



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: August 21, 2014

Title	Agenda Item Type
Trial Courts: <i>Court Realignment Data</i> (<i>Calendar Year 2013</i>)	Information Only
Submitted by	Date of Report
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Executive Summary

Pursuant to Penal Code section 13155, commencing January 1, 2013, the Judicial Council must collect information from trial courts regarding the implementation of the 2011 Criminal Justice Realignment Legislation and submit the data annually to the California Department of Finance (DOF), the Board of State and Community Corrections (BSCC), and the Joint Legislative Budget Committee (JLBC), by September 1. The first informational and data report was submitted to the Judicial Council at its August 23, 2013 business meeting and was submitted to the BSCC, DOF, and JLBC on August 26, 2013. This is the second annual court realignment data report to the DOF, BSCC, and the JLBC. The *Court Realignment Data (Calendar Year 2013)* is included as Attachment A to this report.

Previous Council Action

Pursuant to Penal Code section 13155, the Judicial Council must submit data collected from the trial courts on the implementation of the 2011 Criminal Justice Realignment Legislation to the California Department of Finance (DOF), the Board of State and Community Corrections (BSCC), and the Joint Legislative Budget Committee (JLBC) by September 1, 2013, and annually thereafter. The first annual data report was provided to the Judicial Council by Judicial Council staff at the August 23, 2013 business meeting.

Methodology and Process

Penal Code section 13155 (Stats. 2012, ch. 41) states that trial courts shall provide data to the Judicial Council not less frequently than twice a year and that information shall include statistics for each county regarding the dispositions of felonies at sentencing and petitions to revoke probation, postrelease community supervision, mandatory supervision, and, commencing July 1, 2013, parole.

Criminal Justice Realignment Data Working Group

In order to identify and define critical realignment-related data elements, a working group of volunteers identified by the Judicial Council's Court Executive Advisory Committee was created. This working group, the Criminal Justice Realignment Data Working Group (CJRDWG), is made up of representatives from the superior courts of Alameda, Fresno, Los Angeles, Napa, Orange, and Ventura counties, and is chaired by Melissa Fowler-Bradley, Court Executive Officer, Superior Court of Shasta County. The CJRDWG originally created a list of 29 data points that track sentencing and disposition information as well as court workload. After feedback from the trial courts, various data points were edited and new data elements created to more clearly track outcomes and court workload. These changes were implemented in quarter four of 2013. A list of data points is included in Attachment B. Please see Attachment C for a summary of the changes made to the original list of data points.

Data Collection and Quality Assurance

Trial courts submit data quarterly to the Judicial Council's Criminal Justice Services using an online survey. The data reporting schedule for 2013 was as follows:

- Quarter 1 2013 (January–March) data due April 30, 2013;
- Quarter 2 2013 (April–June) data due July 31, 2013;
- Quarter 3 2013 (July–September) data due October 31, 2013; and
- Quarter 4 2013 (October–December) data due January 31, 2014.

Judicial Council staff conducts quality assurance to examine the accuracy and reliability of the data collected. Each quarter, staff contacts trial courts to discuss data quality, provide technical assistance regarding data collection, and gather information about local court processes. Data were revised in many cases as a result of these checks. In order to meet this data reporting requirement, many courts made changes to their case management systems and trained staff on changes in data entry and reporting. The data presented in the *Court Realignment Data (Calendar Year 2013)* report may be amended in subsequent reports as courts can amend previously submitted data in the event of initial data reporting errors. Data quality will improve as data reporting systems are further established.

Policy and Cost Implications

In addition to fulfilling a legislative mandate, data collected pursuant to Penal Code section 13155 is used to assist the Trial Court Budget Advisory Committee in determining realignment funding allocations.

Courts have expressed concern related to the workload impact of data collection and indicated that some of the data points would require additional programming of court case management systems, and that court staff would need training on new program codes. Pursuant to Penal Code section 13155, funds provided to the trial courts for the implementation of criminal justice realignment may be used for the purpose of collecting data and providing it to the Judicial Council.

Summary of Findings

Attachment A: *Court Realignment Data (Calendar Year 2013)* summarizes court data collected pursuant to Penal Code section 13155 for calendar year 2013. In the event a court needs to resubmit data before the date the report is submitted to the DOF, BSCC, and JLBC, the *Court Realignment Data (Calendar Year 2013)* may be updated but the format will remain the same.

All 58 courts submitted data and 53 courts were able to report at least 70 percent of data points. The response rates for each data point were reasonably high, ranging from 81 percent (meaning 47 counties were able to report on this data point) to 100 percent. Because some courts were not able to provide all data points and data will likely be amended, the Judicial Council cautions against drawing statewide conclusions based on this report.

Next Steps

The Judicial Council's Criminal Justice Services office will submit the second annual data report *Court Realignment Data (Calendar Year 2013)* to the DOF, BSCC, and JLBC by September 1, 2014, and will continue to collect criminal justice realignment data on a quarterly basis from trial courts.

Attachments and Links

1. Attachment A: *Court Realignment Data (Calendar Year 2013)*
2. Attachment B: List of Court Realignment Data Points
3. Attachment C: Summary of Changes to Court Realignment Data Points

Court Realignment Data–Calendar Year 2013

This report fulfills the requirement pursuant to Penal Code section 13155 that the Judicial Council submit data regarding the implementation of the 2011 Criminal Justice Realignment Legislation to the California Department of Finance (DOF), the Board of State and Community Corrections (BSCC), and the Joint Legislative Budget Committee (JLBC).

