



JUDICIAL COUNCIL OF CALIFORNIA

TRIAL COURT BUDGET
ADVISORY COMMITTEE

TRIAL COURT BUDGET ADVISORY COMMITTEE REVENUE AND EXPENDITURE SUBCOMMITTEE

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JUDICIAL COUNCIL OF CALIFORNIA

TRIAL COURT BUDGET
ADVISORY COMMITTEE

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TRIAL COURT BUDGET ADVISORY COMMITTEE REVENUE AND EXPENDITURE SUBCOMMITTEE

MINUTES OF OPEN MEETING

February 4, 2016
12:18 p.m. - 1:38 p.m.
Teleconference

Advisory Body Members Present: Judges: Hon. Laurie M. Earl (Co-chair), Hon. Barry P. Goode, Hon. James E. Herman, Hon. Cynthia Ming-Mei Lee, Hon. Paul M. Marigonda, Hon. Brian L. McCabe, and Hon. Winifred Younge Smith.

Executive Officers: Ms. Sherri R. Carter (Co-chair), Mr. Richard D. Feldstein, Ms. Rebecca Fleming, Mr. Jose Octavio Guillen, Mr. Michael D. Planet, Mr. Brian Taylor, and Mr. David H. Yamasaki.

Advisory Body Members Absent: None.

Others Present: Judges: Hon. Daniel J. Buckley, Hon. Judge Jonathan B. Conklin, Hon. Judge David M. Rubin, and Hon. Judge Marsha G. Slough.
Judicial Council staff: Ms. Deborah Brown, Mr. Bob Buckley, Mr. Michael Derr, Mr. Mark Dusman, Ms. Diana Earl, Ms. Lucy Fogarty, Ms. Linda Foy, Ms. Melanie Hayden, Ms. Olivia Lawrence, Ms. Heather Petit, Mr. Colin Simpson, Mr. Zlatko Theodorovic, Ms. Patti Williams, and Mr. Catravel Wood.

OPEN MEETING

Call to Order and Roll Call

The meeting was called to order at 12:18 p.m. and roll was taken.

Approval of Minutes

The advisory body unanimously approved the minutes of the December 14, 2015 meeting.

Public Comment

No written comments were received.

DISCUSSION AND ACTION ITEMS (ITEMS 1 – 3)

Item 1 – Governor's Budget Proposal for FY 2016–2017 (Discussion Item)

No action taken. Judge Conklin and Zlatko Theodorovic discussed information on the Governor's proposed new funding impacting the State Trial Court Improvement and Modernization Fund (IMF).

Item 2 – Trial Court Trust Fund- Fund Condition (Discussion Item)

No action taken. Colin Simpson provided an update on the condition of the Trial Court Trust Fund based on the Governor's proposed budget for 2016–2017.

Item 3 – State Trial Court Improvement and Modernization Fund (Action Item)

The Revenue and Expenditure Subcommittee unanimously adopted the following as recommendations for the Trial Court Budget Advisory Committee:

1. For the Telecommunications program in 2016-2017, address the goal of replacing equipment on the schedule through Scenario 3 by either financing the purchase of some equipment or by lease arrangement, with the option of lease vs. finance to be determined by Judicial Council.
2. Allocate funding in 2016–2017 to the following programs managed by the Legal Services Office:
 - Judicial Performance Defense Insurance – \$966,600 (no change from 2015–2016);
 - Litigation Management Program – \$4,000,000 (no change from 2015–2016);
 - Trial Court Transactional Assistance Program – \$651,000 (\$200,000 increase from 2015–2016); and
 - Regional Office Assistance Group – \$1,260,000 (\$200,000 decrease from 2015–2016).

A D J O U R N M E N T

There being no further business, the meeting was adjourned at 1:38 p.m.

Approved by the advisory body on enter date.

Item 2
Funding for the Creation of a Placer Court Hosting Center
(Action Item)

Issue

Consider (1) a request to support the Judicial Council Technology Committee's recommendation to the Judicial Council to adopt a collaborative plan to eliminate subsidies from the State Trial Court Improvement and Modernization Fund for the CCTC-related costs for the Intermediate Case Management System program by FY 2019–2020 and (2) a related request by a consortium of seven courts for one-time funding for the Placer Court Hosting Center.

Request

Request #1

The Trial Court Budget Advisory Committee recommend to the Judicial Council, consistent with actions taken by the Judicial Council Technology Committee on April 14, 2016, that the council do the following:

- a) Endorse the position that all Sustain hosted courts move away from the current IMF subsidized funding structure to an IT administrative program that is funded in a manner consistent with other trial courts throughout the state.
- b) Endorse “scenario 3: Elimination of the Interim Case Management System and Managed Court Program use of the California Court Technology Center (CCTC), if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.”
- c) Via the Judicial Council Technology Committee and the Trial Court Budget Advisory Committee, find one-time funding for the support of this effort, as early as the current year.
- d) Continue to support the Sustain hosted courts in their efforts to acquire a replacement of the outdated Interim Case Management System as a longer term goal, which would further reduce the IMF expenditures.

Request #2

Recommend to the Judicial Council that \$736,500 in one-time funds be allocated or otherwise provided to the six courts participating in the Placer Court Hosting Center. Funds could be allocated in FY 2015–2016 or 2016–2017 to support the program. Due to the length of time to secure DMV connectivity and to ensure courts can encumber their own funds in the current year, however, a decision is needed prior to the close of FY 2015–2016.

Rationale

The rationale for the requests are discussed in a March 1, 2016 memo from Placer court to the chairs of the JCTC and TCBAC (Attachment A) and in a April 9, 2016 memo from Placer court to the chair of the JCTC (Attachment B). The requested \$736,500 does not include a possible one-time funding need of up to \$1.125 million for Humboldt and Madera Superior Courts (see page 11 of Attachment B).

The collaborative plan developed by the ICMS courts and Managed Courts estimates that the proposal endorsed by JCTC will reduce the annual subsidy from the IMF for Sustain and the

Managed Court hosting costs (i.e., CCTC costs) from about \$796,959 annually to zero in FY 2019–2020. A subsidy for the ICMS software support, of approximately \$650,000, is proposed to remain until the ICMS program is fully replaced (via a separate project already underway).

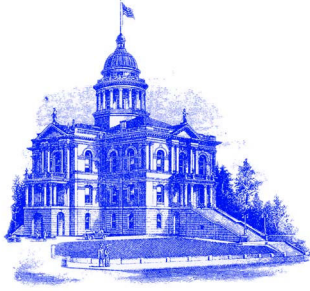
Possible Funding Sources

As its April 2015 business meeting, the council approved the TCBAC’s recommendation to “require that any new proposal that would rely on Trial Court Trust Fund or State Trial Court Improvement and Modernization Fund funding, or that would add new costs to an existing program above the program’s FY 2014–2015 level, must include information on alternative funding options and must be reviewed by the Trial Court Budget Advisory Committee prior to presentation to the Judicial Council for consideration.”

Placer court has identified the following funding sources and issues:

- TCTF (Branch level)
- IMF (Branch level)
- Local court fund balance or operating funds
 - Courts have identified their available funds and these amounts have been included in the analysis.
- General Fund via BCP
 - Unlikely to receive support and would result in significant delay to achieving savings for both the IMF and the local courts.

The main difference between funding the request from the TCTF vs. the IMF, at least in the near term, is that funding from the TCTF would come from courts’ TCTF allocation or other discretionary reimbursement programs funded from the TCTF (e.g., jury) and funding from the IMF would come from allocations that would otherwise fund other IMF programs.



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March 1, 2016

Hon. Marsha Slough, Chair
Judicial Council Technology Committee

Hon. Jonathan Conklin, Chair
Trial Court Budget Advisory Committee

Re: Funding Request – Creation of Seven Court Information Technology
Infrastructure Consortium

Hon. Marsha Slough and Hon. Jonathan Conklin,

On behalf of the Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra¹, and Trinity² (Hosted Courts), the Superior Court of Placer County (Placer Court) is requesting funding and/or Schedule C relief in the amount of \$238,500 in current year (FY 15/16) and \$498,000 in FY 16/17 to support the creation of the Placer Court Hosting Center (PCHC). The PCHC will provide a hosting location for six small Superior Courts' information technology (IT) infrastructure. The Hosted Courts join in this request.

This one time funding request will help to:

1. Support the Judicial Council's direction to the Judicial Council Technology Committee and the Trial Court Budget Advisory Committee to develop a plan for the eventual elimination of the Interim Case Management System (ICMS).
2. Reduce Improvement and Modernization Fund (IMF) expenditures related to costs for both the California Court Technology Center (CTCC) and the ICMS program.
3. Reduce annual IT related expenses for the Hosted Courts.

¹ Case management system only.

² Case management system only.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Details of the request are provided on the attached Funding Request.

The Placer and Hosted Courts are requesting expedited review of this request to ensure work can begin in April 2016. Work must begin no later than April to ensure implementation is complete in time to provide relief to the IMF at the start of FY 17/18.

On behalf of the participating courts, we are prepared to answer any questions you or your Committees may have and will make ourselves available to any future meetings.

Thank you for your consideration,

Jake Chatters
Court Executive Officer
Placer Superior Court

Krista LeVier
Court Executive Officer
Lake Superior Court

Ronda Gysin
Court Executive Officer
Modoc Superior Court

Deborah Norrie
Court Executive Officer
Plumas Superior Court

Gil Solario
Court Executive Officer
San Benito Superior Court

Lee Kirby
Court Executive Officer
Sierra Superior Court

Staci Holliday
Interim Court Executive Officer
Trinity Superior Court

**Funding Request
Creation of Seven Court Information Technology
Infrastructure Consortium
March 1, 2016**

Submitted to:
Judicial Council Technology Committee
Trial Court Budget Advisory Committee

Submitted by the Superior Courts of:
Placer (lead), Lake, Modoc, Plumas, San Benito, Sierra, Trinity

Summary of Request

The Superior Court of Placer County (Placer Court) is requesting funding in the amount of \$238,500 in current year (FY 15/16) and \$498,000 in FY 16/17 to support the creation of the Placer Court Hosting Center (PCHC). The PCHC will provide a hosting location for six small Superior Courts' information technology (IT) infrastructure. Participating in this effort are the Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra³, and Trinity⁴ (Hosted Courts). The Hosted Courts join in this funding request.

This request is consistent with the Judicial Council's Technology Governance and Funding Model and the Judicial Council's Strategic Plan for Technology (2014-2018), Judicial Council's April 2014 directive to the Judicial Council Technology Committee (JCTC) to "eventually eliminate subsidies from the TCTF and IMF for both V-3 and ICMS" and with actions taken by the Judicial Council at its February 19, 2015 meeting that directed the JCTC and the Trial Court Budget Advisory Committee (TCBAC) to form a group "to focus on information technology (IT) efficiencies and cost saving measures for smaller courts."

Background and Program Components

The Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra, and Trinity (Hosted Courts) rely on the California Court Technology Center (CTCC) and Judicial Council's Information Technology (JCIT) for most, if not all, of their technology infrastructure. The scope of the services varies by court but generally includes hosting of email, file servers, websites, jury management systems, case management systems, and other mission critical applications.

³ Case management system only.

⁴ Case management system only.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Charges for these services include both general hosting charges for baseline IT infrastructure and charges related to the SUSTAIN Justice Edition Case Management System, generally referred to in Judicial Council documents as the Interim Case Management System (ICMS) program.

Due to the ongoing deficit in the IMF, the TCBAC Revenue and Expenditure Subcommittee has undertaken detailed review of all expenditures from the IMF. This review highlighted that the Hosted Courts are not paying the full cost of either the IT infrastructure-related CTCC charges or the full cost of the ICMS program⁵. Significant dialogue between the JCTC, TCBAC, the Hosted Courts, and JCIT has resulted in a number of specific actions or directives from the Judicial Council. In particular the April 2014 directive to “eventually eliminate subsidies from the TCTF and IMF for both V-3 and ICMS” and its February 2015 directive that the JCTC and TCBAC form a group “to focus on information technology (IT) efficiencies and cost saving measures for smaller courts.”

Initial focus of the JCTC and TCBAC focused on the V3 courts due to the significantly higher cost of that program. The Hosted Courts, concerned about unknown and potentially large cost increases in future years continued to discuss and consider options for finding a stable IT infrastructure at lower cost.

In spring 2015, the Placer Superior Court extended an invitation to the eight ICMS-hosted courts to participate in an evaluation of a court-based IT hosting center for their case management system. The six Hosted Courts expressed interest in the evaluation. The Humboldt and Madera Superior Courts declined to participate at that time.

The Placer Superior Court IT staff met with each of the interested courts throughout the fall of 2015 to identify specific needs and construct an appropriate solution. This effort made it clear that hosting of the case management system alone did not address the Hosted Courts’ needs. Instead, to allow for the greatest cost savings and operational efficiency, any solution would need to include all IT infrastructure.

In December 2015, the Placer Superior Court provided a proposal to the Hosted Courts to create the Placer Court Hosting Center (PCHC). Under this proposal, the PCHC will provide:

- All servers, located at the Gibson Courthouse in Roseville.
- Hosting of Journal Technologies SUSTAIN SJE or eCourt⁶ case management system.

⁵ This review also highlighted that V3 courts were receiving an implicit subsidy for their case management system. Significant effort has been undertaken to support the move of V3 courts away from that solution and the CTCC. Those efforts are not discussed in any detail in this request.

⁶ Only courts currently using these programs were included in the analysis. The Placer Court currently uses both SJE and eCourt and has expertise in the establishment and maintenance of required servers.

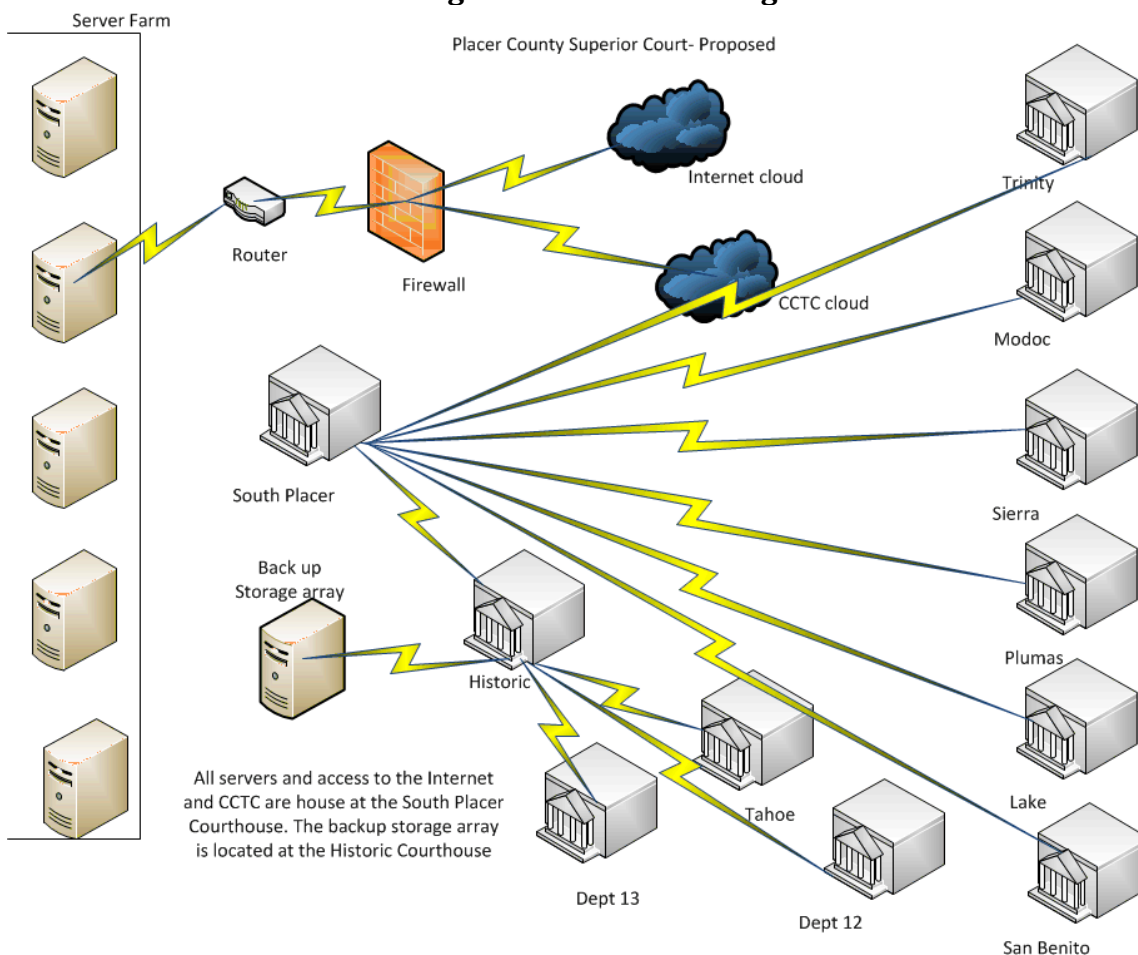
Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

- Uniform IT policies and security rules.
- Centralized connection to the PCHC, external connections running from the PCHC to the eventual location (for example, hosted court connects to the PCHC, which then connects to the Phoenix application).
- Services will be provided Monday – Friday, 7 am to 5 pm
- The PCHC would host (or manage contracts for hosting⁷) the following non-exclusive list:
 - Internet
 - Email, including archiving
 - File storage (i.e. reports, memos, etc)
 - Conduit to the California Courts Technology Center for connection to Phoenix (financial system) and the California Court Protective Order Registry
 - Connection to DMV
 - Journal Technologies SJE and/or eCourt case management system
 - Jury Management Systems
 - Document Management Systems
 - DNS
 - DHCP
 - Domain Naming
 - Jury instructions
 - Martin Dean Essential Forms
 - XSpouse
 - XArrears
 - Microsoft Office (routine purchase or Office 365 at Hosted Court preference)
 - Backup and recovery services
 - Website hosting.

Exhibit 1 provides a visual representation of the new PCHC.

⁷ Some applications may be purchased as software as a service to avoid the need for local installation.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Exhibit 1: Placer Court Hosting Center Network Diagram

The PCHS proposal included a court by court cost analysis for the transition from the CTCC to the PCHC for each court along with a five year projection of operating and replacement costs (see Financial Summary section).

In January 2016, all six Hosted Courts expressed their desire to move to the PCHC.

Financial Summary and Funding Request

Implementation Costs

The total one-time cost to bring the six courts into the PCHC is approximately **\$988,000**. Exhibit 2 provides a high-level summary of the deployment costs.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Exhibit 2: Total Implementation Costs

| Cost Category | \$ |
|---|------------------|
| Vendor Costs (All Courts) | \$393,000 |
| Vendor Costs-Additional for San Benito/Lake | \$65,000 |
| Staffing Costs | \$256,000 |
| Hardware/Software Costs | \$274,000 |
| Total | \$988,000 |

Implementation costs will be spread over two fiscal years as follows:

FY 15/16 -- \$450,000

FY 16/17 - \$538,000.

