


ACTIVITY REPORTING AND PROPOSAL FORM

JUDICIAL COUNCIL DIRECTIVES AOC RESTRUCTURING

DATE	<input type="text" value="10/16/2012"/>
PREPARED BY	<input type="text" value="Mark Dusman"/>
OFFICE NAME	<u>Information & Technology Services Office</u>
JUDICIAL COUNCIL DIRECTIVE NUMBER	<input type="text" value="102"/>
JUDICIAL COUNCIL DIRECTIVE	E&P recommends that the Judicial Council support SEC Recommendation 7-45(a) with no further action, as the recommended staff reductions have occurred through the AOC's initiatives to reduce costs and downsize its workforce and operations.
SEC RECOMMENDATION	Especially with CCMS not being fully deployed, staff reductions in this division are in order, including: (a) Unnecessary CCMS positions should be eliminated.
RESPONSE (check applicable boxes)	
<input checked="" type="checkbox"/> This directive has been completed and implemented:	
<p>CCMS Decommission - On March 27, 2012, the Judicial Council voted to stop the deployment of CCMS V4 as a statewide initiative. The council's decision was based on the unprecedented fiscal challenges confronting California's court system and the recognition that funding to deploy the system to all 58 courts will not be available for the foreseeable future.</p> <p>The council directed the CCMS Internal Committee, in partnership with the trial courts, to develop timelines and recommendations to the Judicial Council to find other ways to use the CCMS technology and the state's investment in the software system, as well as develop new strategies to assist courts with failing case management systems.</p> <p>Following the March 27 decision, the AOC began activity to terminate the CCMS Project including the Project Management Office. By July 31, 2012 all staff, contractors and temporary employees were terminated, retired or were redirected into non-CCMS, critical vacant ISD positions. Other material savings resulted from termination of contracts for goods and services.</p>	
<div><p>100212 #102 CCMS, dist.docx Microsoft Office Word Document 14.4 KB</p></div>	
<input type="checkbox"/> This directive is forwarded to the Judicial Council with options for consideration:	
<input type="text"/>	

 File Attachment

☒ Other:

Link to March 27, 2012 Judicial Council Meeting Agenda:
<http://www.courts.ca.gov/documents/jc-20120327-agenda.pdf>

Link to March 27, 2012 Judicial Council Minutes:
<http://www.courts.ca.gov/documents/jc-20120327-minutes.pdf>

Link to California Court Case Management System: Deployment Options:
<http://www.courts.ca.gov/documents/jc-20120327-itemE.pdf>

 File Attachment

TIMELINE AND RESOURCES FOR IMPLEMENTATION

**IMPLEMENTATION
DATE OR
PROJECTED
IMPLEMENTATION
DATE**

July 31, 2012

**RESOURCES
REQUIRED FOR
IMPLEMENTATION**

\$395,143 for leave balances and severance payouts.

ADDITIONAL IMPLEMENTATION INFORMATION (complete only applicable sections)

☐ **PROCEDURES/
POLICIES UPDATED
OR DEVELOPED**

None

 File Attachment

☐ **TRAINING
UPDATED OR
DEVELOPED**

None

 File Attachment

☒ **SAVINGS**





Annual on-going staff and materials costs savings: \$25,797,130. Additional materials cost savings may be forthcoming later this fiscal year as branchwide licenses and maintenance agreements are evaluated to determine if they can be renegotiated.



100312 #102 CCMS,
dist3.xlsx
Microsoft Office Excel
Worksheet
55.9 KB

One-time costs to implement CCMS staff reductions including leave balances and severance payouts: \$395,143

One-time equipment return/disposal costs: \$53,100. Returned equipment will be available for redirection to trial courts or State of California as part of AOC's equipment reutilization program. The potential market value of this