Please note the following:

- 1) Please see Attachment B for a full description of each data point reported below.
- 2) This report includes data for calendar year 2013. Since the beginning of 2013, courts have improved their data reporting capacity. In quarter one of 2013, 84% of courts reported at least 70% of the data elements. In quarter four of 2013, this increased to 91% (53 courts). Courts that were unable to report data in all four quarters are identified in the tables below.
- 3) The data presented in the tables below may be amended in subsequent reports as courts may amend previously submitted data in the event of initial data reporting errors.
- 4) After quarter three of 2013, a number of data elements were added and a few existing data elements and definitions were refined to more clearly track outcomes and court workload. **Columns highlighted in grey indicate that the totals for this data point represent only quarter four of 2013.** Below is a summary of these changes (see Attachment C for more detail):
 - New data elements:
 - Number of cases where felony probation was revoked and reinstated (data point 8).
 - Warrants issued for persons on mandatory supervision (data point 13).
 - Number of cases where mandatory supervision is revoked and reinstated (data point 16).
 - Existing data element changes:
 - Evidentiary hearings for mandatory supervision, post release community supervision (PRCS), and parole were more narrowly defined (data points 15, 21, and 29).
 - Warrants issued for persons on PRCS and parole now include all warrant types as opposed to just ex parte warrants (data points 19 and 27).
 - Prior data elements that captured the number of cases where PRCS and parole were revoked and reinstated were divided into two data points to distinguish whether custody time was ordered (data points 22, 23, 30, and 31).

Table 1: Pre and Initial Sentencing

Court	1. Felony Filings (n=58)	2. Warrants issued for FTA -presentencing (n=55)	3. Prison (n=56)	4. Probation (n=56)	5. Jail–straight sentence (n=57)	6. Jail–split sentence (n=57)
Alameda	7,752	1,545	720	2,874	107	15
Alpine	5	1***	0***	4***	0***	0***
Amador	487	101***	102	136	22	3***
Butte	2,291	1,057	341	713	198	61
Calaveras	405	50	10	47	6***	2***
Colusa	329	69	27	56	7	1
Contra Costa	4,583	682	353	1,298	18	178
Del Norte	428	168	29	54	38	44
El Dorado	908	335	163	391	22	36
Fresno	11,061	11,598	1,908	3,769	530	540
Glenn	242	23	21	116	21	80
Humboldt	2,045	681	110	451	16	70
Imperial	2,140	350	240	640	115	6
Inyo	222	27	11	55	9	16
Kern	10,486	1,095	1,572	3,071	1,387	707
Kings	2,402	Data not available	Data not available	Data not available	Data not available	Data not available
Lake	1,019	210	134	263	73	1
Lassen	412	59	91	55	58	0
Los Angeles	55,624	3,783	10,717	23,476	7,407	125
Madera	1,845	1,006	247	518	69	66
Marin	954	72	60	204	9	29
Mariposa	244	3	25	208	1	25
Mendocino	1,123	109	131	309	40	13
Merced	2,594	319	372	1,053	46	88
Modoc	136	20	11	34	7	2
Mono	161	11	5	37	12	6
Monterey	3,156	640	497	1,288	195	58
Napa	1,202	262	121	378	13	57
Nevada	599	66***	34***	76***	4***	10***
Orange	17,993	1,114	2,402	8,344	665	1,069
Placer	3,195	4,533	270	743	161	14
Plumas	160	49	9	80	12	0

Court	1. Felony Filings (n=58)	2. Warrants issued for FTA -presentencing (n=55)	3. Prison (n=56)	4. Probation (n=56)	5. Jail–straight sentence (n=57)	6. Jail–split sentence (n=57)
Riverside	21,147	3,500	3,703	6,630	773	2,299
Sacramento	9,862	Data not available	Data not available	Data not available	210***	179***
San Benito	380	144	25	128	2	24
San Bernardino	19,973	4,416	4,890	6,402	4,770	1,278
San Diego	18,355	563*	2,694	7,113	976	500
San Francisco	3,871	Data not available	148**	525**	9*	21*
San Joaquin	5,320	23*	933	773***	53	247
San Luis Obispo	2,359	376	234	933	151	50
San Mateo	3,543	169	399	1,629	221	190
Santa Barbara	3,452	641	389	1,682	59	135
Santa Clara	8,974	1,662	1,037	3,999	342	409
Santa Cruz	1,644	67	122	934	28	23
Shasta	3,812	3,060	430	393	47	244
Sierra	29	12	0	10	0	0
Siskiyou	553	118***	49	195	7	10
Solano	3,596	862	292	898	218	71
Sonoma	2,873	507	257	958	32	179
Stanislaus	6,811	2,625	731	3,754	176	505
Sutter	1,133	266	190	449	50	37
Tehama	1,098	206	19*	37*	69	12
Trinity	281	92	18	167	7	0
Tulare	5,110	862	519	1,630	134	194
Tuolumne	723	193	127	360	4	41
Ventura	4,232	1,173	672	1,863	309	124
Yolo	1,969	244	257	620	206	115
Yuba	809	179	234	292	40	46

* Number is based on one quarter of data.
** Number is based on two quarters of data.
*** Number is based on three quarters of data.

