



JUDICIAL COUNCIL OF CALIFORNIA

455 Golden Gate Avenue · San Francisco, California 94102-3688

www.courts.ca.gov

REPORT TO THE JUDICIAL COUNCIL

For business meeting on: October 27 & 28, 2014

Title

Administrative Director's Report

Agenda Item Type

Information Only

Submitted by

Martin Hoshino

Administrative Director

Date of Report

October 23, 2014

Contact

Tina Carroll, 415-865-4242

tina.carroll@jud.ca.gov

Executive Summary

The following information outlines some of the many activities staff is engaged in to further the Judicial Council's goals and priorities for the judicial branch. The report focuses on action since the council's August meeting and is exclusive of issues on the October business meeting agenda.

TABLE OF CONTENTS

Judicial Council-Sponsored Legislation	3
Judicial Branch Statistical Information System	3
Reporting on Post-Employment Benefit Obligations	3
Recidivism Reduction Fund Court Grant Program.....	3
Criminal Justice Realignment/Senate Bill 678	3
Reentry Courts	3
Foster Care Technical Assistance	4
Court Appointed Special Advocates	4
Domestic Violence Court Self-Assessment Tool	4
Collaborative Courts	4
Youth Court	4
Tribal Court-State Court Programs	4
Facilities	5
Capital Projects	
Real Estate and Facilities Management	
Human Resources	6
Labor Relations/Negotiations	
Employee Relations	
Workers' Compensation	
Classification and Compensation	
Phoenix Human Resources Payroll System	
Technology	7
Disaster Recovery Exercise	
Telecommunications Infrastructure and Security	
Case Management Systems	
California Courts Protective Order Registry	
Web Services	
Advisory Committees, Task Forces, and Working Groups	8
Judicial Branch Education and Training Programs	11
Staffing Metrics	16
Judicial Appointments and Vacancies	18

Judicial Council-Sponsored Legislation: August 31 marked the end of the legislative session, and September 1 was the deadline for the Governor to act on bills passed by the Legislature. Nine of the 12 Judicial Council-sponsored bills in 2014 were signed into law. Three of the bills failed passage in the Legislature. The current status report on 2014 legislation considered by the Judicial Council's Policy Coordination and Liaison Committee during the 2013–2014 legislative session may be found at: www.courts.ca.gov/documents/legislative-status-chart-2014.pdf

Judicial Branch Statistical Information System: The first phase of expanded statistical reporting standards was implemented in Family Law reporting, and training and technical assistance was provided for courts using the system. The next two phases will expand reporting capabilities in Limited Civil and Probate and Guardianship. These enhancements will allow the Judicial Council to collect filings data from all courts in support of the Resource Assessment Study model and the new Workload-based Allocation and Funding Methodology.

Reporting on Post-Employment Benefit Obligations: A review was conducted of trial court contributions to trusts established in 2013–2014 to help fund future post-employment benefit obligations, such as retiree health benefit liabilities, in accordance with Governmental Accounting Standards Board requirements. Almost half of the courts contributed to trusts in 2013–2014, with many waiting until fiscal year-end to determine the amount of available funding to contribute. Reviews are conducted every other year.

Recidivism Reduction Grant Program: This program allocates \$14.25 million to the trial courts for implementation and ongoing operation of court programs known to reduce adult offender recidivism. These include collaborative courts, pretrial programs, and the use of risk and needs assessment information at sentencing. A request for proposals from courts was released in September with final proposals due in December. Criminal Justice Services staff held four applicant conference calls to address court questions about the program and posted Frequently Asked Questions on its web page at: <http://www.courts.ca.gov/criminaljustice.htm>.

Criminal Justice Realignment/Senate Bill 678:

- Staff performed data validation site visits to courts in Los Angeles and San Bernardino Counties and met with representatives of the court and probation departments to gather information and implement data quality control measures for the SB 678 project and criminal justice realignment. This included looking at workflow infrastructure and processes, tracking and reporting, and collected qualitative information on programmatic implementation and development.
- Staff also prepared and distributed a report summarizing quarterly realignment data to individual courts. A similar report summarizing SB 678 data was distributed to probation departments.

Reentry Courts: As part of realignment, \$3,850,000 has been provided from the California Department of Corrections and Rehabilitation (CDCR), through the Judicial Council, as grants to five reentry court programs in Alameda, San Diego, San Francisco, San Joaquin, and Santa Clara

Counties for fiscal years 2013 and 2014. Criminal Justice Services staff, along with representatives of the CDCR Divisions of Rehabilitative Programs and Adult Parole Operations visited the San Joaquin County Reentry Court.

Foster Care Technical Assistance: Staff visited the juvenile courts in El Dorado, Kern, Mono, San Joaquin, San Luis Obispo, Riverside, Santa Barbara, and Santa Cruz Counties to conduct courtesy file reviews of foster care cases. The staff attorneys consulted with juvenile court bench officers, court staff, and agency stakeholders on practices and procedures required by federal and California law to protect children from abuse and neglect and prevent the loss of federal foster care funding. More information about Juvenile Law programs is available at: <http://www.courts.ca.gov/cfcc-juvenile.htm>.

Court Appointed Special Advocates (CASA): Staff from the Center for Families, Children & the Courts and the California CASA Association visited CASA programs in Sacramento and Sonoma. The last site evaluation visits were conducted in 2008. The visits include meetings with the executive director, advocates, local CASA staff, board members, dependency attorneys, social workers, and the local bench to review program operations. The evaluation site visits are conducted to ensure that CASA programs comply with California Rule of Court 5.655, contract requirements, their local rule of court, and National CASA standards. Site visits also identify promising practices and areas requiring technical assistance. Following the visit, a formal report is compiled and submitted to the executive director, board president, and presiding juvenile judge.

