

Item 5 (Action Item)

Attachment 5A – WAFM, Non-WAFM, and Undesignated Trial Court
Allocations, Revenues, and Reimbursements (Action Item) 27

Attachment 5B – 2013-14 Fund Sources Included and Excluded from WAFM
Judicial Council Report - April 26, 2013 28

Attachment 5C – Estimated 2015-16 WAFM, Non-WAFM, and Undesignated
Trial Court Allocations, Revenues, and Reimbursements 30

Item 6 (Action Item)

Attachment 6A – Fund Expenditures Included in WAFM OE&E per FTE (Action
Item) 33

Attachment 6B – FY 2014-15 Phoenix Expenses for WAFM OE&E Designations
by Fund..... 35

Attachment 6C – FY 2014-15 Phoenix Expenses for WAFM OE&E Designations
by Fund..... 36

Attachment 6D – WAFM Operating Expenses and Equipment Excluded Funds
Options 37

Item 7 (Discussion Item)

Attachment 7A – Civil Assessments and WAFM (Discussion Item) 39



JUDICIAL COUNCIL
OF CALIFORNIA

TRIAL COURT BUDGET
ADVISORY COMMITTEE

www.courts.ca.gov/tcbac.htm
tcbac@jud.ca.gov

TRIAL COURT BUDGET ADVISORY COMMITTEE

FUNDING METHODOLOGY SUBCOMMITTEE

MINUTES OF OPEN MEETING

August 5, 2015

10:00 a.m. to 12:00 p.m.

Judicial Council, Veranda Room, 2860 Gateway Oaks Drive, Suite 400, Sacramento, CA 95833

Advisory Body Members Present: Judges: Laurie M. Earl (Cochair), Mark A. Cope, Barry P. Goode, Lesley D. Holland, Carolyn B. Kuhl, and Cynthia Ming-Mei Lee.

Executive Officers: Jake Chatters (Cochair), Alan Carlson, Sherri R. Carter, Kimberly Flener, Deborah Norrie, Michael M. Roddy, Mary Beth Todd, Kim Turner, and Christina M. Volkens.

Advisory Body Members Absent: None.

Others Present: Judges: Daniel J. Buckley, Marsha Slough
Judicial Council staff: Steven Chang, Leah Rose-Goodwin, Colin Simpson, and Zlatko Theodorovic.

OPEN MEETING

Call to Order and Roll Call

The co-chair called the meeting to order at 10:00 a.m., and took roll call.

Approval of Minutes

The advisory body reviewed and approved the minutes of the April 9, 2015 Trial Court Budget Advisory Committee (TCBAC) Funding Methodology Subcommittee meeting.

Public Comment

None received.

DISCUSSION AND ACTION ITEMS (ITEMS 1-3)

Item 1

Overview of County Maintenance of Effort Obligations and Civil Assessments (Discussion Item)

No action taken. Review of the changes in the county payments to the Trial Court Trust Fund (TCTF) required by Government Code sections 77201, 77201.1, and 77201.3

including those resulting from civil assessments becoming TCTF revenues in January 2006.

Item 2

Subcommittee Work Plan (Action Item)

The subcommittee unanimously adopted the following updated work plan:

FY 2015–2016

1. Identify technology funding streams (with JCTC and CITMF assistance)
2. Joint working group with Family and Juvenile Law Advisory Committee to evaluate the allocation methodology for Child Support Commissioner and Family Law Facilitator Program funding.
3. Evaluate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)
4. Plans for FY 2018–2019 and beyond

FY 2016–2017

1. Review self-help funding (with Access & Fairness Advisory Committee)
2. Review AB 1058 revenue as an offset
3. Identify all funding sources and determine allocation models
4. Review operating expenses and equipment (OE&E) calculation and other WAFM components to determine handling of inflation, modification and refresh cycle

Indefinite

1. Evaluate impact of JCC and other provided services
2. Evaluate how to include unfunded costs – courthouse construction
3. Refresh and clarify county Maintenance of Effort

Item 3

Open Discussion (Discussion Item)

No action taken.

ADJOURNMENT

There being no further business, the meeting was adjourned at 12:00 p.m.