Table 2: Felony Probation

Court	7. Petitions to revoke/modify probation ¹ (n=54)	8. Felony probation cases revoked and reinstated (n=51)	9. Felony probation cases sentenced to state prison (n=55)	10. Felony probation cases sentenced to jail–straight sentence (n=57)	11. Felony probation cases sentenced to jail–split sentence (n=57)
Alameda	7,083	1,039	175	218	5
Alpine	4**	Data not available	0***	0***	0***
Amador	155***	2	7	4	1***
Butte	109	245	111	188	10
Calaveras	142	14	13	5	2
Colusa	53	1	3	5	1
Contra Costa	2,064	41	18	1	23
Del Norte	95	12	50	11	27
El Dorado	385	77	18	13	0
Fresno	2,803	1,011	476	336	145
Glenn	257	4	31	46	50
Humboldt	860	144	63	31	74
Imperial	513	81	52	43	2***
Inyo	61	7	4	6	0
Kern	6,981	286	619	668	279
Kings	Data not available	Data not available	Data not available	Data not available	Data not available
Lake	201	26	31	59	0
Lassen	102	10	4	12	0
Los Angeles	Data not available	Data not available	Data not available	4,498	293
Madera	736	111	53	53	10
Marin	1,049	146	5***	4	5***
Mariposa	226	58	1	1	0
Mendocino	444	59	39	23	2
Merced	2,023	383	159	76	29
Modoc	49	0	3***	3	0***
Mono	49	8	3	8	3
Monterey	2,116	184	170	183	26
Napa	712	78	19	17	14
Nevada	172***	27	2***	34***	0***
Orange	11,572	2,116	421	1,326	107
Placer	1,888	160	42	36***	0***

Court	7. Petitions to revoke/modify probation ¹ (n=54)	8. Felony probation cases revoked and reinstated (n=51)	9. Felony probation cases sentenced to state prison (n=55)	10. Felony probation cases sentenced to jail–straight sentence (n=57)	11. Felony probation cases sentenced to jail–split sentence (n=57)
Plumas	116	5	5	5	0
Riverside	5,120	1,056	198	481	784
Sacramento	Data not available	Data not available	Data not available	15***	6***
San Benito	191	24	8	11	28
San Bernardino	16,676	470	2,118	3,379	528
San Diego	Data not available	1,675	916	1,047	113
San Francisco	2,384	43	10*	7*	7*
San Joaquin	890	Data not available	99***	9***	20***
San Luis Obispo	2,413	Data not available	101	194	14
San Mateo	802	200	102	136	45
Santa Barbara	3,139	599	114	75	55
Santa Clara	2,870*	327	223	404	42
Santa Cruz	0	12	25	8	0
Shasta	1,590	194	93	14	70
Sierra	11***	0	0	1	0
Siskiyou	215***	8	16	4***	3***
Solano	1,584	209	91	170	46
Sonoma	2,512	243	86	40	59
Stanislaus	1,889	303	26	21	21
Sutter	321	45	70	48	11
Tehama	415	Data not available	1	17	7
Trinity	62	11	3	1	0
Tulare	2,066	481	159	91	38***
Tuolumne	399	58	36	9	9
Ventura	14,089	880	230	420	45
Yolo	864	84	66	117	45
Yuba	184	11	55	21	7

* Number is based on one quarter of data.

** Number is based on two quarters of data.

*** Number is based on three quarters of data.

Table 3: Mandatory Supervision

Court	12. Petitions to revoke/modify mandatory supervision (n=55)	13. Warrants issued ⁱⁱ (n=49)	14. Calendar events set on petitions to revoke/modify mandatory supervision (n=54)	15. Evidentiary hearings held on petitions to revoke/modify mandatory supervision ⁱⁱⁱ (n=52)	16. Mandatory supervision cases revoked and reinstated ^{iv} (n=48)	17. Mandatory supervision cases revoked and terminated (n=54)
Alameda	41	6	121	2 ^{**}	7	3
Alpine	3 ^{***}	Data not available	6 ^{***}	0 ^{***}	Data not available	2 ^{***}
Amador	15	2	39 ^{***}	3 ^{***}	0	0 ^{***}
Butte	30	24	18	0	11	0
Calaveras	7	0	4	0	0	0
Colusa	0	0	0	0	0	0
Contra Costa	122	96	Data not available	Data not available	7	0 ^{**}
Del Norte	21	3	37	9	5	3
El Dorado	49	0	235	0	6	43
Fresno	1,041	384	1,291	18	129	85
Glenn	29	11	30	32	12	6
Humboldt	229	37	887	272	39	38
Imperial	2	Data not available	9	0 ^{***}	Data not available	0 ^{***}
Inyo	14	0	41	0	1	2
Kern	316	87	817	4	166	93
Kings	Data not available	Data not available	Data not available	Data not available	Data not available	Data not available
Lake	2	0	3	1	0	3
Lassen	9	2	30	0	6	4
Los Angeles	Data not available	Data not available	Data not available	Data not available	Data not available	Data not available
Madera	72	7	414	189	10	42
Marin	9	Data not available	17	2	1	2
Mariposa	5	0	12	2	1	9
Mendocino	6	0	16	0	2	0
Merced	163	28	547	92	36	71
Modoc	0 ^{***}	0	0 ^{**}	0 ^{***}	0	0 ^{***}
Mono	1	0	4	1	0	1
Monterey	21	0	49	3	5	9
Napa	33	14	59	3	1	27
Nevada	10 ^{***}	8	11 ^{***}	3 ^{***}	3	0 ^{***}

