



Judicial Council of California

ADMINISTRATIVE OFFICE OF THE COURTS

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April 12, 2010

Hon. Denise Moreno Ducheny
Chair, Joint Legislative Budget Committee
State Capitol, Room 5035
Sacramento, California 95814

Hon. Robert Dutton
Vice-chair, Senate Budget and Fiscal Review Committee
State Capitol, Room 5094
Sacramento, California 95814

Hon. Noreen Evans
Chair, Assembly Budget Committee
State Capitol, Room 5150
Sacramento, California 95814

Hon. Jim Nielsen
Vice-chair, Assembly Budget Committee
State Capitol, Room 6031
Sacramento, California 95814

Re: Update on the California Court Case Management System and Phoenix Statewide Financial System Projects as Required by Government Code Section 68511.8(a)

Dear Senator Ducheny, Senator Dutton, Assembly Member Evans, and Assembly Member Nielsen:

The Judicial Council respectfully submits this report, which is required by Government Code section 68511.8(a). That section specifies that, until project completion, the Judicial Council shall provide an annual status report to the chairperson of the budget committee in each house of

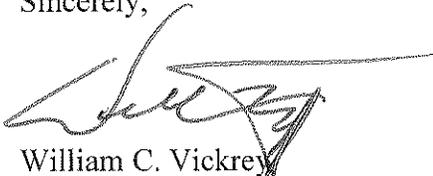
the Legislature and to the chairperson of the Joint Legislative Budget Committee with regard to the California Court Case Management System and the Court Accounting and Reporting System, which is now referred to as the Phoenix Financial System.

When CCMS is fully deployed, all California courts will share a single court case management application. This will enable retirement of the more than 70 case management systems and eliminate the massive redundancies associated with their support. Replacing these systems will improve public safety and enhance public access and accountability. Local, state, and federal justice agencies will also benefit from CCMS by having ability to exchange data electronically.

The AOC completed the initial implementation of the Phoenix Financial System (formerly referred to as the Court Accounting and Reporting System (CARS)) in July 2008 and the Phoenix Human Resources System (formerly referred to as the Court Human Resources Information System (CHRIS)) is anticipated to be fully implemented by fiscal year 2014–2015 (contingent on available resources). The Phoenix Financial System and the Phoenix Human Resources System were originally derived from integrating various accounting and reporting systems and human resources information systems in the courts. They will provide end users with a coordinated system that allows seamless interaction between the input and retrieval of financial information and support for human resources.

If you have any questions regarding the information provided in this report, please contact Mr. Janus Norman, Senior Governmental Affairs Analyst, AOC Office of Governmental Affairs, at 916-323-3121 or janus.norman@jud.ca.gov.

Sincerely,



William C. Vickrey
Administrative Director of the Courts

WCV/cs

Enclosures

cc: Members of the Judicial Council
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Judicial Council of California

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Title of Report: Status of the California Court Case Management System and the Phoenix Program 2009

Statutory Citation: Government Code section 68511.8

Date of Report: April 2010

The Judicial Council has submitted a report to the Legislature in accordance with Government Code section 68511.8. Government Code section 68511.8 requires the Judicial Council to provide an annual status report with regard to the California Case Management System and the Phoenix Program to the Legislature.

The following summary of the report is provided per the requirements of Government Code section 9795.

In February 2003, the Judicial Council reaffirmed its directive to the Administrative Office of the Courts (AOC) to develop and implement the necessary infrastructure to support the trial courts' provision of efficient, cost-effective, and reliable statewide administrative services. Accordingly, the Judicial Council embarked on two major information technology projects: the California Court Case Management System (CCMS) and the Phoenix Program. Work on both projects is well under way.

When CCMS is fully deployed, all California courts will share a single court case management application. This will enable retirement of the more than 70 case management systems and eliminate the massive redundancies associated with their support and maintenance. Implementation of CCMS is scheduled to be completed by fiscal year 2015–2016 (contingent on available resources).

The AOC completed the initial implementation of the Phoenix Financial System (formerly referred to as the Court Accounting and Reporting System (CARS)) in July 2008. The second phase of the project involved a system software upgrade and redeployment to all 58 trial courts. The Phoenix Human Resources System (formerly referred to as the Court Human Resources Information System (CHRIS)) is also a component of the Phoenix Program and is anticipated to be fully implemented by fiscal year 2014–2015 (contingent on available resources).

The full report is available at: <http://www.courtinfo.ca.gov/reference/legislaturereports.htm>

A printed copy of the report may be obtained by calling 415-865-7454.

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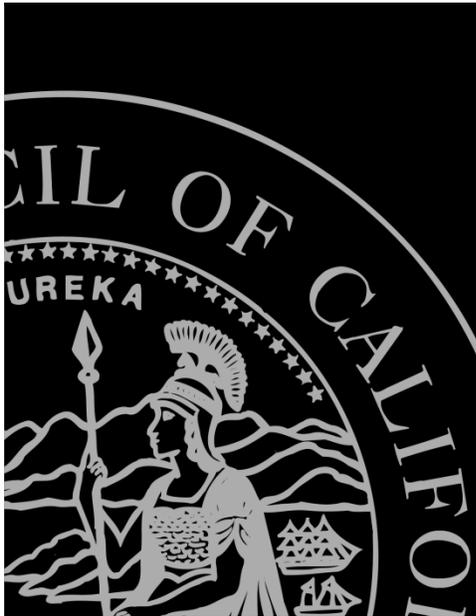
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Status of the California Court Case Management System and the Phoenix Program 2009

REPORT TO THE LEGISLATURE
APRIL 2010



ADMINISTRATIVE OFFICE
OF THE COURTS

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Summary

The Lockyer-Isenberg Trial Court Funding Act of 1997 consolidated all trial court funding in California and entrusted the judiciary, as an independent branch of government, with the financial management of the trial courts. Before passage of this legislation, the trial courts had a bifurcated system in which they received most of their funding and all business and administrative services through the counties. To assist in the transition from county to state stewardship, Government Code section 77212 specifies a method for the county or the trial court to sever the services provided by the county. This law allows for a transition in which county-provided services are extended until the courts are able to assume critical administrative functions.

In support of the judicial branch's strategic and tactical plans for court technology, a survey was conducted in 2001 by MTG Consulting to evaluate the current state of case management systems in the trial courts. The survey identified more than 70 variations, including many that did not meet the basic needs of the courts. Meetings with the Chief Justice of California, the Administrative Director of the Courts, and two former California governors confirmed the need for the judicial branch to develop branchwide solutions, as the state could not support so many different case management systems for the 58 superior courts.

In February 2003, the Judicial Council reaffirmed its directive to the Administrative Office of the Courts (AOC) to develop and implement a necessary administrative infrastructure to support the trial courts' provision of efficient, cost-effective, and reliable statewide administrative services. Accordingly, the Judicial Council embarked on two major information technology projects: the California Court Case Management System (CCMS) and the Phoenix Program. Work on both projects is well under way. The AOC completed the initial statewide implementation of the Phoenix Financial System (formerly referred to as the Court Accounting and Reporting System (CARS)) in July 2008. The second phase of the project involved a system software upgrade and its redeployment to all 58 trial courts. The Phoenix Human Resources System (formerly referred to as the Court Human Resources Information System (CHRIS)) is also a component of the Phoenix Program and is anticipated to be fully implemented by fiscal year 2014–2015 (contingent on available resources). Implementation of CCMS is scheduled to be completed by fiscal year 2015–2016 (contingent on available resources).