Domestic Violence Court Self-Assessment Tool: The Domestic Violence Safety Partnership, a project of the Violence Against Women Education Project, released an updated self-assessment tool to assist courts in complying with legal mandates governing domestic violence cases.

Collaborative Courts: Collaborative court coordinators for the state's 368 collaborative courts convened to discuss training and technical assistance opportunities; state and federal funding opportunities; and county-level successes and challenges, along with possible collaborations to address those challenges. Also discussed was a survey distributed by coordinators throughout the state to gather information on training needs for court teams and other justice partners. There are approximately 50 lead collaborative court coordinators statewide.

Youth Court: The California Association of Youth Courts, in partnership with the Judicial Council, held the second in a series of regional roundtables in Los Angeles. Nearly 40 youth court coordinators, probation, and other youth court stakeholders from eight counties were in attendance. The roundtables provided interested local courts with information about developing effective and efficient youth court models. Juvenile court diversion and truancy prevention were also addressed.

Tribal Court-State Court Programs: Judge William Kockenmeister, Chief Judge of the Bishop Paiute Tribal Court, and Judge Dean Stout, Presiding Judge of the Superior Court of Inyo County

and former forum member, convened a cross-court cultural exchange on tribal lands. More than 30 participants attended. Session topics included historical trauma; full faith and credit and jurisdictional issues affecting protection of domestic violence victims on tribal lands; and victim-centered and culturally sensitive practices in domestic violence in tribal communities. Staff also shared resources on the council's Tribal Court-State Court programs at Native American Day at the Capitol.

Facilities

Capital Projects—SB 1407 Projects:

- There are 30 active capital projects totaling \$3.2 billion, and three projects that remain in the warranty or project close-out phase. A total of 10 projects, totaling over \$1.7 billion, are currently in construction.
- Celebrations marked the start or completion of three SB 1407 funded construction projects.
 - The groundbreaking for the New East County Hall of Justice project in Dublin, Alameda County, initiated a joint county-state project of 13 courtrooms that will consolidate six courtrooms in the leased Gail Schenone Hall of Justice in Pleasanton and seven courtrooms formerly located in the Allen E. Broussard Courthouse in downtown Oakland, a facility that was vacated in 2007 due to severe water damage. Approval was obtained from the Department of Finance to establish a bank escrow account for the \$122 million construction fund deposit for the building. The project is funded with \$109.3 million from the state's Court Facilities Architectural Revolving Fund and \$12.7 million from the Alameda County Courthouse Construction Fund. Funds will be expended over an estimated construction period of three years, with title transferring to the Judicial Council when the building is ready for service. Project funds will be invested in a government obligations money market fund, while the funds are awaiting disbursement over the course of the construction period.
 - The Superior Court of Solano County hosted a ribbon-cutting ceremony to mark the renovation of the historic Old Solano Courthouse, which was originally dedicated over 100 years ago. This project added a third courtroom and jury services by converting basement space to functional staff and public areas.
 - The Superior Court of San Benito County held a dedication ceremony for the new Hollister courthouse. Officially opened in March of this year, the courthouse replaces the old facility that had inadequate security, was functionally deficient, and had no room for expansion.
- The fiscal year 2014–2015 Budget Act authorizes 19 SB 1407 capital projects to move forward into their next phase of work. A total of four projects are moving toward completion into construction in the counties of Alameda, Glenn, Merced, and Tehama; four projects are moving to the second phase of design (working drawings) in the counties of Imperial, Lake, Riverside, and Siskiyou; and nine projects are in the queue to begin their initial phase of design (preliminary plans), after coming off a two-year delay due to a \$200 million redirection of SB 1407 resources to the General Fund. Of those, Santa Barbara and Shasta contracts were awarded in October.

- With the start of construction of two large projects since January 2014—the new San Diego central courthouse and the new Stockton courthouse—approximately 61 percent of the construction phase funds for the 46-project program have been either expended or committed as of September 2014.

Real Estate and Facilities Management:

- *Earthquake Damage:* The Napa historic courthouse suffered major damage as a result of the magnitude 6.0 earthquake that struck Napa County on August 24. The earthquake also caused minor to moderate damage to facilities in Solano, Alameda, and Contra Costa Counties. A report will be provided to the council at its October business meeting.
- *Facility Modifications:*
 - 1,128 facility modification projects with an estimated cost of \$40,768,333 were approved.
 - 1,031 projects were completed during the 2013–2014 fiscal year at a cost of \$23,376,946.
 - As of June 20, 2014, 6,853 project requests with an estimated cost of over \$1.2 billion remained unfunded.

Human Resources

Labor Relations/Negotiations: Labor negotiations assistance is being provided to 19 trial courts and one interpreter region. Some negotiations are re-openers triggered by the allocation distribution recommended by the Judicial Council. In the past two months, eight memoranda of understanding have been agreed and ratified. Support is being provided to four trial courts on labor matters in either assisting with a grievance or responding to the Public Employee Relations Board.

Employee Relations: Support is being provided to six trial courts on matters involving employee investigations, discipline matters, and leave of absences. Recruitment support is being provided to two trial courts seeking to fill positions in leadership roles. The fall Labor Forum was held in San Bernardino, providing Southern California courts with updates on current labor events. The Northern California Labor Forum will be held on October 24 in Sacramento.

Workers’ Compensation: Effective, October 1, 2014, Acclamation Insurance Management Services became the new third party administrator for the judicial branch workers’ compensation program for 57 of 58 trial courts, the appellate courts and the Judicial Council. Human Resources has continued to have weekly conference calls with the administrator and the program’s risk management consultant to ensure a smooth transition. Acclamation Insurance provided webinar training to all court members on inputting new claims. Further training is scheduled.