Court	12. Petitions to revoke/modify mandatory supervision (n=55)	13. Warrants issued ⁱⁱ (n=49)	14. Calendar events set on petitions to revoke/modify mandatory supervision (n=54)	15. Evidentiary hearings held on petitions to revoke/modify mandatory supervision ⁱⁱⁱ (n=52)	16. Mandatory supervision cases revoked and reinstated ^{iv} (n=48)	17. Mandatory supervision cases revoked and terminated (n=54)
Orange	804	100	3,668	Data not available	86	265
Placer	3	0	3	0	0	1
Plumas	0	0	0	0	0	0
Riverside	829	344	1,649	0	440	200
Sacramento	Data not available	Data not available	Data not available	0 [*]	Data not available	Data not available
San Benito	67	10	76	37	10	52
San Bernardino	1,546	29	1,634	6 ^{***}	92	1,370
San Diego	341	0	871	39 ^{***}	55	165
San Francisco	109 ^{***}	0	51 [*]	Data not available	Data not available	Data not available
San Joaquin	210	Data not available	313	14	Data not available	2 ^{***}
San Luis Obispo	3	Data not available	5	0	Data not available	3
San Mateo	73	16	46	2	11	40
Santa Barbara	209	28	615	210	Data not available	14
Santa Clara	240	63	760	293	36	43
Santa Cruz	13	1	24	31	14	124
Shasta	213	93	1,188	0	24	10
Sierra	0	0	0	0	0	0
Siskiyou	4	0	21	11	3	0 ^{***}
Solano	24	6	74	23	11	16
Sonoma	170	31	297	7	18	42
Stanislaus	421	103	1,056	Data not available	92	4
Sutter	8	Data not available	18	0	1	1
Tehama	10 ^{**}	1	10 [*]	0 [*]	Data not available	5 [*]
Trinity	0	0	0	0	0	0
Tulare	195	4	877	214 ^{***}	30	66
Tuolumne	9	0	32	26	1	2
Ventura	394	29	977	0	31	32
Yolo	75 ^{***}	22	139	10	17	9
Yuba	27	19	68	9	4	7

^{*} Number is based on one quarter of data.

^{**} Number is based on two quarters of data.

^{***} Number is based on three quarters of data.

Table 4: Post Release Community Supervision (PRCS)

Court	18. Petitions to revoke/modify PRCS (n=53)	19. Warrants issued ^v (n=57)	20. Calendar events set on petitions to revoke/modify PRCS (n=56)	21. Evidentiary hearings held on petitions to revoke/modify PRCS ^{vi} (n=53)	22. PRCS cases revoked & reinstated w/o custody time ^{vii} (n=51)	23. PRCS cases revoked & reinstated w/ custody time ^{viii} (n=52)	24. PRCS cases referred to reentry court (n=51)	25. PRCS cases revoked & terminated (n=55)
Alameda	1,248	261	2,025	10 ^{**}	63	47	0 [*]	17
Alpine	2 ^{***}	0 ^{***}	2 ^{***}	1 ^{***}	Data not available	Data not available	0 ^{***}	0 ^{***}
Amador	12	6	37	2	0	0	0 ^{***}	0 ^{***}
Butte	219	222	284	6	1	4	0	4
Calaveras	24	9	36	19	Data not available	1	0 ^{***}	0
Colusa	14	8	50	0	3	3	0	2
Contra Costa	147	107	Data not available	Data not available	15	14	Data not available	0 ^{**}
Del Norte	34	11	61	18	0	7	5	2
El Dorado	66	31	211	0	6	10	0	3
Fresno	1,289	843	2,674	81	4	228	0 [*]	233
Glenn	21	8	17	16	4	6	0	0
Humboldt	210	37	1,042	343	0	39	0	33
Imperial	58	11	461	190 ^{***}	Data not available	15	0 ^{***}	8
Inyo	1	1	2	0	0	0	0	1
Kern	1,785	202	1,411	1	21	400	0	82
Kings	107	88	157	Data not available	Data not available	Data not available	Data not available	Data not available
Lake	46	8	214	70	1	4	0	9
Lassen	20	7	79	7	0	6	0	2
Los Angeles	10,785	7,136	18,755	29	Data not available	Data not available	Data not available	Data not available
Madera	173	17	819	351	1	27	0	2
Marin	26	1 ^{***}	71	0	1	6	0	3
Mariposa	10	1	14	5	1	29	1	9
Mendocino	72	21	266	0	1	17	0	11
Merced	261	57	1,154	316	8	47	0	5
Modoc	1 ^{***}	1 ^{***}	18	6 ^{***}	0	0	0 ^{***}	0 ^{***}
Mono	0	0	0	0	0	0	0	0
Monterey	89	254	493	13	0	7	0	47
Napa	24	7 ^{***}	64	14	2	6	0	0
Nevada	53 ^{***}	24 ^{***}	54 ^{***}	4 ^{***}	0	7	0 ^{***}	2 ^{***}
Orange	1,476	1,021	4,645	Data not available	3	354	Data not available	135
Placer	96	80	388	19	0	11	0	

