


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.


Signature of Presiding Judge or Court Executive

CEO

August 1, 2011
Date

Superior Court of Monterey

Court

FY 2010 / September

Fiscal Year and Ending Quarter

Monterey Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep		Fiscal Year 2010/11		2009/10	
Governmental Funds					
	Total Funds	Fiduciary Funds	Proprietary Funds	Debt Service	Capital Project
	(Info. Purposes Only)	(Info. Purposes Only)	(Info. Purposes Only)	(Info. Purposes Only)	(Info. Purposes Only)
ASSETS					
Operations	\$ (151,581)	\$ (257,932)	\$ 21,214		\$ (108,229)
Payroll	\$ 310,910	\$ 314,955			\$ (4,045)
Jury	\$ 25,000	\$ 25,000			
Revolving					
Other					
Distribution					
Civil Filing Fees					
Trust					
Credit Card					
Cash on Hand	\$ 4,850	\$ 4,850			
Cash with County					
Total Cash	\$ 189,178	\$ 4,042,642	\$ 4,063,856	\$ 4,063,856	\$ (108,229)
Short Term Investment	\$ 9,097,256	\$ 9,594,720	\$ 497,464	\$ 497,464	
Investment in Financial Institution					
Total Investments	\$ 9,097,256	\$ 9,594,720	\$ 497,464	\$ 497,464	
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Dishonored Checks	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due From Employee	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Civil Jury Fees	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Trust	\$ 1,193	\$ 1,193	\$ 0	\$ 0	\$ 0
Due From Other Funds	\$ 108,138	\$ 108,138	\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 46,500	\$ 120,123	\$ 0	\$ 0	\$ 0
Trust Due To/From	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Distribution Due To/From	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
General Due To/From	\$ 155,830	\$ 229,454	\$ 0	\$ 0	\$ 73,623
Prepaid Expenses - General	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Salary and Travel Advances	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Counties	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Prepaid Expenses	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Assets					
Total Other Assets					
Total Assets	\$ 9,442,264	\$ 13,953,888	\$ 4,561,320	\$ 4,561,320	\$ (34,606)
LIABILITIES AND FUND BALANCES					
Accrued Liabilities	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Accounts Payable - General	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due to Other Courts	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due to State	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
TC145 Liability	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 432,275	\$ 518,517	\$ 518,517	\$ 518,517	\$ 432,275
AB145 Due to Other Government Agency	\$ 247	\$ 340	\$ 162	\$ 162	\$ 247
Sales and Use Tax	\$ 340	\$ 340	\$ 162	\$ 162	\$ 340
Interest	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Miscellaneous Accts. Pay. and Accrued Lab.	\$ 340	\$ 340	\$ 162	\$ 162	\$ 340
Total Accounts Payable and Accrued Lab.	\$ 340	\$ 520,211	\$ 519,871	\$ 519,871	\$ 340
Civil					
Criminal					
Unreconciled - Civil and Criminal					
Trust Held Outside of the AOC					
Trust Interest Payable					
Miscellaneous Trust					
Total Trust Deposits					
Accrued Payroll	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Benefits Payable	\$ 65,351	\$ 65,351	\$ 65,351	\$ 65,351	\$ 65,351
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Deductions Payable	\$ (62,737)	\$ (62,737)	\$ (62,737)	\$ (62,737)	\$ (62,737)
Payroll Clearing	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Payroll Liabilities	\$ 2,614	\$ 2,614	\$ 2,614	\$ 2,614	\$ 2,614
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Liabilities For Deposits	\$ 2,746	\$ 2,746	\$ 2,746	\$ 2,746	\$ 2,746
Jury Fees - Non-Interest					
Fees - Partial Payment & Overpayment					
Uncleared Collections					
Other Miscellaneous Liabilities					
Total Other Liabilities	\$ 2,746	\$ 2,746	\$ 2,746	\$ 2,746	\$ 2,746
Total Liabilities	\$ 5,700	\$ 4,561,320	\$ 4,561,320	\$ 4,561,320	\$ 5,700
Fund Balance - Restricted	\$ 132,000	\$ 139,462	\$ 139,462	\$ 139,462	\$ 132,000
Designated	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Undesignated	\$ (1,646,304)	\$ (1,646,304)	\$ (1,646,304)	\$ (1,646,304)	\$ (1,646,304)
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 204,325	\$ 204,325	\$ 204,325	\$ 204,325	\$ 204,325
Total Fund Balance	\$ 9,436,564	\$ 9,386,668	\$ 9,386,668	\$ 9,386,668	\$ 9,436,564
Total Liabilities and Fund Balance	\$ 9,442,264	\$ 13,953,888	\$ 4,561,320	\$ 4,561,320	\$ (34,606)

