

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of ~~Presiding Judge~~ or Court Executive

9/23/2011  
Date

Santa Cruz

Court

FY 2010-2011 1st Quarter

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
 Filled Court Employee Positions

Santa Cruz

Court

FY 2010-2011 1st Quarter

Fiscal Year and Ending Quarter

Court Employee Positions	Total Authorized Court Positions <sup>1</sup>	Positions Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions	146.45	147.45	148.05	148.05	118.95

<sup>1</sup> Total Authorized Positions (FTEs) pre-populated using information submitted in the court's FY 2010-2011 Schedule 7A.

Santa Cruz Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended Sep						2009/10		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>									
Judges & Courtroom Support	\$ 679,635	\$ (601,776)				\$ 77,859	\$ 3,892,953	\$ 868,903	\$ 4,590,678
Traffic & Other Infractions	\$ 139,019	\$ 4,954				\$ 143,973	\$ 673,261	\$ 201,611	\$ 868,100
Other Criminal Cases	\$ 169,320	\$ 6,107				\$ 175,426	\$ 749,650	\$ 247,798	\$ 1,222,761
Civil	\$ 213,274	\$ 43,335				\$ 256,610	\$ 1,646,444	\$ 327,699	\$ 1,330,280
Family & Children Services	\$ 107,681	\$ 186				\$ 107,867	\$ 985,117	\$ 123,019	\$ 785,280
Probate, Guardianship & Mental Health Services	\$ 59,972	\$ 492				\$ 60,463	\$ 320,031	\$ (669)	\$ 162,461
Juvenile Delinquency Services	\$ 55,014	\$ 435				\$ 55,449	\$ 183,149	\$ 79,803	\$ 336
Juvenile Court Operations	\$ 70,934	\$ 2,149				\$ 73,082	\$ 1,605	\$ (365)	\$ 87,935
Court Interpreters	\$ 126,233	\$ 5,325				\$ 131,558	\$ 365,990	\$ 81,948	\$ 271,120
Jury Services	\$ 30,455	\$ 15,064			\$ 5,997	\$ 51,516	\$ 712,497	\$ 143,714	\$ 698,150
Security							\$ 301,661	\$ 65,039	\$ 364,530
Trial Court Operations Program	\$ 1,651,536	\$ (623,730)			\$ 5,997	\$ 1,133,804	\$ 12,591,411	\$ 1,373,244	\$ 13,112,663
Enhanced Collections	\$ 30,096					\$ 30,096	\$ 27,848	\$ 28,466	\$ 27,848
Other Non-Court Operations	\$ 166,128	\$ 9,851				\$ 175,979	\$ 761,602	\$ 145,419	\$ 650,808
Non-Court Operations Program	\$ 196,224	\$ 9,851				\$ 206,075	\$ 789,450	\$ 173,885	\$ 678,656
Executive Office	\$ 100,839	\$ 1,117				\$ 101,956	\$ 464,259	\$ 63,596	\$ 417,997
Fiscal Services	\$ 120,414	\$ 889				\$ 121,303	\$ 826,331	\$ 382,622	\$ 829,035
Human Resources	\$ 72,811	\$ 260				\$ 73,072	\$ 905,930	\$ 75,137	\$ 410,381
Business & Facilities Services	\$ 75,453	\$ 15,974				\$ 91,427	\$ 811,874	\$ 201,156	\$ 484,281
Information Technology	\$ 101,731	\$ 37,887				\$ 139,618	\$ 1,598,802	\$ 355,117	\$ 1,371,820
Court Administration Program	\$ 471,249	\$ 56,127				\$ 527,376	\$ 4,607,196	\$ 1,077,627	\$ 3,513,514
Prior Year Adjustments Not Posted to a Program									
<b>Total</b>	<b>\$ 2,319,009</b>	<b>\$ (457,752)</b>			<b>\$ 5,997</b>	<b>\$ 1,867,254</b>	<b>\$ 17,988,057</b>	<b>\$ 2,624,756</b>	<b>\$ 17,304,833</b>