Court	18. Petitions to revoke/modify PRCS (n=53)	19. Warrants issued ^v (n=57)	20. Calendar events set on petitions to revoke/modify PRCS (n=56)	21. Evidentiary hearings held on petitions to revoke/modify PRCS ^{vi} (n=53)	22. PRCS cases revoked & reinstated w/o custody time ^{vii} (n=51)	23. PRCS cases revoked & reinstated w/ custody time ^{viii} (n=52)	24. PRCS cases referred to reentry court (n=51)	25. PRCS cases revoked & terminated (n=55)
Plumas	3	0	40	10	0	0	0	3
Riverside	1,792	1,109	1,296	6	0	387	0 ^{***}	21
Sacramento	238 ^{***}	718 ^{***}	58 ^{**}	8 ^{***}	40	52	0 ^{***}	0 ^{**}
San Benito	37 ^{***}	11 ^{***}	123 ^{***}	43	2	Data not available	8	0 ^{***}
San Bernardino	1,868	1,173	3,810	2 ^{***}	226	255	Data not available	256
San Diego	997	0	1,067	12	0	358	6	4 ^{***}
San Francisco	286	Data not available	Data not available	Data not available	Data not available	Data not available	0 [*]	Data not available
San Joaquin	660	414	798	46	Data not available	Data not available	10 ^{**}	0 ^{**}
San Luis Obispo	160	98	358	1	0	37	0	31
San Mateo	91	115	299	1	1	11	0 ^{**}	20
Santa Barbara	131	222	306	145	2	12	0	3
Santa Clara	758	165 [*]	2,100	719	13	118	73	106
Santa Cruz	109	49	403	0	4	19	0 ^{***}	1
Shasta	251	169	1,723	0	12	8	0	19 ^{**}
Sierra	1	1	0	0	0	0	0	0
Siskiyou	49	32	166	94	1	8	0 ^{***}	0 ^{***}
Solano	358	158	435	69	16	46	0	95
Sonoma	433	249	609	2	55	0	0	13
Stanislaus	498	356	1,359	Data not available	29	61	Data not available	5
Sutter	17	8	35	3	0	4	0 ^{***}	0
Tehama	75	41	111	0	0	0	0 ^{***}	1
Trinity	2	0	55	11	0	1	0	0
Tulare	330	143	1,592	502 ^{***}	8	50	0 [*]	60
Tuolumne	27	3	112	85	0	6	0	2
Ventura	31	374	751	3	67	16	13	228
Yolo	206	28	407	29	30	57	Data not available	15 ^{***}
Yuba	30	89	84	7	0	5	0	3

* Number is based on one quarter of data.

** Number is based on two quarters of data.

*** Number is based on three quarters of data.

Table 5: Parole

Court	26. Petitions to revoke/modify parole (n=58)	27. Warrants issued ^{ix} (n=57)	28. Calendar events set on petitions to revoke/modify parole (n=58)	29. Evidentiary hearings held on petitions to revoke/modify parole ^x (n=55)	30. Parole cases revoked & reinstated w/o custody time ^{xi} (n=53)	31. Parole cases revoked & reinstated w/ custody time ^{xii} (n=55)	32. Parole cases referred to reentry court (n=50)	33. Parole cases remanded to CDCR (n=54)
Alameda	323	481	1,538	23	36	66	3*	0
Alpine	0*	1*	0*	0*	Data not available	Data not available	0*	0*
Amador	6	3	14	2	0	0	Data not available	1*
Butte	73	92	72	0	0	41	0	0
Calaveras	0	6	0	0	1	0	0*	0*
Colusa	1	2	3	0	0	0	0	0
Contra Costa	62	277	176	8	1	27	0*	0
Del Norte	2	6	3	0	0	0	0	0
El Dorado	56	38	158	3	1	10	0	0
Fresno	99	1,025	337	0	0	38	0*	0
Glenn	3	3	2	0	0	0	0	0
Humboldt	8	72	21	0	0	3	0	0
Imperial	30	50	101	0*	Data not available	13	0*	0*
Inyo	6	17	8	0	0	3	0	0
Kern	113	703	113	1	0	53	0	0
Kings	100	95	24	Data not available	Data not available	Data not available	Data not available	Data not available
Lake	3	29	3	0	0	1	0	0
Lassen	5	4	4	0	0	1	0	0
Los Angeles	4,846	3,303	8,719	21	Data not available	Data not available	Data not available	Data not available
Madera	24	54	90	3	1	12	0	0
Marin	10	32	17	0	0	4	0	0
Mariposa	1	3	2	0	1	1	1	0
Mendocino	7	14	24	0	0	4	0	0
Merced	58	97	159	1	1	23	0	1
Modoc	1	1	4	0*	0	0	0*	0*
Mono	3	3	1	0	2	0	0	0
Monterey	71	162	214	4	1	25	0	0
Napa	13	36	42	4	0	8	0	1
Nevada	7	6	8	0	0	3	0	0
Orange	468	640	752	Data not available	0	237	Data not available	0
Placer	43	42	113	2	0	12	0	17