Ongoing Costs

Annual ongoing costs will be approximately \$373,000⁸. This cost will be allocated to each participating court on a per user basis. These costs are inclusive of all direct hardware, software, services, and staff costs.

By comparison, the six courts currently pay \$768,000 annually to the Judicial Council for hosting costs. The Judicial Council pays an additional \$373,000 annually for data center costs related to the ICMS that is paid for by the IMF⁹. It is unclear whether there are additional non-case management system hosting costs paid by the JCC that are not passed on to the court. Further the \$768,000 paid by the Hosted Courts does *not* include any costs related to JCC staff support of the ICMS. The PCHC is not intended to replace the work done by JCC staff to support the ICMS program, only to replace the data center costs.

Total annual ongoing costs for PCHC: \$373,000

Current CTCC annual costs related to ICMS and the Hosted Courts: \$1,141,000¹⁰

Moving to the PCHC would result in a significant savings for the Hosted Courts and the IMF. Assuming that only 60% of the CTCC costs can be avoided, there would be a savings of \$470,000 annually in data center costs alone. Providing a return on the

⁸ Does not include estimate of cost increases between current and start of project. Does include rough increase of 5% per year for inflation after Year 1. Year 5 will have a significantly higher cost due to routine hardware replacement. Does not include costs for CMS, DMS, JMS, or other software maintenance paid directly by hosted courts to their vendors. Also does not include Office 365, if hosted courts choose this option.

⁹ The ICMS Program receives IMF funding totaling \$1.039 million in FY 15/16. Of that total, \$373,000 is required for CTCC costs associated with the ICMS Program. The additional expenditures relate to staffing and consultants to support the ICMS Program. A separate effort is underway to replace the ICMS Program in a way that relieves expenses from the IMF. Further, the \$373,000 represents the total CTCC costs and includes charges necessary to support the two hosted courts that are NOT included in the PCHC.

¹⁰ Represents the costs paid by Hosted Courts for non-ICMS hosting costs and the ICMS hosting costs. Does not include expenditure by the JCC using IMF or other funds for non-ICMS hosting costs attributable to the Hosted Courts but not included in their Schedule C charges.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

initial implementation costs in just 2.1 years. This is a low estimate given that there are likely other JCC costs related to hosting these courts that have not been identified.

Schedule

The intent of the participating courts is to complete the transition to the PCHC by June 30, 2017. This would enable the Judicial Branch to begin decommissioning elements of the CTCC beginning in January 2017 and complete the ramp down of the portions related to the participant courts effective July 1, 2017. To accomplish this goal, the courts have agreed to the following rough schedule:

- December 2015-January 2016 – Hosted Courts decision to move forward with concept.
- February-March 2016 – Development and execution of Intra-Branch Agreements.
- April-June 2016 – Infrastructure design and purchase.
- July-November 2016 – Network build, DMV interface for all courts built, design of data migration, SUSTAIN environment created at Placer Court for all other courts.
- December 2016-June 2017 – Courts moved onto PCHC, one per month.
- June 30, 2017 – All implementation activities complete
- July 1, 2017 – First year of program officially begins.

Funding Request

The Hosted Courts are requested funding and/or Schedule C relief of:

- **FY 16/17 - \$238,500**
- **FY 17/18 - \$498,000**

This request is for one-time funding and/or Schedule C relief to support the transition to the PCHC. No ongoing funding is requested.

The Hosted Courts are in very different financial positions, but have been able to identify the following funding for the one-time costs as outlined in Exhibit 3.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Exhibit 3: Funding Available // Requested By Fiscal Year

| | Court | Funding Available | Remaining Cost |
|--------------------------------------|--------------------------------------|--------------------------|-----------------------|
| FY 15/16 Implementation Costs | | | \$ 450,000 |
| | Lake | \$ 40,000 | \$ 410,000 |
| | Modoc | \$ 20,000 | \$ 390,000 |
| | Plumas/Sierra | \$ 71,500 | \$ 318,500 |
| | San Benito | \$ 55,000 | \$ 263,500 |
| | Trinity | \$ 25,000 | \$ 238,500 |
| | Total Funding Available 15/16 | \$ 211,500 | |
| | Funding Requested FY 15/16 | | \$ 238,500 |
| FY 16/17 Implementation Costs | | | \$ 538,000 |
| | Lake | \$ - | \$ 538,000 |
| | Modoc | \$ 8,000 | \$ 530,000 |
| | Plumas/Sierra | \$ 17,000 | \$ 513,000 |
| | San Benito | \$ 15,000 | \$ 498,000 |
| | Trinity | \$ - | \$ 498,000 |
| | Total Funding Available 16/17 | \$ 40,000 | |
| | Funding Requested FY 16/17 | | \$ 498,000 |
| | TOTAL FUNDING REQUESTED | | \$ 736,500 |

The Hosted Courts will continue to monitor their budgets for additional funding that may become available to support this project. Due to the need for the Placer Superior Court to execute contracts with third party vendors, the funding requests cannot wait until later in the current year. If the Hosted Courts identify additional available funding to provide to the project, the amount provided by Branch funds could be reduced by an equal amount.

The Hosted Courts and Placer Superior Court are open to any option on how best to provide the requested funding. Inter-branch agreements are being developed between the Placer Superior Court and each Hosted Court to allow for the provision of services and related payment. As such, the Committees may wish to provide funding to the Hosted Courts. Alternatively, in lieu of an additional allocation, an action could be taken to waive the Hosted Courts' Schedule C costs in both fiscal years. Finally, the requesting courts are not opposed to funding being provided directly to the Placer Superior Court for this project, should the Committees believe this the most effective course of action.

Closing

The Placer Superior Court and the Hosted Courts appreciate the opportunity to present this funding request and thank both the JCTC and TCBAC for their consideration and welcome the opportunity to provide additional detail or answer any of the Committees' questions.



**Superior Court of the State of California
In and For The County of Placer
Roseville, California**

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April 9, 2016

Hon. Marsha Slough, Chair
Judicial Council Technology Committee

Re: Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program

Hon. Marsha Slough:

On March 1, 2016, the Placer Court Hosting Center (PCHC) project courts submitted a joint funding request to the Judicial Council Technology Committee (JCTC) and the Trial Court Budget Advisory Committee (TCBAC). The funding request raised a number of policy-related questions regarding the impact of the move of the PCHC participants on other ICMS courts.

Attached to this memorandum, please find a request that the Judicial Council Technology Committee approve a cooperatively developed plan for the eventual elimination of subsidies from the TCTF and IMF for the ICMS and Managed Court Programs. This request attempts to answer some of the questions raised and provides scenarios that meet the Judicial Council's directive to the JCTC while attempting to minimize the impacts on the local courts.

Although this policy-focused request and the potential impacts have been discussed with the impacted courts, the attached document has not been formally approved by those courts.

The attached could not have been prepared without extensive assistance and information from Judicial Council Information Technology staff, and I would like to express my appreciation for their time and efforts.

Please do not hesitate to contact me if you or the JCTC members have any questions.

Sincerely,

/s/

Jake Chatters
Court Executive Officer
Superior Court of Placer County

Attachment: Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program (Hosting), April 9, 2016

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program (Hosting)

April 9, 2016

Background

In April 2014 the Judicial Council directed the Judicial Council Technology Committee (JCTC) to “develop a plan to eliminate the subsidies from the IMF and the TCTF to courts for CCMS V3 and Sustain Justice Edition costs, and to make recommendations to the Judicial Council”. The Judicial Council expanded on this topic in February 2015 by issuing a directive that the JCTC and Trial Court Budget Advisory Committee (TCBAC) form a group “to focus on information technology (IT) efficiencies and cost saving measures for smaller courts.”

On March 1, 2016, a seven court consortium¹ submitted a funding request to the JCTC and TCBAC to support the creation of the Placer Court Hosting Center (PCHC), which would allow those courts to move away from the California Court Technology Center (CCTC) and reduce subsidies provided by the IMF in future years.

The March 1 funding request raised some questions about the impact of this move on the other courts participating in the ICMS and Managed Court Programs.

This document attempts to address those questions, presents a number of scenarios that would address the Judicial Council’s 2014 directive, and concludes with a request for action by the JCTC.

This request is being submitted as supplemental information to the March 1, 2016 funding request. Unlike the original request, however, it has not been formally reviewed or approved by all PCHC courts. The ultimate request is made by the author.

Scope

The California Court Technology Center (CCTC) hosts varied systems for use by the Judicial Council and its staff agency, the courts of review, and the trial courts. This report focuses solely on the following systems and/or programs hosted at CCTC:

- **Interim Case Management System (ICMS) Program** – The ICMS program provides project management and technical expertise to support the eight (8) trial courts which have their Sustain Justice Edition (SJE) case management system hosted at the CCTC. As a result of reduced ICMS program funding, the ICMS support has been primarily focused on maintenance and operations activities which are required such as implementation of

¹ The seven court consortium included the Superior Courts of Lake, Modoc, Placer, Plumas, Sierra, San Benito and Trinity.

legislative updates, production support, patch management, CCTC infrastructure support and CCTC hosting services. There is also ICMS support for minimal enhancements requested by the courts.

- **Managed Court Program** – The Managed Court Program provides information technology hosting services for six courts. This includes provision of IT services beyond the case management system including, but not limited to, email, file storage, backup and recover, and critical business applications. Five courts are currently fully hosted with a sixth court using hosted email services only.

For purposes of this document, all other CCTC services are assumed to remain constant.

Objectives

The analysis contained in this document intends to:

- Eliminate subsidies from the TCTF and IMF for the ICMS and Managed Court programs beginning in FY 17/18 with complete elimination by the end of FY 18/19.
- Ensure continued case management system operation for the courts using the ICMS program.
- Ensured continued operation for courts participating in the Managed Court program.
- Support an IT administrative structure that is consistent with funded in a manner consistent with other trial courts throughout the state.
- Accomplish this task within existing Branch funding.

Key Assumptions

- The ICMS program courts are working on an RFP for a replacement of that system. It is anticipated that this RFP will be issued in Spring/Summer 2016. A Budget Change Proposal will be required to fund the replacement of the system. It is anticipated that the BCP will be submitted for consideration in the FY 17/18 Budget Year. Assuming the BCP is funded, implementation activities would likely begin in early 2018 with completion in 2020 or beyond.

For these reasons, this project assumes that courts will continue to use the existing ICMS application during the time period being reviewed and does not include information on potential case management system replacement or any related future support costs.

All cost projections focus on savings related to the hosting or CTCC costs of the ICMS program only. Current budget for JC IT staff and consultants that support the case

management system would continue until the ICMS system is fully replaced.

Any reference to eliminating subsidies from the IMF or TCTF is specifically focused on the CTCC and other hosting related costs. It is assumed the IMF will continue to fund ICMS application support until that program is replaced.

- The policy direction to eliminate funding remains a commitment of the Judicial Council. For purposes of this plan, a goal date of complete elimination by the end of FY 18/19 was used. The Judicial Council did not select a date in their previous actions related to the ICMS Program.
- Implementation of this effort will not require the issuance of a Budget Change Proposal. If a Budget Change Proposal is required, all or part of the activities, and any related financial projections, may be delayed.
- Implementation dates are for planning purposes and still need to be confirmed and agreed to by the involved courts.
- One time costs for the Humboldt, Madera, and San Luis Obispo courts were developed during initial planning conversations with those courts. As such they are preliminary only.
- That the Judicial Council adopts the procedures for “Trial Court Reserves Held in the Trial Court Trust” being recommended by the TCBAC at its April 15, 2016 meeting.

Scenarios

This section presents three options for achieving the objectives of this effort. Specifically:

- **Scenario 1: No Change in Program, subsidies eliminated with phased-in approach starting FY 17/18 and fully eliminated for the start of FY 19/20.**
The ICMS and Managed Courts programs remain at the CTCC, but the subsidies from the TCTF and IMF related to hosting costs are phased out starting in FY 17/18 and are completely eliminated for the start of FY 19/20. One ICMS court has already announced plans to move out of the CTCC in 2018 and this scenario includes completion of that effort.
- **Scenario 2: Partial reduction of use of CTCC, subsidies eliminated with phased-in approach starting FY 18/19 and fully eliminated for the start of FY 19/20.**
Some of the courts using the ICMS and Managed Courts programs leave the CTCC beginning in FY 16/17 and completing in FY 18/19. Any remaining courts using these services at the CTCC are fiscally responsible for all hosting related costs of the program, with a two year phase in starting in FY 18/19.

- **Scenario 3: Elimination of the ICMS and Managed Court Program use of CTCC, if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.**

All courts using ICMS and the Managed Courts program leave the CTCC. Courts begin leaving in FY 16/17 with the final court eliminating use of CTCC by the end of December 2018. If any courts remain on these programs at the CTCC at the start of FY 19/20, those courts would be responsible for any costs of the program.

Scenario 1: No Change in Program, subsidies eliminated with phased-in approach starting FY 17/18 and fully eliminated for the start of FY 19/20.

Under this scenario, the ICMS and the managed court programs continue to be hosted at the CCTC. The Imperial Superior Court has already announced their plans to leave the ICMS program in 2018. With the Imperial Superior Court's departure, at the conclusion of FY 18/19:

- ICMS Program continues to support the courts of Humboldt, Lake, Madera, Modoc, Plumas/Sierra, San Benito, and Trinity.
- Managed Court program continues to support the courts of Lake, Madera, Modoc, Plumas, San Benito, Trinity, and San Luis Obispo (email only).

The TCTF and IMF continue to subsidize these programs fully through FY 16/17. Starting in FY 17/18, the subsidy is reduced by 25%, shifting this cost burden to the participating courts. In FY 18/19, the subsidy is reduced by 50% (total), shifting an additional 25% of the cost to the participating courts. No subsidy is provided in FY 19/20 and the courts are paying for the full cost of the program.

All mention of elimination of the "subsidy" refers to covering hosting-related costs. As previously outlined, costs related to the support of the ICMS application, currently funded by the IMF, are assumed to continue until that system is replaced.

Exhibit 1, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 1: Scenario 1 Budget Breakdown

| Line Item | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| Expenses | | | | | |
| ICMS Program: Hosting Costs at CCTC | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,232,549 | \$ 1,208,580 |
| --Less: ICMS Program Cost Reduction at CCTC-Imperial Departure | | | | | \$ (65,000) |
| ICMS Program: Software Support | \$ 657,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 |
| Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff) | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 |
| TOTAL EXPENSES | \$ 3,077,214 | \$ 2,866,213 | \$ 2,884,276 | \$ 2,884,276 | \$ 2,795,307 |
| Revenues | | | | | |
| Base Schedule C from Courts (Schedule C - FY 15/16 Base Year) | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 |
| --(Less) Imperial Leaves CCTC/No Longer Participates in Costs | | | | \$ (161,160) | \$ (161,160) |
| Subtotal - Base Payments from Courts | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,461,665 | \$ 1,461,665 |
| Additional Revenue from Courts Due to Elimination of Subsidy: | | | | | |
| --Add 25% Reduction in Subsidy | | | \$ 149,505 | \$ 189,795 | \$ 167,553 |
| --Add Additional 25% Reduction in Subsidy | | | | \$ 189,795 | \$ 167,553 |
| --Add Elimination of Remaining Subsidy | | | | | \$ 335,106 |
| Subtotal - Additional Revenue from Courts | \$ - | \$ - | \$ 149,505 | \$ 379,590 | \$ 670,212 |
| Base IMF Funding (FY 15/16 Base Year) | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 |
| --Add/(Less) ICMS Program: Hosting Costs at CCTC Changes | | \$ (217,001) | \$ (198,938) | \$ (198,938) | \$ (287,907) |
| --Add/(Less) ICMS Program: Software Support Changes | | \$ 6,000 | \$ 6,000 | \$ 6,000 | \$ 6,000 |
| --Add/(Less) Managed Courts Program: Hosting Cost Changes | | \$ - | \$ - | \$ - | \$ - |
| Subtotal - Base IMF Funding w/ Cost Adjustments | \$ 1,454,389 | \$ 1,243,388 | \$ 1,261,451 | \$ 1,261,451 | \$ 1,172,482 |
| IMF Funding Adjustments | | | | | |
| --Add/(Less) Shortfall in Local Court Revenue/Base IMF | \$ - | \$ - | \$ - | \$ 161,160 | \$ 161,160 |
| --(Less) 25% Reduction in Subsidy | | | \$ (149,505) | \$ (189,795) | \$ (167,553) |
| --(Less) Additional 25% Reduction in Subsidy | | | | \$ (189,795) | \$ (167,553) |
| --(Less) Elimination of Remaining Subsidy | | | | | \$ (335,106) |
| Subtotal - IMF Funding Adjustments | \$ - | \$ - | \$ (149,505) | \$ (218,430) | \$ (509,052) |
| TOTAL REVENUE | \$ 3,077,214 | \$ 2,866,213 | \$ 2,884,276 | \$ 2,884,276 | \$ 2,795,307 |
| BALANCE | \$ - | \$ - | \$ - | \$ - | \$ - |
| Summary of Revenue by Fund Type | | | | | |
| Subtotal - IMF Revenue | \$ 1,454,389 | \$ 1,243,388 | \$ 1,111,946 | \$ 1,043,021 | \$ 663,430 |
| Subtotal - Local Court Revenue | \$ 1,622,825 | \$ 1,622,825 | \$ 1,772,330 | \$ 1,841,255 | \$ 2,131,877 |

Scenario 2: Partial reduction of use of CTCC, subsidies eliminated with phased-in approach starting FY 18/19 and fully eliminated for the start of FY 19/20.

Under this scenario, the majority of courts shift to alternative locations and no longer use the CCTC for either ICMS or the Managed Court program. This occurs as follows:

- A seven court consortium creates the Placer Court Hosting Center (PCHC), located at the Placer Superior Court. This results in six ICMS courts leaving the CCTC and five courts discontinuing participation in the Managed Court program. This occurs over two years:
 - Plumas/Sierra and Lake Courts move in late FY 16/17 (contemplated as April and May 2017).
 - Trinity, San Benito, and Modoc move in early FY 17/18 (contemplated as July, August, September 2017).
- The Imperial Superior Court leaves the ICMS program in December 2018.

At the conclusion of FY 18/19:

- ICMS Program continues to support the courts of Humboldt and Madera.
- Managed Court program continues to support Madera and San Luis Obispo (email only).