California Court Case Management System

The California Court Case Management System is a single statewide case management system that will replace more than 70 different systems currently used by the California trial courts. CCMS will include statewide reporting, court interpreter and court reporter scheduling, and integration with justice partner applications. CCMS will manage all case categories for all California trial courts and operate out of the California Courts Technology Center (CCTC).

In early 2002, a comprehensive governance structure for CCMS was established, which includes an Oversight Committee, a Steering Committee, the regional program office, and the regional

administrative director of the AOC Southern Regional Office. The Oversight Committee consists of the presiding judges of the five lead courts (the Superior Courts of Los Angeles, Orange, Sacramento, San Diego, and Ventura Counties) and the regional administrative director of the AOC Southern Regional Office. The Steering Committee consists of the court executive officers of the five lead courts and the regional administrative director of the AOC Southern Regional Office. In 2008, court executive officers from the Small Court Consortium began to participate as advisory members on the Oversight and Steering Committees to represent the small courts' business needs.

The CCMS program consists of several projects that have built on the technology, functionality, and experience of previous developments. The Oversight Committee adopted the strategy for the statewide CCMS project based on the lessons learned as the judicial branch conducted a case management certification program and a model court pilot program and reviewed available off-the-shelf case management systems, which were later determined to be incapable of meeting the needs of the superior courts.

In 2002, the AOC selected BearingPoint to develop a criminal and traffic case management system (CMS), currently being used at the Superior Court of Fresno County. The criminal and traffic CMS technical architecture is built on Sybase's PowerBuilder's application server technology. The CMS was initially maintained and supported by BearingPoint, then by Deloitte Consulting beginning in December 2006. In October 2009, maintenance and support of this product was fully transitioned to the AOC Information Services Division (IS).

In 2003, the AOC contracted with Deloitte Consulting for the development of the civil, small claims, probate, and mental health case management system. Completed in November 2005, this CMS relies on an open technical architecture supported by Oracle's WebLogic application server products. The CMS is built based on open Java industry standards and is accessible to the end user through a Web browser. The Superior Courts of Los Angeles, Orange, Sacramento, San Diego, San Joaquin, and Ventura Counties are using this product.

On June 30, 2007, the AOC began the development of a single, statewide case management system for all case types, called the California Court Case Management System or CCMS. Based on comprehensive business requirements compiled from the trial courts around the state, the AOC amended its development contract with Deloitte Consulting to include the design and construction of the following key capabilities:

- Juvenile dependency, juvenile delinquency, and family law case functionality;
- Functionality of the criminal and traffic CMS and the civil, small claims, probate, and mental health CMS;
- Statewide portal for the public and justice partners;
- Statewide reporting data warehouse;
- Justice partner data exchanges based on National Information Exchange Model standards;
- Document management integration;

- Court interpreter scheduling; and
- Court reporter scheduling

The final functional design was accepted in May 2009. The development of the final CCMS product will be completed in April 2011. Figure 1 represents the key components of CCMS.

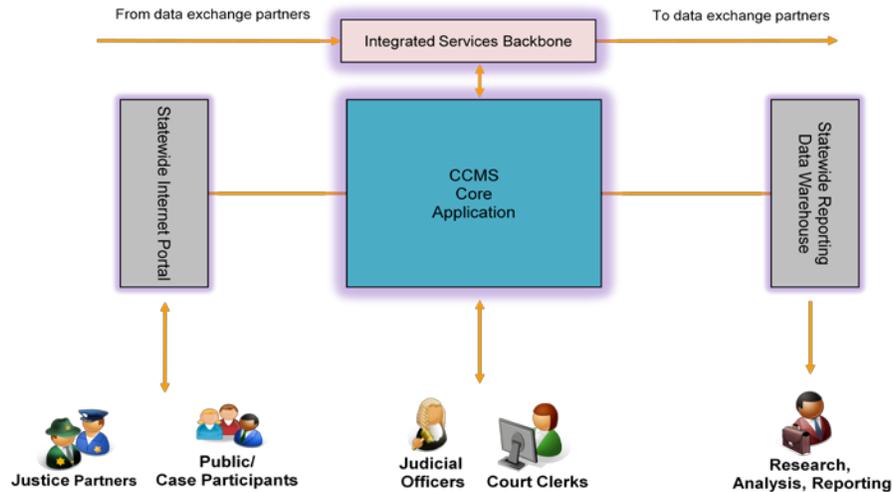


Figure 1. CCMS Major Components

Project Activities Under Way

Following is the status of the projects currently under way.

Civil, Small Claims, Probate, and Mental Health CMS

The Administrative Office of the Courts is responsible for maintaining and supporting this interim case management system. The CCMS team continues to make enhancements. Release 8/9 was available in October 2009. This major release encompassed 33 enhancements across eight major functional areas, enhancements to Minute Order Codes and performance, and 268 defect corrections. Release 10, a minor release encompassing e-filing enhancements, batch printing enhancements, 135 defect corrections, and 60 forms and report defect corrections, was made available in December 2009.

CCMS

The CCMS product is in its final stages of development. The AOC, Deloitte Consulting, and court employees will participate in testing of the application throughout 2010.

In March 2009, the courts, the AOC, and Deloitte Consulting began writing test scripts. The final test scripts were completed in August 2009. To ensure an adequate depth of product testing, the team wrote approximately 1,300 business scenarios. Each scenario contained 5–30 scripts, totaling approximately 18,000 test scripts. The business process and design requirement is mapped to specific test scripts to ensure thorough product testing.

The scenarios will be executed against two court configurations in order to address variations in operations due to court size. One configuration will test processes depicting a large court with specialized functions, and another will test more general roles as performed at a small court. For example, in a large court several different clerks may be involved in the filing of a complaint; in a small court, a single clerk might perform all of the functions. CCMS will have a baseline configuration for both situations.

In 2008, the AOC issued a request for proposal (RFP) for the statewide deployment of CCMS. Due to the fiscal challenges facing the state, the AOC did not complete the procurement. However, the AOC will be moving forward with a limited deployment of CCMS to three early-adopter courts—the Superior Courts of San Diego, San Luis Obispo, and Ventura Counties.

Proposed Activities: Early-Adopter Deployment

An early-adopter deployment plan will be created for the Superior Courts of San Diego, San Luis Obispo, and Ventura Counties. The plan provides a schedule for court deployment phases and identifies key tasks and deliverables and the critical risks throughout the deployment process. The plan will also include data conversion, data integration, and infrastructure assessments in order to analyze the complex, integrated systems at each of the courts. The AOC, the courts, and the deployment vendor will begin work with local justice partners in order to ensure a coordinated effort for data exchanges. From the early-adopter deployment, the AOC will develop deployment tools and templates that may be leveraged for future court deployments.

Accomplishments to Date

The following is a list of case management system accomplishments to date.