Approved by the subcommittee on enter date.

Item 1
Subcommittee Work Plan
(Action Item)

Issue

Should the subcommittee revisit and revise its work plan?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its August 5, 2015 meeting, the Funding Methodology Subcommittee updated its work plan for 2015–2016, 2016–2017, and items without a definite time period currently assigned to address them.

Recommendation

Review the subcommittee’s work plan, confirm 2015–2016 and 2016–2017 activities, and assign work to subgroups if necessary.

Recommendation Rationale

Based on the recent activity of the AB 1058 Funding Allocation Joint Subcommittee as well as the plan to address the refresh cycle related to WAFM components at this meeting, Items 2 for 2015–2016 and 2016–2017 and Item 4 for 2016–2017, if not the other items, should be reviewed and the subcommittee should determine whether the work plan needs to be updated based on its review.

FUNDING METHODOLOGY SUBCOMMITTEE WORK PLAN

Updated on August 5, 2015

FY 2015–2016

1. Identify technology funding streams (with JCTC and CITMF assistance)
2. Joint working group with Family and Juvenile Law Advisory Committee to evaluate the allocation methodology for Child Support Commissioner and Family Law Facilitator Program funding.
3. Evaluate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)
4. Plans for FY 2018–2019 and beyond

FY 2016–2017

1. Review self-help funding (with Access & Fairness Advisory Committee)
2. Review AB 1058 revenue as an offset
3. Identify all funding sources and determine allocation models
4. Review operating expenses and equipment (OE&E) calculation and other WAFM components to determine handling of inflation, modification and refresh cycle

Indefinite

1. Evaluate impact of JCC and other provided services
2. Evaluate how to include unfunded costs – courthouse construction
3. Refresh and clarify county Maintenance of Effort

Item 2
Current Informal WAFM Component Update Schedules
(Action Item)

Issue

Should the subcommittee review and formally approve the current update cycles in place for five WAFM components and review and determine the update cycle for one WAFM component that has not been updated since the initial FY 2013–2014 WAFM funding need calculation?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

Not applicable

Recommendation

Review and formally approve the current update cycles in place for five WAFM components and review and determine the update cycle for one WAFM component that has not been updated since the initial FY 2013–2014 WAFM funding need calculation.

Recommendation Rationale

By formally approving the current effective update cycles for these WAFM components (see 2B), these schedules will be documented and a formal standard established for the expected refresh of this information.

The one WAFM component that has not been updated relies on trial court schedule information. The WAFM operating expenses and equipment (OE&E) per FTE amount is calculated using Schedule 7A information for the number of WAFM FTEs (excludes those positions related to non-court-operations PECTs, subordinate judicial officers, CEOs, marshals, court attendants, interpreters and interpreter coordinators, and vacant positions) and 4th quarter Quarterly Financial Statement expenditure information for general ledger items designated as OE&E expenses.

For the OE&E per FTE, four options are provided with the differences between options relating to either updating the component on an annual basis or a less frequent basis and the update using the most recent fiscal year of available information or an average of the three most recent years of available information (see 2C). Benefits and drawbacks are provided for each option. Options 1A and 2A would be the most consistent with how the initial amounts were calculated in 2013–2014. Attachment 2D compares the current OE&E per FTE amounts to the updated amounts under options A and B and the impact those options would have had on the 2015–2016 estimated WAFM funding need, an increase of between \$40.6 million and \$65.8 million. Note that the variance between the one-year average and three-year average is driven by the OE&E per FTE amounts in 2012–2013 which were significantly lower than 2013–2014 and 2014–2015.

