraw the proposal from the current rule cycle. RPS will make a recommendation for the 2022 annual agenda on whether to revise and re-circulate the proposal.</p>
(b) Assist the Criminal Law Advisory Committee (CLAC) with the development of legislative and rule proposals for remote video proceedings in criminal matters including having a Rules and Policy Subcommittee member serve on the CLAC working group.	In progress	Judge Menninger has been serving on the CLAC working group.
(c) Develop a proposal to amend permissive electronic filing and electronic service rules to reference Penal Code section 690.5.	In progress	Amendments to the electronic filing and electronic service rules circulated for public comment. The proposal will be on the consent agenda at the Judicial Council's October 1, 2021, meeting.

Remote Video Appearances in Civil Proceedings



Highlight: As of September 1, 2021, legislation is still pending.

Key Objectives	Status	Description
(a) Continue participating in a joint ad hoc subcommittee with Civil and Small Claims, Family and Juvenile Law, and Probate and Mental Health Advisory Committees to develop legislative and rule proposals to allow video remote appearances in most civil court proceedings.	In Progress	There is still trailer bill language broader in scope than the proposal the joint ad hoc subcommittee developed last year. The Legislature has until September 10, 2021, to pass any bills for this year.
(b) Work cooperatively with the ITAC Rules and Policy Subcommittee, when needed.	Completed	