Criminal and Traffic CMS

Accomplishment	Date Completed
The software developed by the Superior Courts of Orange and Ventura Counties was successfully migrated to a Web-based application.	2003
The Superior Court of Alameda County was the first court selected to deploy the product. The analysis phase for this deployment was completed in May 2004. However, in 2006, the court decided it was not beneficial to implement the CMS until all case types had been developed.	May-04
Software coding of the baseline system was completed.	Jul-04
The application was successfully installed in the California Courts Technology Center.	Jul-04
The evaluation environment was established at the California Courts Technology Center to allow the courts to assess the application.	2004
Validation testing was completed.	Sep-04
Stress testing of the application was completed.	Aug-05

Accomplishment	Date Completed
The criminal and traffic CMS was demonstrated to court executive officers and court information officers at the Judicial Branch Information Technology Conference held at the AOC in San Francisco.	Jan-05
End users from the Superior Courts of Alameda, Los Angeles, Orange, Sacramento, San Diego, and Ventura Counties (the lead courts) tested the baseline application, and a contract was approved to begin the initial set of enhancements to the baseline application.	May-05
Functional training sessions were held for staff and judicial officers at the Superior Court of Alameda County, and the product configuration training plan was finalized with the vendor.	Jun-05
The criminal and traffic CMS was demonstrated to court executive officers and court information officers at the California Judicial Conference in San Diego.	Sep-05
Major enhancements were completed resulting from the passage of Assembly Bill 3049 (Stats 2004, ch. 952, dealing with traffic matters).	Sep-05
Training environments for the next set of deployment courts were ordered and installed.	Oct-05
The criminal case category was completed.	Mid-2006
The Superior Court of Fresno County was the first court to deploy the criminal and traffic CMS.	Jul-06
Deployment discussions were initiated with the Superior Court of Plumas County.	Fall 2006
Met with the courts and representatives from the Phoenix Financial System to discuss interfaces between the two systems.	Dec-06
Transition of support for the criminal and traffic product from BearingPoint to Deloitte Consulting was completed.	Dec-06
The Enterprisewide Infrastructure Committee decided not to deploy the criminal and traffic CMS at any additional courts given budget constraints and the completion of the development of CCMS by fall 2010.	Dec-07
Release 4 of the application was available.	Apr-08
Release 5 of the application was available.	Jun-08
The CCMS regional program office and courts and vendor worked with the AOC's Information Services Division to transition to a new technology center.	2008
Application maintenance was completely transferred from Deloitte Consulting to the AOC Information Services Division.	Sep-09

Civil, Small Claims, Probate, and Mental Health CMS

Accomplishment	Date Completed
Deloitte Consulting was selected as the vendor for the civil, probate, and small claims case types.	2003
The system design was completed and the construction and coding of the application began.	Feb-05
Deployment discussions were initiated with the Superior Courts of Los Angeles, Orange, Sacramento, San Diego, and Ventura Counties.	Spring 2005
Construction of the application was completed and requirements testing of the code began.	Apr-05
Following integration testing, the technical testing phase of the application was finalized.	Jul-05
Development of test cases, scripts, and scenarios was completed.	Jul-05
The technical environment, including hardware and software, was installed at the California Courts Technology Center.	Aug-05
Product acceptance testing began. A special testing session was conducted with judicial officers from the Superior Courts of Alameda, Los Angeles, Orange, Sacramento, San Diego, and Ventura Counties.	Sep-05
The assessment phase for deployment in the Superior Courts of Sacramento and San Diego Counties was completed.	Sep-05
The application was demonstrated at the California Judicial Conference in the Superior Court of San Diego County.	Sep-05
Product acceptance testing was completed and the application was accepted by the lead courts and the AOC.	Nov-05
The knowledge transfer requirements were defined, and the first of two sessions was conducted with Deloitte Consulting, court project managers, AOC Information Services Division staff, and consultants.	2005
Release 4 of the application was available.	Sep-06
The Oversight Committee voted to add the mental health case type and the design phase.	Oct-06
The Civil, Small Claims, and Probate and Mental Health CMS was demonstrated to Judicial Council attendees at the Judicial Council issues meeting regarding the California Courts Technology Center and statewide initiatives.	Oct-06
The Superior Courts of Sacramento and San Diego Counties deployed the small claims case type.	Nov-06
Court content experts and CCMS staff met to discuss the standardization of codes and text.	Dec-06
Release 5 of the application was available.	Jan-07

Accomplishment	Date Completed
Deployments of additional case categories and locations occurred in the Superior Courts of Orange, Sacramento, San Diego, and Ventura Counties.	2007
Release 6 of the application, which included the addition of the mental health case category, was available.	Jul-07
The Superior Court of Ventura County completed deployment of all four case categories.	Aug-07
A judicial officer focus group met to identify enhancements and additional functionality.	Aug-07
A presentation about the application was given at the National Center for State Courts CTC10, the Tenth National Court Technology Conference, and the National Association for Justice Information Systems Conference.	Oct-07
The Superior Court of San Joaquin County deployed all civil, small claims, and probate and mental health CMS categories in all locations.	Apr-08
The Superior Court of Los Angeles County deployed the small claims case category at the Alhambra Courthouse.	May-08
Product acceptance testing for Release 7 was completed.	Early 2008
The courts, regional project office, and vendor worked with the AOC Information Services Division to complete the transition to a new technology center.	2008
Release 8/9 was available. The Superior Court of Orange County went live with the release with no major issues.	Oct-09

CCMS

Accomplishment	Date Completed
The CCMS Oversight Committee adopted the technology framework used in the civil, probate, and small claims case types as the basis to build a unified case management system. The family law, mental health, and juvenile functionality will use the same technology standards as are employed in the civil, probate, and small claims case types.	2006
The CCMS Oversight Committee approved a high-level plan to begin the process of unifying all case categories into one application.	2006
The lead courts assigned staff to participate in the project workgroups.	2006
The CCMS team began defining requirements for unification of the additional case categories.	Jul-06
The AOC Regional Program Office, Information Services Division, Center for Families, Children & the Courts, and court project managers reviewed and validated the business requirements.	Apr-07

The AOC amended its development contract with Deloitte Consulting to include: <ul style="list-style-type: none"> • Design and construction of the family, juvenile dependency, and juvenile delinquency case categories; • Incorporation of the criminal and traffic functionality; and • Design of the additional components (portals and reporting database). 	Jun-07
Deloitte Consulting began development of CCMS.	Jun-07
Resources from the AOC Regional Project Office, Information Services Division, Center for Families, Children & the Courts, and court project managers reviewed and validated the requirements.	Jul-07
Sjoberg & Evashenk was engaged as an independent project oversight consultant and for Independent Verification & Validation services.	Jul-07
An RFP was issued for statewide deployment of CCMS.	Feb-08
A deployment RFP bidder's conference was held.	Mar-08
Bids were due for the statewide deployment RFP.	Apr-08
The AOC began review of the final functional design deliverable.	Sep-08
The AOC and the courts transitioned to the new CCTC/shared services vendor (SAIC and IFOX).	Sep-08
The Oversight Committee approved Deloitte Consulting as the preferred vendor for the statewide deployment RFP. The AOC and Deloitte began contract negotiations.	Oct-08
Deloitte began development of test scripts to test the application under each business scenario.	Mar-09
The final functional design deliverable was accepted by the AOC.	May-09
Statewide deployment contract negotiations with Deloitte were put on hold due to budget constraints.	May-09
The standardization and configuration deliverable was accepted by the AOC.	Jul-09
Deloitte completed application coding for CCMS.	Aug-09
The creation of all test scripts was completed.	Aug-09
Deloitte began integration and stress testing.	Sep-09
Discussions have been initiated with Deloitte regarding a statement of work for early-adopter deployment.	Sep-09

Phoenix Program

The Phoenix Program is a statewide technology initiative that provides transition assistance to the courts moving from county stewardship to the judicial branch's financial and human resources systems as a result of the Lockyer-Isenberg Trial Court Funding Act of 1997. Phoenix is composed of two major components: the Phoenix Financial System and the Phoenix Human Resources System. SAP, internationally recognized provider of financial systems software, was selected as the enterprise resource planning solution to host the trial courts' financial and human resources management systems.