Classification and Compensation: Assistance was provided to the Superior Courts of Alameda and Madera Counties for human resources and information technology positions.

Phoenix HR Payroll System:

- The human resources payroll system was successfully deployed in the Superior Court of Lassen County. Lassen is the tenth court on the system.
- The Phoenix General Ledger and Reports Unit worked with each court to complete the necessary reports pursuant to Government Code section 12460 that requires the State Controller's Office to prepare an annual financial report for the state.

Technology

Disaster Recovery Exercise: The California Courts Technology Center completed its tenth annual disaster recovery exercise, successfully demonstrating that infrastructure, network services, and applications hosted in the Tempe, Arizona technology center can be safely and securely backed-up, redirected, and restored at a secondary site in Nebraska. Participants who contributed to the success of the exercise included executives, management, and staff from eight superior courts, the council's Information Technology, Trial Court Administrative Services, and Real Estate and Facilities Management offices, justice partners, and vendor organizations. The center hosts some level of services for all 58 superior courts, all Courts of Appeal, and the Supreme Court, with more than 10,000 supported users and 12 key applications. Major installations include case management systems for all of the appellate courts and 13 trial courts, the California Courts Protective Order Registry, Phoenix Fiscal and Payroll Programs, the Computer-Aided Facilities Management system, and the California Law Enforcement Telecommunications System.

Telecommunications Infrastructure and Security: Seventeen superior courts are participating in an equipment replacement program to be completed by May 2015. Replacement programs for the Superior Courts of Kern, Monterey, and Tulare Counties were completed during this reporting period. The replacement program is currently in progress in 10 additional courts.

Case Management Systems:

- *Criminal and Traffic (Fresno):* Staff continues to support the Superior Court of Fresno County's conversion to Tyler's Odyssey case management system. Data conversion activities began in February 2014. Completion is targeted for April 2015. In September, changes were made to enable automatic assignment of cases being referred for collections to one of two collections agencies based on a 50/50 split, rather than assigning 100 percent to only one agency.
- *Sustain Justice Edition:* For this case management system hosted for nine courts at the California Courts Technology Center, maintenance activities included production support updates, system patching, and security certificate renewals. A database upgrade was deployed for the Superior Court of San Benito County and court staff participated in the disaster recovery exercise.

California Courts Protective Order Registry: Thirty-seven counties are now using the registry. The most recent counties to begin using the system are San Francisco, Trinity, Madera,

Sierra, and Nevada. Additional counties including Napa, Modoc, Shasta, Monterey, and Sonoma will be deployed with remaining grant funds through June 2015, pending approval of the Judicial Council Technology Committee.

Web Services:

- The Innovation Knowledge Center on trial court efficiencies and model programs has received more than 10,000 page views since its launch in May 2014. A new innovation network listserv was launched for the courts to facilitate more dialogue around implementing efficiencies and economies of scale, simplifying processes and procedures, and making overall structural improvements in the delivery of justice.
- The appellate opinions section of the California Courts website has been redesigned and re-written to be more accessible and understandable.

Advisory Committees/Task Forces/Working Groups

The following committees met in person or by phone since the council's August meeting:

1. Administrative Presiding Justices Advisory Committee
2. Appellate Indigent Defense Oversight Advisory Committee
3. California's Language Access Plan Joint Working Group
4. Collaborative Justice Courts Advisory Committee
5. Court Technology Advisory Committee
6. Criminal Law Advisory Committee
7. Mental Health Issues Implementation Task Force
8. Traffic Advisory Committee
9. Trial Court Budget Advisory Committee
10. Trial Court Facility Modification Advisory Committee
11. Trial Court Presiding Judges Advisory Committee—Executive Committee
12. Tribal Court-State Court Forum

Meeting Details

Administrative Presiding Justices Advisory Committee:

- Discussed appellate court education and training programs, e-devices in the courtroom, e-filing, and fiscal matters.

Appellate Indigent Defense Oversight Advisory Committee:

- Audited 140 appellate attorney compensation claims paid during the period April 1 through June 30, 2014.
- Subcommittees on Training and Project Oversight and Analysis of Triggered Claims Procedures discussed quarterly reports that analyzed cost trends, program expenditures, and training programs.

California's Language Access Plan Joint Working Group:

- Reviewed public comments on the plan and discussed revisions to the draft. The final plan will be presented to the council for its review and approval at the January 2015 meeting.

Collaborative Justice Courts Advisory Committee:

- Discussed recommendations for substance abuse focus grant allocations to local courts to be forwarded to the Judicial Council.
- Informational items covered veterans' issues and Penal Code 1170 (term of imprisonment).
- Heard presentations on dependency drug courts from the Superior Court of San Mateo County, Children and Family Futures, Seneca Family of Agencies, and the Child Welfare Council Permanency Committee.
- Staff provided current information on the state of dependency courts in California, common ingredients and outcomes of successful dependency drug courts, and an overview of current data related to programs, including several in California, that received Children Affected by Methamphetamine and Regional Partnership grants.

Court Technology Advisory Committee:

- Received updates on committee membership nominations (22 applications received for 14 positions); public comments on the Technology Governance, Strategic, and Tactical Plan Proposal; and branch budget.