**Current WAFM Component Update Schedules
as of November 30, 2015**

#	WAFM Component Description	Update Frequency	Source of Data	WAFM Funding Need Worksheet Location
1	Average court executive officer salary	Updated annually	Prior year Schedule 7A	Column E
2	Federal Bureau of Labor Statistics (BLS) factor	Updated annually	Three year-average BLS data up to two years prior	Column G and "BLS Factor" worksheet
3	Salary-driven and non-salary-driven benefits	Updated annually	Prior year Schedule 7A	Columns I1, I2, J1, and J2
4	AB 1058 adjustment	Updated annually	Two years prior reimbursement data from JCC grants accounting unit	Column O
5	Average RAS-related salary	Updated annually	Prior year Schedule 7A	Bottom left corner under "NOTES"
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	4th quarter Quarterly Financial Statement expenditures (QFS)	Column N
7	Funding Floor	N/A	Prior year BLS-adjusted Schedule 7A average RAS salary and benefits information from five Cluster 1 courts and any updated Cluster 1 OE&E per FTE amounts	"Funding Floor" worksheet
ITEMS BELOW INFORMATIONAL ONLY - RAS Components (Updated by Workload Assessment Advisory Committee (WAAC))				
N/A	RAS FTE need	Updated annually	Three year-average court filings data up to two years prior	Columns A, B, and C and "RAS FTE Need" worksheet
N/A	RAS caseweight	Updated every five years	Court time study	N/A

**WAFM Components Not Updated Since FY 2013-14
as of November 30, 2015**

#	WAFM Component Description	Update Frequency	Source of Data	WAFM Funding Need Worksheet Location
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	N/A 4th quarter Quarterly Financial Statement expenditures (QFS)	Column N

WAFM Component Update Options

#	WAFM Component Description	Option	Option Description	Pros	Cons
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	1A	Updated annually using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation Information remains as current as possible 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude likely than a staggered update
		1B	Updated annually using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis Information remains relatively current as possible since updated annually but less current than one year basis 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude
		2A	Updated every two to three years using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Information not as current
		2B	Updated every two to three years using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Information not as current







**2013-14 Fund Sources Included and Excluded from WAFM
Judicial Council Report - April 26, 2013**

5B

Funds Not Considered Part of Allocation Formula with Statewide Dollar Amounts for FY 13-14
Allocation (dollar amounts subject to change each fiscal year)

Fund Description	Fund Source	Statewide Amount (For FY 13-14 Allocation Process)
Security Base Adjustment	TCTF (45.10)	40,983,089
SJO Adjustment (AB 1058 Commissioner Compensation Removed)	TCTF (45.10)	64,674,907
Court-Appointed Counsel (including DRAFT)	TCTF (45.10)	105,283,990
Jury	TCTF (45.10)	14,931,289
Criminal Justice Realignment (one-time 12-13)	TCTF (45.10)	9,073,000
\$30 court reporter fee	TCTF (45.10)	-
Fees Retained by Courts	TCTF (45.10)	17,966,453
Civil Assessments	TCTF (45.10)	95,220,404
Children's waiting room	TCTF (45.10)	4,027,799
Telephonic Appearance	TCTF (45.10)	943,840
Court Interpreters	TCTF (45.45)	89,286,025
Civil Case Coordination	TCTF (45.55)	647,697
Family Law Information Centers	TCTF (45.55)	320,000
Model Self-Help	TCTF (45.55)	891,000
Complex Civil Litigation	IMF	4,001,010
Self-Help	IMF	5,005,141
AB 1058 Child Support Commissioner, Family Law Facilitator, and Staff	GF	48,474,319
Prisoners' Hearings	GF	1,408,137
Service of Process	GF	1,638,813
Interest Income	Local	3,568,960
Investment Income	Local	6,126
Local Fees	Local	60,024,529
Non-Fee Revenues	Local	17,670,937
Enhanced Collections	Local	49,202,024
County Program - Restricted	Local	24,847,948
Reimbursement Other	Local	27,415,279
Other Miscellaneous	Local	14,423,980
Total (not compared to funding need)		701,936,697

Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements 5C
 (amounts subject to change each fiscal year, updated 11/20/2015)