The statewide implementation of the Phoenix Financial System standardizes accounting functions in the judicial branch and provides all required parties with timely and comprehensive financial information. The approach taken to implement this statewide judicial branch financial system includes five steps: (1) creation of a trial court financial policies and procedures manual, (2) establishment of an internal audit unit, (3) installation of a standardized statewide financial system, (4) establishment of the trial court accounting and financial services center, and (5) establishment of a centralized treasury.

The Phoenix Financial System enables the courts to produce a standardized set of monthly, quarterly, and annual financial statements that comply with existing statutes, rules, and regulations, prepared in accordance with generally accepted accounting principles. The AOC has been providing professional accounting and business services for 58 courts using the Phoenix Financial System since July 2009. The Phoenix Financial System provides immediate access to data, enabling courts to make informed business decisions and improving day-to-day operations.

The Phoenix Human Resources System provides a comprehensive information system infrastructure that supports trial court human resources management and payroll needs. Designed for integration with the Phoenix Financial System and first deployed in July 2006, the system offers new standardized technology for human resources administration and payroll processing, provides consistent reporting, ensures compliance with state and federal labor laws, collects data at the source, provides central processing, and provides manager and employee self-service functions to the courts.

The Phoenix Financial System and the Phoenix Human Resources System were originally derived from integrating various accounting and reporting systems and human resources information systems in the courts. They provide end users with a coordinated system that allows seamless interaction between the input and retrieval of financial information and support for human resources.

Funding

The Phoenix Program is funded by the Judicial Administration Efficiency and Modernization Fund, the Trial Court Improvement Fund, the Trial Court Trust Fund, the state General Fund, and reimbursements from the trial courts.

Accomplishments to Date

The accomplishments of each system are detailed below.

Phoenix Financial System

In early 2001, the AOC surveyed the trial courts to determine the level of interest in a statewide trial court financial system. At the time, most of the courts expressed an interest. Since then, the AOC has worked in close cooperation with the courts to develop the Phoenix Financial System.

- Early 2001: The AOC surveyed trial courts to determine interest in a statewide trial court financial system.
- December 2002: The AOC launched the implementation of the Phoenix Financial System (then known as the Court Accounting and Reporting System). The Superior Court of Stanislaus County became the first court to use the new system.
- February/March 2003: A five-year statewide rollout schedule was released, detailing the trial courts in line for transition to the Phoenix Financial System from fiscal year 2003–2004 through FY 2008–2009.
- Fiscal year 2003–2004: Six trial courts were added to the system: the Superior Courts of Lake, Madera, Placer, San Luis Obispo, Siskiyou, and Tulare Counties.
- Fiscal year 2004–2005: The Phoenix Financial System was installed at 10 trial courts: the Superior Courts of Alameda, Calaveras, Contra Costa, Kings, Merced, Modoc, San Benito, San Bernardino, Tehama, and Yolo Counties—bringing to 17 the number of courts on the statewide system.
- July 2004: The position of assistant director, Office of Trial Court Financial Services, in the AOC Finance Division, was established to oversee the Phoenix Financial System on the courts' behalf. This position was filled in November 2004.
- Fiscal year 2005–2006: The system was implemented in an additional 14 courts: the Superior Courts of Colusa, El Dorado, Fresno, Humboldt, Kern, Marin, Napa, Plumas, San Joaquin, Santa Cruz, Solano, Sonoma, Trinity, and Ventura Counties—bringing to 31 the number of courts on the statewide system.
- April 2005: The Phoenix Financial System product was migrated to the newest version of MySAP (4.7c) for the statewide financial system, adding new functionality and reporting capabilities for use by the trial courts.
- Quarterly meetings during 2005: Three Phoenix Financial System user group meetings were held to enable the courts to network with the AOC, to improve the level of services received from the accounting processing center, to serve as a forum to raise concerns regarding the functionality of the statewide system, and to help build professional relationships with the newly formed Trial Court Financial Services unit.
- April 2005: A comprehensive governance structure for the Phoenix Financial System was established, encompassing a steering committee composed of AOC Finance, Human Resources, and Information Services divisions and the three regional administrative directors.
- May 2005: The function of the Treasury Services unit was expanded to include trust accounting services, cash management, and banking services.

- June 2005: A contractor was selected as a result of an RFP to study the court trust accounting processes, analyze court business requirements, and identify processing gaps between MySAP and the Phoenix Financial System environment to assess the latter's readiness to include the trust accounting business processes within the statewide system.
- July 2005: A study was conducted of the trial court cashiering processes to determine the impact of pending and subsequently chaptered legislation affecting the collection of civil assessment and uniform civil filing fees (Assem. Bill 139, Stats. 2005, ch. 74; Assem. Bill 145, Stats. 2005, ch. 75).
- December 2005: The Business Process Management section in the Division of Finance was established to provide planning and leadership for the Phoenix Financial System and to develop a strategic direction for the system and its future use by the courts.
- June 2006: Based on a study of business requirements and a functional gap analysis beginning in June 2005, the 12-member trial court working group and the AOC resolved to develop a new civil and criminal bail trust processing computer application for statewide implementation within SAP's Public Sector Collections and Disbursement module. This new trust system application will be fully integrated with the Phoenix Financial System, which is also a SAP application. The development of this trust processing and accounting module is under way.
- Fiscal year 2006–2007: The Phoenix Financial System was implemented in an additional 13 courts: the Superior Courts of Alpine, Amador, Glenn, Imperial, Inyo, Lassen, Mariposa, Mono, Riverside, Sacramento, San Francisco, Shasta, and Sierra Counties, bringing to 44 the number of courts on the statewide system.
- July 2006: The project was renamed from Court Accounting and Reporting System to Phoenix Financial System, a component of the Phoenix Program that incorporates the Phoenix Financial System and the Phoenix Human Resources System.
- December 2006: The SAP technical infrastructure was expanded to support higher system availability for users and the statewide implementation of the remaining courts. The new infrastructure also complies with higher security standards established by the AOC.
- July 2007: The Phoenix Financial System was implemented in 5 additional courts: the Superior Courts of Butte, Monterey, San Diego, San Mateo, and Santa Barbara Counties, bringing to 49 the number of courts on the statewide system since its inception.
- Fiscal year 2007–2008: The services provided to the courts by Phoenix Financial Services increased significantly as more courts were added to the system. The Accounts Payable Unit processed approximately 20,000 jury checks per month and printed and issued 20,000 operations checks per month on behalf of the trial courts to pay their bills. The General Ledger and Reports Unit balanced 147 trial court bank accounts per month, and the Trust Services Unit tracked \$500 million in trust monies for the trial courts annually. These numbers reflect the support provided to 49 trial courts on the Phoenix Financial System during that period.
- January 2008: The AOC participated in an RFP process for a system upgrade (both financial and human resources), beginning in July 2008 with a 12-month preparation and testing period before implementation. The upgrade ensures the continuance of technical support of

the system software and provides added system functionality such as enhanced statewide reporting and other features.