Court	26. Petitions to revoke/modify parole (n=58)	27. Warrants issued ^{ix} (n=57)	28. Calendar events set on petitions to revoke/modify parole (n=58)	29. Evidentiary hearings held on petitions to revoke/modify parole ^x (n=55)	30. Parole cases revoked & reinstated w/o custody time ^{xi} (n=53)	31. Parole cases revoked & reinstated w/ custody time ^{xii} (n=55)	32. Parole cases referred to reentry court (n=50)	33. Parole cases remanded to CDCR (n=54)
Plumas	0	1	0	0	0	0	0	0
Riverside	664	336	391	0	1	199	0	0
Sacramento	455	1,376	49	10	34	51	0	0
San Benito	2*	0*	8*	1	0	1	0*	0*
San Bernardino	1,078	791	420	6	446	25	Data not available	Data not available
San Diego	532	Data not available	601	22	0	327	5	0
San Francisco	188	0*	344	2*	24	96	0	0
San Joaquin	95	144	117	48	16	7	Data not available	Data not available
San Luis Obispo	41	50	67	4	3	27	0	0
San Mateo	26	182	42	3	0	12	0*	0
Santa Barbara	45	173	51	8	3	1	0	0
Santa Clara	151	309*	303	90	48	59	24	0
Santa Cruz	40	32	57	0	2	6	0*	0*
Shasta	77	68	92	0	21	5	0	2
Sierra	0	0	0	0	0	0	0	0
Siskiyou	3	0	1	1	0	1	0	0
Solano	136	192	248	22	18	89	0	0
Sonoma	162	3	566	6	35	0	0	0
Stanislaus	33	184	30	Data not available	6	8	Data not available	0
Sutter	13	40	14	0	0	4	0	0
Tehama	3	38	6	0	0	0	0	0
Trinity	1	2	1	0	1	0	0	0
Tulare	50	185	108	0*	Data not available	15	0*	1
Tuolumne	1	3	1	0	0	0	0	0
Ventura	102	207	241	0	26	51	0	0
Yolo	35	103	45	10	15	14	Data not available	0
Yuba	33	73	73	3	1	11	0	0

* Number is based on one quarter of data.

ⁱ The figures in this column represent one quarter's worth of data. Courts began reporting this data point in quarter 4 of 2013.

ⁱⁱ The figures in this column represent one quarter's worth of data. Courts began reporting this data point in quarter 4 of 2013.

ⁱⁱⁱ The definition for this data point was revised beginning in quarter 4 of 2013. The data definition was changed to focus more narrowly on contested hearings where parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

^{iv} The figures in this column represent one quarter's worth of data. Courts began reporting this data point in quarter 4 of 2013.

^v For quarters 1-3, this data point only included ex parte warrants issued. Starting in quarter 4 of 2013, this data point includes all warrants issued, including both arrest and bench warrants.

^{vi} The definition for this data point was revised beginning in quarter 4 of 2013. The data definition was changed to focus more narrowly on contested hearings where parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

^{vii} The figures in this column only represent data for quarter 4 of 2013. For quarters 1-3 we collected all cases in which a PRCS case was revoked and reinstated. Starting in quarter 4 of 2013, we split this data point into data points 22 and 23 to report cases where PRCS was reinstated and (1) custody time was ordered, or (2) custody time was not ordered.

^{viii} The figures in this column only represent data for quarter 4 of 2013. For quarters 1-3 we collected all cases in which a PRCS case was revoked and reinstated. Starting in quarter 4 of 2013, we split this data point into data points 22 and 23 to report cases where PRCS was reinstated and (1) custody time was ordered, or (2) custody time was not ordered.

^{ix} For quarters 1-3, this data point only included ex parte warrants issued. Starting in quarter 4 of 2013 this data point includes all warrants issued, including both arrest and bench warrants.

^x The definition for this data point was revised beginning in quarter 4 of 2013. The data definition was changed to focus more narrowly on contested hearings where parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

^{xi} The figures in this column only represent data for quarter 4 of 2013. For quarters 1-3, we collected all cases in which a PRCS case was revoked and reinstated. Starting in quarter 4 of 2013, we split this data point into data points 30 and 31 to report cases where parole was reinstated and (1) custody time was ordered, or (2) custody time was not ordered.

^{xii} The figures in this column only represent data for quarter 4 of 2013. For quarters 1-3, we collected all cases in which a PRCS case was revoked and reinstated. Starting in quarter 4 of 2013, we split this data point into data points 30 and 31 to report cases where parole was reinstated and (1) custody time was ordered, or (2) custody time was not ordered.

FINAL (8/30/13) Realignment Data Points – FELONIES ONLY

PRE-SENTENCING

1. Number of **new** felony case filings

A felony filing is defined as the beginning of a case by the court's acceptance of the formal submission of a complaint or other document charging a defendant with a felony offense, or a transfer-in from another jurisdiction. Other documents, such as motions, are not counted as filings for caseload inventory purposes.

- *Each defendant named in the complaint is reported as one case filing.*
- *Do not count a filing for defendants who are discharged prior to the filing of a complaint.*
- *Do not count filings for Habeas Corpus.*
- *Do not include violations of Post Release Community Supervision (PRCS) or parole in this count.*

2. Number of pre-sentence warrants issued for Failures to Appear (FTA)

INITIAL SENTENCING

3. Number of cases in which a defendant is sentenced to state prison at initial sentencing

Do not include cases in which a defendant is sentenced to state prison on a violation of felony probation. These cases are counted in data point #9.

4. Number of cases in which a defendant is granted felony probation at initial sentencing

Report all cases in which the defendant is placed on traditional felony probation at initial sentencing.

5. Number of cases in which a defendant is given a straight county jail sentence pursuant to PC 1170(h)(5)(A) at initial sentencing

Report all cases in which the defendant is sentenced under PC 1170(h)(5)(A) at initial sentencing.

6. Number of cases in which a defendant is given a "split" sentence pursuant to PC 1170(h)(5)(B) at initial sentencing

Report all cases in which the defendant is sentenced under PC 1170(h)(5)(B) at initial sentencing.