<input checked="" type="checkbox"/> COST	<p>equipment is not included in the savings or cost to implement this recommendation.</p> <div>  <p>100312 #102 CCMS, dist3.xlsx Microsoft Office Excel Worksheet 55.9 KB</p> </div>
<input type="checkbox"/> EFFICIENCIES	<p>None</p> <div>  File Attachment </div>
<input checked="" type="checkbox"/> SERVICE LEVEL IMPACT	<p>The Judicial Council's decision to stop deployment of CCMS was made with the understanding that the need for case management systems at the local court level still existed. In addition, the Judicial Council directed the IT Internal Committee to develop a roadmap for assuring that the case management system needs of the courts will be met in the future without CCMS. Consistent with legislative directive no Trial Court Trust Funds are being used for any CCMS V 4 activities.</p> <div>  File Attachment </div>
<input type="checkbox"/> OTHER	<div>  File Attachment </div>
ADMINISTRATIVE DIRECTOR OF THE COURTS (ADOC) REVIEW AND APPROVAL	
ADOC REVIEW	Administrative Director of the Courts Review Date: 10/5/2012
EXECUTIVE AND PLANNING (E&P) COMMITTEE REVIEW	
E&P REVIEW	Executive and Planning Review Date: 10/19/2012

California Courts Case Management System (CCMS) Decommission

On March 27, 2012, following a review of a report by Grant Thornton presenting three options for the future of the case management program, the Judicial Council voted to stop the deployment of CCMS V4 as a statewide initiative. The council's decision was based on the unprecedented fiscal challenges confronting California's court system and the recognition that funding to deploy the system to all 58 courts will not be available for the foreseeable future.

The council directed the CCMS Internal Committee, in partnership with the trial courts, to develop timelines and recommendations to the Judicial Council to find other ways to use the CCMS technology and the state's investment in the software system, as well as develop new strategies to assist courts with failing case management systems.

Following the March 27 decision, the AOC began activity to terminate the CCMS Project including the Project Management Office. Steps immediately following the March 27 decision by the Council included:

- Termination of non-critical contractors.
- Staff identified for reassignment or maintenance activities, depending on priority of work to shut down the CCMS program.
- The remainder of CCMS V4 staff were laid off.
- By June 30, 2012, consultants and staff had rolled off the project.
- Regarding contracts with Deloitte, the main vendor for V4, on March 28, following the Judicial Council decision, the AOC instructed Deloitte to terminate support to the V4 environments in their Spring Valley data center.
- The shared services vendor, the California Courts Technology Center (CCTC), decommissioned the CCTC environments.
- Maintenance contracts were terminated for hardware and software specific to CCMS.
Application components were appropriately stored for potential future use. Examples of components include: the source code, developed forms, development tools, configuration tools and processes, and test scripts.

By July 31, 2012 all staff, contractors and temporary employees were terminated, retired or were transferred into critical vacant ISD positions.

In order to carry out the Judicial Council decision to terminate CCMS V4, AOC ISD worked with the vendor for the CCTC to process an orderly shutdown of all existing environments. Notice was provided to software and hardware vendors to discontinue support as per terms in the vendor agreements. The decommissioned hardware was inventoried and made available to meet the needs of other judicial branch initiatives.

The CCMS Program Management Office archived and organized project deliverables and documentation according to project management best practices. Final reports and updates are being completed for the Judicial Council, the California Technology Agency (CTA), the Bureau of State Audits (BSA), and the annual legislative report.

CCMS Annual Savings and Cost to Implement

CCMS Annual Savings		Comments
FTEs (-26.2)	\$3,589,868	Actual FY 2011-2012 salaries and benefits
Temps (-9)	\$797,056	Rates x 2080 hrs/year
Contractors (-17)	\$3,042,142	Actual contract amts or rates x 2080 hrs/year
California Courts Technology Center (CCTC)	\$17,563,614	Estimates from FY 2011-2012 five-year budget
Maintenance contracts not renewed	\$804,450	
<u>Total Savings</u>		<u>\$25,797,130</u>

Cost to Implement		
FTE payouts	\$395,143	Actuals
Equipment return/disposal	\$53,100	Includes estimate for round 2 servers and SAN.
<u>Total Cost</u>		<u>\$448,243</u>

CCMS V4 Program Staffing Comparison 2/1/12 to 9/30/12

CCMS V4 Program	No. on 2/1/12	Comments
FTEs - CCMS	26.2	
Temps	9	
Contractors	17	
<u>Total Staffing</u>		<u>52.2</u>

CCMS Staff Reductions Between 2/1/12 - 9/30/12

Staff	Final No.	Comments
CCMS VSIP Round 2	-2	
CCMS Resignations between 2/1/12 - 9/30/12	-4	
Temps	-9	
Contractors	-17	
FTE's- CCMS	-13.2	Redirected to critical ISD positions
Layoffs	-7	
<u>Total Staffing Reduction</u>		<u>-52.2</u>