- January 2008: The Phoenix Financial System was deployed to the Superior Courts of Del Norte and Mendocino Counties.
- April 2008: The Phoenix Financial System was deployed to the Superior Courts of Nevada, Sutter, Tuolumne, and Yuba Counties.
- July 2008: The Phoenix Financial System was live in all 58 courts, with deployment to the Superior Courts of Los Angeles, Orange, and Santa Clara Counties. In the 58th and final court, the Superior Court of Los Angeles County, implementation is occurring in phases because of the size and complexity of the court.
- July 2008: The AOC received additional resources for the Phoenix Program through the budget change proposal process to assist with the development and deployment of the project.
- July 2008: As a result of the RFP process in January 2008, contract services were obtained to assist with a system functionality assessment in preparation for the second phase of the project specific to a system software upgrade and its redeployment. EPI-USE America, Inc. was selected to assist in the final deployment of the Phoenix Financial System to the Superior Court of Los Angeles County and the implementation of the Phoenix Human Resources System to the 52 remaining courts. That company will also perform the planned Phoenix Financial System SAP upgrade and redeployment to all 58 courts.
- October 2008: Statistics indicated a significant increase in services provided to the 58 courts on the Phoenix Financial System. The Accounts Payable Unit processed approximately 231,000 jury checks per month and also printed and issued 175,000 operation checks per month on behalf of the trial courts to pay their bills. The General Ledger and Reports Unit balanced 228 trial court bank accounts per month, and the Trust Services Unit tracked more than \$1 billion in trust monies for the trial courts annually.
- October 2008: Statewide regional forums were held in an effort to ensure that the vision, goals, and objectives of the Phoenix Program were conveyed to stakeholders, affording them the opportunity to provide essential input for various aspects of the project.
- March 2009: The project's Blueprint Phase was completed in preparation for the SAP software upgrade from version 4.7 to 6.0 in collaboration with court staff.
- April 2009: The testing and development for the planned statewide software upgrade was completed.
- July 2009: The Phoenix Financial System software upgrade was successfully launched. The financial component of the Phoenix System was upgraded, migrating from SAP version 4.7 to 6.0. This statewide effort encompassed many months of planning and collaboration between Phoenix Program staff and court subject matter experts and users, as well as lengthy software testing and development and included new functionality.
- July 2009: The Superior Court of Los Angeles County phased implementation on the Phoenix Financial System neared completion—a significant milestone that marked the final deployment of this component statewide. The size and complexity of this court presented numerous challenges overcome through the successful partnering of AOC and superior court staff. Plans are currently being developed to complete this effort in 2010.

- August 2009: The Procurement Program was initiated in the courts. The Procurement Program provides the courts with the option to elect a specific scenario to review and approve their internal purchase orders using the Phoenix Financial System, ensuring that there are checks and balances in place to meet the requirements of the *Trial Court Financial Policies and Procedures Manual*.

Phoenix Human Resources System

In early 2003, the AOC completed a study of the courts' current methods for the management of human resources and to determine additional resources for transition to a statewide human resources program. The Judicial Council voted to affirm support for the development and implementation of statewide administrative infrastructure initiatives, including for trial court human resources needs.

- November 2004: A steering committee formed by the AOC voted to support the Court Human Resources and Information System project and defined the project scope.
- June 2005: The AOC completed the development and configuration of a CHRIS prototype.
- July 2006: CHRIS was renamed the Phoenix Human Resources System, which joined the Phoenix Financial System as part of an integrated system designed to serve the financial, human resources, and payroll needs of the trial courts.
- June 2006: First installed in the Superior Court of Sacramento County, the Phoenix Human Resources System enabled electronic management of personnel administration, organizational management, payroll, time management, benefits administration, training and event tracking, and compensation administration.
- January 2007: Five additional courts, the Superior Courts of Lake, Riverside, Santa Cruz, Siskiyou, and Stanislaus Counties, went live on the system.
- July 2008: Further deployment of the Phoenix Human Resources System was temporarily halted pending an upgrade of the system to be conducted during fiscal year 2008–2009 and until additional resources are provided for this component of the project.
- Scheduled to begin after the planned upgrade to the SAP system in 2009, the deployment of the Phoenix Human Resources System to the remaining 52 courts will include additional modules such as recruitment, performance management, personnel cost planning, and e-learning. Projected completion is in fiscal year 2011–2012.
- March 2009: The project's Blueprint Phase was completed in preparation for the SAP software upgrade from version 4.7 to 6.0 in collaboration with court staff.
- April 2009: The testing and development for the planned statewide software upgrade was completed.
- May 2009: The Phoenix System software was successfully upgraded in the six courts using the Phoenix Human Resources System, migrating from SAP version 4.7 to 6.0.
- July 2009: Preparation for the statewide deployment of the Phoenix Human Resources System continued. The Global Blueprint phase determines the manner in which the payroll system will be designed and implemented in each of the remaining 51 courts based on the system requirements gathered as part of the extensive planning process. The recent budget reductions have had a significant impact on this critical step, but rather than ceasing the effort

completely the Phoenix Program has slowed momentum and extended project completion to fiscal year 2014–2015.

- August 2009: The Phoenix Human Resources System was initiated in the Superior Court of San Bernardino County. The AOC was approached by the court regarding implementation of the payroll system after receiving notification from San Bernardino County that it would no longer provide the court with payroll services for approximately 1,200 employees. Implementation efforts began in August, and the Trial Court Administrative Services Division anticipates payroll activities to begin in June 2010.

Activities Under Way

- The Superior Court of San Bernardino County is scheduled to be brought onto the payroll system at the start of fiscal year 2010–2011. This court’s configuration will represent the template for future payroll deployments to the balance of the courts. A tentative payroll strategy plan has been constructed and will be shared with the Phoenix Steering Committee during the 2010 calendar year. After obtaining feedback, the plan will also be shared with the Court Executives Advisory Committee shortly thereafter.
- The AOC Trial Court Administrative Services Division has begun sharing new and modified policies with the Phoenix Steering Committee; this is an iterative and ongoing effort to reach consensus with the trial courts on policy issues that have an impact on financial and payroll matters.

Total CCMS and Phoenix Program Revenue and Expenses to Date

Appendix 1A, “California Court Case Management System (CCMS) Project Expenses,” summarizes both the expenses to date and all future projected expenditures related to the CCMS project.

Appendix 1B, “Ongoing Program and Services Expenses,” summarizes both the expenses to date and all future projected expenditures related to CCMS ongoing programs and services.

Appendix 1C, “Interim Case Management System Expenses,” summarizes both the expenses to date and all future projected expenditures related to the interim case management systems.

Appendix 1D, “California Court Case Management System (CCMS) Project, Ongoing Programs and Services, and Interim Case Management System Revenues and Expenses,” summarizes the revenues and expenses to date included in Appendixes 1A, 1B, and 1C.

Appendix 2, “Phoenix Human Resources and Financial Services Revenue and Expenses,” summarizes the revenues and expenses to date for the Phoenix Program.