- *Include cases in which a defendant is sentenced directly to a term of mandatory supervision without first serving a portion of the sentence in county jail.*

VIOLATION/MODIFICATION OF FELONY PROBATION

7. Number of petitions filed or court motions made to revoke/modify felony probation
Petition is defined as any filed instrument/document presented to the court that seeks revocation or modification of a defendant's supervision status. This data element should also include cases where the court on its own motion seeks to modify or revoke supervision.
8. Number of cases in which a felony probationer is found in violation of conditions of felony probation and is reinstated on probation
9. Number of cases in which a felony probationer is sentenced to state prison for a violation of probation
This is a count of all cases in which the defendant is placed on probation and after violating probation is sentenced to prison for the probation violation.
10. Number of cases in which a felony probationer receives a straight sentence to county jail under PC 1170(h)(5)(A) for a violation of probation
This is a count of all cases in which the defendant is placed on probation for a PC 1170(h) felony and after violating probation is sentenced to county jail under PC 1170(h)(5)(A) for the probation violation.
11. Number of cases in which a felony probationer receives a "split" sentence under PC 1170(h)(5)(B) for a violation of probation
This is a count of all cases in which the defendant is placed on probation for a PC 1170(h) felony and after violating probation is sentenced under PC 1170(h)(5)(B) for the probation violation.
 - *Include cases in which a defendant is sentenced directly to a term of mandatory supervision without first serving a portion of the sentence in county jail.*

VIOLATION/MODIFICATION OF MANDATORY SUPERVISION

12. Number of petitions filed or court motions made to revoke/modify mandatory supervision
Petition is defined as any filed instrument/document presented to the court that seeks revocation or modification of a defendant's supervision status. This data element should also include cases where the court on its own motion seeks to modify or revoke supervision.
13. Number of warrants issued for persons on mandatory supervision
Include both arrest and bench warrants.
14. Number of calendar events set on petitions or court motions to revoke/modify mandatory supervision

A calendar event is defined as a court proceeding set in the course of a criminal matter.

15. Number of contested evidentiary hearings held on petitions or court motions to revoke/modify mandatory supervision

A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

- *Contested evidentiary hearings that extend over more than one day are counted as separate hearings for each hearing day.*
- *Do not report contested evidentiary hearings that are not heard at all and are reset at the request of the parties or on the court's motion. Count these instances under 'Number of calendar events' (data point #14).*

16. Number of cases in which an offender on mandatory supervision is found in violation of conditions of mandatory supervision and is reinstated on mandatory supervision

17. Number of cases in which an offender on mandatory supervision is found in violation of conditions of mandatory supervision and has the supervision term revoked and terminated
Report all cases in which mandatory supervision is permanently revoked as a result of a violation.

VIOLATION/MODIFICATION OF POST RELEASE COMMUNITY SUPERVISION

18. Number of petitions filed or court motions made to revoke/modify Post-Release Community Supervision (PRCS)

Petition is defined as any filed instrument/document presented to the court that seeks revocation or modification of a defendant's supervision status. This data element should also include cases where the court on its own motion seeks to modify or revoke supervision.

19. Number of warrants issued for persons on PRCS

Include both arrest and bench warrants.

20. Number of calendar events set on petitions or court motions to revoke/modify PRCS

A calendar event is defined as a court proceeding set in the course of a criminal matter.

21. Number of contested evidentiary hearings held on petitions or court motions to revoke/modify PRCS

A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

- *Contested evidentiary hearings that extend over more than one day are counted as separate hearings for each hearing day.*

- *Do not report contested evidentiary hearings that are not heard at all and are reset at the request of the parties or on the court's motion. Count these instances under 'Number of calendar events' (data point #20).*

22. Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS and is reinstated on PRCS with no custody time ordered.

23. Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS, is reinstated on PRCS, and is ordered to serve custody time.
Include cases where an offender on PRCS is given credit for time served.

24. Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS, is reinstated on PRCS, and is referred to a reentry court, pursuant to PC 3015
Report all cases in which an offender is referred to a reentry court, as defined in PC 3015, upon a PRCS violation (see PC 3455(a)(3)).

25. Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS, and has the PRCS term permanently terminated.

VIOLATION/MODIFICATION OF PAROLE (After July 1, 2013)

26. Number of petitions filed or court motions made to revoke/modify parole
Petition is defined as any filed instrument/document presented to the court that seeks revocation or modification of a defendant's supervision status. This data element should also include cases where the court on its own motion seeks to modify or revoke supervision.

27. Number of warrants issued for persons on parole
Include both arrest and bench warrants.

28. Number of calendar events set on petitions or court motions to revoke/modify parole
A calendar event is defined as a court proceeding set in the course of a criminal matter.

29. Number of contested evidentiary hearings held on petitions or court motions to revoke/modify parole
A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.

- *Contested evidentiary hearings that extend over more than one day are counted as separate hearings for each hearing day.*

- *Do not report contested evidentiary hearings that are not heard at all and are reset at the request of the parties or on the court's motion. Count these instances under 'Number of calendar events' (data point #28).*
30. Number of cases in which a parolee is found by the court in violation of conditions of parole and is reinstated on parole with no custody time ordered
 31. Number of cases in which a parolee is found by the court in violation of conditions of parole, is reinstated on parole, and is ordered to serve custody time
Include cases where a parolee is given credit for time served.
 32. Number of cases in which a parolee is found by the court in violation of conditions of parole, is reinstated on parole, and is referred to a reentry court, pursuant to PC 3015
Report all cases in which an offender is referred to a reentry court, as defined in PC 3015, upon a parole violation (see PC 3000.08(f)(3)).
 33. Number of cases in which a parolee is found by the court in violation of law or conditions of parole and is remanded to the custody of the Department of Corrections and Rehabilitation and the jurisdiction of the Board of Parole Hearings for the purpose of future parole consideration
PC 3000.08(h) states: "Notwithstanding any other provision of law, in any case where Section 3000.1 or paragraph (4) of subdivision (b) of Section 3000 applies to a to a person who is on parole and the court determines that the person has committed a violation of law or violated his or her conditions of parole, the person on parole shall be remanded to the custody of the Department of Corrections and Rehabilitation and the jurisdiction of the Board of Parole Hearings for the purpose of future parole consideration."