Criminal Law Advisory Committee:

- Finalized a recommendation for new rules of court to govern the imposition of mandatory supervision and related presentence probation reports as required by recent criminal justice realignment legislation.
- Formally recommended several legislative proposals for Judicial Council sponsorship, including proposals to authorize courts to lift parole holds, authorize courts to recall county jail sentences under Penal Code section 1170(h), and limit appeals of the imposition of fines and fees.
- Finalized recommended revisions to Judicial Council forms in response to recent legislative changes to procedure for dismissals and petitions for revocation of supervision.

Mental Health Issues Implementation Task Force:

- Addressed the status of task force projects; working with justice partner agencies; and discussed competency restoration issues and options with representatives of the California Department of State Hospital.
- In preparation for the sunset of the task force in December 2014, discussed ways to continue projects and work related to meeting the ongoing needs of court users with mental illness.

Traffic Advisory Committee:

- Developed recommended revisions to the Uniform Bail and Penalty Schedules for 2015.
- Developed rule amendments and form revisions to facilitate trial by written declaration procedures.

Trial Court Budget Advisory Committee:

- Acted to recommend to the council an alternative formula for allocating \$22.7 million in reductions to the courts, recommend an adjustment to the 2014–2015 State Trial Court Improvement and Modernization Fund allocation for the Center for Judiciary Education and Research, and recommend adoption of a change to the supplemental funding process.

Trial Court Facility Modification Advisory Committee:

- Approved 106 new facility modification projects with a total projected cost of just over \$2.5 million.
- Approved a \$1 million set-aside for energy-efficiency projects as part of a sustainability program. To date, 24 energy efficiency projects have been approved for \$1.9 million, with an average payback period of 2.7 years, based on a projected reduction of \$696,000 per year in utility expenses.

Trial Court Presiding Judges Advisory Committee—Executive Committee:

- Acted on rule proposals on a variety of court administrative issues including a minimum education requirement for court executive officers, and procedures for processing complaints against subordinate judicial officers.
- Discussed the 2015 annual agenda.
- Received updates on administrative, budget, and legislative issues as well as updates on the Commission on the Future of California’s Court System, and a report on the special Juvenile Immigration Status proceedings and its current impact on the trial courts.

Tribal Court-State Court Forum:

- Reviewed the forum work plan and accomplishments and adopted education-related next steps.
- Reviewed the informal opinion from the California Supreme Court Committee on Judicial Ethics Opinions concerning judicial appearance in the forum’s documentary on tribal justice.
- Received updates on: forum-initiated legislation (SB 406 and SB 940); the World Indigenous Legal Conference 2014; the Inyo-Bishop Cross Court Cultural Exchange and; recent Indian Child Welfare Act cases.
- Learned about the New York Federal-State-Tribal Courts Indian Nations Justice Forum, and received the updates on the Siskiyou-Karuk Cross Cultural Court Exchange, and recent Indian Child Welfare Act cases.
- August, September, and October Forum E-Updates are posted at:
http://www.courts.ca.gov/documents/2014_08E-Update.pdf

Judicial Branch Education and Training

Summary

Judicial Education

1. Experienced Assignment Courses (Sacramento)
 - Advanced Issues in Probate and Mental Health Program
 - California Environmental Quality Act Overview
 - Evidence in Civil and Criminal Cases
2. Family Law Webinar: Family Court: An Evidence-Free-Zone?
3. New Judge Orientation (San Francisco)
4. Primary Assignment Orientations (Orange)
 - Criminal Law
 - Immigration Issues in Domestic Violence Cases
 - Juvenile Delinquency
 - Limited Jurisdiction, Small Claims and Unlawful Detainer
5. Qualifying Judicial Ethics core classes (Sacramento; California Judges Association Annual Meeting; Compton; Contra Costa; and San Francisco)

Judicial Officer, Court Employee, and Justice System Stakeholder Education

6. AB 1058 Commissioner Primary Assignment Orientation (Los Angeles)
7. Sexual Harassment Prevention

Education for Managers and Supervisors

8. Advanced Skills for Experienced Court Managers/Administrators
9. Basic Training for Supervisors (for supervisors, Orange)
10. Coaching: The Importance of Communicating Effectively and Creating an Environment for Learning and Results (for supervisors/managers, Alameda)
11. Leadership Skills (for JusticeCorps Fellows)
12. Microsoft Outlook Contacts, Tasks, and Notes (for appellate court personnel)
13. Microsoft Excel Formulations Training (for employees, Los Angeles and San Bernardino)

New Benchguide

1. Judges Guide: Abuse in Later Life

Updated Benchguides

2. Benchguide 75: Misdemeanor Sentencing
3. Benchguide 82: Traffic Court Proceedings

Broadcasts

4. Conflict: Techniques for Positive Resolution

5. Continuing the Dialogue: ADA Awareness—Nonapparent Disabilities (for judicial officers and court personnel)
6. Customer Service by Phone

Updates to CJER Online Resources

7. Criminal Toolkit: Interactive judicial articles and more than 30 new resources pertaining to mental health issues that arise in the criminal courts

Video Production

8. Cow County Institute courses
9. Domestic Violence and the Teen Brain

New Satellite Installations

10. Fairfield courthouse, Solano County

Program Details

18th Annual AB 1058 Child Support Training Conference: Approximately 332 child support commissioners, family law facilitators, paralegals, court clerks, and administrative and accounting staff attended the statewide training conference. Key justice partners including California Department of Child Support Services executive team members and representatives of local child support agencies also attended. A one-day primary assignment and new child support orientation was included. Attendance at the conference satisfied training requirements for court staff. The cost of the training was covered by grant funding.

Advanced Issues in Probate and Mental Health: A new program for experienced judges in probate and mental health assignments was offered in Sacramento. Forty judges, commissioners, probate attorneys, and probate examiners were in attendance from counties throughout the state.