Appendix 1A

**California Court Case Management System (CCMS) Project Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹**

	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Civil, Small Claims, Probate, MH Development & Deployment								
Development	300,000	4,954,565	14,176,876	8,238,164	-	-	-	-
Interim Deployment - State funded	-	-	-	380,000	-	-	-	-
Interim Deployment - Direct pay by Trial Court	-	-	-	18,686,082	20,590,630	8,080,415	311,642	-
Funds Awarded to Trial Courts / Trial Court Expenses	10,450,000	2,000,000	-	-	-	-	-	-
IS Trial Court Expenses	19,305	1,692	227,148	212,099	24,569	-	-	-
Hosting - Support Environments (non-CCTC)	-	839,558	6,236	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	429,400	402,884	334,704	3,235,064	562,408	4,904	-	-
Consultants - Program Office	87,770	-	-	-	-	-	-	-
Consultants - Technical	407,960	-	-	-	-	-	-	-
SUBTOTAL	11,694,435	8,198,699	14,744,964	30,751,409	21,177,607	8,085,319	311,642	-
CCMS Development (Incl. Planning & Strategy)								
Development	-	-	-	-	49,249,748	36,327,290	10,507,978	25,525,525
Funds Awarded to Trial Courts / Trial Court Expenses	-	-	-	-	-	1,194,891	1,173,700	2,884,500
IS Trial Court Expenses	-	-	-	-	-	-	13,773	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	10,001,350	3,147,429	4,982,667	2,332,700
Hosting - Support & Production Environments (CCTC)	-	-	-	-	1,673,044	2,152,012	7,887,812	10,313,974
Consultants - Program Office	-	-	-	-	1,945,934	3,255,061	2,402,885	1,025,000
Consultants - Technical	4,285,582	1,558,473	306,270	-	-	217,730	2,858,573	4,039,600
SUBTOTAL	4,285,582	1,558,473	306,270	-	62,870,076	46,294,414	29,827,389	46,121,299
All CCMS Deployment Costs								
Hosting - Deployment Specific Environments (CCTC)	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	391,202
Staff - Program Office	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	379,132
CCMS Deployment Vendor	-	-	-	-	-	-	-	13,073,000
Deployment - State funded	-	-	-	-	-	-	-	12,750
Deployment - Direct pay by Trial Court	-	-	-	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-	-	-	-
CCTC - One time costs	-	-	-	-	-	-	-	2,211,746
SUBTOTAL	-	-	-	-	-	-	-	16,067,830
Document Management System (DMS) Project Costs								
Consultants	-	-	-	-	-	-	-	-
Hardware	-	-	-	-	-	-	-	-
Software Licences	-	-	-	-	-	-	-	-
Shared Services	-	-	-	-	-	-	-	-
SUBTOTAL	-	-	-	-	-	-	-	-
TOTAL CCMS PROJECT	15,980,017	9,757,172	15,051,234	30,751,409	84,047,683	54,379,733	30,139,031	62,189,129

¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

Appendix 1A

**California Court Case Management System (CCMS) Project Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹**

	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
Civil, Small Claims, Probate, MH Development & Deployment							
Development	-	-	-	-	-	-	27,669,605
Interim Deployment - State funded	-	-	-	-	-	-	380,000
Interim Deployment - Direct pay by Trial Court	-	-	-	-	-	-	47,668,769
Funds Awarded to Trial Courts / Trial Court Expenses	-	-	-	-	-	-	12,450,000
IS Trial Court Expenses	-	-	-	-	-	-	484,814
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	845,794
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	4,969,363
Consultants - Program Office	-	-	-	-	-	-	87,770
Consultants - Technical	-	-	-	-	-	-	407,960
SUBTOTAL	-	-	-	-	-	-	94,964,075

	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
CCMS Development (Incl. Planning & Strategy)							
Development	-	-	-	-	-	-	121,610,541
Funds Awarded to Trial Courts / Trial Court Expenses	1,200,000	-	-	-	-	-	6,453,091
IS Trial Court Expenses	-	-	-	-	-	-	13,773
Hosting - Support Environments (non-CCTC)	945,000	-	-	-	-	-	21,409,146
Hosting - Support & Production Environments (CCTC)	5,566,277	-	-	-	-	-	27,593,120
Consultants - Program Office	-	-	-	-	-	-	8,628,880
Consultants - Technical	-	-	-	-	-	-	13,266,229
SUBTOTAL	7,711,277	-	-	-	-	-	198,974,781

	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
All CCMS Deployment Costs							
Hosting - Deployment Specific Environments (CCTC)	-	14,416,748	18,859,644	22,208,505	29,682,627	22,661,279	107,828,803
Consultants - Program Office	1,025,000	2,231,400	3,721,525	7,424,900	7,829,850	4,234,700	26,467,375
Consultants - Technical	4,077,802	3,153,760	4,280,728	5,874,573	8,694,346	6,661,110	33,133,522
Staff - Program Office	-	-	-	-	-	-	-
Staff - Technical	379,132	701,369	1,669,436	1,842,807	2,557,924	2,707,253	10,237,053
CCMS Deployment Vendor	22,480,000	65,964,729	138,817,641	233,761,348	203,368,712	30,508,250	707,973,679
Deployment - State funded	999,888	1,237,110	3,191,743	5,011,036	8,368,431	8,368,431	27,189,388
Deployment - Direct pay by Trial Court	-	-	-	-	-	-	-
Funds Awarded to Trial Courts	-	-	-	-	-	-	-
CCTC - One time costs	10,106,189	2,946,471	3,904,539	16,268,688	26,936,179	14,590,430	76,964,241
SUBTOTAL	39,068,010	90,651,586	174,445,256	292,391,857	287,438,069	89,731,453	989,794,061

	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
Document Management System (DMS) Project Costs							
Consultants	-	1,779,855	2,018,027	1,985,391	2,287,419	1,691,638	9,762,330
Hardware	-	15,698,225	-	-	-	-	15,698,225
Software Licences	-	5,914,438	-	-	-	-	5,914,438
Shared Services	-	1,391,580	1,271,580	1,271,580	1,271,580	1,271,580	6,477,900
SUBTOTAL	-	24,784,098	3,289,607	3,256,971	3,558,999	2,963,218	37,852,893

TOTAL CCMS PROJECT	46,779,288	115,435,684	177,734,863	295,648,828	290,997,068	92,694,671	1,321,585,809
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¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

Appendix 1B

**Ongoing Program and Services Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹**

CCMS Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-
Software Releases	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	85,057	1,486,367	2,003,803	2,950,172	4,076,999
Staff - Technical	-	-	-	-	322,846	898,092	1,291,770	1,135,788
Deployment Hosting	-	-	-	-	-	-	-	-
SUBTOTAL	-	-	-	85,057	1,809,214	2,901,895	4,241,942	5,212,787

Document Management System (DMS) Operational Costs	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Support - Vendor	-	-	-	-	-	-	-	-
Non-CCTC Software Maintenance	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	-	-	-	-	-
Staff - Program Office	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	-	-	-	-	-
SUBTOTAL	-							

TOTAL ONGOING PROGRAMS & SERVICES	-	-	-	85,057	1,809,214	2,901,895	4,241,942	5,212,787
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¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

Appendix 1B

Ongoing Program and Services Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹

	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total	Estimated Post Deployment Ongoing Costs (Annual)
CCMS Operational Costs								
Support - Vendor	9,732,000	12,000,000	15,000,000	18,000,000	18,000,000	18,000,000	90,732,000	18,000,000
Software Releases	7,500,000	7,500,000	7,500,000	7,500,000	7,500,000	7,500,000	45,000,000	7,500,000
Hosting - Support Environments (non-CCTC)	2,823,000	3,768,000	3,768,000	3,768,000	3,768,000	3,768,000	21,663,000	3,768,000
Hosting - Support & Production Environments (CCTC)	3,975,913	9,761,697	8,236,287	6,877,256	7,384,524	7,384,524	43,620,201	7,384,524
Consultants - Program Office	-	-	-	-	-	-	-	1,025,000
Consultants - Technical	-	-	-	-	-	-	-	-
Staff - Program Office	4,170,404	5,027,853	6,018,882	6,826,690	6,793,048	6,793,048	46,232,324	6,793,048
Staff - Technical	1,564,061	2,003,122	2,194,002	2,326,026	3,443,533	3,615,264	18,794,503	3,615,264
Deployment Hosting								19,072,187
SUBTOTAL	29,765,378	40,060,673	42,717,171	45,297,972	46,889,105	47,060,836	266,042,028	67,158,023
	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total	Estimated Post Deployment Ongoing Costs (Annual)
Document Management System (DMS) Operational Costs								
Support - Vendor	-	-	-	-	-	-	-	-
Non-CCTC Software Maintenance	-	-	6,166,216	9,755,068	9,755,068	9,755,068	35,431,419	9,755,068
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	-	-
Consultants - Program Office	-	-	-	-	-	-	-	-
Consultants - Technical	217,061	223,573	1,859,238	2,120,152	1,179,957	972,890	6,572,873	972,890
Staff - Program Office	-	-	-	-	-	-	-	-
Staff - Technical	-	922,528	927,528	994,810	1,048,851	1,111,728	5,005,446	1,111,728
SUBTOTAL	217,061	1,146,101	8,952,982	12,870,031	11,983,876	11,839,686	47,009,738	11,839,686
TOTAL ONGOING PROGRAMS & SERVICES	29,982,439	41,206,774	51,670,154	58,168,002	58,872,981	58,900,522	313,051,766	78,997,709

¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

Appendix 1C

**Interim Case Management System Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹**

Criminal & Traffic Development	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Development	1,458,584	-	1,403,824	-	-	-	-	-
Interim Deployment - State funded	-	-	-	2,670,793	438,163	-	-	-
Interim Deployment - Direct pay by Trial Court	-	-	-	2,074,426	-	-	-	-
Funds Awarded to Trial Courts / Trial Court Expenses	2,755,442	-	-	-	175,404	1,600,000	1,000,000	-
IS Trial Court Expenses	-	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	397,575	-	-	-	-	-	-
Hosting - Support & Production Environments (CCTC)	221,206	90,795	25,477	-	-	-	-	-
Prior Year Adjustments	(1,239)	(12,701)	(38,492)	(32,296)	2,201	799	(0)	-
SUBTOTAL	4,433,993	475,669	1,390,809	4,712,923	615,768	1,600,799	1,000,000	-

Criminal & Traffic Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Support - Vendor	-	-	-	2,560,647	6,250,283	7,134,000	3,913,507	-
Software Releases	-	-	-	4,682,399	4,447,155	2,868,968	194,350	-
Hosting - Support Environments (non-CCTC)	-	-	-	1,012,586	551,976	630,000	664,241	-
Hosting - Support & Production Environments (CCTC)	-	-	-	2,523,937	4,443,058	2,644,844	3,161,475	1,879,561
Consultants - Program Office	102,554	-	-	-	-	-	-	-
Consultants - Technical	-	-	-	47,498	-	244,888	2,897,343	2,510,209
Staff - Program Office	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	340,513	143,487	128,299	393,655	707,517
SUBTOTAL	102,554	-	-	11,167,579	15,835,959	13,650,998	11,224,570	5,097,286

Civil, Small Claims, Probate, MH Maintenance & Support	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10
Support - Vendor	-	-	-	-	8,148,868	9,612,600	12,830,204	8,577,600
Software Releases	-	-	-	-	2,631,872	3,596,986	-	1,500,000
Hosting - Support Environments (non-CCTC)	-	-	-	-	1,157,677	1,305,000	1,441,308	1,869,801
Hosting - Support & Production Environments (CCTC)	-	-	-	-	10,536,429	6,859,180	8,122,545	3,933,766
Consultants - Program Office	-	-	1,436,049	2,221,422	-	-	-	235,000
Consultants - Technical	-	-	30,000	-	-	-	275,756	312,161
Staff - Program Office	-	-	-	-	-	-	-	-
Staff - Technical	-	-	-	227,584	251,103	256,598	371,605	465,126
SUBTOTAL	-	-	1,466,049	2,449,006	22,725,949	21,630,363	23,041,418	16,893,454

TOTAL INTERIM CMS	4,536,546	475,669	2,856,858	18,329,508	39,177,676	36,882,161	35,265,988	21,990,740
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¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

Appendix 1C

**Interim Case Management System Expenses
(FY 2009-2010 through FY 2015-2016 Estimated)¹**

Criminal & Traffic Development	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
Development	-	-	-	-	-	-	2,862,408
Interim Deployment - State funded	-	-	-	-	-	-	3,108,956
Interim Deployment - Direct pay by Trial Court	-	-	-	-	-	-	2,074,426
Funds Awarded to Trial Courts / Trial Court Expenses	-	-	-	-	-	-	5,530,846
IS Trial Court Expenses	-	-	-	-	-	-	-
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	397,575
Hosting - Support & Production Environments (CCTC)	-	-	-	-	-	-	337,479
Prior Year Adjustments	-	-	-	-	-	-	(81,729)
SUBTOTAL	-	-	-	-	-	-	14,229,960

Criminal & Traffic Maintenance & Support	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
Support - Vendor	-	-	-	-	-	-	19,858,437
Software Releases	-	-	-	-	-	-	12,192,872
Hosting - Support Environments (non-CCTC)	-	-	-	-	-	-	2,858,803
Hosting - Support & Production Environments (CCTC)	1,881,761	1,937,851	1,940,051	1,942,251	-	-	22,354,787
Consultants - Program Office	-	-	-	-	-	-	102,554
Consultants - Technical	2,986,650	2,670,117	2,431,003	1,978,382	-	-	15,766,090
Staff - Program Office	-	-	-	-	-	-	-
Staff - Technical	707,516	836,682	836,682	874,077	-	-	4,968,427
SUBTOTAL	5,575,927	5,444,649	5,207,736	4,794,710	-	-	78,101,970

Civil, Small Claims, Probate, MH Maintenance & Support	Estimated FY 2010-11	Estimated FY 2011-12	Estimated FY 2012-13	Estimated FY 2013-14	Estimated FY 2014-15	Estimated FY 2015-16	Total
Support - Vendor	7,892,280	7,892,280	7,892,280	3,946,140	-	-	66,792,252
Software Releases	2,000,000	2,000,000	2,000,000	1,000,000	-	-	14,728,858
Hosting - Support Environments (non-CCTC)	2,420,032	2,420,032	2,420,032	1,210,016	-	-	14,243,900
Hosting - Support & Production Environments (CCTC)	3,902,366	3,998,374	4,034,374	4,040,597	-	-	45,427,629
Consultants - Program Office	235,000	235,000	235,000	-	-	-	4,597,471
Consultants - Technical	267,451	275,474	275,474	292,642	-	-	1,728,957
Staff - Program Office	-	-	-	-	-	-	-
Staff - Technical	702,383	692,263	692,263	742,759	-	-	4,401,683
SUBTOTAL	17,419,512	17,513,423	17,549,423	11,232,153	-	-	151,920,750

TOTAL INTERIM CMS	22,995,439	22,958,073	22,757,159	16,026,863	-	-	244,252,680
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¹ Cost model assumes deployment to 58 superior courts will complete in June 2016 and interim CMS applications to sunset in December 2013. Deployment vendor costs estimated based on a previously negotiated contract; vendor not currently under contract.