CCMS Position Costs

Name	Division	Salary	Benefits*	Total Costs	FTE Count
FTE 1	ISD	\$30,210	\$9,643	\$39,853	0.2
FTE 2	ISD	\$139,039	\$40,137	\$179,176	1
FTE 3	ISD	\$126,134	\$43,750	\$169,884	1
FTE 4	ISD	\$84,474	\$32,925	\$117,399	1
FTE 5	ISD	\$93,473	\$30,378	\$123,851	1
FTE 6	ISD	\$120,491	\$45,808	\$166,299	1
FTE 7	ISD	\$113,823	\$44,501	\$158,324	1
FTE 8	ISD	\$121,930	\$45,895	\$167,825	1
FTE 9	ISD	\$100,472	\$32,184	\$132,656	1
FTE 10	PMO	\$150,464	\$42,278	\$192,742	1
FTE 11	PMO	\$128,357	\$33,674	\$162,031	1
FTE 12	PMO	\$108,775	\$43,506	\$152,281	1
FTE 13	PMO	\$104,061	\$42,428	\$146,489	1
FTE 14	PMO	\$135,756	\$35,123	\$170,879	1
FTE 15	PMO	\$89,386	\$29,231	\$118,617	1
FTE 16	PMO	\$84,493	\$33,591	\$118,084	1
FTE 17	PMO	\$90,752	\$38,794	\$129,546	1
FTE 18	PMO	\$84,493	\$33,128	\$117,621	1
FTE 19	PMO	\$68,899	\$23,158	\$92,057	1
FTE 20	PMO	\$68,700	\$19,893	\$88,593	1
FTE 21	PMO	\$68,700	\$28,996	\$97,696	1
FTE 22	PMO	\$68,304	\$22,813	\$91,117	1
FTE 23	PMO	\$68,899	\$33,157	\$102,056	1
FTE 24	PMO	\$63,821	\$31,846	\$95,667	1
FTE 25	PMO	\$171,108	\$54,976	\$226,084	1
FTE 26	PMO	\$106,057	\$37,120	\$143,177	1
FTE 27	PMO	\$66,565	\$23,298	\$89,863	1
TOTAL		\$2,657,638	\$932,230	\$3,589,868	26.2

* Actual benefit costs not available. Calculated based on average 35% of salaries.

	Layoff June 2012
	Resigned
	VSIP

Savings from Temps

Name	Hourly Rate	Annual Hours	Estimated Total Cost
PMO Temps			
Temp 1	\$26.40	2080	\$54,912
Temp 2	\$28.30	2080	\$58,864
Temp 3	\$34.88	2080	\$72,550
Temp 4	\$49.58	2080	\$103,126
Temp 5	\$53.14	2080	\$110,531
Temp 6	\$53.14	2080	\$110,531
Temp 7	\$54.73	2080	\$113,838
Temp 8	\$54.73	2080	\$113,838
Information Services Division			
Temp 9	\$28.30	2080	\$58,864
	Total		\$797,056

Savings from Reductions in Contractors

PMO

Name	Annual contract amount for	Rate/hr
Contractor 1	\$109,168	N/A
Contractor 2	\$134,912	N/A
Contractor 3	\$161,133	N/A
Contractor 4	\$150,211	N/A
Contractor 5	\$157,437	N/A
Contractor 6	\$142,181	N/A
Contractor 7	\$176,800	\$85
Contractor 8	\$218,400	\$105
Contractor 9	\$176,800	\$85
Contractor 10	\$176,800	\$85
Contractor 11	\$176,800	\$85
Contractor 12	\$249,600	\$120
	\$2,030,242	

ISD

Name	Annual contract amount for FY 12/13	Rate/hr
Contractor 13	\$197,600	\$95
Contractor 14	\$239,200	\$115
Contractor 15	\$249,600	\$120
Contractor 16	\$249,600	\$120
Contractor 17	\$75,900	N/A
	\$1,011,900	