Advanced Skills for Experienced Court Managers/Administrators: A three day program was provided for 25 court managers and administrators. Course topics included building relationships and networks, strategic planning, problem solving, and communication management.

Basic Training for Supervisors: At the court's request, a four day program was provided for 24 court supervisors and managers in the Superior Court of Orange County. Course topics included the role of the supervisor, employment law, and performance management.

Coaching: The Importance of Communicating Effectively: Two half-day sessions were provided for court supervisors and managers in the Superior Court of Alameda County. Each session focused on the skills necessary to effectively utilize the communication competency while interacting with employees.

Coaching: Creating an Environment for Learning and Results: Two half-day sessions were provided for court supervisors and managers in the Superior Court of Alameda County. Each session focused on the skills necessary to improve employee performance, support the need for autonomy and mastery, and build a team that produces results that meet or exceed expectations.

Conflict: Techniques for Positive Resolution: This new program for trial and appellate court personnel focused on underlying factors of conflict in the workplace and strategies to help minimize the impact.

Customer Service by Phone: This new program for trial and appellate court personnel focused on serving telephone customers more efficiently and effectively. Topics included Legal information versus opinion or advice, how to improve the outcomes of challenging conversations, and the impact of having a positive attitude.

Domestic Violence Local Program: The Superior Court of Modoc County convened an audience of more than 50 court, justice system, and community participants for a program on the dynamics of domestic violence, lethality, and domestic violence in later life. Funded by the Domestic Violence Safety Partnership Project, which supports local educational programs and provides technical assistance at the request of local courts, the program helped foster a coordinated court/community response in Modoc County. The project is entering its 10th year and has supported more than 60 requests from courts for technical or local educational support.

Evidence in Civil and Criminal Cases: This course was modified based on participant feedback and direction from the CJER Governing Committee. It was expanded from two to three days and included break-out sessions in civil and criminal law.

Family Court Services Directors, Managers, and Supervisors: A half-day training in Los Angeles offered credit toward mandated education requirements and provided specialized training in the area of gender identity and sexual orientation as required by AB 868.

Court-Connected Child Custody Mediators, Recommending Counselors and Evaluators: The first of three Family Dispute Resolution regional trainings was held in Los Angeles in conjunction with the AB 1058 Conference. The regional trainings help court professionals meet mandated education requirements, while minimizing travel time and time away from court. Specialized training in the area of gender identity and sexual orientation was provided to help staff meet their training requirements pursuant to AB 868.

Leadership Skills: Two full-day sessions were provided for JusticeCorps Fellows. Each session focused on developing basic leadership skills such as leading former peers, coaching, and providing effective feedback.

Microsoft Excel: At the court’s request, four half-day training sessions were provided for employees in the Superior Courts of Los Angeles and San Bernardino Counties. Sessions covered various elements of Excel formulations including data analysis and charting.

Microsoft Outlook Contacts, Tasks, and Notes: Two 90-minute videoconference sessions were provided for appellate court personnel.

New Judge Orientation: Six new judges, five new commissioners, and one hearing officer attended a week-long New Judge Orientation in San Francisco.

Primary Assignment Orientations: Courses were offered for judges and subordinate judicial officers beginning assignments in Criminal Law, Juvenile Delinquency, and Limited Jurisdiction, Small Claims, and Unlawful Detainer Orientation.

Security: Safety training was provided to judges from the Superior Court of Monterey County, including information about online privacy protection. The Security office also collaborated with the Center for Judiciary Education and Research to update the “Everyday Safety” broadcast for court personnel. Emergency training was provided to staff of the Superior Court of Stanislaus County. Safety and security-related training was provided to judges and staff in the Superior Court of Tulare County.

Sexual Harassment Prevention: At the request of the Superior Court of Tuolumne County, three sessions were taught for court employees from Tuolumne, Amador, and San Joaquin Superior Courts.

Online Resources

Mental Health Resources for Courts: Twenty-three bench scripts, Power Point presentations, and guides developed as original work products by members of the Mental Health Issues Implementation Task Force have been incorporated into CJER Online. A mental health network listserv has been created for communication among judicial officers interested in mental health related law, procedures, and issues.

Video

Domestic Violence and the Teen Brain: Maximizing Toward Complexity: Targeted for court-connected child custody mediators, recommending counselors and evaluators, the course offers 1.5 hours of credit pursuant to continuing education requirements in California Rules of Court, rules 5.215 and 5.230.

Publications

Judges Guide: Abuse in Later Life: Funded by the Violence Against Women Education Project, this benchguide marks the first comprehensive publication designed specifically for California judicial officers hearing cases involving abuse in later life (elder abuse). This type of abuse arises in various forms, including domestic violence, physical and emotional abuse, sexual abuse, abuse or neglect by a caregiver, and financial exploitation. Three chapters have been released. Five additional chapters will be developed in grant year 2014–2015.

Staffing Report as of September 30, 2014

See definition of terms on the following page.