**California Court Case Management System (CCMS)
Project, Ongoing Programs and Services, and Interim Case Management System
Revenues and Expenses (FY 2009-2010 Estimated)**

	FY 2002-03	FY 2003-04	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	Estimated FY 2009-10 ¹	Total
REVENUES									
Fund Allocations									
General Fund	\$ -	\$ 4,420,322	\$ 15,000	\$ 85,632	\$ 199,314	\$ 203,353	\$ 385,865	\$ 408,405	\$ 5,717,892
Modernization Fund	\$ -	\$ 4,364,781	\$ 13,198,412	\$ 2,549,915	\$ 11,133,122	\$ 8,820,757	\$ 13,428,745	\$ 18,957,475	\$ 72,453,208
Trial Court Trust Fund	\$ 20,516,563	\$ -	\$ -	\$ -	\$ 50,000,000	\$ -	\$ 19,789,229	\$ 43,444,848	\$ 133,750,640
Trial Court Improvement Fund	\$ -	\$ 1,447,738	\$ 4,494,679	\$ 24,121,932	\$ 39,162,716	\$ 73,592,500	\$ 33,856,045	\$ 25,313,837	\$ 201,989,448
Trial Court Reimbursements	\$ -	\$ -	\$ 200,000	\$ 1,647,987	\$ 3,948,790	\$ 3,466,762	\$ 1,875,435	\$ 1,875,435	\$ 13,014,409
Trial Court Deployment (direct pay by court to vendor)	\$ -	\$ -	\$ -	\$ 20,760,508	\$ 20,590,630	\$ 8,080,415	\$ 311,642	\$ -	\$ 49,743,195
TOTAL REVENUES	\$ 20,516,563	\$ 10,232,842	\$ 17,908,092	\$ 49,165,974	\$ 125,034,573	\$ 94,163,788	\$ 69,646,961	\$ 90,000,000	\$ 476,668,792
EXPENDITURES									
CCMS Project Costs									
Civil, Small Claims, Probate, MH Development & Deployment	\$ 11,694,435	\$ 8,198,699	\$ 14,744,964	\$ 30,751,409	\$ 21,177,607	\$ 8,085,319	\$ 311,642	\$ -	\$ 94,964,075
CCMS Development (Incl. Planning & Strategy)	\$ 4,285,582	\$ 1,558,473	\$ 306,270	\$ -	\$ 62,870,076	\$ 46,294,414	\$ 29,827,389	\$ 46,121,299	\$ 191,263,503
All CCMS Deployment Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 16,067,830	\$ 16,067,830
DMS Project Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL CCMS PROJECT	\$ 15,980,017	\$ 9,757,172	\$ 15,051,234	\$ 30,751,409	\$ 84,047,683	\$ 54,379,733	\$ 30,139,031	\$ 62,189,129	\$ 302,295,408
Ongoing Program & Services									
CCMS Operational Costs	\$ -	\$ -	\$ -	\$ 85,057	\$ 1,809,214	\$ 2,901,895	\$ 4,241,942	\$ 5,212,787	\$ 14,250,894
DMS Operational Costs	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL OPERATIONAL	\$ -	\$ -	\$ -	\$ 85,057	\$ 1,809,214	\$ 2,901,895	\$ 4,241,942	\$ 5,212,787	\$ 14,250,894
Interim CMS									
Criminal & Traffic Development	\$ 4,433,993	\$ 475,669	\$ 1,390,809	\$ 4,712,923	\$ 615,768	\$ 1,600,799	\$ 1,000,000	\$ -	\$ 14,229,960
Criminal & Traffic Maintenance & Support	\$ 102,554	\$ -	\$ -	\$ 11,167,579	\$ 15,835,959	\$ 13,650,998	\$ 11,224,570	\$ 5,097,286	\$ 57,078,948
Civil, Small Claims, Probate, MH Maintenance & Support	\$ -	\$ -	\$ 1,466,049	\$ 2,449,006	\$ 22,725,949	\$ 21,630,363	\$ 23,041,418	\$ 16,893,454	\$ 88,206,238
TOTAL INTERIM CMS	\$ 4,536,546	\$ 475,669	\$ 2,856,858	\$ 18,329,508	\$ 39,177,676	\$ 36,882,161	\$ 35,265,988	\$ 21,990,740	\$ 159,515,146

Appendix 2

Phoenix Human Resources and Financial Services Revenue and Expenses
Through FY 2010–2011 (Estimated for FY 2009–2010 and FY 2010–2011)¹

	FY 2000–01	FY 2001–02	FY 2002–03	FY 2003–04	FY 2004–05	FY 2005–06	FY 2006–07	FY 2007–08	FY 2008–09	Estimated FY 2009–10 ²	Estimated FY 2010–11 ²	Total FYs To Date
REVENUE												
Fund Allocations												
General Fund	\$109,256	\$639,450	\$1,774,488	\$5,646,640	\$2,594,877	\$3,590,099	\$2,344,864	\$3,461,267	\$2,561,627	\$8,899,451	\$8,899,451	\$40,521,471
Modernization Fund	116,865	1,618,242	2,436,594	600,582	4,135,487	2,735,201	23,169	4,124,284	2,944,504	0	0	\$18,734,927
Trial Court Improvement Fund	0	0	1,275,000	2,142,479	780,730	2,589,879	8,575,439	23,336,617	10,584,036	11,165,629	5,797,805	\$66,247,614
Trial Court Trust Fund	0	0	0	0	0	1,309,669	0	5,243,157	12,208,194	1,463,093	6,830,917	\$27,055,030
Trial Court Reimbursements	0	0	0	0	1,869,815	1,686,716	4,790,112	7,135,877	8,556,019	11,541,827	11,541,827	\$47,122,193
Total Revenue	\$226,121	\$2,257,692	\$5,486,082	\$8,389,701	\$9,380,909	\$11,911,564	\$15,733,584	\$43,301,202	\$36,854,380	\$33,070,000	\$33,070,000	\$199,681,235
EXPENSES												
AOC Nonsupport Services Staff	\$15,656	\$419,021	\$862,808	\$991,617	\$1,028,140	\$3,090,099	\$1,844,864	\$4,093,350	\$3,676,747	\$3,936,607	\$3,936,607	\$23,895,517
AOC ISD ERP/User Support Staff	93,600	112,320	219,030	500,000	465,000	659,448	1,005,332	\$1,277,744	\$1,917,234	\$1,919,191	\$1,919,191	\$10,088,090
AOC Support Services Staff	0	108,109	692,650	971,159	2,513,953	2,658,866	4,711,244	7,385,649	8,307,925	9,485,134	9,485,134	\$46,319,822
AOC AUPR/Internal Audit Staff	0	0	0	94,000	100,000	150,000	0	0	0	0	0	\$344,000
Subtotal AOC Staff	\$109,256	\$639,450	\$1,774,488	\$2,556,776	\$4,107,093	\$6,558,413	\$7,561,440	\$12,756,743	\$13,901,906	\$15,340,932	\$15,340,932	\$80,647,429
Contractors	\$116,865	\$1,457,694	\$3,447,332	\$5,281,042	\$3,336,486	\$3,834,805	\$7,628,894	\$26,300,099	\$20,759,538	\$16,022,458	\$16,022,458	\$104,207,671
SAP licenses, hardware, maintenance, tech center support, and end-user training	\$0	\$160,548	\$264,262	\$551,883	\$1,937,330	\$1,518,346	\$543,250	\$4,244,360	\$2,192,935	\$1,699,394	\$1,699,394	\$14,811,703
Total Expenses	\$226,121	\$2,257,692	\$5,486,082	\$8,389,701	\$9,380,909	\$11,911,564	\$15,733,584	\$43,301,202	\$36,854,380	\$33,062,784	\$33,062,784	\$199,666,803

¹ Prior to FY 2007–2008, reported solely on the Phoenix Financial System (CARS); for FY 2007–2008 and 2008–2009 also reported on Phoenix Human Resources System.

² Revenue fund split is subject to modification.