Santa Cruz Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

	For the month ended Sep							2009/10	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2010/11									
	Governmental Funds									
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds			
		Non-Grant	Grant							
<b>ASSETS</b>										
Operations	\$ (34,577)	\$ 61,218	\$ (119,991)	\$ 0			\$ 10,060	\$ (83,290)	\$ 212,022	
Payroll	\$ (1,759)						\$ 0	\$ (1,759)	\$ (6,299)	
Jury										
Revolving	\$ 5,000							\$ 5,000	\$ 5,000	
Other										
Distribution										
Civil Filing Fees							\$ 5	\$ 5	\$ 369,696	
Trust							\$ 147,256	\$ 147,256	\$ 80,098	
Credit Card								\$ 3,350	\$ 3,350	
Cash on Hand	\$ 3,350							\$ 682,953	\$ 1,204,284	
Cash with County										
<b>Total Cash</b>	<b>\$ (27,986)</b>	<b>\$ 61,218</b>	<b>\$ (119,991)</b>	<b>\$ 0</b>			<b>\$ 840,274</b>	<b>\$ 753,515</b>	<b>\$ 1,868,151</b>	
Short Term Investment	\$ 1,639,037	\$ 0					\$ 235,900	\$ 1,874,937	\$ 989,571	
Investment in Financial Institution										
<b>Total Investments</b>	<b>\$ 1,639,037</b>	<b>\$ 0</b>					<b>\$ 235,900</b>	<b>\$ 1,874,937</b>	<b>\$ 989,571</b>	
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ 1,488	\$ (1)	\$ (1)					\$ 1,486	\$ 4,183	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 75							\$ 75	\$ 85	
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0	
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0	
Due From State	\$ 10,247	\$ 0	\$ 25,870					\$ 36,118	\$ 92,816	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From										
<b>Total Receivables</b>	<b>\$ 11,811</b>	<b>\$ (1)</b>	<b>\$ 25,870</b>	<b>\$ 0</b>			<b>\$ 0</b>	<b>\$ 37,680</b>	<b>\$ 97,083</b>	
Prepaid Expenses - General										
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>										
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 1,622,861</b>	<b>\$ 61,217</b>	<b>\$ (94,121)</b>	<b>\$ 0</b>			<b>\$ 1,076,174</b>	<b>\$ 2,666,131</b>	<b>\$ 2,954,805</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 52,977	\$ 0	\$ 0	\$ 0			\$ 0	\$ 52,977	\$ 60,750	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 75	\$ 75	\$ 85	
Due to Other Courts									\$ 0	
Due to State	\$ 0							\$ 0	\$ 0	
TC145 Liability							\$ 245,921	\$ 245,921	\$ 369,696	
Due to Other Governments	\$ 0							\$ 0	\$ 0	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax										
Interest							\$ 63	\$ 63	\$ 13	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 52,977</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 246,080</b>	<b>\$ 299,037</b>	<b>\$ 430,544</b>	
Civil							\$ 134,138	\$ 134,138	\$ 73,322	
Criminal										
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 682,953	\$ 682,953	\$ 1,204,284	
Trust Interest Payable										
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 817,091</b>	<b>\$ 817,091</b>	<b>\$ 1,277,606</b>	
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Benefits Payable	\$ (155,456)							\$ (155,456)	\$ (227,545)	
Deferred Compensation Payable	\$ 0							\$ 0	\$ (1)	
Deductions Payable	\$ 4,518							\$ 4,518	\$ (6,889)	
Payroll Clearing	\$ 0							\$ 0	\$ (1,752)	
<b>Total Payroll Liabilities</b>	<b>\$ (150,939)</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ (150,939)</b>	<b>\$ (236,186)</b>	
Revenue Collected in Advance										
Liabilities For Deposits	\$ 5,417						\$ 5,628	\$ 11,045	\$ 5,704	
Jury Fees - Non-Interest							\$ 7,395	\$ 7,395	\$ 4,136	
Fees - Partial Payment & Overpayment										
Uncleared Collections	\$ 0							\$ 0	\$ 0	
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>	<b>\$ 5,417</b>						<b>\$ 13,023</b>	<b>\$ 18,440</b>	<b>\$ 9,840</b>	
<b>Total Liabilities</b>	<b>\$ (92,544)</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 1,076,174</b>	<b>\$ 983,630</b>	<b>\$ 1,481,804</b>	
Fund Balance - Restricted	\$ 0	\$ 72,967	\$ 0	\$ 0				\$ 72,967	\$ 72,967	
Fund Balance - Unrestricted										
Designated	\$ 54,467							\$ 54,467	\$ 54,467	
Undesignated	\$ 309,833	\$ (72,183)	\$ 0	\$ 0				\$ 237,650	\$ 0	
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 1,351,105	\$ 60,432	\$ (94,121)					\$ 1,317,417	\$ 1,345,566	
<b>Total Fund Balance</b>	<b>\$ 1,715,406</b>	<b>\$ 61,217</b>	<b>\$ (94,121)</b>	<b>\$ 0</b>				<b>\$ 1,682,502</b>	<b>\$ 1,473,001</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,622,861</b>	<b>\$ 61,217</b>	<b>\$ (94,121)</b>	<b>\$ 0</b>			<b>\$ 1,076,174</b>	<b>\$ 2,666,131</b>	<b>\$ 2,954,805</b>	