STAFFING	Leadership Services Division								Operations and Programs Division					Administrative Division							Judicial Council
	Executive Office	Governmental Affairs	Audit Services	Legal Services	Judicial Council Support	Communications	Special Projects	Trial Court Liaison	Center for Families, Child. & Courts	Court Operations Services	Criminal Justice Services	Center for Judiciary Education & Research	Appellate Court Services	Capital Programs	Finance	Human Resources	Information Technology	Admin Support	Real Estate & Facilities Mgmt	Trial Court Admin Services	
Authorized Position (FTE)	8.00	12.00	14.00	60.00	11.80	8.00	6.00	8.00	68.00	45.40	14.00	48.50	8.00	57.00	78.00	39.00	130.00	30.00	83.00	86.00	814.70
Filled Authorized Position (FTE)	6.95	12.00	14.00	44.90	11.60	7.00	6.00	8.00	58.95	38.40	13.18	43.30	4.00	47.00	74.00	36.00	110.88	29.80	77.80	82.88	726.64
Headcount - Employees	7	12	14	45	12	7	6	8	60	39	14	45	4	47	74	36	111	29	78	83	731.00
Vacancy (FTE)	1.05	0.00	0.00	15.10	0.20	1.00	0.00	0.00	9.05	7.00	0.83	5.20	4.00	10.00	4.00	3.00	19.13	0.20	5.20	3.13	88.09
Vacancy Rate (FTE)	13.1%	0.0%	0.0%	25.2%	1.7%	12.5%	0.0%	0.0%	13.3%	15.4%	5.9%	10.7%	50.0%	17.5%	5.1%	7.7%	14.7%	0.7%	6.3%	3.6%	10.8%
Temporary Employee (909)	1	0	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	2.50
*Employment Agency Temporary Worker (FTE)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0	3.0	0.0	0.0	0.0	0.0	0.0	4.00
Contractors (FTE)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0	9.0	0.0	1.0	54.0	0.0	0.0	0.0	65.00
TOTAL WORKFORCE (based on FTE, 909s, Agency Temps & Contractors)	7.95	12.00	14.00	44.90	11.60	7.00	6.00	8.00	58.95	39.40	14.68	44.30	4.00	56.00	77.00	37.00	164.88	29.80	77.80	82.88	798.14

Definitions:	
Authorized Position (FTE)	Authorized positions include all regular ongoing positions approved in the Budget Act for that year. The number is based on the position's approved full time equivalency.
Filled Authorized Position (FTE)	Filled authorized positions are the number of authorized positions filled based on the employee's full time equivalency.
Headcount	The actual count of persons employed, regardless of FTE. This number could be more than the FTE count due to part-time employees being counted as "1". It does not include Temporary Employees (909) or Employment Agency Temporary Workers.
Vacancy (FTE)	The number of vacancies is the number of authorized positions minus the number of filled authorized positions.
Vacancy Rate (FTE)	Vacancy Rate is calculated by dividing the number of authorized positions by the number of vacant authorized positions. This number excludes temporary employees ("909" funded employees). See definition of temporary employees below.
Temporary Employees (909)	The 909 category is the State Controller code used to reference a temporary position or temporary employee. A 909 position may not be funded through the Budget Act. It is categorized as a temporary position in the absence of an authorized position. 909 positions may be occupied by regular full-time employees due to the unavailability of an authorized vacant position and may receive benefits if employed at least half-time for more than six months. Types of "909" Employees include: Retired Annuitants: A retiree hired by a former employer or other employer that participates in the same retirement system as the former employer. This includes a former participant in a state retirement system who previously retired and currently receives retirement benefits. Temporary Employees: Employed on a temporary basis - they do not receive full benefits (but do receive Calpers retirement service credit).
Employment Agency Temp. Worker (FTE)	These are workers from an employment agency who provide short-term support for workload.
Contractor (FTE)	Individuals augmenting the work of the organization and providing services for a limited period of time or on a specific project, where a particular skill set is required that is either (1) not within an existing classification and/or job description or (2) where recruitment issues require the use of a contractor.
Full Time Equivalency (FTE)	Full Time Equivalency is the number of total maximum compensable hours designated in a year divided by actual hours worked in a year. For example, the work year is defined as 2,080 hours; one employee occupying a paid full time job all year would consume one FTE. One employee working for 1,040 hours each would consume .5 FTE.
Time Base	Full time: Employee is scheduled to work 40 hours per week. Receives full benefits. Part time: Employee is scheduled to work less than 40 hours per week. Employees that work more than 20 hours per week receive full benefits. Intermittent: Employees have no established work schedule and work on an as-needed basis that varies from one pay period to the next. Eligibility for certain benefits may be limited for these employees.
Regular Employee	Commonly referred to as "permanent employees" – They receive full benefits.
Limited Term	Limited Term Position – A position funded through the Budget Act with a specific end date and counted as an authorized position. Employee in limited term positions may be regular or temporary.

New Judgeships and Vacancies Report

Number of Judgeships Authorized, Filled, and Vacant as of **September 30, 2014**

TYPE OF COURT	NUMBER OF COURTS	NUMBER OF JUDGESHIPS					
		Authorized	Filled	Vacant	Vacant (AB 159 positions)	<i>Filled (Last Month**)</i>	<i>Vacant (Last Month**)</i>
Supreme Court	1	7	6	1	0	6	1
Courts of Appeal	6	105	96	9	0	96	9
Superior Courts	58	1713	1577	86	50*	1582	131
All Courts	65	1825	1679	146		1684	141

*Authorized January 1, 2008, 50 new (AB 159) judgeships are added. However, funding for the 50 positions has not been provided.