The TCTF and IMF continue to subsidize these programs fully through FY 16/17. Relief to the IMF begins in FY 17/18 exclusively through reduction of costs associated with the six courts that depart to the PCHC. No additional charges are passed on to the courts remaining at CCTC. In FY 18/19, the subsidy is reduced by 50%, shifting the cost to the courts remaining on the CCTC. No subsidy is provided in FY 19/20 and the courts are paying for the full cost of the program.

All mention of elimination of the “subsidy” refers to covering costing-related costs. As previously outlined, costs related to the support of the ICMS application, currently funded by the IMF, are assumed to continue until that system is replaced.

A one-time funding request has been submitted by the seven court consortium, spread over two fiscal years, to support transition costs to the PCHC. This funding has been requested for FY 15/16 and FY 16/17. Because the Judicial Council will not be able to act on the request until June 2016, it is likely that this funding will instead be needed in FY 16/17 with a small carryover need in FY 17/18.

Exhibit 2, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 2: Scenario 2 Budget Breakdown

| Line Item | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|
| Expenses | | | | | |
| ICMS Program: Hosting Costs at CCTC | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,232,549 | \$ 1,208,580 |
| --Less: ICMS Program Cost Reduction at CCTC-Imperial Departure | | | | | \$ (65,000) |
| --Less: ICMS Program: Hosting Cost Reduction Six Court Departure | | | | \$ (93,000) | \$ (93,000) |
| Subtotal: ICMS Hosting Costs at CCTC | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,139,549 | \$ 1,050,580 |
| ICMS Program: Software Support | \$ 657,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 |
| Subtotal: ICMS Program: Software Support | \$ 657,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 |
| Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff) | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 |
| --Less: Managed Courts Program: Hosting Cost Reduction Due to PCHC | | | \$ (463,077) | \$ (463,077) | \$ (463,077) |
| -Add: Managed Courts Program: Hosting Cost Increase to Remaining Courts | | | \$ 142,832 | \$ 142,832 | \$ 142,832 |
| Subtotal: Managed Courts Program | \$ 988,297 | \$ 988,297 | \$ 668,053 | \$ 668,053 | \$ 668,053 |
| Subtotal Program Expenses | \$ 3,077,214 | \$ 2,866,213 | \$ 2,564,032 | \$ 2,471,032 | \$ 2,382,063 |
| One-Time Placer Hosting Transition Costs (Placer Costs Only) | | \$ 717,500 | \$ 315,200 | | |
| One-Time CCTC Charges for Placer Hosting Transition | | \$ 17,500 | \$ 17,500 | | |
| Subtotal Transition Expenses | \$ - | \$ 735,000 | \$ 332,700 | \$ - | \$ - |
| TOTAL EXPENSES | \$ 3,077,214 | \$ 3,601,213 | \$ 2,896,732 | \$ 2,471,032 | \$ 2,382,063 |

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 2: Scenario 2 Budget Breakdown, Cont.

| Line Item | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| Revenues | | | | | |
| Base Schedule C from Courts (Schedule C - FY 15/16 Base Year) | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 |
| --(Less) Lake/Plumas/Sierra Leave CCTC/No Longer Participate in Costs | | | \$ (330,900) | \$ (330,900) | \$ (330,900) |
| --(Less) San Benito/Modoc/Trinity Leave CCTC/No Longer Participate in Costs | | | \$ (211,803) | \$ (423,606) | \$ (423,606) |
| --(Less) Imperial Leaves CCTC/No Longer Participates in Costs | | | | \$ (161,160) | \$ (161,160) |
| Subtotal - Base Payments from Courts | \$ 1,622,825 | \$ 1,622,825 | \$ 1,080,122 | \$ 707,159 | \$ 707,159 |
| Court Participation in Transition Costs | | | | | |
| -- Add One-Time Court Participation in Transition Costs - PCHC | | \$ 251,500 | | | |
| Subtotal - Court Participation in Transition Costs | \$ - | \$ 251,500 | \$ - | \$ - | \$ - |
| Additional Revenue from Courts Due to Elimination of Subsidy: | | | | | |
| --Add 50% Reduction in Subsidy | | | | \$ 550,221 | \$ 505,737 |
| --Add Elimination of Remaining Subsidy | | | | | \$ 505,736 |
| Subtotal - Additional Revenue from Courts | \$ - | \$ - | \$ - | \$ 550,221 | \$ 1,011,473 |
| Base IMF Funding (FY 15/16 Base Year) | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 |
| --Add/(Less) ICMS Program: Hosting Costs at CCTC Changes | | \$ (217,001) | \$ (198,938) | \$ (291,938) | \$ (380,907) |
| --Add/(Less) ICMS Program: Software Support Changes | | \$ 6,000 | \$ 6,000 | \$ 6,000 | \$ 6,000 |
| --Add/(Less) Managed Courts Program: Hosting Cost Changes | | | \$ (320,245) | \$ (320,245) | \$ (320,245) |
| Subtotal - Base IMF Funding w/ Cost Adjustments | \$ 1,454,389 | \$ 1,243,388 | \$ 941,206 | \$ 848,206 | \$ 759,237 |
| IMF Funding Adjustments | | | | | |
| --Add/(Less) Shortfall in Local Court Revenue/Base IMF | \$ - | \$ 483,500 | \$ 875,403 | \$ 915,666 | \$ 915,666 |
| --(Less) 50% Reduction in Subsidy | | | | \$ (550,221) | \$ (505,737) |
| --(Less) Elimination of Remaining Subsidy | | | | | \$ (505,736) |
| Subtotal - IMF Funding Adjustments | \$ - | \$ 483,500 | \$ 875,403 | \$ 365,445 | \$ (95,807) |
| TOTAL REVENUE | \$ 3,077,214 | \$ 3,601,213 | \$ 2,896,732 | \$ 2,471,032 | \$ 2,382,063 |
| BALANCE | \$ - | \$ - | \$ - | \$ - | \$ - |
| Summary of Revenue by Fund Type for JCC Paid Expenses | | | | | |
| Subtotal - IMF Revenue | \$ 1,454,389 | \$ 1,726,888 | \$ 1,816,609 | \$ 1,213,651 | \$ 663,430 |
| Subtotal - Local Court Revenue | \$ 1,622,825 | \$ 1,622,825 | \$ 1,080,122 | \$ 1,257,380 | \$ 1,718,632 |

Scenario 3: Elimination of the ICMS and Managed Court Program use of CTCC, if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.

Under this scenario, all courts shift to alternative locations and no longer use the CCTC for either ICMS or the Managed Court program. This occurs as follows:

- A seven court consortium creates the Placer Court Hosting Center (PCHC), located at the Placer Superior Court. This results in six ICMS courts leaving the CCTC and five courts discontinuing participation in the Managed Court program. This occurs over two years:
 - Plumas/Sierra and Lake Courts move in late FY 16/17 (contemplated as April and May 2017).
 - Trinity, San Benito, and Modoc move in early FY 17/18 (contemplated as July, August, September 2017).
- The Humboldt Superior Court moves to a local installation of the ICMS in FY 17/18 (contemplated as October 2017).
- The Madera Superior Court, with assistance from the 5th District Court of Appeal, moves to a local installation of the ICMS and discontinues participation on a Managed Court. This occurs in two phases:
 - Discontinues participation in the Managed Court program in September 2017.
 - Moves to a local installation of the ICMS in November 2017.
- The San Luis Obispo Superior Court moves to a local solution for email by December 2018.
- The Imperial Superior Court leaves the ICMS program in December 2018.

At the conclusion of FY 18/19, all ICMS and Managed Court program use of the CCTC has concluded and all servers have been decommissioned.

The TCTF and IMF continue to subsidize these programs until the end of FY 2018/2019. The IMF received relief in FY 2017/2018 and FY 2018/2019 through a slow reduction of expenditures related to the programs. By FY 19/20, no further expenditures from the IMF will be necessary for the CTCC related costs of these programs. If, however, courts remain on the system, those remaining would be responsible for any continuing costs of the CTCC for these programs.

A one-time funding request has been submitted by the seven court consortium, spread over two fiscal years, to support transition costs to the PCHC. This funding has been requested for FY 15/16 and FY 16/17. Because the Judicial Council will not be able to act on the request until June 2016, it is likely that this funding will instead be needed in FY 16/17 with a small carryover

need in FY 17/18.

The Humboldt Superior Court is exploring locally hosting the ICMS application. This is in the preliminary stages, but high end costs are estimated at \$525,000. This includes costs for DMV and other interfaces at full cost. It is possible these costs could be reduced if done at a similar time to Madera and/or the PCHC.

The Madera Superior Court has initiated an effort to create an internal IT Department. The Fifth District Court of Appeal has offered support in this analysis and is providing project management support as the Madera Superior Court creates this function in-house. This effort kicked-off on April 4, 2016 with an initial planning discussion. Rapid estimates prepared during that meeting place initial one-time startup costs at approximately \$600,000. This includes costs for DMV and other interfaces at full cost. It is possible these costs could be reduced if done at a similar time to Humboldt and/or the PCHC.

The Humboldt and Madera Superior Courts may be able to participate in these one-time costs. The extent to which they are capable of funding these one-time costs is not currently known. For simplicity, the analysis that follows shows all one-time costs for these courts to be borne by the IMF.

Exhibit 3, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 3: Scenario 3 Budget Breakdown.

| Line Item | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 |
|---|---------------------|---------------------|---------------------|---------------------|-------------------|
| Expenses | | | | | |
| ICMS Program: Hosting Costs at CCTC | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,232,549 | \$ 1,208,580 |
| --Less: ICMS Program Cost Reduction at CCTC-Imperial Departure | | | | | \$ (65,000) |
| --Less: ICMS Program: Hosting Cost Reduction Six Court Departure | | | | \$ (93,000) | \$ (93,000) |
| --Less: ICMS Program: Hosting Cost Reduction All Servers Decommissioned (Humboldt/Madera leave) | | | | | \$ (1,050,580) |
| Subtotal: ICMS Hosting Costs at CCTC | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,139,549 | \$ - |
| ICMS Program: Software Support | \$ 657,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 |
| Subtotal: ICMS Program: Software Support | \$ 657,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 | \$ 663,430 |
| Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff) | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 | \$ 988,297 |
| --Less: Managed Courts Program: Hosting Cost Reduction Due to PCHC | | | \$ (463,077) | \$ (463,077) | \$ (463,077) |
| --Less: Managed Courts Program: Hosting Cost Reduction Due to Madera Depart | | | \$ (149,201) | \$ (298,401) | \$ (298,401) |
| --Less: Managed Courts Program: Hosting Cost Reduction Due to SLO eMail Depart | | | | \$ (66,383) | \$ (66,383) |
| Subtotal: Managed Courts Program | \$ 988,297 | \$ 988,297 | \$ 376,020 | \$ 160,436 | \$ 160,436 |
| Subtotal Program Expenses | \$ 3,077,214 | \$ 2,866,213 | \$ 2,271,999 | \$ 1,963,415 | \$ 823,866 |
| One-Time Placer Hosting Transition Costs (Placer Costs Only) | | \$ 719,000 | \$ 269,000 | | |
| One-Time CCTC Charges for Placer Hosting Transition | | \$ 17,500 | \$ 17,500 | | |
| One-Time Madera Hosting (ICMS and Managed Court) Transition Costs (Madera Costs) | | \$ 400,000 | \$ 200,000 | | |
| One-Time CCTC Charges for Madera Hosting Transition | | \$ 9,000 | \$ 9,000 | | |
| One-Time Humboldt Hosting (ICMS Only) Transition Costs (Humboldt Costs) | | \$ 300,000 | \$ 225,000 | | |
| One-Time CCTC Charges for Humboldt Hosting Transition | | \$ 9,000 | \$ 9,000 | | |
| One-Time SLO Hosting (Email Only) Transition Costs (SLO Costs) | | \$ - | \$ - | | |
| One-Time CCTC Charges for SLO Hosting Transition | | \$ 9,000 | \$ 9,000 | | |
| Subtotal Transition Expenses | \$ - | \$ 1,463,500 | \$ 738,500 | \$ - | \$ - |
| TOTAL EXPENSES | \$ 3,077,214 | \$ 4,329,713 | \$ 3,010,499 | \$ 1,963,415 | \$ 823,866 |

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 3: Scenario 3 Budget Breakdown, Cont.

| Line Item | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 |
|---|---|---------------------|---------------------|---------------------|---------------------|
| Revenues | | | | | |
| Base Schedule C from Courts (Schedule C - FY 15/16 Base Year) | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 |
| --(Less) Lake/Plumas/Sierra Leave CCTC/No Longer Participate in Costs | | | \$ (330,900) | \$ (330,900) | \$ (330,900) |
| --(Less) San Benito/Modoc/Trinity Leave CCTC/No Longer Participate in Costs | | | \$ (211,803) | \$ (423,606) | \$ (423,606) |
| --(Less) Madera and Humboldt Leave CCTC/No Longer Participate in Costs | | | | \$ (555,932) | \$ (555,932) |
| --(Less) SLO Leaves CCTC for Email/No Longer Participate in Costs | | | | \$ (56,860) | \$ (56,860) |
| --(Less) Imperial Leaves CCTC/No Longer Participates in Costs | | | | \$ (161,160) | \$ (161,160) |
| Subtotal - Base Payments from Courts | \$ 1,622,825 | \$ 1,622,825 | \$ 1,080,122 | \$ 94,367 | \$ 94,367 |
| Court Participation in Transition Costs | | | | | |
| -- Add One-Time Court Participation in Transition Costs - PCHC | | \$ 251,500 | | | |
| --Add One-Time Court Participation in Transition Costs - Humboldt | | TBD | TBD | | |
| --Add One-Time Court Participation in Transition Costs - Madera | | TBD | TBD | | |
| --Add One-Time Court Participation in Transition Costs - SLO | | None anticipated | | | |
| Subtotal - Court Participation in Transition Costs | \$ - | \$ 251,500 | \$ - | \$ - | \$ - |
| Additional Revenue from Courts Due to Elimination of Subsidy: | NOT NEEDED - IMF PARTICIPATION ELIMATED VIA PROGRAM CHANGES | | | | |
| Base IMF Funding (FY 15/16 Base Year) | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 |
| --Add/(Less) ICMS Program: Hosting Costs at CCTC Changes | | \$ (217,001) | \$ (198,938) | \$ (291,938) | \$ (1,431,487) |
| --Add/(Less) ICMS Program: Software Support Changes | | \$ 6,000 | \$ 6,000 | \$ 6,000 | \$ 6,000 |
| --Add/(Less) Managed Courts Program: Hosting Cost Changes | | \$ - | \$ (612,278) | \$ (827,862) | \$ (827,862) |
| Subtotal - Base IMF Funding w/ Cost Adjustments | \$ 1,454,389 | \$ 1,243,388 | \$ 649,173 | \$ 340,590 | \$ (798,959) |
| IMF Funding Adjustments | | | | | |
| --Add/(Less) Shortfall in Local Court Revenue/Base IMF | \$ - | \$ 1,212,000 | \$ 1,281,203 | \$ 1,528,458 | \$ 1,528,458 |
| Subtotal - IMF Funding Adjustments | \$ - | \$ 1,212,000 | \$ 1,281,203 | \$ 1,528,458 | \$ 1,528,458 |
| TOTAL REVENUE | \$ 3,077,214 | \$ 4,329,713 | \$ 3,010,499 | \$ 1,963,415 | \$ 823,866 |
| BALANCE | \$ - | \$ - | \$ - | \$ - | \$ - |
| Summary of Revenue by Fund Type for JCC Paid Expenses | | | | | |
| Subtotal - IMF Revenue | \$ 1,454,389 | \$ 2,455,388 | \$ 1,930,376 | \$ 1,869,048 | \$ 729,499 |
| Subtotal - Local Court Revenue | \$ 1,622,825 | \$ 1,874,325 | \$ 1,080,122 | \$ 94,367 | \$ 94,367 |

Comparison

Exhibit 4, on the following page, provides a breakdown of the annual ongoing savings (or cost) of each scenario for the IMF and local courts. This analysis assumes no contributions towards one-time costs from the Humboldt and Madera Superior Courts. Both courts have indicated they will be able to contribute some amount to the one time costs. However, because these amounts are not currently known, the analysis leaves all such costs with the IMF.

Exhibit 4 highlights the following:

- Scenario 1:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Reduces the burden on the IMF over five years by just under \$1.2 million, an 18% decrease.
 - Increases annual ongoing program expenses for local courts by \$670,000, a 41% increase.
 - Increases the burden on local courts over five years by just under \$1.2 million, a 15% increase.
- Scenario 2:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Increases the burden on the IMF over five years by \$160,000, a 2% increase.
 - Increases annual ongoing program expenses for local courts by roughly \$250,000, a 16% increase.
 - Decreases the burden on local courts over five years by just under \$240,000, a 3% decrease.
 - Overall funds, saves 13% annually ongoing and 1% over the first five years.
- Scenario 3:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Increases the burden on the IMF over five years by \$1.6 million, a 25% increase. (This assumes no participation from the Humboldt or Madera Superior Courts in one-time costs, as that information is not currently available.)
 - Decreases annual ongoing program expenses for local courts by roughly \$1.37 million, a 16% increase.
 - Decreases the burden on local courts over five years by just over \$3 million, a 39% decrease.
 - Overall funds, saves 66% annually ongoing and 9% over the first five years.