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
37	3. Items that Require Further Analysis			
38	Civil Assessments (14-15 actual)	TCTF (45.10)	111,945,969	
39	Fees Retained by Courts (14-15 actual)	TCTF (45.10)	23,004,303	
40	Replacement Screening Stations	TCTF (45.10)	2,286,000	Not addressed previously
41	Parolee Reentry Court Program CDCR (14-15 actual)	GF (45.55.020)	1,972,809	
42	Collaborative Courts-Substance Abuse Programs (14-15 actual)	GF (45.55.020)	1,128,748	
43	Recidivism Reduction Program	RRF (45.10)	1,300,000	Not addressed previously: new appropriation in 2014-15
44	Model Self-Help	TCTF (45.55.065)	957,000	
45	Telephonic Appearance	TCTF (45.10)	943,840	
46	Juvenile Dependency Counsel Collections (including DRAFT)	TCTF (45.10)	872,692	Not addressed previously: new allocation in FY 2013-14
47	California Justice Corps (14-15 actual)	GF (45.55.070)	858,520	Not addressed previously
48	Federal Child Access and Visitation Grant Program (14-15 actual)	FTF (45.55.030)	770,000	
49	California Adult Reentry Drug Court Project (14-15 actual)	FTF (45.55.080)	479,299	Not addressed previously
50	Family Law Information Centers	TCTF (45.55.095)	345,000	
51	Elder Abuse Filings	TCTF (45.10)	332,340	
52	Local Fees (14-15 Phoenix)	Local	58,315,578	
53	Non-Fee Revenues (14-15 Phoenix)	Local	16,394,838	
54	Non-Judicial Council Grants (14-15 Phoenix)	Local	7,171,367	
55	Interest Income (14-15 Phoenix)	Local	2,077,860	
56	Escheatment (14-15 Phoenix)	Local	1,473,701	
57	Donations (14-15 Phoenix)	Local	390,375	
58	Prior Year Revenue (14-15 Phoenix)	Local	(1,813,247)	
59	Sale of Fixed Assets (14-15 Phoenix)	Local	209,299	
60	Investment Income (14-15 Phoenix)	Local	-	
61	Subtotal, Items that Require Further Analysis		231,416,291	
62				
63	Grand Total		2,497,387,532	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

Item 6
Fund Expenditures Included in WAFM OE&E per FTE
(Action Item)

Issue

Should the subcommittee review funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment (OE&E) per full-time equivalent (FTE) and identify those funds determined not to be part of the equivalent, available WAFM funding and those requiring further analysis by the subcommittee?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 40 through 44 the explicit inclusion and exclusion of specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see 3B). In addition, included in the original April 26, 2013 Judicial Council report on pages 7 and 52 were the inclusion and exclusion of specific fund sources in identifying equivalent, available WAFM funding. In calculating the WAFM OE&E per FTE amount, expenditure code WAFM designations of included or excluded were utilized to determine which amounts of the courts' expenditure information should be used, but fund WAFM designations were not considered and OE&E expenditures from all funds were retrieved in calculating the WAFM OE&E per FTE amounts.

Recommendation

Review funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM OE&E per FTE and identify those funds determined not to be part of the equivalent, available WAFM funding and those requiring further analysis by the subcommittee.

Recommendation Rationale

The subcommittee has previously identified for the Judicial Council funds that are part of the equivalent, available funding and those not included in the WAFM allocation as reflected in the "Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements" (see 5B and 5C). Attachments 6B and 6C provide a reconciliation at the summary level and the detail level between the funds and subfunds identified in the Phoenix Financial System and the fund sources identified and designated in 5C, "Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements" and provides the WAFM designation of those fund sources that support the funds and subfunds. To the extent OE&E expenditures are supported by funds considered non-

WAFM and/or “Items that Require Further Analysis”, the subcommittee should consider whether those specific OE&E expenditures are to be excluded from the amount used to calculate the WAFM OE&E per FTE amount.

Attachment 6D reflects three options for the subcommittee’s consideration regarding the inclusion and exclusion of funds from the WAFM OE&E per FTE amount calculation. The first option reflects no change from the current approach in which all funds are included, the second option excludes those funds identified as non-WAFM (see columns D through G), and the third option excludes both the non-WAFM funds and the “Items that Require Further Analysis” funds (see columns H through K). The change in the WAFM OE&E per FTE amount based on the options A and B methodologies provided in Item 2 is provided in the first two columns of each option (see columns B through E, H and I, line 10, attachment 6D). In addition, for options 2 and 3, the estimated WAFM funding need change from option 1 is provided in the third and fourth columns. Under these options, the WAFM funding need would decrease by between \$30.5 million and \$72.8 million (see columns F and G, J and K, lines 8 and 9, attachment 6D).