Changes to Realignment Data Points – Commencing October 1, 2013

	Original Data Points (Collected Q1-Q3 2013)		New Data Points (Starting Q4 2013)	Changes
1	Felony filings	1	Felony filings	No changes
2	FTA warrants	2	FTA warrants	No changes
3	Initial sentencing – state prison	3	Initial sentencing – state prison	No changes
4	Initial sentencing – felony probation	4	Initial sentencing – felony probation	No changes
5	Initial sentencing – straight sentence	5	Initial sentencing – straight sentence	No changes
6	Initial sentencing – split sentence	6	Initial sentencing – split sentence	No changes
7	Petitions to revoke/modify probation	7	Petitions to revoke/modify probation	No changes
		8	Number of cases in which a felony probationer is found in violation of conditions of felony probation and is reinstated on probation	New data point
8	VOP – state prison	9	VOP – state prison	No changes
9	VOP – straight sentence	10	VOP – straight sentence	No changes
10	VOP – split sentence	11	VOP – split sentence	No changes
11	Petitions to revoke/modify mandatory supervision (MS)	12	Petitions to revoke/modify mandatory supervision (MS)	No changes
		13	Number of warrants issued for persons on mandatory supervision	New data point
12	MS calendar events	14	MS calendar events	No changes
13	Number of court evidentiary hearings held on petitions or court motions to revoke/modify mandatory supervision <i>An evidentiary hearing is defined as a hearing where one or more parties or counsel appear and oral arguments, presentations relevant to proceedings, witness testimony, and/or documents or tangible documents are submitted to the court.</i>	15	Number of contested evidentiary hearings held on petitions or court motions to revoke/modify mandatory supervision <i>A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.</i>	Please note changes
		16	Number of cases in which an offender on mandatory supervision is found in violation of conditions of mandatory supervision and is reinstated on mandatory supervision	New data point
14	MS revoked and terminated	17	MS revoked and terminated	No changes
15	Petitions to revoke/modify PRCS	18	Petitions to revoke/modify PRCS	No changes
16	Number of ex parte warrants issued for persons on PRCS <i>Requests for these warrants are made by the supervising agency and are typically handled in chambers.</i>	19	Number of warrants issued for persons on PRCS <i>Include both arrest and bench warrants.</i>	Please note changes

17	PRCS calendar events	20	PRCS calendar events	No changes
18	Number of court evidentiary hearings held on petitions or court motions to revoke/modify PRCS <i>An evidentiary hearing is defined as a hearing where one or more parties or counsel appear and oral arguments, presentations relevant to proceedings, witness testimony, and/or documents or tangible documents are submitted to the court.</i>	21	Number of contested evidentiary hearings held on petitions or court motions to revoke/modify PRCS <i>A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.</i>	Please note changes
19	PRCS revoked and terminated	25	PRCS revoked and terminated	No changes
20	PRCS - Reentry court	24	PRCS - Reentry court	No changes
21	Number of cases in which an offender on PRCS has the supervision term revoked and reinstated, excluding cases where the PRCS offender is referred to a reentry court	22	Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS and is reinstated on PRCS with no custody time ordered	Please note changes
		23	Number of cases in which an offender on PRCS is found by the court in violation of conditions of PRCS, is reinstated on PRCS, and is ordered to serve custody time	Please note changes
22	Petitions to revoke parole	26	Petitions to revoke parole	No changes
23	Number of ex parte warrants issued for persons on parole <i>Requests for these warrants are made by the supervising agency and are typically handled in chambers.</i>	27	Number of warrants issued for persons on parole <i>Include both arrest and bench warrants.</i>	Please note changes
24	Parole calendar events	28	Parole calendar events	No changes
25	Number of court evidentiary hearings held on petitions or court motions to revoke/modify parole <i>An evidentiary hearing is defined as a hearing where one or more parties or counsel appear and oral arguments, presentations relevant to proceedings, witness testimony, and/or documents or tangible documents are submitted to the court.</i>	29	Number of contested evidentiary hearings held on petitions or court motions to revoke/modify parole <i>A contested evidentiary hearing is defined as a hearing where the factual basis of the petition is contested, and where the parties present oral or documentary evidence in support of or in opposition to the factual allegations of the petition.</i>	Please note changes
26	Number of cases in which a parolee has the parole term revoked and is ordered to confinement in county jail			Data point removed
27	Remands to CDCR	33	Remands to CDCR	No changes
28	Parole – Reentry court	32	Parole – Reentry court	No changes
29	Number of cases in which, after a violation, a parolee is returned to parole supervision with or without sanctions or modifications of parole, excluding cases where the parolee is referred to a reentry court	30	Number of cases in which a parolee is found by the court in violation of conditions of parole and is reinstated on parole with no custody time ordered	Please note changes
		31	Number of cases in which a parolee is found by the court in violation of conditions of parole, is reinstated on parole, and is ordered to serve custody time	Please note changes