Scenario 3 savings may be overstated due to the lack of estimates for ongoing costs for local hosting in Madera and Humboldt. A more complete analysis has been performed for the courts in the PCHC. The percent savings over five years and annual savings ongoing for these courts may be more illustrative of the true savings for Scenario 3 across all courts. For PCHC courts:

- 51% reduction in annual ongoing expenses at year five and after.
- 19% decrease in expenses over next five years.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 4: Summary of Impacts

| | | Current (No Change in Policy or Program) | Scenario 1 | Scenario 2 | Scenario 3 |
|----|--|---|----------------|---------------|----------------|
| 1 | Impact on IMF | FY 15/16 IMF Expenditures for Program(s) | \$ 1,454,389 | \$ 1,454,389 | \$ 1,454,389 |
| 2 | | FY 19/20 (and Annual Ongoing) IMF Expenditures for Program | \$ 1,333,642 | \$ 663,430 | \$ 663,430 |
| 3 | | Annual Ongoing (Savings)/Additional Expense | \$ (670,212) | \$ (670,212) | \$ (670,212) |
| 4 | | Percent Change (Annual Ongoing Compared to 15/16) | -46% | -46% | -46% |
| 5 | | Total Expenditure Over Five Years | \$ 6,715,482 | \$ 5,516,175 | \$ 8,372,631 |
| 6 | | Five Year (Decrease)/(Increase) Compared to Current | \$ (1,199,307) | \$ 159,486 | \$ 1,657,150 |
| 7 | | Percent Change (Five Year Expenditure) | -18% | 2% | 25% |
| 8 | Impact on Local Courts (Program Expenses) | FY 15/16 Expenditures for Program(s) | \$ 1,622,825 | \$ 1,622,825 | \$ 1,622,825 |
| 9 | | FY 19/20 (and Annual Ongoing) Expenditures for Program | \$ 1,461,665 | \$ 2,131,877 | \$ 94,367 |
| 10 | | Annual Ongoing (Savings)/Additional Expense | \$ 670,212 | \$ 256,967 | \$ (1,367,298) |
| 11 | | Percent Change (Annual Ongoing Compared to 15/16) | 41% | 16% | -84% |
| 12 | | Total Expenditure Over Five Years | \$ 7,791,806 | \$ 8,991,113 | \$ 4,766,007 |
| 13 | | Five Year (Decrease)/(Increase) Compared to Current | \$ 1,199,307 | \$ (238,521) | \$ (3,025,799) |
| 14 | | Percent Change (Five Year Expenditure) | 15% | -3% | -39% |
| 15 | Impact on All Funds (Program Expenses) | FY 15/16 Expenditures for Program(s) | \$ 3,077,214 | \$ 3,077,214 | \$ 3,077,214 |
| 16 | | FY 19/20 (and Annual Ongoing) Expenditures for Program | \$ 2,795,307 | \$ 2,382,063 | \$ 757,797 |
| 17 | | Annual Ongoing (Savings)/Additional Expense | \$ - | \$ (413,245) | \$ (2,037,510) |
| 18 | | Percent Change (Annual Ongoing Compared to 15/16) | 0% | -13% | -66% |
| 19 | | Total Expenditure Over Five Years | \$ 14,507,288 | \$ 14,428,253 | \$ 13,138,638 |
| 20 | | Five Year (Decrease)/(Increase) Compared to Current | \$ - | \$ (79,034) | \$ (1,368,650) |
| 21 | | Percent Change (Five Year Expenditure) | 0% | -1% | -9% |
| 22 | Impact on PCHC Courts (All Expenditures) [1] | FY 15/16 Expenditures for ICMS/Hosting [2] | \$ 772,156 | \$ 772,156 | \$ 772,156 |
| 23 | | FY 19/20 (and Annual Ongoing) Expenditures for ICMS/Hosting | \$ 772,156 | \$ 1,131,067 | \$ 381,609 |
| 24 | | Annual Ongoing (Savings)/Additional Expense | \$ 358,911 | \$ (390,548) | \$ (390,548) |
| 25 | | Percent Change (Annual Ongoing Compared to 15/16) | 46% | -51% | -51% |
| 26 | | Total Expenditure Over Five Years [3] | \$ 3,860,782 | \$ 4,400,257 | \$ 3,128,431 |
| 27 | | Five Year (Decrease)/(Increase) Compared to Current | \$ 539,475 | \$ (732,351) | \$ (732,351) |
| 28 | | Percent Change (Five Year Expenditure) | 14% | -19% | -19% |

Notes:

IMF Expenditures and Local Court (Program Expenses) assume Humboldt and Madera Courts do not contribute to one-time costs. Should they be able to contribute, impacts on the IMF will be reduced and impacts on local courts will increase in equal dollars.

[1] Savings on all expenses related to ICMS and hosted services for the courts participating in the Placer Court Hosting Center. One-time contributions and ongoing expenses are more complete for this subset.

[2] Reflects current Schedule C expenses for all related services.

[3] Calculated as five times the FY 15/16 Schedule C costs.

Conclusion and Request

Based on the information presented in this analysis, it is requested that the Judicial Council Technology Committee work further with the TCBAC to enact Scenario 3. This may be accomplished by the JCTC:

1. Endorsing the position that all Sustain hosted courts move away from the current IMF subsidized funding structure to an IT administrative program that is funded in a manner consistent with other trial courts throughout the state.
2. Endorsing Scenario 3, a cooperatively developed plan by and with the hosted ICMS and Managed Courts that ends all IMF subsidies by the beginning of FY 19/20.
3. Working with the Trial Court Budget Advisory Committee to find one-time funding for the support of this effort, as early as the current year.
4. Continuing to support the Sustain hosted courts in their efforts to acquire a replacement of the outdated ICMS as a longer term goal, which would further reduce IMF expenditures.

**JUDICIAL COUNCIL
OF CALIFORNIA**

ADMINISTRATIVE DIVISION
INFORMATION TECHNOLOGY**SJE Court and IMF Impact Analysis
New CMS Business Case Scenarios
April 8, 2016****Background/Purpose**

This analysis was performed to help address questions about the costs, from both a court and an IMF perspective, if the Sustain Justice Edition (SJE) courts remained at the California Courts Technology Center (CCTC) for hosting until there was funding available to move to a new case management system (CMS). Specifically, these business case scenarios consider the approach of moving directly to a new case management system and a new hosting solution, rather than moving SJE to a new hosting solution and then moving to a new CMS.

Currently, nine of the SJE courts are working collaboratively to develop a Request for Proposal (RFP) for a new CMS. Vendors will submit their bids based on the specific requirements of the SJE courts. The information obtained from the RFP will provide the foundation for a Budget Change Proposal (BCP), requesting funding to replace the SJE application for the nine SJE courts. The BCP will be submitted to the Department of Finance in September 2016. For purposes of this document it is assumed that funding to move to a new CMS will be available in July 2017.

There are two business case scenarios in this document. Business Case Scenario #4 assumes the six courts in the consortium, hosted by the Placer Superior court, have their new CMS hosted at Placer, while the Humboldt and Madera courts implement a new CMS that is locally hosted. This scenario also assumes that the Placer court will provide the six courts with “managed court” and telecommunication services.

Business Case Scenario #5 assumes that the Placer court provides the six courts with “managed court” and telecommunication services but the new CMS is SaaS (Software as a Service) and therefore hosted by the CMS vendor. It is also assumed that the Humboldt and Madera Courts move to a new CMS which is locally hosted.

One of the challenges with creating these business case scenarios is that the SJE courts have not selected a replacement for the SJE application. Each business case is based on estimated pricing and makes certain assumptions about the level of professional services and deployment costs associated with a new CMS. Actual costs will also vary depending upon the needs of the individual courts.



Key Assumptions

There are several key assumptions which were made in preparing Business Case Scenarios #4 and #5 which are listed below:

1. Funding for deploying a new CMS becomes available in July 2017.
2. SJE hosting at the CCTC uses a shared hosting infrastructure. When courts leave, there is limited ability to decommission servers to reduce costs as long as one or two courts remain at the CCTC.
3. Schedule C reimbursements to the ICMS, “managed court” and telecommunications programs stop as the courts transition away from CCTC hosting.
4. JCC will not have staff to provide legislative updates and project management support for the new CMS.
5. CCTC connectivity for the six courts hosted at Placer will be through Placer’s network which will eliminate the Schedule C telecommunication charges for the six courts.

Scenario #4: Six Courts Deploy New CMS at Placer and Humboldt and Madera Deploy New Locally Hosted CMS

This scenario assumes that the SJE courts remain hosted at the CCTC until they deploy a new case management system/hosting solution and that funding becomes available to replace SJE in July 2017. It is assumed that the deployment of a new CMS for the CCTC hosted courts would be done in the following phases:

1. Six Placer hosted courts start a new CMS deployment in October 2017 and complete deployment in October 2019.
2. Imperial’s deployment of their new CMS is completed in June 30, 2018.
3. Humboldt and Madera start their locally hosted CMS deployments in November 2019 and complete it in November 2021.

The SJE courts are relatively small courts. As such, it is assumed that one-time professional services deployment costs for the CMS vendor would be approximately \$350,000 per court. For the six Placer hosted courts, it is assumed these courts will work collaboratively and that would result in a 40% reduction in the one-time \$350,000/court professional services costs and also that Plumas/Sierra are treated as one court. It is also assumed that on-going hosting costs for the six Placer courts would remain at \$373,000.



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Additionally, JCC will not have resources to continue supporting legislative updates and project management support for the SJE courts once they move to a new CMS. The Courts will need to obtain other resources to perform legislative updates or contract with the new CMS vendor to perform these tasks.

The Humboldt and Madera new CMS deployments are assumed to be local deployments which will require local support resources. For purposes of this business case, these local resources are projected to include a part-time Business Application Analyst to update configuration tables for tasks such as legislative updates and workflow changes. Also, as Humboldt and Madera each have multiple interfaces outside the standard DMV and DOJ interfaces, it is assumed a part-time interface developer/tester would be needed as part of the support team along with a part-time system administrators to maintain the locally hosted servers. The estimated total annual cost for all of these resources is \$227,500 per court.

The charts below show the estimated impact from a court and IMF perspective for Business Case Scenario #4. Also, see Appendix A for additional information on how the IMF impact was calculated for the ICMS Program:

Business Case Scenario #4 – Court Impact

| Description | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year FY Total |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|
| Court's Perspective -- Business Case Scenario #4 | | | | | | | |
| Six Placer Hosted Courts | | | | | | | |
| Total Sch C Payments ⁽¹⁾ | \$ 772,156 | \$ 772,156 | \$ 759,984 | \$ 456,660 | \$ 17,649 | \$ 17,649 | \$ 2,796,253 |
| One-time/On-going Placer Hosting Costs | - | - | 260,000 | 260,000 | 373,000 | 373,000 | 1,266,000 |
| CMS Vendor one-time deployment costs ⁽²⁾ | - | - | 525,000 | 525,000 | - | - | 1,050,000 |
| BC #4 Six Placer Hosted Court's Sch C/Placer Hosting/New CMS | \$ 772,156 | \$ 772,156 | \$ 1,544,984 | \$ 1,241,660 | \$ 390,649 | \$ 390,649 | \$ 5,112,253 |
| BC #4 - Imperial/Madera/Humboldt Total Sch C Payments ⁽¹⁾ | | | | | | | |
| BC #4 - Imperial/Madera/Humboldt Total Sch C Payments ⁽¹⁾ | \$ 774,092 | \$ 774,092 | \$ 774,092 | \$ 612,932 | \$ 612,932 | \$ 612,932 | \$ 4,161,071 |
| BC #4 - Madera/Humboldt Move to New CMS Locally Hosted | \$ - | \$ - | \$ - | \$ - | \$ 617,000 | \$ 844,000 | \$ 1,461,000 |
| BC #4 -- Total Sch C for non-Placer Courts and New CMS Humboldt/Madera | \$ 774,092 | \$ 774,092 | \$ 774,092 | \$ 612,932 | \$ 1,229,932 | \$ 1,456,932 | \$ 5,622,071 |
| BC #4 Total Sch C/Placer Hosting/new CMS Costs All Courts | | | | | | | |
| | \$ 1,546,248 | \$ 1,546,248 | \$ 2,319,076 | \$ 1,854,592 | \$ 1,620,580 | \$ 1,847,580 | \$ 10,734,324 |
| (1) Total Sch C Payments includes SJE hosting, Telcom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, etc | | | | | | | |
| (2) Does not include CMS licensing costs. SJE courts currently pay for SJE licensing costs directly to the vendor. Also, this amount does not include costs for providing legislative updates. | | | | | | | |



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Business Case Scenario #4 – IMF Impact

| IMF Funding Needed by Bus. Case | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year Total |
|---|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------------|
| BC #4 -- ICMS IMF Funding Needed | \$1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$7,496,514 |
| BC #4 -- Telecom/Outsourced IT IMF Funding Needed | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| BC #4 -- Total IMF Funding Needed | \$1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$8,698,178 |

Scenario #5: Six Courts Deploy New SaaS Hosted CMS and Humboldt and Madera Deploy New Locally CMS. .

This scenario is the same as Scenario #4 except that the six courts (Lake, Modoc, Plumas/Sierra, San Benito and Trinity) would have their new CMS case management system SaaS (Software as a Service) hosted by the CMS vendor instead of having it hosted at Placer. It is assumed that Placer would provide these six courts with “managed court” and telecom services as they move to the new SaaS hosted CMS. It is assumed that the six SaaS hosted courts would need a part-time Business Applications Analyst and Interface Analyst which can be shared among all of these courts for a total annual cost of \$185,900. The estimated costs for providing “managed court” services by the Placer Court is approximately one-half of the total costs for CMS and “managed court” hosting costs.

The charts below show the estimated impact from a court and IMF perspective for Business Case Scenario #5. Also, see Appendix B for additional information on how the IMF impact was calculated for the ICMS Program:



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Business Case Scenario #5 – Court Impact

| Description | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year FY Total |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|
| Court's Perspective -- Business Case Scenario #5 | | | | | | | |
| Six Placer Hosted Courts | | | | | | | |
| Total Sch C Payments ⁽¹⁾ | \$ 772,156 | \$ 772,156 | \$ 759,984 | \$ 456,660 | \$ 17,649 | \$ 17,649 | \$ 2,796,253 |
| One-time/On-going Placer Hosting Costs "outsourced IT services only" ⁽⁴⁾ | \$ - | \$ - | \$ 130,000 | \$ 130,000 | \$ 186,500 | \$ 186,500 | \$ 633,000 |
| CMS Vendor one-time/on-going SaaS CMS costs ⁽²⁾ | - | - | 525,000 | 666,950 | 278,900 | 278,900 | 1,749,750 |
| BC #5 Six Placer Hosted Court's Sch C/Placer Hosting/New SaaS CMS | \$ 772,156 | \$ 772,156 | \$ 1,414,984 | \$ 1,253,610 | \$ 483,049 | \$ 483,049 | \$ 5,179,003 |
| BC #5 - Imperial/Madera/Humboldt Total Sch C Payments ⁽¹⁾ | \$ 774,092 | \$ 774,092 | \$ 774,092 | \$ 612,932 | \$ 612,932 | \$ 612,932 | \$ 4,161,071 |
| BC #5 - Madera/Humboldt Move to New CMS Locally Hosted | - | - | - | - | 617,000 | 844,000 | 1,461,000 |
| BC #5 -- Total Sch C for non-Placer Courts and New CMS Humboldt/Madera | \$ 774,092 | \$ 774,092 | \$ 774,092 | \$ 612,932 | \$ 1,229,932 | \$ 1,456,932 | \$ 5,622,071 |
| BC #5 Total Sch C/Placer Hosting/new CMS Costs All Courts ⁽³⁾ | \$ 1,546,248 | \$ 1,546,248 | \$ 2,189,076 | \$ 1,866,542 | \$ 1,712,980 | \$ 1,939,980 | \$ 10,801,074 |
| ⁽¹⁾ Total Sch C Payments includes SJE hosting, Telecom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, etc | | | | | | | |
| ⁽²⁾ It is assumed that these 6 courts would closely collaborate and that their on-going support would be the equivalent of a single court's support. Also, there is no licensing costs included in these costs. | | | | | | | |
| ⁽³⁾ Imperial's new CMS costs are not included in these totals | | | | | | | |
| ⁽⁴⁾ Assumes "outsourced IT services" cost would be 1/2 of total cost of providing CMS hosting and "outsourced IT services" cost in Placer's hosting proposal | | | | | | | |

Business Case Scenario #5 – IMF Impact

| IMF Funding Needed by Bus. Case | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year Total |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| BC #5 -- ICMS IMF Funding Needed | \$ 1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$ 7,496,514 |
| BC #5 -- Telecom/Outsourced IMF Funding Needed | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| BC #5 -- Total IMF Funding Needed | \$ 1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$ 8,698,178 |



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Comparison Summary for Business Cases #4 and 5

Listed below are summary charts for both the court and IMF impact comparing Business Case Scenarios #4 and 5

Court Impact New CMS Comparison Chart Business Case Scenarios #4 and #5

| Comparison | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year FY Total |
|---|-----------|-----------|-----------|-----------|-----------|-----------|-------------------|
| Six Placer Hosted Court Cost Comparison | | | | | | | |
| BC #4 Six Placer Hosted Court's Sch C/Placer Hosting/New CMS | 772,156 | 772,156 | 1,544,984 | 1,241,660 | 390,649 | 390,649 | 5,112,253 |
| BC #5 Six Placer Hosted Court's Sch C/Placer Hosting/New SaaS CMS | 772,156 | 772,156 | 1,414,984 | 1,253,610 | 483,049 | 483,049 | 5,179,003 |
| Imperial/Humboldt/Madera Comparison | | | | | | | |
| BC #4 Imperial/Humboldt/Mader Sch C and New CMS Humboldt/Madera | 774,092 | 774,092 | 774,092 | 612,932 | 1,229,932 | 1,456,932 | 5,622,071 |
| BC #5 Imperial/Humboldt/Madera Sch C and New CMS Humboldt/Madera | 774,092 | 774,092 | 774,092 | 612,932 | 1,229,932 | 1,456,932 | 5,622,071 |
| Total Costs All Courts Comparison | | | | | | | |
| BC #4 Total Sch C/Placer Hosting/new CMS Costs All Courts | 1,546,248 | 1,546,248 | 2,319,076 | 1,854,592 | 1,620,580 | 1,847,580 | 10,734,324 |
| BC #5 Total Sch C/Placer Hosting/ new SaaS and local CMS All Courts | 1,546,248 | 1,546,248 | 2,189,076 | 1,866,542 | 1,712,980 | 1,939,980 | 10,801,074 |

Note: Total Sch C Payments includes SJE hosting, Telcom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, etc

IMF Impact New CMS Comparison Chart Business Case Scenarios #4 and #5

| IMF Funding Needed by Bus. Case | FY 15/16 | FY 16/17 | FY 17/18 | FY 18/19 | FY 19/20 | FY 20/21 | Six Year Total |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| BC #4 -- ICMS IMF Funding Needed | \$ 1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$ 7,496,514 |
| BC #4 -- Telecom/Outsourced IT IMF Funding Needed | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| BC #4 -- Total IMF Funding Needed | \$ 1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$ 8,698,178 |
| BC #5 -- ICMS IMF Funding Needed | \$ 1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$ 7,496,514 |
| BC #5 -- Telecom/Outsourced IMF Funding Needed | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| BC #5 -- Total IMF Funding Needed | \$ 1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$ 8,698,178 |