Monterey Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep

Fiscal Year 2010/11

2009/10

Governmental Funds	2009/10				Fiscal Year 2010/11			
	Budget	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Fiduciary Funds	Proprietary Funds	Debt Service	Capital Projects
REVENUES								
State Financing Sources	\$ 4,211,166	\$ 4,993,422	\$ 4,993,422	\$ 22,433,180	\$ 4,421,166			
Trial Court Improvement Fund		\$ 16,187	\$ 16,187	\$ 54,012				
Trial Court Trust Fund		\$ 190,000	\$ 47,499	\$ 190,000	\$ 17,229			
Judges' Compensation (45.25)		\$ 25,000	\$ 47,499	\$ 190,000	\$ 17,229			
Judicial Administration Efficiency & Mod Fund		\$ 190,000	\$ 47,499	\$ 190,000	\$ 17,229			
Court Interpreter (45.45)		\$ 823,200	\$ 191,206	\$ 885,000	\$ 306,000			
Civil Coordination Reimbursement (45.55)		\$ 798,832	\$ 224,215	\$ 750,480	\$ 11,998			
MU Reimbursements (45.10 and General)		\$ 24,000	\$ 24,000					
Other Miscellaneous		\$ 4,755,492	\$ 5,224,099	\$ 24,312,672	\$ 4,755,492			
Grants								
AB 1058 Commissioner/Facilitator		\$ 633,324	\$ 63,830	\$ 639,814	\$ 3,488			
Other AOC Grants		\$ 34,075	\$ (35,000)	\$ 31,300	\$ (31,300)			
Non-AOC Grants								
Other Financing Sources								
Interest Income	\$ 1,589	\$ (33,235)	\$ 28,830	\$ 36,000	\$ 1,589			
Investment Income								
Donations								
Local Fees	\$ 52,157	\$ 2,077	\$ 28,830	\$ 412,800	\$ 52,157			
Non-Fee Revenues	\$ 6,164	\$ 43,575	\$ 28,830	\$ 90,000	\$ 6,164			
Enhanced Collections		\$ 10,000	\$ 10,000	\$ 10,500	\$ 6,164			
Escrowment		\$ 30,000	\$ 12,568	\$ 90,000	\$ 6,164			
Prior Year Revenue	\$ 89,584	\$ 15,000	\$ 28,830	\$ 5,000	\$ 89,584			
County Program - Restricted	\$ 111,486	\$ 80,000	\$ 26,826	\$ 93,000	\$ 3,655			
Reimbursement Other		\$ 80,189	\$ 7,268	\$ 65,551	\$ 115,698			
Sale of Fixed Assets	\$ 6,163	\$ 6,250	\$ 7,440	\$ 10,000	\$ 6,163			
Other Miscellaneous	\$ 14,031	\$ 788,339	\$ 66,510	\$ 1,312,851	\$ 275,010			
Total Revenues	\$ 5,016,471	\$ 5,319,439	\$ 26,296,637	\$ 5,002,880	\$ 5,002,880			
EXPENDITURES								
Personal Services	\$ 2,267,183	\$ 11,121,809	\$ 2,398,469	\$ 11,700,983	\$ 2,266,600			
Salaries - Permanent	\$ 19,417	\$ 11,121,809	\$ 2,398,469	\$ 11,700,983	\$ 2,266,600			
Temp Help	\$ 10,770	\$ 48,484	\$ 12,180	\$ 111,849	\$ 10,770			
Overtime	\$ 9,831	\$ 25,250	\$ 2,262	\$ 45,000	\$ 9,950			
Staff Benefits	\$ 1,069,757	\$ 6,264,444	\$ 1,221,579	\$ 6,025,900	\$ 1,091,788			
General Expenses and Equipment	\$ 204,854	\$ 1,261,489	\$ 72,606	\$ 1,833,027	\$ 209,990			
Printing	\$ 1,974	\$ 84,063	\$ 7,532	\$ 64,400	\$ 2,314			
Telecommunications	\$ 28,324	\$ 205,581	\$ 36,360	\$ 142,500	\$ 28,324			
Postage	\$ 35,284	\$ 188,165	\$ 67,866	\$ 189,750	\$ 35,284			
Insurance	\$ 1,938	\$ 11,003	\$ 3,689	\$ 14,200	\$ 1,938			
In-State Travel	\$ 5,004	\$ 11,003	\$ 3,689	\$ 14,200	\$ 5,004			
Out-of-State Travel	\$ 410	\$ 22,525	\$ 3,906	\$ 38,975	\$ 410			
Training	\$ 410	\$ 38,001	\$ 5,820	\$ 34,050	\$ 410			
Security Services	\$ 170,945	\$ 4,769,233	\$ 145,310	\$ 4,586,043	\$ 170,945			
Facility Operations	\$ 96,839	\$ 465,189	\$ 61,463	\$ 471,500	\$ 96,839			
Utilities	\$ 49	\$ 1,236	\$ 46	\$ 500	\$ 49			
Contracted Services	\$ 404,077	\$ 2,992,514	\$ 429,738	\$ 2,962,250	\$ 406,994			
Information Technology	\$ 5,622	\$ 60,000	\$ 30	\$ 62,300	\$ 5,622			
Major Equipment	\$ 347,778	\$ 1,880,479	\$ 0	\$ 784,000	\$ 347,778			
Other Items of Expense	\$ 2,150	\$ 21,502	\$ 3,236	\$ 22,200	\$ 2,150			
Special Items of Expense	\$ 1,426,247	\$ 12,736,849	\$ 1,099,221	\$ 11,819,885	\$ 1,434,040			
Grand Jury	\$ 273	\$ 167,000	\$ 7,171	\$ 15,654	\$ 273			
Jury Costs								
Judgments, Settlements and Claims								
Debt Service								
Other								
Internal Cost Recovery								
Prior Year Expense Adjustment		\$ 0	\$ 0					
Total Expenditures	\$ 4,806,090	\$ 30,363,836	\$ 4,766,541	\$ 29,873,881	\$ 4,855,724			
Excess (Deficit) of Revenues Over Expenditures	\$ 210,381	\$ (5,354,670)	\$ 552,898	\$ (3,577,044)	\$ 146,966			
Operating Transfers In (Out)	\$ (6,056)		\$ 0		\$ 0			
Beginning Balance (Deficit)	\$ 9,232,239	\$ 10,898,641	\$ 10,898,641	\$ 9,239,701	\$ 9,239,701			
Ending Balance (Deficit)	\$ 9,436,564	\$ 5,543,971	\$ 11,451,540	\$ 5,862,657	\$ 9,386,668			