Santa Cruz Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended Sep										
	Fiscal Year 2010/11								2009/10		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service							
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 2,835,189						\$ 2,835,189	\$ 16,420,687	\$ 3,691,226	\$ 14,996,171	
Trial Court Improvement Fund	\$ (9,075)						\$ (9,075)	\$ 54,381		\$ 35,289	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 245,404						\$ 245,404	\$ 691,105	\$ 118,066	\$ 679,058	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 2,422						\$ 2,422	\$ 218,028	\$ 3,095	\$ 256,359	
Other Miscellaneous											
	\$ 3,073,940						\$ 3,073,940	\$ 17,384,201	\$ 3,812,387	\$ 15,966,877	
Grants											
AB 1058 Commissioner/Facilitator			\$ (20,846)				\$ (20,846)	\$ 285,712		\$ 285,047	
Other AOC Grants								\$ 52,734			
Non-AOC Grants											
			\$ (20,846)				\$ (20,846)	\$ 338,446		\$ 285,047	
Other Financing Sources											
Interest Income	\$ 306	\$ 0					\$ 306	\$ 8,797	\$ 82	\$ 20,812	
Investment Income											
Donations											
Local Fees	\$ 19,966						\$ 19,966	\$ 454,348	\$ 44,388	\$ 309,578	
Non-Fee Revenues	\$ 5,355						\$ 5,355	\$ 22,715	\$ 6,570	\$ 22,715	
Enhanced Collections		\$ 38,848					\$ 38,848	\$ 181,616	\$ 22,854	\$ 216,084	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 55,651					\$ 55,651	\$ 75,310	\$ 46,436	\$ 68,237	
Reimbursement Other	\$ 10,545						\$ 10,545	\$ 228,057	\$ 33,919	\$ 407,949	
Sale of Fixed Assets											
Other Miscellaneous	\$ 905						\$ 905	\$ 7,241	\$ 3,886	\$ 8,506	
	\$ 37,077	\$ 94,499					\$ 131,576	\$ 978,084	\$ 157,935	\$ 1,053,881	
<b>Total Revenues</b>	\$ 3,111,018	\$ 94,499	\$ (20,846)				\$ 3,184,671	\$ 18,700,731	\$ 3,970,322	\$ 17,305,805	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,620,873	\$ 20,741	\$ 32,378				\$ 1,673,992	\$ 7,697,835	\$ 1,855,731	\$ 7,954,683	
Temp Help									\$ (236)		
Overtime	\$ (5)						\$ (5)				
Staff Benefits	\$ 623,244	\$ 9,159	\$ 12,619				\$ 645,022	\$ 4,680,107	\$ 785,665	\$ 4,224,342	
	\$ 2,244,112	\$ 29,900	\$ 44,997				\$ 2,319,009	\$ 12,377,942	\$ 2,641,160	\$ 12,179,025	
Operating Expenses and Equipment											
General Expense	\$ 19,049						\$ 19,049	\$ 348,961	\$ 50,887	\$ 262,247	
Printing	\$ 8,897						\$ 8,897	\$ 50,000	\$ 20,169	\$ 52,500	
Telecommunications	\$ 31,680						\$ 31,680	\$ 216,523	\$ 46,689	\$ 138,300	
Postage	\$ 18,895						\$ 18,895	\$ 115,800	\$ 33,879	\$ 70,370	
Insurance								\$ 6,961	\$ 2,115	\$ 5,100	
In-State Travel	\$ 1,513						\$ 1,513	\$ 31,534	\$ 2,206	\$ 15,841	
Out-of-State Travel											
Training			\$ 855				\$ 855	\$ 2,300	\$ 865		
Security Services	\$ (644,847)						\$ (644,847)	\$ 2,783,020	\$ (765,255)	\$ 2,739,532	
Facility Operations	\$ 12,925						\$ 12,925	\$ 394,600	\$ 76,185	\$ 316,100	
Utilities											
Contracted Services	\$ 50,106	\$ 4,167	\$ 27,423				\$ 81,696	\$ 759,926	\$ 99,836	\$ 399,670	
Consulting and Professional Services	\$ 2,546						\$ 2,546	\$ 16,500	\$ 227,571	\$ 315,774	
Information Technology	\$ 8,046						\$ 8,046	\$ 747,387	\$ 167,522	\$ 704,474	
Major Equipment											
Other Items of Expense	\$ 994						\$ 994	\$ 2,900	\$ 1,355		
	\$ (490,197)	\$ 4,167	\$ 28,278				\$ (457,752)	\$ 5,476,412	\$ (35,977)	\$ 5,019,908	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 5,997						\$ 5,997	\$ 103,200	\$ 19,572	\$ 104,000	
Judgements, Settlements and Claims											
Debt Service											
Other										\$ 1,900	
Internal Cost Recovery								\$ 30,503	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 5,997						\$ 5,997	\$ 133,703	\$ 19,572	\$ 105,900	
<b>Total Expenditures</b>	\$ 1,759,912	\$ 34,067	\$ 73,275				\$ 1,867,254	\$ 17,988,057	\$ 2,824,756	\$ 17,304,833	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,351,105	\$ 60,432	\$ (94,121)				\$ 1,317,417	\$ 712,674	\$ 1,345,566	\$ 972	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 364,300	\$ 785	\$ 0	\$ 0			\$ 365,085	\$ 365,085	\$ 127,435	\$ 127,435	
Ending Balance (Deficit)	\$ 1,715,406	\$ 61,217	\$ (94,121)	\$ 0			\$ 1,682,502	\$ 1,077,759	\$ 1,473,001	\$ 128,407	