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Appendix A
Business Case Scenario #4 – ICMS IMF Impact Calculations

| Description | FY 15-16 | FY 16-17 | FY 17-18 | FY 18-19 | FY 19-20 | FY 20-21 | Total |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| SAIC Hosting Costs for ICMS Budget Calculations | | | | | | | |
| SAIC Hosting Costs Per 5 year budget | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,232,549 | \$ 1,208,580 | \$ 1,227,847 | \$ 7,547,498 |
| Decrease with Imperial Leaving | | | | 64,696 | 64,696 | 64,696 | 194,088 |
| Decrease with six courts leaving | | | | | 93,442 | 93,442 | 186,884 |
| Adj SAIC Hosting Costs | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,167,853 | \$ 1,050,442 | \$ 1,069,709 | \$ 7,166,526 |
| TCTF (Schedule C Reimbursement to ICMS Program Calculations) | | | | | | | |
| | Amt | | | | | | |
| Schedule C reimbursements All 9 Courts in FY 16/17 | \$ 842,232 | | | | | | |
| Less Imperial Sch C Reimbursement in FY 18/19 | 161,160 | | | | | | |
| Less Lake/Modoc Sch C in FY 18-19 | 168,216 | | | | | | |
| Sch C Reimbursement FY 18/19 | \$ 512,856 | | | | | | |
| Less Plumas/San Benito/Trinity in FY 19/20 | 225,336 | | | | | | |
| Sch C Reimbursement in FY 19/20 | \$ 287,520 | | | | | | |
| ICMS Projected Budget | | | | | | | |
| TCTF (Schedule C Reimbursements to offset SAIC Hosting Costs) | \$ 842,232 | \$ 842,232 | \$ 842,232 | \$ 512,856 | \$ 287,520 | \$ 287,520 | \$ 3,614,592 |
| IMF Funding Needed for SAIC Hosting Costs of SJE | 589,255 | 372,254 | 390,317 | 654,997 | 762,922 | 782,189 | 3,551,934 |
| Subtotal SAIC Hosting Costs | \$1,431,487 | \$1,214,486 | \$1,232,549 | \$1,167,853 | \$1,050,442 | \$1,069,709 | \$7,166,526 |
| Business Analyst/Interface Support/PM/DMV Connectivity IMF Funded | 657,430 | 663,430 | 663,430 | 663,430 | 648,430 | 648,430 | 3,944,580 |
| Total ICMS Budget | \$2,088,917 | \$1,877,916 | \$1,895,979 | \$1,831,283 | \$1,698,872 | \$1,718,139 | \$11,111,106 |
| IMF Funding Needed for ICMS, Telcom and Outsourced IT Services | | | | | | | |
| IMF Funding Needed for ICMS Program | \$ 1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$ 7,496,514 |
| Net IMF Funds to cover Telcom/Outsourced IT Services | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| Total IMF Funding Needed | \$ 1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$ 8,698,178 |



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Appendix B
Business Case Scenario #5 – ICMS IMF Impact Calculations

| Description | FY 15-16 | FY 16-17 | FY 17-18 | FY 18-19 | FY 19-20 | FY 20-21 | Total |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| SAIC Hosting Costs for ICMS Budget Calculations | | | | | | | |
| SAIC Hosting Costs Per 5 year budget | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,232,549 | \$ 1,208,580 | \$ 1,227,847 | \$ 7,547,498 |
| Decrease with Imperial Leaving | | | | 64,696 | 64,696 | 64,696 | 194,088 |
| Decrease with six courts leaving | | | | | 93,442 | 93,442 | 186,884 |
| Adj SAIC Hosting Costs | \$ 1,431,487 | \$ 1,214,486 | \$ 1,232,549 | \$ 1,167,853 | \$ 1,050,442 | \$ 1,069,709 | \$ 7,166,526 |
| TCTF (Schedule C Reimbursement to ICMS Program Calculations) | | | | | | | |
| | Amt | | | | | | |
| Schedule C reimbursements All 9 Courts in FY 16/17 | \$ 842,232 | | | | | | |
| Less Imperial Sch C Reimbursement in FY 18/19 | 161,160 | | | | | | |
| Less Lake/Modoc Sch C in FY 18-19 | 168,216 | | | | | | |
| Sch C Reimbursement FY 18/19 | \$ 512,856 | | | | | | |
| Less Plumas/San Benito/Trinity in FY 19/20 | 225,336 | | | | | | |
| Sch C Reimbursement in FY 19/20 | \$ 287,520 | | | | | | |
| ICMS Projected Budget | | | | | | | |
| TCTF (Schedule C Reimbursements to offset SAIC Hosting Costs) | \$ 842,232 | \$ 842,232 | \$ 842,232 | \$ 512,856 | \$ 287,520 | \$ 287,520 | \$ 3,614,592 |
| IMF Funding Needed for SAIC Hosting Costs of SJE | 589,255 | 372,254 | 390,317 | 654,997 | 762,922 | 782,189 | 3,551,934 |
| Subtotal SAIC Hosting Costs | \$1,431,487 | \$1,214,486 | \$1,232,549 | \$1,167,853 | \$1,050,442 | \$1,069,709 | \$7,166,526 |
| Business Analyst/Interface Support/PM/DMV Connectivity IMF Funded | 657,430 | 663,430 | 663,430 | 663,430 | 648,430 | 648,430 | 3,944,580 |
| Total ICMS Budget | \$2,088,917 | \$1,877,916 | \$1,895,979 | \$1,831,283 | \$1,698,872 | \$1,718,139 | \$11,111,106 |
| IMF Funding Needed for ICMS, Telcom and Outsourced IT Services | | | | | | | |
| IMF Funding Needed for ICMS Program | \$ 1,246,685 | \$ 1,035,684 | \$ 1,053,747 | \$ 1,318,427 | \$ 1,411,352 | \$ 1,430,619 | \$ 7,496,514 |
| Net IMF Funds to cover Telcom/Outsourced IT Services | 186,240 | 186,240 | 186,240 | 189,041 | 226,951 | 226,951 | 1,201,664 |
| Total IMF Funding Needed | \$ 1,432,925 | \$ 1,221,924 | \$ 1,239,987 | \$ 1,507,468 | \$ 1,638,303 | \$ 1,657,570 | \$ 8,698,178 |

Item 3
Allocations from the Trial Court Trust Fund for 2016–2017
(Action Item)

Issue

Consider adopting initial recommendations for 2016–2017 TCTF allocations for consideration by the Trial Court Budget Advisory Committee at its May 19, 2016 meeting and for council consideration on June 24, 2016. The subcommittee may also consider a recent funding request made on behalf of seven trial courts for recommendation to the TCBAC.

Previous Actions by the Subcommittee Related to 2016–2017 Allocations

At its December 14, 2015 meeting, the subcommittee made tentative initial allocation decisions related to the TCTF Judicial Council (0140010, formerly Program 30.05) and Trial Court Operations (0140019, formerly Program 35.15) appropriations. The report from that meeting can be found beginning on page “Combined 59” through the following link:

<http://www.courts.ca.gov/documents/tcbac-20151214-15-res-materials.pdf>. The recommendations are as follows:

1. Allocate \$128.308 million from the TCTF Judicial Council (previously Program 30.05, now Program 0140010), Trial Court Operations (previously Program 30.15, now Program 0140019), Support for Operation of the Trial Courts (previously Program 45.10, now Program 0150010) and Court-Appointed Dependency Counsel (Program 0150011) appropriations for those programs funded by statutorily-designated revenues, statutorily-appropriated at a specific amount, or have no impact on TCTF fund balance.
2. Allocate \$29,579,000, \$738,000 from the TCTF Judicial Council and Trial Court Operations appropriations to the Children in Dependency Cases Training program and Revenue and Collections Program, continuing their 2015–2016 amounts and \$28.841 million from the TCTF Support for Operation of the Trial Courts appropriation for the programs that reimburse trial court costs.
3. For the jury reimbursement program, direct JCC Finance staff to make, if eligible jury costs exceed the total allocation, a year-end allocation adjustment so that each court receives the same share of the approved allocation based on their share of the statewide allowable jury expenditures.

The subcommittee also chose not to adopt the following option:

4. Though there is currently not estimated to be an immediate need to reduce allocations for 2016–2017, the subcommittee could recommend to reduce allocations in 2016–2017 to

begin addressing the estimated \$8.3 million to \$11.5 million operational deficit in the TCTF by the end of 2017–2018 and/or establishing a TCTF fund balance floor to absorb, on a one-time basis, a decrease in TCTF revenue that supports courts’ base distributions if not backfilled by the General Fund.

The Trial Court Trust Fund (TCTF) fund condition statement provided in Attachment 3B reflects the initial allocation decisions made by the TCBAC Revenue and Expenditure Subcommittee on December 14, 2015 as well as the Governor’s budget proposal and compares that to the updated revenue projections provided for the Governor’s May Revision to the proposed 2016 Budget and the related changes in revenue-based allocations such as various revenue distributions (see Column D, rows 39-40 and 42-43), Sargent Shriver Civil Counsel Pilot Program, and Equal Access Fund program. The initial allocations decisions related to the TCTF Judicial Council (0140010, formerly Program 30.05) and Trial Court Operations (0140019, formerly Program 35.15) appropriations are provided in Attachment 3C. The initial allocation decisions related to the TCTF Support for Operations of the Trial Courts (0150010, formerly Program 45.10) are provided in Attachment 3D.

Fiscal Status of the Trial Court Trust Fund

In the 2015 Budget Act, at the request of the Judicial Council, the \$20 million transfer from the IMF to the TCTF was discontinued and up to a \$66.2 million General Fund backfill is provided to address the continued decline in civil fee and criminal assessment revenues that support courts’ base allocation since 2012–2013. With the shift of the non-reimbursable V3 case management system costs from the TCTF to the IMF, the cessation of the transfer created a net shortfall of \$13.7 million to the TCTF. This estimated deficit has been reduced to \$8.3 million based on \$2.2 million ongoing as well as \$3.2 million potential continuing one-time savings from programs and costs funded from the TCTF (see Table 1 below). \$2.2 million of ongoing savings have been realized from reducing the jury reimbursement allocation by \$1.5 million to \$14.5 million as well as \$0.7 million in other items. \$3.2 million in potential continuing one-time judges’ compensation savings for 2016–2017 and the near future as well is estimated based on historical judgeship vacancy rates.

Table 1. Trial Court Trust Fund Operational Deficit

| Description | Amount | |
|---|---------------|------------------------|
| Discontinued \$20 Million Transfer from IMF | | -\$20.0 million |
| Savings from Funding V3 CMS from IMF and Decommissioning V2 CMS | | \$6.3 million |
| Net Deficit | | -\$13.7 million |
| | | |
| Adjustments to Net Deficit | | |

| Description | Amount | |
|--|----------------------|------------------------|
| Add: Estimated Vacancy-related Judicial Compensation Savings (One-time) | \$3.2 million | |
| Add: Reduced Jury Reimbursement Allocation from \$16 Million to \$14.5 Million (Ongoing) | \$1.5 million | |
| Add: Exclude 2013–2014 Court-Appointed Dependency Counsel Collections Program from Base Distribution Calculation (Ongoing) | \$0.8 million | |
| Add: Miscellaneous (Ongoing) | -\$0.1 million | |
| Subtotal, Adjustments to Net Deficit | \$5.4 million | |
| | | |
| Estimated Operational Deficit with Judicial Compensation Savings | | -\$8.3 million |
| Estimated Operational Deficit without Judicial Compensation Savings | | -\$11.5 million |

Fortunately, with an estimated ending unrestricted fund balance of \$12.1 million for 2015–2016 (see 3B, column D, row 45), there will likely not be a need to address the TCTF’s \$8.3 million operational deficit in 2016–2017, but in 2017–2018 when the projected ending unrestricted fund balance becomes negative (see 3B, column H, row 45).

This assumes that the Governor will continue to fully backfill from the General Fund any TCTF shortfall resulting from the decrease in revenue that supports courts’ base distributions and that the \$3.2 million in estimated one-time judges’ compensation savings continues. With the anticipated continued decline in revenue that supports courts’ base distributions in 2016–2017, an estimated total of \$70.5 million will need to be backfilled by the General Fund next fiscal year (see 3B, column G, row 15).

Table 2 displays the annual decline in revenue that support the trial courts’ base distributions as well as the annual percent decline in revenue that support the trial courts’ base distributions, excluding county Maintenance of Effort payments to the TCTF, which are fixed in statute. Based on the four-year average annual decline in TCTF revenue that supports trial courts’ base distributions, the Judicial Council may want to consider establishing a floor in that amount, \$25.2 million, for the TCTF unrestricted fund balance to allow it to absorb a decrease in these revenues if not backfilled by the General Fund instead of requiring an unanticipated reduction to be allocated during the year when it is more difficult for courts to plan for and efficiently and effectively operationalize reductions.

Table 2 – Annual Decreases in the TCTF Revenue that Supports Court Base Distributions

| Fiscal Year | TCTF Revenue Supporting Court Base Distributions | \$ Annual Decrease in Revenue | TCTF Non-MOE Revenue Supporting Court Base Distributions | % Annual Decrease in Non-MOE Revenue |
|-------------------------------------|---|--------------------------------------|---|---|
| FY 2012-13 Actual | \$1.245 billion | N/A | \$585.4 million | N/A |
| FY 2013-14 Actual | \$1.213 billion | -\$32.0 million | \$553.8 million | -5.5% |
| FY 2014-15 Actual | \$1.175 billion | -\$37.6 million | \$519.8 million | -6.8% |
| FY 2015-16 (2016-17 May Revise 10R) | \$1.155 billion | -\$19.5 million | \$496.0 million | -4.5% |
| FY 2016-17 (2016-17 May Revise 10R) | \$1.143 billion | -\$11.8 million | \$484.3 million | -2.4% |
| Four-Year Average | N/A | -\$25.2 million | N/A | -4.8% |

The projected 2016–2017 ending TCTF fund balance is \$28.7 million (see 3B, column G, row 35). Because about \$24.7 million are monies that are either statutorily restricted or restricted by the council (mainly savings related to the Program 45.45 court interpreter appropriation), the estimated unrestricted fund balance is \$4.0 million (see 3B, column G, rows 38 and 45).

The Governor’s January budget proposal for 2016–2017 contained \$121.4 million in new funding related to the TCTF, as follows:

- Court Operations, \$20.0 million
- Employee Benefit Costs, \$15.6 million
- Employee Benefit Adjustment, \$8.3 million
- Proposition 47 Costs, \$21.4 million
- Court Security for Marshals, \$0.3 million
- Unforeseen Emergency Funding, \$10.0 million
- Revenue Backfill, \$8.8 million
- Language Access, \$7.0 million
- Court Innovations Grant Funding, \$30.0 million

Any changes to the proposed new funding in the Governor’s May Revision to the 2016 Budget proposal would have no impact on the allocations the subcommittee is considering for recommendation at today’s meeting, and the new funding items will be considered for the subcommittee’s July 2016 meeting upon enactment of the 2016 Budget. All of the funding related to court operations, employee benefits, Proposition 47, and court security are assumed to

be fully allocated by the council in 2016–2017, and therefore have a net zero impact on the 2016–2017 ending fund balance. The \$10 million in unforeseen emergency funding is displayed in row 39 as restricted funding and assumes nothing is allocated in 2016–2017. If monies are allocated, courts would need to replenish the monies up to what was allocated by the council from their allocations in 2017–2018.

The additional revenue backfill of up to \$8.8 million supports courts' base allocation, and will occur only if revenue that supports courts' base allocations continues to decline in 2016–2017. The total up to \$75 million in revenue backfill has no impact on the TCTF fund balance. The language access funding of \$7 million would augment the Court Interpreters (0150037, formerly Program 45.45) appropriation to provide additional funding for court interpreters in civil proceedings. The \$30 million in one-time funding for a Court Innovations Grant Program was appropriated to the TCTF Support for Operations of the Trial Courts appropriation. However, not all of the funding is intended to be awarded to trial courts. \$21.4 million would be available for Proposition 47 workload expenditure and/or encumbrance until June 30, 2018.

Placer Court Hosting Center Funding Request

The Superior Court of Placer County on behalf of itself and six other trial courts is requesting the Judicial Council to allocate \$736,500 in one-time funds to the seven courts participating in the migration from the California Courts Technology Center to the Placer Court Hosting Center. Funds could be allocated in FY 2015–2016 or 2016–2017 to support the program. The requested \$736,500 does not include a possible one-time funding need of up to \$1.125 million for Humboldt and Madera Superior Courts. The subcommittee, if it approves the funding request submitted by the Superior Court of Placer County on behalf of Placer and six other trial courts discussed in Item 2, could recommend that the \$736,500 in funding be allocated from the TCTF. However, as discussed in the “Fiscal Status of the Trial Court Trust Fund” section above, the TCTF is already projected to have a negative unrestricted fund balance at the end of 2017–2018 due to its existing operational deficit that would need to be addressed. As a result, any funding allocated from the TCTF for the funding request would likely require a reduction be allocated to all 58 trial courts in the same amount.

Pending FY 2016–2017 TCTF allocation recommendations for Judicial Council

The subcommittee is being asked to consider only specific programs that reimburse trial court costs from the TCTF Support for Operation of the Trial Courts appropriation as other allocations depend on enactment of the State Budget or are items that don't require Judicial Council action. Assuming the timely enactment of the 2016 State Budget, the TCBAC intends to bring recommendations for the council's consideration at its July 29, 2016 meeting regarding new funding allocations and historical funding reallocations based on the Workload-based Allocation and Funding Model (WAFM); trial court benefits cost changes funding, any Proposition 47

workload-related funding, and preliminary allocation adjustments related to the 1 percent cap on trial courts' reserves. The TCBAC may also revisit what is being recommended in this report.

There are a number of items that the council will not be asked to act on because they either are required by the Budget Act (a \$50 million distribution from the Immediate & Critical Needs Account for court operations (see 3D, Column D, row 24)), have already been acted upon by the council (various revenue distributions (see Column D, rows 39, 42-45)), are required by statute (various revenue distributions (see Column D, rows 40 and 41)), or are authorized charges for the cost of programs (see Column D, row 49-51).

Options

1.

- A. Adopt the subcommittee's initial recommendations for 2016–2017 TCTF allocations for consideration by the Trial Court Budget Advisory Committee at its May 19, 2016 meeting and for council consideration on June 24, 2016.

or

- B. Revise the subcommittee's initial recommendations for 2016–2017 TCTF allocations for consideration by the Trial Court Budget Advisory Committee at its May 19, 2016 meeting and for council consideration on June 24, 2016.

2.

- A. Recommend an allocation of one-time funds from the TCTF to the seven courts participating in the migration from the California Courts Technology Center to the Placer Court Hosting Center.

or

- B. Recommend no TCTF funding allocation to the seven courts participating in the migration from the California Courts Technology Center to the Placer Court Hosting Center.