**As of August 31, 2014

Below: **New Vacancies that occurred in September 2014**

JUDICIAL VACANCIES: SUPREME COURT

	Vacancies	Reason for Vacancy	Justice to be Replaced	Last Day In Office
Supreme Court	1	Retirement	Hon. Joyce L. Kennard	04/05/14
TOTAL VACANCIES	1			

JUDICIAL VACANCIES: APPELLATE COURTS

Appellate District	Vacancies	Reason for Vacancy	Justice to be Replaced	Last Day In Office
First Appellate District, Division Two	2	Retirement	Hon. Paul R. Haerle	06/11/14
First Appellate District, Division Four		Elevated	Hon. James M. Humes	07/16/14
Second Appellate District, Division One	5	Elevated	Hon. Frances Rothschild	07/16/14

Second Appellate District, Division Three		Deceased	Hon. H. Walter Croskey	08/29/14
Second Appellate District, Division Five		Retirement	Hon. Orville A. Armstrong	07/31/13
Second Appellate District, Division Six		Retirement	Hon. Paul H. Coffee	01/31/12
Second Appellate District, Division Seven		Retirement	Hon. Frank Y. Jackson	06/30/13
Third Appellate District	1	Elevated	Hon. Tani G. Cantil-Sakauye*	01/02/11
Fifth Appellate District	1	Retirement	Hon. Rebecca A. Wiseman	10/31/13
TOTAL VACANCIES	9			

* July 25, 2014, Governor Edmund G. Brown Jr. appointed Jonathan K. Renner as associate justice of the Third District Court of Appeal to fill the vacancy created by the elevation of Justice Tani Cantil-Sakauye to the Supreme Court. Confirmed by the Commission on Judicial Appointments on August 28, 2014, Justice Renner will begin his term on January 5, 2015.

JUDICIAL VACANCIES: SUPERIOR COURTS

County	Vacancies	Reason for Vacancy	Judge to be Replaced	Last Day In Office
Alameda	4	Retirement	Hon. Hugh A. Walker	02/18/14
Alameda		Retirement	Hon. Joan S. Cartwright	01/31/14
Alameda		Transferred	Hon. Carrie McIntyre Panetta	09/20/13
Alameda		Retirement	Hon. Marshall Ivan Whitley	06/30/13
Contra Costa	2	Retirement	Hon. David B. Flinn	04/30/14
Contra Costa		Retirement	Hon. Barbara Ann Zúñiga	04/04/14
El Dorado	1	Retirement	Hon. Douglas C. Phimister	07/17/14
Kern	2	Retirement	Hon. Louis P. Etcheverry	10/24/13
Kern		Retirement	Hon. Jerold L. Turner	08/01/13
Los Angeles	34	Retirement	Hon. James A. Steele	09/30/14
Los Angeles		Retirement	Hon. Steven D. Ogden	09/24/14
Los Angeles		Retirement	Hon. Cesar C. Sarmiento	09/16/14
Los Angeles		Retirement	Hon. Antonio Barreto, Jr.	09/05/14
Los Angeles		Elevated	Hon. Brian M. Hoffstadt	08/27/14

Los Angeles		Retirement	Hon. Rex Heeseman	08/25/14
Los Angeles		Retirement	Hon. Joseph E. DiLoreto	07/31/14
Los Angeles		Retirement	Hon. Harvey Giss	07/16/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Converted	New Position	07/01/14
Los Angeles		Retirement	Hon. Wendy L. Kohn	06/06/14
Los Angeles		Retirement	Hon. Carlos A. Uranga	04/30/14
Los Angeles		Retirement	Hon. Ronald M. Sohigian	04/16/14
Los Angeles		Retirement	Hon. Candace J. Beason	04/15/14
Los Angeles		Retirement	Hon. R. Bruce Minto	03/31/14
Los Angeles		Retirement	Hon. Joseph S. Biderman	03/18/14
Los Angeles		Retirement	Hon. David Sherman Milton	03/15/14
Los Angeles		Retirement	Hon. John Vernon Meigs	03/07/14
Los Angeles		Retirement	Hon. Jessica Perrin Silvers	02/19/14
Los Angeles		Retirement	Hon. Michael C. Solner	02/19/14
Los Angeles		Retirement	Hon. Janice Claire Croft	02/18/14
Los Angeles		Retirement	Hon. Louis M. Meisinger	02/07/14
Los Angeles		Retirement	Hon. Charles W. McCoy, Jr.	08/28/13
Los Angeles		Dis Retirement	Hon. Cynthia Rayvis	08/22/13
Los Angeles		Converted	New Position	08/12/13
Los Angeles		Converted	New Position	08/12/13
Los Angeles		Converted	New Position	08/12/13
Los Angeles		Converted	New Position	08/12/13
Los Angeles		Converted	New Position	08/12/13
Marin	2	Retirement	Hon. Lynn Duryee	02/28/14

Marin		Retirement	Hon. James R. Ritchie	12/31/13
Monterey	1	Retirement	Hon. Susan M. Dauphiné	03/05/14
Orange	10	Retirement	Hon. Francisco P. Briseño	09/02/14
Orange		Retirement	Hon. Ronald P. Kreber	05/05/14
Orange		Retirement	Hon. Luis A. Rodriguez	04/30/14
Orange		Retirement	Hon. Marjorie Laird Carter	03/31/14
Orange		Retirement	Hon. Wendy Lindley	02/19/14
Orange		Retirement	Hon. Nancy Wieben Stock	02/11/14
Orange		Converted	New Position	10/25/13
Orange		Converted	New Position	08/12/13
Orange		Retirement	Hon. Francisco F. Firmat	02/25/13
Orange		Retirement	Hon. Craig E. Robison	01/07/13
Riverside	5	Retirement	Hon. Albert J. Wojcik	07/01/14
Riverside		Retirement	Hon. Elisabeth Sichel	05/29/14
Riverside		Retirement	Hon. Sherrill A. Ellsworth	03/01/14
Riverside		Retirement	Hon. Gary B. Tranbarger	01/28/14
Riverside		Retirement	Hon. David B. Downing	04/29/13
Sacramento	1	Retirement	Hon. Roland L. Candee	05/15/13
San Bernardino	3	Retirement	Hon. Larry W. Allen	11/30/13
San Bernardino		Retirement	Hon. Shahla S. Sabet	11/29/13
San Bernardino		Retirement	Hon. John B. Gibson	09/19/13
San Diego	5	Retirement	Hon. William J. McGrath, Jr.	08/15/14
San Diego		To Fed Court	Hon. Cynthia A. Bashant	05/07/14
San Diego		Retirement	Hon. Susan D. Huguenor	03/12/14
San Diego		Retirement	Hon. Allan J. Preckel	03/08/14
San Diego		Converted	New Position	02/07/14
San Francisco	3	Retirement	Hon. Julie M. Tang	09/07/14
San Francisco		Retirement	Hon. Patrick J. Mahoney	02/28/13
San Francisco		Retirement	Hon. Katherine A. Feinstein	02/01/13
San Joaquin	1	Retirement	Hon. Terrence R. Van Oss	02/28/14