Total: \$3,042,142

FTE Cost/Payout

Source: HR 9/26/12 email

Layoff	Name	Division	Leave Balance Payout	Severence Payout	Total
	Staff 1	ISD	\$12,467	\$10,000	\$22,467
	Staff 2	ISD	\$22,604	\$7,987	\$30,591
	Staff 3	CCMS	\$36,075	\$10,000	\$46,075
	Staff 4	CCMS	\$14,509	\$4,952	\$19,461
	Staff 5	CCMS	\$21,200	\$4,952	\$26,152
	Staff 6	CCMS	\$16,524	\$4,108	\$20,632
	Staff 7	CCMS	\$6,304	\$4,108	\$10,412
					\$175,790

Resigned	Name	Division	Leave Balance Payout		
	Staff 8	CCMS	\$31,371		
	Staff 9	CCMS	\$10,272		
	Staff 10	CCMS	\$8,225		
	Staff 11	CCMS	\$118,346		
			\$168,214		

VSIP	Name	Division	Leave Balance Payout	VSIP	Total
	Staff 12	PMO	\$3,935	\$20,000	\$23,935
	Staff 13	PMO	\$9,405	\$17,799	\$27,204
					\$51,139

Total Cost/Payout

\$395,143

	Layoff June 2012
	Resigned
	VSIP

AOC Costs to Return CCMS Equipment

Servers - Round 1	
Transport	\$9,000
Receive and unload in SF	\$3,000
e-waste disposal	\$1,200
	\$13,200

Servers - Round 2	
Transport to SF, storage, e-waster disposal	\$12,500
3 months storage	\$2,400
	\$14,900

EMC San	
De-installation and shipping	\$21,000
Storage (4 mo.)	\$4,000
Shipping to GSA	TBD
	\$25,000

Awaiting confirmation on shipping costs.

Estimated total cost for equipment return/disposal **\$53,100**

Server Deliveries and P/U's List - Final as of 9/19/12

Court	Make	Model	S/N	AOC Tag	P/U or Delivery
Amador	Dell	2950	3GGIWDI		Picked up Aug 8
Amador	HP	G5	USE727N639	133934	Picked up Aug 8
Amador	HP	DL380G7	2M202904AN		Amador picked up in SF
Sutter	Sun	T-5240	FMLO85006P		Picked up Aug 10
Sutter	Sun	T-5240	BYL08180A2		Picked up Aug 10
Sutter	Sun	T-5240	BYL08180A4		Picked up Aug 10
Sutter	Sun	T-5240	FMLO85006N		Picked up Aug 10
Sutter	Sun	T-5240	FMLO85006M		Picked up Aug 10
Sutter	Sun	Storege 6100	D852DHG02D		Picked up Aug 10
Sutter	Sun	V245	0732FNL08P	133901	Picked up Aug 10
Sutter	Sun	V245	0732FNL0AU	133902	Picked up Aug 10
Sutter	Sun	V245	0733FML061	133908	Picked up Aug 10
Sutter	Sun	V245	0733FML06W	133916	Picked up Aug 10
Sutter	Sun	Server Rack			Picked up Aug 10
Placer	HP	DL380G7	USE048N2VB		Picked up Aug 10
Placer	HP	DL380G7	USE048N2VC		Picked up Aug 10
Butte	Cisco	48TS	FOC1136W047		Picked up Aug 24
Butte	Cisco	48TS	FOC1252W6H4		Picked up Aug 24
Shasta	HP	DL380G2	D401LQK3H114		Picked up Aug 24
Shasta	Cisco	48TS	FOC1252W663		Picked up Aug 24
Napa	HP	DL380G6	MXQ01301HW		Picked up on Aug 24
Fresno	Cisco	48TS	FOC1136W043	133939	Picked up on Aug 24
Fresno	Cisco	48TS	FOC1129Z245		Picked up on Aug 24
San Francisco	HP	DL380G7	USE048N2VD		Picked up by SF
San Francisco	HP	DL380G7	2M202904AF		Picked up by SF
El Dorado	HP	G5	467410A8VUTO1A		Deliv. by Kennedy movers Aug 13
El Dorado	HP	G5	467410A8VUTO17		Deliv. by Kennedy movers Aug 13
Inyo	HP	DL380G7	LWCR10A86ZPOR2		Kennedy to ship to court
Inyo	Cisco	48TS	FOC1136W03Y	133937	Kennedy to ship to court
Inyo	HP	DL580	D352LQK3H229	124008	Kennedy to ship to court
Inyo	Blue C.	Proxy SG			Kennedy to ship to court
Inyo	Blue C.	Proxy SG			Kennedy to ship to court
Riverside	Sun	T5240	BYL08180A3		Deliv. by Kennedy movers Sep 2
Riverside	Sun	T5240	BYL08180A5		Deliv. by Kennedy movers Sep 2
Riverside	Sun	Storege 3510	07300B5068	133931	Deliv. by Kennedy movers Sep 2
San Bernardino	Sun	T5240	FN41330224		Deliv. by Kennedy movers Sep 2
San Bernardino	Sun	Storege 3510	1094ML07300B500E	133930	Deliv. by Kennedy movers Sep 2
Kings	HP	DL380G7	2M202904AB		Deliv. by Kennedy movers Sep 4
Kings	Cisco	48TS	FOC1136W03N	133940	Deliv. by Kennedy movers Sep 4