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund Summary

		Information Located in Attachment 5C		
Fund Description	Amount	Revenue Source	Line #	Revenue Source - Designation
Capital Projects	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM
Enterprise	0.00			
General	310,454,602.57			
	295,257,045.31	Multiple Section 1 Items	2-7	WAFM
	15,197,557.26	Mostly in Local Fees	52	Items that Requires Further Analysis
Grant	15,757,136.41	Judicial Council Grants, Non-Judicial Council Grants, and Reimbursement Other	25, 33, 41-42, 44, 47-50, 54	Items that Requires Further Analysis
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM
Proprietary	7,352,826.83	Trial court benefits	N/A	WAFM, but not OE&E
Special Revenue	23,093,914.73			
	18,236,361.97	Enhanced Collections, County Program - Restricted, Children's Waiting Room, and Reimbursement Other	29, 31-33	Non-WAFM
	3,129,807.78	Replacement of 2% Automation and Automated Recordkeeping and Micrographics	8, 12	WAFM
	1,727,744.98	Mostly in Local Fees, Donations, Non-Fee Revenues	52-53, 56	Items that Requires Further Analysis

357,060,278.38

298,386,853.09

WAFM

25,990,986.64

Non-WAFM

32,682,438.65

Items that Require Further Analysis

357,060,278.38

Total

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund

		Information Located in Attachment 5C		
Fund and Subfund Description	Amount	Revenue Source	Line #	Revenue Source - Designation
Capital Projects	399,870.80			
Capital Fund	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM
Enterprise	0.00			
EZ Legal	0.00	Interest Income	55	Item that Requires Further Analysis
General	310,454,602.57			
General Fund - NTCTF	15,197,557.26	Mostly in Local Fees	52	Item that Requires Further Analysis
General Fund - TCTF	295,257,045.31	Multiple Section 1 Items	2-7	WAFM
Grant	15,757,136.41			
DOJ - Juvenile Drug Court Implementation	(0.00)	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Drug Court Discr Grant	45,876.88	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Grant Arrest Policy	(32,621.86)	Non-Judicial Council Grants	54	Item that Requires Further Analysis
SAMHSA	135,193.29	Non-Judicial Council Grants	54	Item that Requires Further Analysis
US DOJ - Local Law Enforcement Block Grant	1,506.15	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Judicial Council Grant	12,479,655.77	\$9.1 million AB 1058, \$3.4 million other Judicial Council grants	25, 41-42, 44, 47-50	Non-WAFM & Items that Require Further Analysis, AB 1058 adjustment separate
Federal Grant	2,201,575.99	Non-Judicial Council Grants	54	Item that Requires Further Analysis
State Grant	154,041.48	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Local Government Grant	548,055.22	Non-Judicial Council Grants and Reimbursement Other	54 & 33	Item that Requires Further Analysis
Private Grant	223,853.49	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Internal Service	1,927.04			
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM
Proprietary	7,352,826.83			
Retiree Self Health Insurance	265,367.86	Trial court benefits	N/A	WAFM, but not OE&E
Self Health Insurance	7,087,458.97	Trial court benefits	N/A	WAFM, but not OE&E
Special Revenue	23,093,914.73			
2% Automation	2,318,921.44	Replacement of 2% Automation	8	WAFM
Alternative Defense Program	1,604,793.59	County Program - Restricted	32	Non-WAFM
Childrens Court Parking Fund	0.00	Other Miscellaneous	34	Non-WAFM
Children's Waiting Room	3,810,596.39	Children's Waiting Room	29	Non-WAFM
Court Reporter Salary Revolving Fund	0.00	Local Fees	52	Item that Requires Further Analysis
Court Warrant System	58,250.17	Local Fees	52	Item that Requires Further Analysis
Dispute Resolution (DRPA)	668,321.00	County Program - Restricted	32	Non-WAFM
Donations - NTCTF	72,497.32	Mostly in Donations	56	Item that Requires Further Analysis
Enhanced Collections	7,475,355.66	Enhanced Collections	31	Non-WAFM
Grand Jury	293,601.27	County Program - Restricted	32	Non-WAFM
Other County Service	854,787.36	County Program - Restricted & Reimbursement Other	32-33	Non-WAFM
Pre-Trial Services	47,946.63	County Program - Restricted	32	Non-WAFM
Service of Process	13,985.28	Mostly in Reimbursement Other	33	Non-WAFM
Small Claims Advisory	41,732.28	County Program - Restricted	32	Non-WAFM
Teen Court	12,857.48	Mostly Non-Fee Revenues	53	Item that Requires Further Analysis
Traffic Violator Fee	243,743.47	Local Fees and other items	52	Item that Requires Further Analysis
Court Facilities Maintenance Fund	3,425,242.51	Reimbursement Other	33	Non-WAFM
Special Revenue Fund-Other	1,340,396.54	Mostly in Local Fees and other items	52	Item that Requires Further Analysis
Automated Record/Micrographics	810,886.34	Automated Recordkeeping and Micrographics	12	WAFM
	357,060,278.38			
	298,386,853.09	WAFM		
	25,990,986.64	Non-WAFM		
	32,682,438.65	Items that Require Further Analysis		
	357,060,278.38	Total		