Santa Barbara	1	Retirement	Hon. Denise de Bellefeuille	09/30/14
Santa Clara	6	Dis Retirement	Hon. Kurt E. Kumli	06/26/14
Santa Clara		Retirement	Hon. Kevin E. McKenney	04/30/14
Santa Clara		Retirement	Hon. James P. Kleinberg	04/15/14
Santa Clara		Retirement	Hon. Rene Navarro	03/31/14
Santa Clara		Retirement	Hon. Thomas W. Cain	12/02/13
Santa Clara		Retirement	Hon. Andrea Y. Bryan	12/01/13
Stanislaus	2	Retirement	Hon. Hurl William Johnson III	09/02/14
Stanislaus		Retirement	Hon. Susan D. Siefkin	11/30/13
Tulare	2	Retirement	Hon. Paul Anthony Vortmann	08/01/14
Tulare		Retirement	Hon. Joseph A. Kalashian	03/13/14
Ventura	1	Retirement	Hon. James P. Cloninger	06/03/14
SUBTOTAL:	86			

AB 159 Judgeships. 50 new judgeships authorized in 2008, but unfunded.

Fresno	2	(AB 159)*	New Positions	1/1/2008
Humboldt	1	(AB 159)*	New Position	1/1/2008
Imperial	1	(AB 159)*	New Position	1/1/2008
Kern	3	(AB 159)*	New Positions	1/1/2008
Kings	1	(AB 159)*	New Position	1/1/2008
Los Angeles	2	(AB 159)*	New Positions	1/1/2008
Merced	2	(AB 159)*	New Positions	1/1/2008
Orange	2	(AB 159)*	New Positions	1/1/2008
Placer	2	(AB 159)*	New Positions	1/1/2008
Riverside	9	(AB 159)*	New Positions	1/1/2008
Sacramento	3	(AB 159)*	New Positions	1/1/2008
San Bernardino	9	(AB 159)*	New Positions	1/1/2008
San Joaquin	3	(AB 159)*	New Positions	1/1/2008
Shasta	1	(AB 159)*	New Position	1/1/2008
Solano	1	(AB 159)*	New Position	1/1/2008
Sonoma	1	(AB 159)*	New Position	1/1/2008
Stanislaus	3	(AB 159)*	New Positions	1/1/2008
Sutter	1	(AB 159)*	New Positions	1/1/2008
Tulare	1	(AB 159)*	New Position	1/1/2008
Ventura	2	(AB 159)*	New Positions	1/1/2008
TOTAL VACANCIES:	136			

Number of Judgeships Authorized, Filled and Vacant as of the End of Each Month: From September 2012 through September 2014 (two years)*

Month	Superior Courts				Courts of Appeal			
	Authorized	Filled	Vacancy	Vacancy Rate	Authorized	Filled	Vacancy	Vacancy Rate
Sep-12	1,685	1,554	131	7.8%	105	100	5	4.8%
Oct-12	1,686	1,553	133	7.9%	105	100	5	4.8%
Nov-12	1,687	1,565	122	7.2%	105	100	5	4.8%
Dec-12	1,693	1,583	110	6.5%	105	103	2	1.9%
Jan-13	1,694	1,590	107	6.3%	105	102	3	2.9%
Feb-13	1,695	1,581	114	6.7%	105	102	3	2.9%
Mar-13	1,695	1,574	125	7.4%	105	101	4	3.8%
Apr-13	1,695	1,567	128	7.6%	105	101	4	3.8%
May-13	1,695	1,576	119	7.0%	105	101	4	3.8%
Jun-13	1,695	1,571	124	7.3%	105	100	5	4.8%
Jul-13	1,695	1,579	116	6.8%	105	98	7	6.7%
Aug-13	1,703	1,582	121	7.1%	105	98	7	6.7%
Sep-13	1,703	1,579	124	7.3%	105	98	7	6.7%
Oct-13	1,704	1,575	129	7.6%	105	97	8	7.6%
Nov-13	1,705	1,570	135	7.9%	105	97	8	7.6%
Dec-13	1,705	1,601	104	6.1%	105	97	8	7.6%
Jan-14	1,705	1,601	104	6.1%	105	97	8	7.6%
Feb-14	1,706	1,591	115	6.7%	105	95	10	9.5%
Mar-14	1,706	1,580	126	7.4%	105	95	10	9.5%
Apr-14	1,706	1,572	134	7.9%	105	95	10	9.5%
May-14	1,706	1,568	138	8.1%	105	95	10	9.5%
Jun-14	1,706	1,579	127	7.4%	105	94	11	10.5%
Jul-14	1,713	1,586	127	7.4%	105	96	9	8.6%
Aug-14	1,713	1,582	131	7.6%	105	96	9	8.6%
Sep-14	1,713	1,577	136	7.9%	105	96	9	8.6%

* As of September 30, 2014

Authorized Judgeships and Vacancies in the Superior Courts