Equipment returned from Deloitte Spring Valley Development Center:

36 Servers redistributed to trial courts
2 Proxy servers redistributed to trial courts
1 server Rack redistributed to trial court

38 Servers destroyed (no court wanted)
4 Storage racks destroyed

Projected Annual Budget Costs for CCTC Hosting Prior to Shutdown

V4 Deployment	
production	\$1,815,513
non-production	\$5,536,957

V4 Development	
production	\$10,211,144

Total - V4 CCTC \$17,563,614

Cost Avoidance by Termination of Maintenance

Vendor/Manufacturer	Product Description	PO#	Support Expiry Date	FY 2011-2012 Purchase Cost	V3 Split	V4 Savings FY2012-2013
USPS	Zipcode + 4 (for CCMS V4)	Cal Card	11/4/2012	\$ 1,350	\$ 450	\$ 900
Ektron	eWebEditPro (Enterprise Edition) for CCMS - V4	1022316	2/12/2013	\$ 2,600	\$ -	\$ 2,600
Ektron [Contians V3 split]	eWebEditPro (Std) + XML Enterprise for V4 & V3	1021653	11/14/2012	\$ 11,088	\$ 5,544	\$ 5,544
IBM	Cognos, FileNet, and Rational	1024015	10/31/2012	\$ 271,904	\$ -	\$ 271,904
Adobe Systems, Inc.	LiveCycle 9 products (for CCMS)	1023906	10/31/2012	\$ 103,795	\$ -	\$ 103,795
iRise	Definition Center/Studio Enterprise/Connect for IBM (for CCMS V4)	1023903	11/01/2012	\$ 48,000	\$ -	\$ 48,000
SAP	Business Object Enterprise PRO (for CCMS V4) Burbank	1024002	12/21/2012	\$ 49,141	\$ -	\$ 49,141
SAP	Business Object Enterprise PRO (for CCMS V4) Santa Ana	1024003	12/21/2012	\$ 13,600	\$ -	\$ 13,600
Cisco	Tidal	1024270	03/09/2013	\$ 7,580	\$ -	\$ 7,580
McAfee	Gold Support (for CCMS Desktops)	1023986	11/01/2012	\$ 2,395	\$ -	\$ 2,395
Blue Coat	Appliances (for CCMS) Std. Support 24x7, NBD (SN #3307061087/3307061085)	1023992	11/30/2012	\$ 1,591	\$ -	\$ 1,591
HP	Fortify for CCMS V4	1024135	12/21/2012	\$ 26,182	\$ -	\$ 26,182
HP [Contians V3 split]	LoadRunner & Mercury Software for V4 & V3	1023935	10/31/2012	\$ 164,655	\$ 53,160	\$ 111,495
Informatica	PowerCenter SE (12+) per CPU-cores Multi-core Multi-OS Production	1024166	12/17/2012	\$ 78,156	\$ -	\$ 78,156
Adobe Systems, Inc.	RoboHelp Office v.ALL Win	1023893	11/29/2012	\$ 1,111	\$ -	\$ 1,111
Zoomerang	Premuim	1022155	01/17/2012	\$ 449	\$ -	\$ 449
Oracle /sun	Sun Java System Directory Server	1023870	10/31/2012	\$ 74,965	\$ -	\$ 74,965
Spelllex	for CCMS V4	1023901	11/01/2012	\$ 4,740	\$ -	\$ 4,740
Titan	FTP v7 - Enterprise Editon for CCMS	cal card	09/05/2012	\$ 300	\$ -	\$ 300
TOTALS				\$ 863,603	\$ 59,154	\$ 804,450