WAFM Operating Expenses and Equipment Excluded Funds Options

#		OPTION 1 - NO CHANGE			OPTION 2				OPTION 3			
		ATTACHMENT 2D OPTIONS ADJUSTED THRU ATTACHMENT 4D NO FUNDS EXCLUDED			NON-WAFM FUNDS EXCLUDED		CHANGE FROM OPTION 1		NON-WAFM FUNDS AND ITEMS THAT REQUIRE FURTHER ANALYSIS EXCLUDED		CHANGE FROM OPTION 1	
		FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
	Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G	Col. H	Col. I	Col. J	Col. K	
1	OE&E per FTE											
2	Cluster 1	27,928.05	32,988.88	30,569.25	31,839.85	29,625.12	(1,149.03)	(944.13)	24,922.36	24,124.59	(8,066.51)	(6,444.66)
3	Clusters 2 - 4	20,287.27	23,619.33	22,342.00	21,884.09	20,691.80	(1,735.24)	(1,650.20)	19,766.69	18,601.78	(3,852.64)	(3,740.22)
4	FY 2015-16 RAS FTE Need											
5	Cluster 1	266	266	266	266	266	266	266	266	266	266	266
6	Clusters 2 to 4	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337
7	FY 2015-16 OE&E Need											
8	Cluster 1	7,428,861	8,775,042	8,131,421	8,469,401	7,880,282	(305,641)	(251,139)	6,629,349	6,417,141	(2,145,693)	(1,714,280)
9	Clusters 2 to 4	372,007,614	433,107,684	409,685,254	401,288,582	379,425,537	(31,819,102)	(30,259,717)	362,461,794	341,100,840	(70,645,891)	(68,584,414)
10	Change from FY 2015-16 OE&E		62,446,252	38,380,200	30,321,508	7,869,344	N/A	N/A	(10,345,332)	(31,918,493)	N/A	N/A
11	Cluster 1	-	1,346,181	702,560	1,040,540	451,421	N/A	N/A	(799,512)	(1,011,720)	N/A	N/A
12	Clusters 2 to 4	-	61,100,071	37,677,640	29,280,968	7,417,923	N/A	N/A	(9,545,820)	(30,906,774)	N/A	N/A

¹ Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

This page intentionally left blank

Item 7
Civil Assessments and WAFM
(Discussion Item)

Issue

Assign three members to review civil assessments with the sole objective of determining whether civil assessments should be included in each court's equivalent, available WAFM funding amount. This effort relates to Item 3 for 2015-2016 of the Funding Methodology Subcommittee's work plan, "[e]valuate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)." The members will report back to the subcommittee with any findings at its meeting planned for the end of February 2016 with the intention of reporting to the Trial Court Budget Advisory Committee at its March 10, 2016 business meeting.