Monterey Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

PROGRAM EXPENDITURES:	For the month ended Sep						2009/10	
	Fiscal Year 2010/11	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)			
Judges & Courtroom Support	\$ 1,027,995	\$ 1,313,296	\$ 6,949,618	\$ 1,269,405	\$ 7,041,465			
Traffic & Other Infractions	\$ 221,499	\$ 292,226	\$ 1,760,206	\$ 313,010	\$ 1,669,267			
Other Criminal Cases	\$ 562,103	\$ 755,668	\$ 4,149,911	\$ 793,576	\$ 4,309,975			
Civil	\$ 226,125	\$ 254,590	\$ 1,602,487	\$ 305,056	\$ 1,346,274			
Family & Children Services	\$ 226,427	\$ 303,183	\$ 1,601,631	\$ 328,851	\$ 1,743,113			
Probate, Guardianship & Mental Health Services	\$ 37,906	\$ 65,168	\$ 349,727	\$ 77,297	\$ 353,232			
Juvenile Dependency Services	\$ 23,035	\$ 103,758	\$ 488,830	\$ 108,009	\$ 547,350			
Juvenile Delinquency Services	\$ 12,375	\$ 17,000	\$ 186,175	\$ 21,429	\$ 150,801			
Other Court Operations	\$ 159,022	\$ 224,707	\$ 1,213,566	\$ 198,621	\$ 1,212,952			
Court Interpreters	\$ 65,685	\$ 230,301	\$ 1,283,196	\$ 206,611	\$ 1,163,636			
Jury Services	\$ 113,693	\$ 156,154	\$ 755,973	\$ 146,256	\$ 850,007			
Security	\$ 77,767	\$ 229,784	\$ 4,958,863	\$ 150,233	\$ 4,977,664			
Trial Court Operations Program	\$ 2,687,947	\$ 1,235,584	\$ 22,302	\$ 3,945,833	\$ 25,296,183	\$ 3,918,354	\$ 25,366,736	
Enhanced Collections	\$ 16,948	\$ 0	\$ 273	\$ 16,948	\$ 90,000	\$ 22,839	\$ 30,000	
Other Non-Court Operations	\$ 24,619	\$ 0	\$ 273	\$ 24,892	\$ 135,255	\$ 47,912	\$ 137,698	
Non-Court Operations Program	\$ 41,567	\$ 0	\$ 273	\$ 41,840	\$ 226,255	\$ 70,751	\$ 167,698	
Executive Office	\$ 105,086	\$ 29,597		\$ 134,684	\$ 969,493	\$ 130,370	\$ 787,751	
Fiscal Services	\$ 170,675	\$ 37,267		\$ 207,942	\$ 1,007,406	\$ 186,976	\$ 1,121,844	
Human Resources	\$ 104,248	\$ 23,243		\$ 127,491	\$ 530,701	\$ 130,225	\$ 664,231	
Business & Facilities Services	\$ 71,233	\$ 50,367		\$ 121,601	\$ 521,334	\$ 96,345	\$ 551,430	
Information Technology	\$ 218,352	\$ 57,982		\$ 276,334	\$ 1,321,309	\$ 233,519	\$ 1,705,146	
Court Administration Program	\$ 669,594	\$ 198,456		\$ 868,051	\$ 4,350,243	\$ 777,436	\$ 4,830,402	
Prior Year Adjustments Not Posted to a Program								
Total	\$ 3,389,109	\$ 1,434,040	\$ 22,575	\$ 4,855,724	\$ 29,873,681	\$ 4,766,541	\$ 30,363,836	