Trial Court Trust Fund -- Fund Condition Statement

| # | Description | FY 2014-15 (Year-End Financial Statement) | FY 2015-16 | | | FY 2016-17 | | | FY 2017-18 (Estimated) ² |
|----|--|--|--|---------------------------------|---|--|---------------------------------|---|--|
| | | | Adjusted Governor's Proposed Budget Estimate Col. B | Change in Estimate Col. C | May Revision Revenue Estimate Col. D | Adjusted Governor's Proposed Budget Estimate Col. E | Change in Estimate Col. F | May Revision Revenue Estimate Col. G | |
| | | Col. A | | | | | | | Col. H |
| 1 | Beginning Balance | 21,218,232 | 6,614,017 | - | 6,614,017 | 23,619,976 | 4,630,775 | 28,250,751 | 28,705,300 |
| 2 | Prior-Year Adjustments | 5,624,798 | 2,063,980 | 4,899,618 | 6,963,598 | - | - | - | - |
| 3 | Adjusted Beginning Fund Balance | 26,843,030 | 8,677,997 | 4,899,618 | 13,577,615 | 23,619,976 | 4,630,775 | 28,250,751 | 28,705,300 |
| 4 | Revenue | 1,341,324,951 | 1,299,804,476 | (13,017,104) | 1,286,787,373 | 1,277,791,539 | 1,448,149 | 1,279,239,688 | 1,330,399,506 |
| 5 | <i>Maintenance of Effort Obligation Revenue</i> | <i>659,050,502</i> | <i>659,050,502</i> | - | <i>659,050,502</i> | <i>659,050,502</i> | - | <i>659,050,502</i> | <i>659,050,502</i> |
| 6 | <i>Civil Fee Revenue</i> | <i>355,952,541</i> | <i>344,479,962</i> | <i>15,675,315</i> | <i>360,155,277</i> | <i>333,225,454</i> | <i>22,678,070</i> | <i>355,903,523</i> | <i>347,426,760</i> |
| 7 | <i>Court Operations Assessment Revenue</i> | <i>139,931,778</i> | <i>130,957,437</i> | <i>(16,209,482)</i> | <i>114,747,955</i> | <i>123,406,517</i> | <i>(16,054,147)</i> | <i>107,352,371</i> | <i>131,519,771</i> |
| 8 | <i>Civil Assessment Revenue</i> | <i>159,372,012</i> | <i>137,780,851</i> | <i>(11,798,204)</i> | <i>125,982,647</i> | <i>134,692,460</i> | <i>(4,432,289)</i> | <i>130,260,171</i> | <i>165,473,655</i> |
| 9 | <i>Parking Penalty Assessment Revenue</i> | <i>24,994,594</i> | <i>25,742,088</i> | <i>(1,056,333)</i> | <i>24,685,754</i> | <i>25,811,573</i> | <i>(1,232,871)</i> | <i>24,578,702</i> | <i>24,472,115</i> |
| 10 | <i>Interest from SMIF</i> | <i>151,376</i> | <i>286,592</i> | <i>(13,845)</i> | <i>272,747</i> | <i>286,592</i> | <i>(13,845)</i> | <i>272,747</i> | <i>272,747</i> |
| 11 | <i>Sanctions and Contempt Fines</i> | <i>1,586,715</i> | <i>1,044,700</i> | <i>357,726</i> | <i>1,402,426</i> | <i>965,888</i> | <i>436,537</i> | <i>1,402,426</i> | <i>1,402,426</i> |
| 12 | <i>Miscellaneous Revenue</i> | <i>285,431</i> | <i>462,345</i> | <i>27,720</i> | <i>490,065</i> | <i>352,553</i> | <i>66,692</i> | <i>419,246</i> | <i>781,530</i> |
| 13 | General Fund Transfer | 922,648,255 | 943,724,000 | (645) | 943,723,355 | 1,021,160,000 | - | 1,021,160,000 | 959,760,000 |
| 14 | General Fund Transfer - Court-Appointed Dependency Counsel | - | 114,700,000 | - | 114,700,000 | 114,700,000 | - | 114,700,000 | 114,700,000 |
| 15 | General Fund Transfer - Revenue Backfill | 30,900,000 | 56,200,000 | 2,700,000 | 58,900,000 | 74,800,000 | (4,300,000) | 70,500,000 | 47,000,000 |
| 16 | Reduction Offset Transfers | 26,080,000 | 6,080,000 | - | 6,080,000 | 6,080,000 | - | 6,080,000 | 6,080,000 |
| 17 | Net Other Transfers/Charges/Reimbursements | 12,678,778 | 13,209,845 | 7,576 | 13,217,422 | 11,709,845 | 7,576 | 11,717,422 | 13,217,422 |
| 18 | Total Revenue and Transfers/Charges/Reimbursements | 2,333,631,984 | 2,433,718,322 | (10,310,173) | 2,423,408,149 | 2,506,241,384 | (2,844,275) | 2,503,397,109 | 2,471,156,927 |
| 19 | Total Resources | 2,360,475,014 | 2,442,396,319 | (5,410,554) | 2,436,985,765 | 2,529,861,360 | 1,786,500 | 2,531,647,860 | 2,499,862,227 |
| 20 | Expenditures/Encumbrances/Allocations | | | | | | | | |
| 21 | Program 30 (0140) - Expenditures/Allocations | 19,718,918 | 14,862,164 | 1,178,608 | 16,040,772 | 3,117,000 | 28,000 | 3,145,000 | 2,785,399 |
| 22 | Program 30.05 (0140010) - Judicial Council (Staff) | 4,095,938 | 3,472,833 | 104,310 | 3,577,143 | 3,117,000 | 28,000 | 3,145,000 | 2,785,399 |
| 23 | Program 30.15 (0140019) - Trial Court Operations | 15,622,980 | 11,389,331 | 1,074,298 | 12,463,629 | - | - | - | - |
| 24 | | | | | | | | | |
| 25 | Program 45 (0150) - Expenditures/Allocations | 2,333,437,799 | 2,403,832,903 | (11,429,830) | 2,392,403,073 | 2,501,412,794 | (1,615,234) | 2,499,797,560 | 2,476,680,321 |
| 26 | Program 45.10 (0150010) - Support for Trial Court Operations | 1,883,174,214 | 1,825,260,636 | (11,411,752) | 1,813,848,884 | 1,904,250,446 | (3,943,833) | 1,900,306,614 | 1,886,091,012 |
| 27 | Program 0150011 - Court-Appointed Dependency Counsel | - | 114,700,000 | - | 114,700,000 | 114,700,000 | - | 114,700,000 | 114,700,000 |
| 28 | Program 45.25 (0150019) - Comp. of Superior Court Judges | 319,803,869 | 333,156,000 | 487 | 333,156,487 | 333,449,000 | - | 333,449,000 | 333,449,000 |
| 29 | Program 45.35 (0150028) - Assigned Judges | 24,792,538 | 26,646,000 | (1,132) | 26,644,868 | 26,646,000 | - | 26,646,000 | 26,646,000 |
| 30 | Program 45.45 (0150037) - Court Interpreters | 96,802,928 | 95,855,000 | (337,980) | 95,517,020 | 103,559,000 | - | 103,559,000 | 103,559,000 |
| 31 | Program 45.55 (0150046) - Grants | 8,864,250 | 8,215,267 | 320,547 | 8,535,814 | 8,024,348 | 535,145 | 8,559,493 | 8,622,308 |
| 32 | Program 0150095 - Expenses on Behalf of the Trial Courts | - | - | - | - | 10,784,000 | 1,793,453 | 12,577,453 | 3,613,000 |
| 33 | Item 601 - Redevelopment Agency Writ Case Reimbursements | 704,280 | 81,276 | 209,893 | 291,169 | - | - | - | - |
| 34 | Total, Expenditures/Encumbrances/Allocations | 2,353,860,997 | 2,418,776,343 | (10,041,329) | 2,408,735,014 | 2,504,529,794 | (1,587,234) | 2,502,942,560 | 2,479,465,720 |
| 35 | Ending Fund Balance | 6,614,017 | 23,619,976 | 4,630,775 | 28,250,751 | 25,331,566 | 3,373,734 | 28,705,300 | 20,396,507 |
| 36 | | | | | | | | | |
| 37 | Fund Balance Detail | | | | | | | | |
| 38 | Restricted Fund Balance | 16,294,708 | 14,389,879 | 1,798,602 | 16,188,481 | 23,797,652 | 895,463 | 24,693,115 | 24,952,773 |
| 39 | <i>Urgent Needs Reserve</i> | - | - | - | - | <i>10,000,000</i> | - | <i>10,000,000</i> | <i>10,000,000</i> |
| 40 | <i>Court Interpreter Program</i> | <i>10,917,600</i> | <i>10,917,600</i> | <i>2,207,609</i> | <i>13,125,209</i> | <i>10,917,600</i> | <i>2,207,609</i> | <i>13,125,209</i> | <i>13,125,209</i> |
| 41 | <i>Court-Appointed Dependency Counsel Collections</i> | <i>1,574,692</i> | <i>526,866</i> | <i>224,352</i> | <i>751,218</i> | <i>586,200</i> | <i>345,039</i> | <i>931,238</i> | <i>1,190,897</i> |
| 42 | <i>Redevelopment Agency Writ Case Reimbursements</i> | <i>927,837</i> | <i>846,561</i> | <i>(209,893)</i> | <i>636,668</i> | <i>846,561</i> | <i>(209,893)</i> | <i>636,668</i> | <i>636,668</i> |
| 43 | <i>Refund to courts of overcharges for JCC services</i> | <i>380,151</i> | - | - | - | - | - | - | - |
| 44 | <i>Sargent Shriver Civil Counsel</i> | <i>2,494,429</i> | <i>2,098,852</i> | <i>(423,466)</i> | <i>1,675,387</i> | <i>1,447,292</i> | <i>(1,447,292)</i> | - | - |
| 45 | Unrestricted Fund Balance | (9,680,691) | 9,230,097 | 2,832,173 | 12,062,270 | 1,533,914 | 2,478,271 | 4,012,185 | (4,556,266) |
| 46 | | | | | | | | | |
| 47 | Revenue and Transfers Annual Surplus/(Deficit) | (20,229,013) | 14,941,979 | (268,844) | 14,673,135 | 1,711,590 | (1,257,041) | 454,549 | (8,308,793) |

1. Expenditure authority reflects the 2015 Budget Act appropriation authority adjusted for planned transfers between Program 45.10 (0150010) and Program 45.25 (0150019) appropriation due to conversion of subordinate judicial officer positions to judgeships, between Program 45.10 (0150010) and Program 45.45 (0150037) appropriation due to the court interpreter portion of \$42.8 million for new benefits funding, and an increase to Program 45.25 and 45.35 (0150028) to reflect a 2.48% judges' salary increase.

TCTF Judicial Council and Trial Court Operations Appropriations Allocations

3C

| # | Project and Program Title | 2015-16 JC- Approved Allocation | 2015-16 Funded from Courts' Program 45.10 TCTF Allocations | 2015-16 Approved Total Allocation | TCBAC Revenue & Expenditure Subcommittee FY 2016-17 Preliminary Allocation Recommendations | | |
|----|--|---------------------------------------|---|--|--|--|-----------------------|
| | | | | | Judicial Council (Staff) ¹ | Trial Court Operations ¹ | Total |
| | | Col. A | Col. B | Col. C (Col A + B) | Col. D | Col. E | Col F (Col. D + E) |
| 1 | Children in Dependency Case Training | 113,000 | | 113,000 | - | 113,000 | 113,000 |
| 2 | Sargent Shriver Civil Counsel Pilot Program | 8,293,000 | | 8,293,000 | 500,000 | 7,793,000 | 8,293,000 |
| 3 | Equal Access Fund | 163,000 | | 163,000 | 194,000 | - | 194,000 |
| 4 | Court-Appointed Dependency Counsel Collections | 260,000 | | 260,000 | 260,000 | - | 260,000 |
| 5 | Revenue and Collections Program | 625,000 | | 625,000 | 625,000 | - | 625,000 |
| 6 | Programs Funded from Courts' TCTF Allocations | | | | | | |
| 7 | Civil, Small Claims, Probate and Mental Health (V3) CMS | | 644,320 | 644,320 | - | 564,000 | 564,000 |
| 8 | California Courts Technology Center | | 1,472,029 | 1,472,029 | - | 1,472,000 | 1,472,000 |
| 9 | Interim Case Management System | | 842,232 | 842,232 | - | 842,000 | 842,000 |
| 10 | Phoenix Financial Services | | 106,434 | 106,434 | 107,000 | - | 107,000 |
| 11 | Phoenix HR Services | | 1,349,000 | 1,349,000 | 1,349,000 | - | 1,349,000 |
| 12 | Other Post Employment Benefits Valuations | | 524,750 | 524,750 | - | - | - |
| 13 | Total, Program/Project Allocations | 9,454,000 | 4,938,765 | 14,392,765 | 3,035,000 | 10,784,000 | 13,819,000 |
| 14 | Department of Motor Vehicles Amnesty Program service charges | 250,000 | | 250,000 | - | - | - |
| 15 | Estimated State Controller's Office services charges | 219,399 | | 219,399 | 83,000 | - | 83,000 |
| 17 | Estimated Budget Act Appropriation and Changes Using Provisional Language Authority¹ | N/A | N/A | N/A | 3,490,100 | 13,025,000 | 16,515,100 |
| 18 | Appropriation Balance | N/A | N/A | N/A | 372,100 | 2,241,000 | 2,613,100 |

1. Provisional language in the State Budget Act for 2015 allows the Judicial Council appropriation authority to be increased for increased revenues that support the Sargent Shriver Civil Counsel Pilot, Equal Access Fund, and Court-Appointed Dependency Counsel Collections. Provisional language also allows up to \$11.274 million to be transferred to the Judicial Council and Trial Court Operations appropriation authority for the recovery of costs for administrative services provided to the trial courts. It is assumed that this provisional authority will continue and be included in the 2016 Budget Act.

**FY 2015-16 and FY 2016-17 Trial Court Trust Fund Support for Operation of the Trial Courts:
Appropriation vs. Estimated/Approved Allocations**

| # | Description | Type | 2015-16 | | | 2016-17 | R & E Subcommittee Preliminarily Recommends | Explanation for Items Not Considered |
|----|---|----------|----------------------------|--------------------|---------------------|---------------------|---|--------------------------------------|
| | | | Governor's Budget Estimate | Change in Estimate | May Revise Estimate | May Revise Estimate | | |
| | | | Col. A | Col. B | Col. C | Col. D | Col. E | Col. F |
| 1 | I. Prior-Year Ending Baseline Allocation | Base | 1,614,580,055 | - | 1,614,580,055 | 1,717,790,706 | | |
| 3 | II. Adjustments | | | | | | | |
| 4 | Reduction for Appointed Converted SJO Positions | Base | -817,737 | - | -817,737 | -400,067 | | JC policy |
| 6 | III. FY 2015-2016 Allocations | | | | | | | |
| 7 | \$25.4 Million in FY 2014-15 Benefits Cost Changes Funding | Base | 24,229,808 | - | 24,229,808 | | | prior year |
| 8 | \$13.4 Million in FY 2013-14 Restored Benefits Funding | Base | 13,274,798 | - | 13,274,798 | | | prior year |
| 9 | \$90.6 Million in New Funding Offset by \$22.7 Million Revenue Shortfall | Base | 67,900,000 | - | 67,900,000 | | | prior year |
| 10 | \$26.9 Million Proposition 47 Workload Funding | Non-Base | 26,900,000 | - | 26,900,000 | | | prior year |
| 12 | IV. FY 2016-2017 Allocations (Governor's Budget) | | | | | | | |
| 13 | \$8.6 Million in Benefits Cost Changes Funding | Base | | | | 8,452,000 | | pending - July |
| 14 | \$7.5 Million in FY 2013-14 Restored Benefits Funding | Base | | | | 7,069,000 | | pending - July |
| 15 | \$20.0 Million in New Funding | Base | | | | 20,000,000 | | pending - July |
| 16 | \$30.0 Million in Court Innovations Grants (Total Funding for Both Trial and Appellate Courts) | Base | | | | 28,500,000 | | pending - July |
| 17 | Non-Sheriff's Security Funding | Base | | | | 343,000 | | pending - July |
| 18 | \$21.4 Million in Proposition 47 Workload Funding | Non-Base | | | | 21,400,000 | | pending - July |
| 20 | V. Statutory Allocation Adjustments | | | | | | | |
| 21 | 2.0% Holdback | Non-Base | -37,677,580 | - | -37,677,580 | to be removed | | N/A |
| 22 | 1.5% & 0.5% Emergency Funding & Unspent Funding Allocated Back to | Non-Base | 37,677,580 | - | 37,677,580 | to be removed | | N/A |
| 23 | 1% Fund Balance Cap Reduction | Non-Base | -392,853 | - | -392,853 | pending | | pending - July |
| 24 | Adjustment for Funding to be Distributed from ICNA | Non-Base | -50,000,000 | - | -50,000,000 | -50,000,000 | | Budget Act |
| 25 | Criminal Justice Realignment Funding | Non-Base | 9,223,000 | - | 9,223,000 | 9,223,000 | 9,223,000 | |
| 26 | Reduction for Appointed Converted SJO Positions | Base | -1,283,668 | (92,549) | -1,376,217 | pending | | JC policy |
| 28 | VI. Allocation for Reimbursements | | | | | | | |
| 29 | Court-Appointed Dependency Counsel | Non-Base | 114,700,000 | - | 114,700,000 | 114,700,000 | 114,700,000 | |
| 30 | Jury | Non-Base | 14,500,000 | - | 14,500,000 | 14,500,000 | 14,500,000 | |
| 31 | Replacement Screening Stations | Non-Base | 2,286,000 | - | 2,286,000 | 2,286,000 | 2,286,000 | |
| 32 | Self-Help Center | Non-Base | 2,500,000 | - | 2,500,000 | 2,500,000 | 2,500,000 | |
| 33 | Elder Abuse | Non-Base | 332,000 | - | 332,000 | 332,000 | 332,000 | |
| 34 | CSA Audits ¹ | Non-Base | 325,000 | - | 325,000 | 0 | 0 | |
| 35 | CAC Dependency Collections Reimbursement Rollover | Non-Base | 782,231 | - | 782,231 | pending | 0 | |
| 36 | CAC Dependency Collections Reimbursement | Non-Base | 872,692 | - | 872,692 | 751,217 | 526,865 | |

**FY 2015-16 and FY 2016-17 Trial Court Trust Fund Support for Operation of the Trial Courts:
Appropriation vs. Estimated/Approved Allocations**

| # | Description | Type | 2015-16 | | | 2016-17 | R & E Subcommittee Preliminarily Recommends | Explanation for Items Not Considered |
|----|---|----------|----------------------------|--------------------|----------------------|----------------------|---|--------------------------------------|
| | | | Governor's Budget Estimate | Change in Estimate | May Revise Estimate | May Revise Estimate | | |
| | | | Col. A | Col. B | Col. C | Col. D | Col. E | Col. F |
| 38 | VI. Estimated Revenue Distributions | | | | | | | |
| 39 | Civil Assessment | Non-Base | 89,478,121 | (11,798,204) | 77,679,917 | 81,957,442 | | JC policy |
| 40 | Fees Returned to Courts | Non-Base | 22,500,790 | (309,037) | 22,191,753 | 22,151,825 | | statutory |
| 41 | Replacement of 2% automation allocation from TCIF | Non-Base | 10,907,494 | - | 10,907,494 | 10,907,494 | | statutory |
| 42 | Children's Waiting Room | Non-Base | 3,008,409 | 350,025 | 3,358,433 | 3,348,619 | | JC policy/statute |
| 43 | Automated Recordkeeping and Micrographics | Non-Base | 2,337,793 | 226,633 | 2,564,426 | 2,556,538 | | JC policy |
| 44 | Telephonic Appearances Revenue Sharing | Non-Base | 943,840 | - | 943,840 | 943,840 | | JC policy/statute |
| 45 | Prior Year Revenues | Non-Base | | 15,983 | 15,983 | 0 | | JC policy/statute |
| 47 | VII. Miscellaneous Charges | | | | | | | |
| 48 | Repayment of Prior Year Cash Advance | Non-Base | -24,670,650 | - | -24,670,650 | | | Non-allocation |
| 49 | State Admin Infrastructure Charges Prior Year Adjustment | Non-Base | 482,279 | - | 482,279 | | | JC policy |
| 50 | Statewide Administrative Infrastructure Charges | Non-Base | -4,938,765 | 29,033 | -4,909,732 | -4,306,000 | | JC policy |
| 51 | Prior Year Facility Payments Charge Adjustments | Non-Base | 0 | 166,364 | 166,364 | | | JC policy |
| 52 | Total | | 1,939,960,636 | -11,411,752 | 1,928,548,884 | 2,015,006,614 | 144,067,865 | |
| 54 | Support for Operation of the Trial Courts Appropriation Budget Act ² | | 1,998,579,000 | N/A | 1,998,579,000 | 2,051,855,000 | | |
| 55 | Transfer to Compensation of Superior Court Judges appropriation due to conversion of subordinate judicial officer positions to judgeships | | -4,856,000 | N/A | -4,949,000 | | | |
| 56 | Transfer to Court Interpreters appropriation due to court interpreter portion of \$42.8 million for new benefits funding | | -1,766,000 | N/A | -1,766,000 | | | |
| 57 | Adjusted Appropriation | | 1,991,957,000 | N/A | 1,991,864,000 | 2,051,855,000 | | |
| 59 | Estimated Remaining Appropriation | | 51,996,364 | N/A | 63,315,116 | 36,848,386 | | |

1 Provision 12 of the 2015 Budget Act requires that \$325,000 be allocated by the Judicial Council in order to reimburse the California State Auditor for the costs of trial court audits.

2 Includes the Budget Act Appropriation of \$114,700,000 for Item 0250-102-0932 - Court-Appointed Dependency Counsel.

Item 4
Allocations from the State Trial Court Improvement and Modernization Fund for 2015–2016 and 2016–2017
(Action Item)

Issue

Adopt recommendations for 2016–2017 allocations from the IMF for consideration by the Trial Court Budget Advisory Committee at its May 19, 2016 meeting and for council consideration on June 24, 2016. Consider a request for an allocation augmentation in 2015–2016.

2015–2016 IMF Allocation Adjustments by the Administrative Director

As of May 4, 2016, the Administrative Director has, upon notification of the chairs of the Executive and Planning Committee and the TCBAC, approved the following adjustments (see column E of Attachment 4C):

- transfer of \$10,000 from the Trial Court Procurement allocation to the Budget Focused Training and Meetings allocation
- transfer of \$90,200 from the Regional Office Assistance Group allocation to the Trial Court Transactional Assistance Program allocation.

There is a pending request for a transfer of \$526,800 in IMF funds to the Litigation Management Program (LMP). This request, is a net zero request within IMF allocations, fits within the AD's authority, and the savings are due to vacancies (see column G of Attachment 4C).

Previous Action by the Subcommittee Related to 2016–2017 Allocations

At its December 14, 2015 meeting, the subcommittee made tentative initial decisions to reduce certain programs' allocations for a total reduction of \$291,400 for 2016–17. Attachment 4A (column J) displays those tentative initial decisions. At its February 4, 2016 meeting, the subcommittee adopted allocating funding in 2016–2017 to the following programs managed by the Legal Services Office: Judicial Performance Defense Insurance – \$966,600 (no change from 2015–2016); Litigation Management Program – \$4,000,000 (no change from 2015–2016); Trial Court Transactional Assistance Program – \$651,000 (\$200,000 increase from 2015–2016); and Regional Office Assistance Group – \$1,260,000 (\$200,000 decrease from 2015–2016).

Allocation Options

2015–2016

1. Approve an augmentation of \$200,000 for the Trial Court Transactional Assistance Program in 2015–2016, from \$541,200 to \$741,200, and decrease the allocation of the Regional Office Assistance Group by \$200,000 in 2015–2016.

2016–2017

2. Unless otherwise specified, TCBAC recommendations to the council related to 2016–2017 IMF allocations are contingent upon the Budget Act of 2016 including \$8.7 million General

Fund monies for the Phoenix Program, for costs currently funded out of the IMF. If the funding is not appropriated, the TCBAC will provide the council revised recommendations at either the council's July 2016 or August 2016 meeting.

3. Approve for 2016–2017 \$736,500 in one-time funds to support the creation of the Placer Court Hosting Center, which will provide a hosting location for six small trial courts' information technology infrastructure.
4. 2016–2017 Allocations for All Programs, except the Telecommunications Program
 - a. Approve allocation levels as provided in Attachment 4A (column H), totaling \$45.9 million.
 - b. Same as 4a, but increase Litigation Management Program by \$160,000 and decrease Trial Courts Transactional Assistance Program by \$160,000 (see columns I and J of Attachment A).
 - c. Approve the tentative initial reduction decisions made at the subcommittee's December 14, 2015 meeting as provided in Attachment 4A (column L), totaling \$291,400 in reductions to certain programs' 2015–2016 allocations.
5. Given current revenue estimates, approve setting aside a \$2 million fund balance by the end of 2016–2017 as a reserve against further declines in revenues.
6. Telecommunications (LAN/WAN) Program Allocation for 2016–2017
 - a. Allocate all remaining 2016–2017 unallocated funding to the Telecommunications Program in 2016–2017 based on the decisions made for Options 1 through 5.
 - b. Based on actual 2015–2016 revenue receipts by September 1, 2016, allocate all unrestricted 2015–2016 revenues that exceed the current estimates to the Telecommunications Program.

Discussion of Allocation Options

Option 1 – One-time funds, in the amount of \$736,500, will be allocated or otherwise provided to the six courts participating in the Placer Court Hosting Center. Funds could be allocated in FY 2015–16 or FY 2016–17 to support the program. Due to the length of time to secure DMV connectivity and to ensure courts can encumber their own funds in the current year, however, a decision is needed prior to the close of FY 15/16.

Option 2 – If the Budget Act does not provide \$8.7 million for the Phoenix Program, it might not be feasible for the subcommittee and full committee to provide revised recommendations in time for the council's July 29, 2016 meeting.

Option 3 – A discussion of the request is provided in the materials for Item 2 of the May 13, 2016 meeting materials.

Option 4 – Attachment 4A1 provides a history of changes to the proposed allocation levels, which includes levels adopted by the subcommittee (e.g., LMP) and updated budget needs (e.g., mostly IT programs), but excludes the initial decisions and current new options. To keep within

its current 2015–16 allocation level of \$1.202 million, the CJER Governing Committee met to prioritize the educational needs for the judicial branch identified as essential by the subject matter experts, primarily judges, comprising its nine curriculum committees. The cost to implement the training needs identified by those committees during their biannual needs analysis work would have exceeded the current year CJER IMF allocation of \$1,202,000 by an estimated \$144,000 in FY16-17. Based on CJER’s analysis, the primary reasons for the additional costs were: (1) A market-driven increase in the cost of judicial education lodging, primarily for required education at the New Judge Orientation, Judicial College, and Primary Assignment Orientation programs; (2) Requests to alleviate some of the program reductions made to education for experienced judges in FY15-16; and (3) Requests for new programming or expansion to existing programs.

After a review of practices in other states and a report by staff estimating fee revenue of approximately \$80,000 in FY16-17, the Governing Committee recommended implementation of a \$50/day participant registration fee at specific judicial education programs to mitigate the cost of its remaining non-mandatory judicial education, the Committee then implemented the following reductions to the programming needs identified by the curriculum committees: (1) Eliminate the newly proposed Advanced Judicial College program; (2) Eliminate the newly proposed expansion of the PJ/CEO Institute to a full three days; (3) Continue the current year limit on PAO attendance to only those judges who are completely new to the assignment; (4) Do not restore one of the two annual Homicide Trials courses (cut last year); (5) Do not restore one of the two annual Death Penalty courses (cut last year).

Option 5 – Because of data limitations, current projections for the 50/50 excess revenues may not fully reflect the impact of the amnesty program since those projections must rely on other information than actual revenue, which is only reported once a year. Taking into consideration the highest percentage declines in the prior years between the 50/50 excess revenue and 2% automation fund revenue, an additional 10%, or \$2 million, decline in the 50/50 excess revenue is possible, although not necessarily probable. The fund balance reserve target of \$2 million is a safeguard against possible further revenue declines and, all things being equal, results in less money that can be allocated for the Telecommunications Program in 2016–17, and, as a result, an increase in finance charges. Alternatively, all things being equal, decreasing the targeted fund balance reserve will result in more funding available for the Telecommunications Program in 2016–17 and a decrease in finance charges but increases the risk of a negative fund balance in 2016–17 if the level of reserve cannot fully absorb possible further revenue declines.

Option 6 – At its February 4, 2016 meeting, the subcommittee unanimously adopted that whether LAN/WAN equipment is financed or leased will be determined by Judicial Council. The allocation for the Telecommunications Program in 2016–17 is contingent upon actions the subcommittee takes regarding 2016–17 allocation and fund balance levels.

Updated IMF Fund Condition Statement
Attachment 4B provides the statement.

Attachment 4A

| # | Program Name | Office | FY 2015-16 Council Approved Allocation | Option #4a | Option #4b | | Option #3 | Option #4c | Notes Related to Initial Decisions |
|-------------------------------------|--|---------|--|--|-------------------------------|----------------------|---|--|---|
| | | | | Proposed FY 2016-17 Allocation Excluding LAN/WAN | Adjustment to Option 4a | Total | Placer Court Hosting Center Request | Initial Decisions (12/14/2015 Meeting) | |
| A | B | C | G | H | I | J | K | L | M |
| 1 | Self-Help Center | CFCC | \$ 5,000,000 | \$ 5,000,000 | | \$ 5,000,000 | | | No change |
| 2 | Judicial Education | CJER | \$ 654,000 | \$ 654,000 | | \$ 654,000 | | | Percentage reduction |
| 3 | Judicial Performance Defense Insurance | LSO | \$ 966,600 | \$ 966,600 | | \$ 966,600 | | | Explore options for reduction |
| 4 | Phoenix Program | TCAS | \$ 12,121,114 | \$ 3,751,159 | | \$ 3,751,159 | | \$ (118,900) | |
| 5 | Statewide Support for Self-Help Programs | CFCC | \$ 100,000 | \$ 100,000 | | \$ 100,000 | | | No change |
| 6 | Treasury Services - Cash Management (Support) | Finance | \$ 238,000 | \$ 242,100 | | \$ 242,100 | | | No change |
| 7 | Budget Focused Training and Meetings | Finance | \$ 50,000 | \$ 50,000 | | \$ 50,000 | | | Percentage reduction |
| 8 | Telecommunications Support | IT | \$ 16,159,000 | TBD | | TBD | | | Deferred |
| 9 | Statewide Multidisciplinary Education | CFCC | \$ 67,000 | \$ 67,000 | | \$ 67,000 | | | Percentage reduction |
| 10 | Litigation Management Program | LSO | \$ 4,000,000 | \$ 4,000,000 | \$ 160,000 | \$ 4,160,000 | | | Percentage reduction |
| 11 | Essential Court Personnel Education | CJER | \$ 140,000 | \$ 140,000 | | \$ 140,000 | | | Percentage reduction |
| 12 | CJER Faculty | CJER | \$ 250,000 | \$ 250,000 | | \$ 250,000 | | | Percentage reduction |
| 13 | Essential Court Management Education | CJER | \$ 20,000 | \$ 20,000 | | \$ 20,000 | | | Percentage reduction |
| 14 | Trial Court Labor Relations Academies and Forums | HR | \$ 25,700 | \$ 25,700 | | \$ 25,700 | | | No change |
| 15 | Court Interpreter Testing etc. | COSSO | \$ 143,000 | \$ 143,000 | | \$ 143,000 | | \$ (143,000) | Shift to reserve Program 45.45 funding in TCTF |
| 16 | Superior Court Audit Program | AS | \$ 660,000 | \$ 660,000 | | \$ 660,000 | | | No change |
| 17 | Uniform Civil Filing Services (UCFS) | IT | \$ 366,000 | \$ 372,205 | | \$ 372,205 | | | No change |
| 18 | Trial Courts Transactional Assistance Program | LSO | \$ 451,000 | \$ 651,000 | | \$ 651,000 | | | Percentage reduction |
| 19 | Distance Education | CJER | \$ 138,000 | \$ 138,000 | | \$ 138,000 | | | Percentage reduction |
| 20 | California Courts Technology Center (CCTC) | IT | \$ 8,534,969 | \$ 9,668,289 | | \$ 9,668,289 | | | No change |
| 21 | California Courts Protective Order Registry (CCPOR) | IT | \$ 861,200 | \$ 740,299 | | \$ 740,299 | | | No change |
| 22 | Regional Office Assistance Group | LSO | \$ 1,460,000 | \$ 1,260,000 | \$ (160,000) | \$ 1,100,000 | | | Percentage reduction |
| 23 | Juvenile Law Practice Resources | CFCC | \$ 20,000 | \$ 20,000 | | \$ 20,000 | | \$ (20,000) | |
| 25 | Data Integration | IT | \$ 3,849,600 | \$ 3,422,587 | | \$ 3,422,587 | | | No change |
| 26 | Trial Court Workload Study Support | COSSO | \$ 13,000 | \$ 13,000 | | \$ 13,000 | | | No change |
| 27 | Domestic Violence Forms Translation | CFCC | \$ 17,000 | \$ 17,000 | | \$ 17,000 | | | No change |
| 28 | Trial Court Procurement | Finance | \$ 122,000 | \$ 122,000 | | \$ 122,000 | | | No change |
| 29 | Self-Help Document Assembly Programs | CFCC | \$ 60,000 | \$ 60,000 | | \$ 60,000 | | | No change |
| 30 | Court-Ordered Debt Task Force | TCAS | \$ 19,000 | \$ 19,000 | | \$ 19,000 | | \$ (9,500) | |
| 31 | Enterprise Policy/Planning (Statewide Development) | IT | \$ 5,064,775 | \$ 6,762,965 | | \$ 6,762,965 | | | No change |
| 32 | Interim Case Management Systems | IT | \$ 1,246,800 | \$ 1,039,684 | | \$ 1,039,684 | \$ 736,500 | | Deferred |
| 33 | Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) | IT | \$ 5,658,100 | \$ 4,856,682 | | \$ 4,856,682 | | | Deferred |
| Programs Excluded From Court Survey | | | | | | | | | |
| n/a | Adobe Live Cycle Reader Service Extension | IT | \$ 141,000 | \$ - | | \$ - | | | Included in Enterprise Policy / Planning |
| n/a | Testing Tools - Enterprise Test Management Suite | IT | \$ - | \$ 159,096 | | \$ 159,096 | | | |
| n/a | Jury Management System | IT | \$ 465,000 | \$ 465,000 | | \$ 465,000 | | | Funded from restricted royalty revenue |
| n/a | Jury System Improvement Projects | LSO | \$ 19,000 | \$ 19,000 | | \$ 19,000 | | | |
| n/a | Justice Corps | COSSO | \$ 347,600 | \$ - | | \$ - | | | |
| | Total | | \$ 69,448,458 | \$ 45,875,366 | \$ - | \$ 45,875,366 | \$ 736,500 | \$ (291,400) | |

Attachment 4A1

| # | Program Name | FY 2015-16 Council Approved Allocation | Program Need Estimates at December 14, 2015 Meeting | Program Need Estimates at February 4, 2016 Meeting | Proposed FY 2016-17 Allocation Excluding LAN/WAN | Change from 2015-16 Level |
|--|--|---|--|---|--|------------------------------|
| A | B | C | D | E | F | G |
| 1 | Self-Help Center | \$ 5,000,000 | \$ 5,000,000 | \$ 5,000,000 | \$ 5,000,000 | \$ - |
| 2 | Judicial Education | \$ 654,000 | \$ 829,000 | \$ 829,000 | \$ 654,000 | \$ - |
| 3 | Judicial Performance Defense Insurance | \$ 966,600 | \$ 966,600 | \$ 966,600 | \$ 966,600 | \$ - |
| 4 | Phoenix Program | \$ 12,121,114 | \$ 14,004,200 | \$ 12,451,159 | \$ 3,751,159 | \$ (8,369,955) |
| 5 | Statewide Support for Self-Help Programs | \$ 100,000 | \$ 100,000 | \$ 100,000 | \$ 100,000 | \$ - |
| 6 | Treasury Services - Cash Management (Support) | \$ 238,000 | \$ 242,100 | \$ 242,100 | \$ 242,100 | \$ 4,100 |
| 7 | Budget Focused Training and Meetings | \$ 50,000 | \$ 50,000 | \$ 50,000 | \$ 50,000 | \$ - |
| 8 | Telecommunications Support | \$ 16,159,000 | \$ 30,484,269 | \$ 28,774,039 | TBD | N/A |
| 9 | Statewide Multidisciplinary Education | \$ 67,000 | \$ 67,000 | \$ 67,000 | \$ 67,000 | \$ - |
| 10 | Litigation Management Program | \$ 4,000,000 | \$ 4,000,000 | \$ 4,000,000 | \$ 4,000,000 | \$ - |
| 11 | Essential Court Personnel Education | \$ 140,000 | \$ 72,000 | \$ 72,000 | \$ 140,000 | \$ - |
| 12 | CJER Faculty | \$ 250,000 | \$ 309,500 | \$ 157,000 | \$ 250,000 | \$ - |
| 13 | Essential Court Management Education | \$ 20,000 | \$ 20,000 | \$ 20,000 | \$ 20,000 | \$ - |
| 14 | Trial Court Labor Relations Academies and Forums | \$ 25,700 | \$ 25,700 | \$ 25,700 | \$ 25,700 | \$ - |
| 15 | Court Interpreter Testing etc. | \$ 143,000 | \$ 143,000 | \$ 143,000 | \$ 143,000 | \$ - |
| 16 | Superior Court Audit Program | \$ 660,000 | \$ 660,000 | \$ 660,000 | \$ 660,000 | \$ - |
| 17 | Uniform Civil Filing Services (UCFS) | \$ 366,000 | \$ 368,931 | \$ 368,931 | \$ 372,205 | \$ 6,205 |
| 18 | Trial Courts Transactional Assistance Program | \$ 451,000 | \$ 451,000 | \$ 451,000 | \$ 651,000 | \$ 200,000 |
| 19 | Distance Education | \$ 138,000 | \$ 124,000 | \$ 124,000 | \$ 138,000 | \$ - |
| 20 | California Courts Technology Center (CCTC) | \$ 8,534,969 | \$ 9,690,839 | \$ 9,690,839 | \$ 9,668,289 | \$ 1,133,320 |
| 21 | California Courts Protective Order Registry (CCPOR) | \$ 861,200 | \$ 669,827 | \$ 669,827 | \$ 740,299 | \$ (120,901) |
| 22 | Regional Office Assistance Group | \$ 1,460,000 | \$ 1,472,300 | \$ 1,472,300 | \$ 1,260,000 | \$ (200,000) |
| 23 | Juvenile Law Practice Resources | \$ 20,000 | \$ 20,000 | \$ 20,000 | \$ 20,000 | \$ - |
| 25 | Data Integration | \$ 3,849,600 | \$ 3,508,907 | \$ 3,508,907 | \$ 3,422,587 | \$ (427,013) |
| 26 | Trial Court Workload Study Support | \$ 13,000 | \$ 13,000 | \$ 13,000 | \$ 13,000 | \$ - |
| 27 | Domestic Violence Forms Translation | \$ 17,000 | \$ 17,000 | \$ 17,000 | \$ 17,000 | \$ - |
| 28 | Trial Court Procurement | \$ 122,000 | \$ 122,000 | \$ 122,000 | \$ 122,000 | \$ - |
| 29 | Self-Help Document Assembly Programs | \$ 60,000 | \$ 60,000 | \$ 60,000 | \$ 60,000 | \$ - |
| 30 | Court-Ordered Debt Task Force | \$ 19,000 | \$ 19,000 | \$ 19,000 | \$ 19,000 | \$ - |
| 31 | Enterprise Policy/Planning (Statewide Development) | \$ 5,064,775 | \$ 8,021,425 | \$ 8,021,425 | \$ 6,762,965 | \$ 1,698,190 |
| 32 | Interim Case Management Systems | \$ 1,246,800 | \$ 1,039,684 | \$ 1,039,684 | \$ 1,039,684 | \$ (207,116) |
| 33 | Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) | \$ 5,658,100 | \$ 5,163,956 | \$ 5,163,956 | \$ 4,856,682 | \$ (801,418) |
| Programs Excluded From Court Survey | | | | | | |
| 34 | Adobe Live Cycle Reader Service Extension | \$ 141,000 | \$ - | \$ - | \$ - | \$ (141,000) |
| 35 | Testing Tools - Enterprise Test Management Suite | \$ - | \$ 159,096 | \$ 159,096 | \$ 159,096 | \$ 159,096 |
| 36 | Jury Management System | \$ 465,000 | \$ 465,000 | \$ 465,000 | \$ 465,000 | \$ - |
| 37 | Jury System Improvement Projects | \$ 19,000 | \$ 19,000 | \$ 19,000 | \$ 19,000 | \$ - |
| 38 | Justice Corps | \$ 347,600 | \$ - | \$ - | \$ - | \$ (347,600) |
| | Total | \$ 69,448,458 | \$ 88,378,334 | \$ 84,962,563 | \$ 45,875,366 | \$ (7,414,092) |

Attachment 4A2
Summary of Programs

| # | Program Name | Office | Statewide Survey Average | Program Description |
|----|--|---------|--------------------------|--|
| A | B | C | D | E |
| 1 | Self-Help Center | CFCC | 9.76 | Provides court-based assistance to self-represented litigants. |
| 2 | Judicial Education | CJER | 9.57 | Programs for all newly elected or appointed judges and SJOs. |
| 3 | Judicial Performance Defense Insurance | LSO | 9.11 | Pays for the portion of the CJP defense master insurance policy that covers claims by superior court judges and subordinate judicial officers. |
| 4 | Phoenix Program | TCAS | 8.96 | This program's purpose is to provide daily centralized administrative services to the trial courts. |
| 5 | Statewide Support for Self-Help Programs | CFCC | 8.88 | The self-help site provides local courts with information that they can use to research, translate, and post local court information on their own. |
| 6 | Treasury Services - Cash Management (Support) | Finance | 8.85 | Used for the compensation, operating expenses and equipment costs for two accounting staff. |
| 7 | Budget Focused Training and Meetings | Finance | 8.72 | Supports meetings of the Trial Court Budget Advisory Committee and associated subcommittees that deal with trial court funding policies and issues. |
| 8 | Telecommunications Support | IT | 8.70 | Provides a foundation for local court systems and enterprise applications such as Phoenix. |
| 9 | Statewide Multidisciplinary Education | CFCC | 8.64 | Supports the biannual Beyond the Bench Conference. |
| 10 | Litigation Management Program | LSO | 8.60 | Pays for the costs of defense—including fees for private counsel—and to pay settlements of civil claims and actions brought against covered entities and individuals. |
| 11 | Essential Court Personnel Education | CJER | 8.51 | Training for court personnel in courtroom and court legal process in criminal, civil, probate, family, dependency, delinquency, traffic and appellate. |
| 12 | CJER Faculty | CJER | 8.44 | Funds to support faculty teaching in all CJER trial court programs, including distance education, and covers lodging, meals, and travel. |
| 13 | Essential Court Management Education | CJER | 8.28 | Statewide training for court leadership, including ICM courses, Core 40 and Core 24 courses, which provide basic and advanced training for court supervisors and managers, and funds regional and local programming. |
| 14 | Trial Court Labor Relations Academies and Forums | HR | 8.23 | Pays for conference room and lodging costs associated with the Labor Relations Academies and Forums. |
| 15 | Court Interpreter Testing etc. | COSSO | 8.09 | Pays for the testing, orientation, and recruitment of new interpreters. |
| 16 | Superior Court Audit Program | AS | 7.98 | Conducts comprehensive audits (financial, operational, and compliance) at each of the 58 trial courts. |
| 17 | Uniform Civil Filing Services (UCFS) | IT | 7.96 | Provides ongoing application support and maintenance; server hardware upgrades; and application software upgrades of the Uniform Civil Fees System. |
| 18 | Trial Courts Transactional Assistance Program | LSO | 7.89 | Pays attorney fees and related expenses to assist trial courts in numerous areas, including business transactions, labor and employment negotiations, finance and taxation matters, and real estate. |
| 19 | Distance Education | CJER | 7.85 | Funds the infrastructure and equipment to support distance education, including transmission of educational satellite broadcasts, video resource hosting for CJER Online website, which contains over 900 education resources and publications. |
| 20 | California Courts Technology Center (CCTC) | IT | 7.54 | Provides ongoing technology center hosting or shared services to the trial courts, as well as a full disaster recovery program. |
| 21 | California Courts Protective Order Registry (CCPOR) | IT | 7.38 | Provides a statewide protective order repository that provides complete, accessible information on restraining and protective orders. |
| 22 | Regional Office Assistance Group | LSO | 7.35 | Pays for attorneys, an administrative coordinator and a secretary to establish and maintain effective working relationships with the trial courts. |
| 23 | Juvenile Law Practice Resources | CFCC | 7.17 | CalDOG provides subscribers with a bi-monthly email summary of new cases and other current information. |
| 25 | Data Integration | IT | 6.80 | Provides system interfaces between Judicial Council systems and the computer systems of our justice partners. |
| 26 | Trial Court Workload Study Support | COSSO | 6.80 | Pays for meeting expenses of the Workload Assessment Advisory Committee (WAAC). |
| 27 | Domestic Violence Forms Translation | CFCC | 6.62 | Pays for the translation of domestic violence forms and instructions into Spanish, Chinese, Korean and Vietnamese, and to make them available to all courts. |
| 28 | Trial Court Procurement | Finance | 6.02 | Pays for phone services and rent allocation for one position in Business Services that provided procurement and contract related services at a statewide level. |
| 29 | Self-Help Document Assembly Programs | CFCC | 5.77 | Program enables all courts to use Hotdocs Document Assembly Applications. |
| 30 | Court-Ordered Debt Task Force | TCAS | 5.37 | Covers the travel and meal expenses associated with the activities of the Judicial Council's Court-Ordered Debt Task Force members. |
| 31 | Enterprise Policy/Planning (Statewide Development) | IT | 4.14 | Provides the trial courts access to a variety of Oracle products. |
| 32 | Interim Case Management Systems | IT | 3.11 | Provides program management support to 13 courts using the Sustain Justice Edition (SJE) case management system. |
| 33 | Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) | IT | 1.62 | CMS V3 processes 25 percent of all civil, small claims, probate, and mental health cases statewide. V3 functionality enables the courts to process and administer their civil caseloads, automating activities in case initiation and maintenance, courtroom proceedings, calendaring, work queues, payment, and financial processing. |

Attachment 4B

IMF -- Fund Condition Statement

| # | Description | Estimated | | | | | | | |
|----|---|---|---|---|------------------------|-------------------|-------------------|-------------------|-------------------|
| | | 2012-2013 (Year-end Financial Statement) | 2013-2014 (Year-end Financial Statement) | 2014-2015 (Year-end Financial Statement) | Estimated 2015-2016 | 2016-17* | 2017-18 | 2018-19 | 2019-20 |
| | | A | B | C | D | F | G | H | I |
| 1 | Beginning Balance | 48,128,575 | 44,827,741 | 26,207,006 | 8,956,870 | 6,517,814 | 1,350,702 | 839,551 | 1,684,438 |
| 2 | Prior-Year Adjustments | 11,547,967 | 4,410,172 | 2,880,385 | 2,924,857 | - | - | - | - |
| 3 | Adjusted Beginning Balance | 59,676,542 | 49,237,913 | 29,087,391 | 11,881,727 | 6,517,814 | 1,350,702 | 839,551 | 1,684,438 |
| 4 | Revenues | | | | | | | | |
| 5 | 50/50 Excess Fines Split Revenue | 31,920,133 | 26,873,351 | 23,702,658 | 20,055,519 | 18,469,726 | 17,791,841 | 17,791,841 | 17,791,841 |
| 6 | 2% Automation Fund Revenue | 15,753,200 | 15,242,700 | 14,730,023 | 11,915,523 | 10,915,373 | 12,658,685 | 12,658,685 | 12,658,685 |
| 7 | Jury Instructions Royalties | 518,617 | 445,365 | 532,783 | 551,815 | 542,299 | 531,529 | 531,529 | 531,529 |
| 8 | Interest from SMIF | 201,201 | 124,878 | 100,734 | 155,694 | 141,398 | 127,843 | 127,843 | 127,843 |
| 9 | Other Revenues/SCO Adjustments | 2,875 | 24,476 | 30,233 | - | - | - | - | - |
| 10 | Transfers | | | | | | | | |
| 11 | From State General Fund | 38,709,000 | 38,709,000 | 38,709,000 | 44,218,000 | 44,218,000 | 44,218,000 | 44,218,000 | 44,218,000 |
| 12 | To Trial Court Trust Fund (Budget Act) | (23,594,000) | (20,594,000) | (20,594,000) | (594,000) | (594,000) | (594,000) | (594,000) | (594,000) |
| 13 | To TCTF (GC 77209(k)) | (13,397,000) | (13,397,000) | (13,397,000) | (13,397,000) | (13,397,000) | (13,397,000) | (13,397,000) | (13,397,000) |
| 14 | Net Revenues and Transfers | 50,114,026 | 47,428,770 | 43,814,431 | 62,905,551 | 60,295,796 | 61,336,898 | 61,336,898 | 61,336,898 |
| 15 | Total Resources | 109,790,568 | 96,666,683 | 72,901,822 | 74,787,278 | 66,813,610 | 62,687,600 | 62,176,449 | 63,021,335 |
| 16 | Expenditures | | | | | | | | |
| 23 | Allocation Less LAN/WAN | 71,923,000 | 73,961,680 | 71,466,600 | 53,289,458 | 45,875,364 | 44,273,612 | 44,667,542 | 38,943,482 |
| 24 | LAN | | | | 16,159,000 | | 16,762,144 | 15,160,055 | 15,835,226 |
| 25 | LAN/WAN financing | | | | | 19,232,330 | 457,081 | 309,201 | 156,885 |
| 26 | Less: Unused Allocation | (7,123,067) | (4,082,985) | (7,823,266) | (1,946,085) | | | | |
| 27 | Pro Rata and Other Adjustments | 162,894 | 580,982 | 301,618 | 767,091 | 355,213 | 355,213 | 355,213 | 355,213 |
| 28 | Total Expenditures | 64,962,827 | 70,459,677 | 63,944,952 | 68,269,464 | 65,462,907 | 61,848,048 | 60,492,011 | 55,290,806 |
| 29 | Fund Balance | 44,827,741 | 26,207,006 | 8,956,870 | 6,517,814 | 1,350,702 | 839,551 | 1,684,438 | 7,730,529 |
| 30 | | | | | | | | | |
| 31 | Scenario #1 (Low) -- LAN/WAN 2016-17 allocation assuming (a) \$2 million fund balance, (b) funding Placer Court Hosting Center and TCTAP request. | | | | | 16,495,830 | | | |
| 32 | Scenario #2 (High) -- LAN/WAN 2016-17 allocation assuming (a) \$0 fund balance, (b) not funding Placer Court Hosting Center or TCTAP, and (c) initial reduction decisions | | | | | 19,523,730 | | | |

Attachment 4C

| 2015-16 IMF Allocations | | | | | | |
|-------------------------|--|---------|-----------------------------|-------------------------------------|----------------------|----------------------------|
| # | Program Name | Office | Council Approved Allocation | Administrative Director Adjustments | Current Allocation | Pending Adjustment Request |
| A | B | C | D | E | F | G |
| 1 | Self-Help Center | CFCC | \$ 5,000,000 | \$ - | \$ 5,000,000 | \$ - |
| 2 | Judicial Education | CJER | \$ 654,000 | \$ - | \$ 654,000 | \$ - |
| 3 | Judicial Performance Defense Insurance | LSO | \$ 966,600 | \$ - | \$ 966,600 | \$ - |
| 4 | Phoenix Program | TCAS | \$ 12,121,114 | \$ - | \$ 12,121,114 | \$ - |
| 5 | Statewide Support for Self-Help Programs | CFCC | \$ 100,000 | \$ - | \$ 100,000 | \$ - |
| 6 | Treasury Services - Cash Management (Support) | Finance | \$ 238,000 | \$ - | \$ 238,000 | \$ - |
| 7 | Budget Focused Training and Meetings | Finance | \$ 50,000 | \$ 10,000 | \$ 60,000 | \$ - |
| 8 | Telecommunications Support | IT | \$ 16,159,000 | \$ - | \$ 16,159,000 | \$ - |
| 9 | Statewide Multidisciplinary Education | CFCC | \$ 67,000 | \$ - | \$ 67,000 | \$ - |
| 10 | Litigation Management Program | LSO | \$ 4,000,000 | | \$ 4,000,000 | \$ 526,800 |
| 11 | Essential Court Personnel Education | CJER | \$ 140,000 | \$ - | \$ 140,000 | \$ - |
| 12 | CJER Faculty | CJER | \$ 250,000 | \$ - | \$ 250,000 | \$ - |
| 13 | Essential Court Management Education | CJER | \$ 20,000 | \$ - | \$ 20,000 | \$ - |
| 14 | Trial Court Labor Relations Academies and Forums | HR | \$ 25,700 | \$ - | \$ 25,700 | \$ - |
| 15 | Court Interpreter Testing etc. | COSSO | \$ 143,000 | \$ - | \$ 143,000 | \$ - |
| 16 | Superior Court Audit Program | AS | \$ 660,000 | \$ - | \$ 660,000 | \$ - |
| 17 | Uniform Civil Filing Services (UCFS) | IT | \$ 366,000 | \$ - | \$ 366,000 | \$ - |
| 18 | Trial Courts Transactional Assistance Program | LSO | \$ 451,000 | \$ 90,200 | \$ 541,200 | |
| 19 | Distance Education | CJER | \$ 138,000 | \$ - | \$ 138,000 | \$ - |
| 20 | California Courts Technology Center (CCTC) | IT | \$ 8,534,969 | | \$ 8,534,969 | \$ (325,000) |
| 21 | California Courts Protective Order Registry (CCPOR) | IT | \$ 861,200 | \$ - | \$ 861,200 | \$ - |
| 22 | Regional Office Assistance Group | LSO | \$ 1,460,000 | \$ (90,200) | \$ 1,369,800 | \$ (201,800) |
| 23 | Juvenile Law Practice Resources | CFCC | \$ 20,000 | \$ - | \$ 20,000 | \$ - |
| 24 | Data Integration | IT | \$ 3,849,600 | \$ - | \$ 3,849,600 | \$ - |
| 25 | Trial Court Workload Study Support | COSSO | \$ 13,000 | \$ - | \$ 13,000 | \$ - |
| 26 | Domestic Violence Forms Translation | CFCC | \$ 17,000 | \$ - | \$ 17,000 | \$ - |
| 27 | Trial Court Procurement | Finance | \$ 122,000 | \$ (10,000) | \$ 112,000 | \$ - |
| 28 | Self-Help Document Assembly Programs | CFCC | \$ 60,000 | \$ - | \$ 60,000 | \$ - |
| 29 | Court-Ordered Debt Task Force | TCAS | \$ 19,000 | \$ - | \$ 19,000 | \$ - |
| 30 | Enterprise Policy/Planning (Statewide Development) | IT | \$ 5,064,775 | \$ - | \$ 5,064,775 | \$ - |
| 31 | Interim Case Management Systems | IT | \$ 1,246,800 | \$ - | \$ 1,246,800 | \$ - |
| 32 | Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) | IT | \$ 5,658,100 | \$ - | \$ 5,658,100 | \$ - |
| 33 | Adobe Live Cycle Reader Service Extension | IT | \$ 141,000 | \$ - | \$ 141,000 | \$ - |
| 34 | Testing Tools - Enterprise Test Management Suite | IT | \$ - | \$ - | \$ - | \$ - |
| 35 | Jury Management System | IT | \$ 465,000 | \$ - | \$ 465,000 | \$ - |
| 36 | Jury System Improvement Projects | LSO | \$ 19,000 | \$ - | \$ 19,000 | \$ - |
| 37 | Justice Corps | COSSO | \$ 347,600 | \$ - | \$ 347,600 | \$ - |
| 38 | Total | | \$ 69,448,458 | \$ - | \$ 69,448,458 | \$ - |